



STATE OF NEW YORK

**DEPARTMENT OF CORRECTIONS  
AND COMMUNITY SUPERVISION**

THE HARRIMAN STATE CAMPUS – BUILDING 2

1220 WASHINGTON AVENUE

ALBANY, N.Y. 12226-2050

**ANDREW M. CUOMO**  
GOVERNOR

**ANTHONY J. ANNUCCI**  
ACTING COMMISSIONER

Updated: September 1, 2013

**DOCCS FACT SHEET**

The management and operation of prisons is difficult work. It requires an equal measure of patience, discipline and creativity. DOCCS is responsible for the care, custody, and treatment of individuals sentenced to state prison, working with these inmates to ensure successful re-entry into the community and supervising those who are placed on parole. That’s our Department’s mission and it’s the guide we follow to carry out the very serious responsibility entrusted to us.

The material contained within this Fact Sheet is based on statistical information compiled by DOCCS and it is intended to provide insight into the function and operation of the Department’s 58 facilities that house approximately 54,700 inmates and the 36,500 ex-offenders we are responsible for in our communities.

**Prison Safety**

**Statewide Assaults**

	<b>2008</b>	<b>2009</b>	<b>2010</b>	<b>2011</b>	<b>2012*</b>	<b>2013 YTD</b>
<b>Assaults on Staff Statewide</b>	580	567	577	563	524	418
<b>Assaults on Inmates Statewide</b>	657	603	675	666	654	464

\*Lowest number of inmate assaults on staff since 2005.

Excludes Willard, Edgecombe and Parole Diversion Programs.

**Maximum vs. Medium Facility Inmate-on-Staff Assaults**

	<b>2008</b>	<b>2009</b>	<b>2010</b>	<b>2011</b>	<b>2012</b>
<b>Assaults on Staff Statewide</b>	580	567	577	563	524
Maximum-Security*	447	458	454	445	409
Medium-Security	122	99	114	111	114

\*Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Excludes Willard, Edgecombe and Parole Diversion Programs.

Note: Unlike the Penal Law where physical injury is a required element, any attack by an inmate is classified by DOCCS as an assault. This includes events where no physical injury occurs and events where any object is thrown at and hits another person, such as a Styrofoam cup. By contrast, in the Penal Law, an assault requires physical injury, which means impairment of physical condition or substantial pain.

## Statewide Staff Injury

Degree of Injury	2008	2009	2010	2011	2012	TOTAL
No Injury	95.9%	95.9%	96.1%	96.1%	96.4%	96.1%
Minor*	3.5%	3.5%	3.4%	3.3%	3.1%	3.3%
Moderate**	0.5%	0.6%	0.5%	0.6%	0.5%	0.6%
Serious***	.0%	.0%	.0%	.0%	.0%	0%
Total	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

Note: This table excludes Willard, Edgecombe, Orleans, and other drug treatment facilities and is based upon staff injuries sustained in Unusual Incidents each month, including employee accidents.

\*Injuries that require either no treatment, minimal treatment (scratch, bruise, aches/pain) or precautionary treatment.

\*\* Injuries of a more serious nature that generally require treatment at an outside hospital or by own physician.

\*\*\*Injuries considered to be life threatening and treated at an outside hospital

### Escape Incidents

- The last escape from within a DOCCS maximum security facility's secure perimeter was 2003 at Elmira Correctional Facility.
- The last escape from within a DOCCS medium security facility's secure perimeter was 1994 at Wyoming Correctional Facility.

### Prison Closures

- Since 1999, New York's prison population has declined by 25 percent, from a high of 72,649 offenders incarcerated (includes Willard DTC) to 54,772 today (9/1/13).
- DOCCS security staff reductions have been consistent with inmate population declines.
- In 2011, due to excess capacity in the state's prison system, three medium security and four minimum security prisons were closed.
  - Of the 1,706 affected employees, 1,668 remain employed (98%). The Department is working with the Department of Civil Service to facilitate employment opportunities.
  - 3,800 excess beds were eliminated from the system.
  - Taxpayers are expected to save approximately \$184 million from the closure process.
- In 2013, due to excess capacity in the state's prison system, one medium security female facility and one minimum security female facility were closed.
  - Of the 253 affected employees, 244 remain employed (96%). The Department is working with the Department of Civil Service to facilitate employment opportunities.
  - Taxpayers are expected to save approximately \$30 million annually from the closure process.

### DOCCS Security Staff and Inmate Population

December	Correction Officers Sergeants Lieutenants Positions Filled	Numeric Change	Percent Change	Offender Population (includes Willard)	Numeric Change	Percent Change	Staffing Ratio Inmate Population Versus Filled Uniform Staff Positions
1999	22,112	399	1.8	72,649	1,457	2.0%	3.2
2000	22,455	343	1.6	71,354	-1,295	-1.8%	3.1
2001	21,821	-634	-2.8	68,351	-3,003	-4.2%	3.1
2002	21,303	-518	-2.4	67,658	-693	-1.0%	3.1
2003	20,839	-464	-2.2	66,109	-1,549	-2.3%	3.1
2004	21,133	294	1.4	64,905	-1,204	-1.8%	3.0
2005	21,414	281	1.3	63,928	-977	-1.5%	2.9
2006	21,510	96	0.4	64,410	482	0.8%	2.9
2007	21,386	-124	-0.6	63,425	-985	-1.5%	2.9
2008	21,278	-108	-0.5	60,933	-2,492	-3.9%	2.8
2009	20,580	-698	-3.3	59,279	-1,654	-2.7%	2.8
2010	20,596	16	0.1	57,229	-2,050	-3.5%	2.7
2011	19,570	-1,026	-5.0	55,980	-1,249	-2.2%	2.8
2012	19,103	-467	-2.4	54,865	-1,115	-2.0%	2.8

	12/31/99	9/1/13	Numeric Difference	Percent Change
Correction Officers Sergeants Lieutenants	22,112	19,290	-2,822	-12.8%
Inmates (includes Willard)	72,649	54,772	-17,877	-24.6%

### DOCCS Correctional Facility Security Personnel

Council 82/NYSCOPBA Staff

	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	9/1/13
<b>Council 82</b>														
Lieutenants	556	550	549	528	539	540	543	541	535	526	521	458	483	479
<b>NYSCOPBA</b>														
Sergeants	1,294	1,306	1,310	1,291	1,266	1,297	1,308	1,308	1,289	1,236	1,256	1,169	1,196	1,195
Officers	20,605	19,965	19,444	19,020	19,328	19,577	19,660	19,537	19,454	18,818	18,819	17,942	17,424	17,616
<b>Subtotal</b>	<b>21,899</b>	<b>21,271</b>	<b>20,754</b>	<b>20,311</b>	<b>20,593</b>	<b>20,874</b>	<b>20,967</b>	<b>20,845</b>	<b>20,743</b>	<b>20,054</b>	<b>20,075</b>	<b>19,112</b>	<b>18,620</b>	<b>18,811</b>
<b>Total</b>	<b>22,455</b>	<b>21,821</b>	<b>21,303</b>	<b>20,839</b>	<b>21,133</b>	<b>21,414</b>	<b>21,510</b>	<b>21,386</b>	<b>21,278</b>	<b>20,580</b>	<b>20,596</b>	<b>19,570</b>	<b>19,103</b>	<b>19,290</b>

**Number and Percent of Violent Felony Offenders  
In Male Maximum and Medium Security Facilities**

September 1<sup>st</sup> of Selected Years

	2003			2008			2013		
	VFO	Inmates	%	VFO	Inmates	%	VFO	Inmates	%
Maximum Facilities	18,354	24,431	75.1%	18,556	24,255	76.5%	18,695	22,987	81.3%
Medium Facilities	16,189	32,997	49.1%	15,852	31,142	50.9%	15,292	27,043	56.5%

Note: This table includes inmates at the following parole program facilities: Edgecombe, Willard, Orleans, and Hudson PDP.

**Statewide Violent Offender in Custody**

12/31	2008	2009	2010	2011	2012	9/1/2013
Violent Offenders	35,672	35,641	35,641	35,390	35,270	35,367

Note: This table includes offenders at the following parole program facilities: Edgecombe Residential Treatment, Orleans and Hudson Parole Diversion Programs and Willard Drug Treatment Campus.

**2009 Releases by Return Rate**

Release Type And Release Cohort	Total Released	Total Returned		Return Type New Commitment		Return Type Parole Violator	
<b>2009 Releases</b>	25,060	10,246	40.9%	2,410	9.6%	7,836	31.3%
<b>Parole</b>	9,819	4,931	50.2%	891	9.1%	4,040	41.1%
<b>Conditional Release</b>	12,711	4,846	38.1%	1,050	8.3%	3,796	29.9%
<b>Maximum Expiration</b>	2,530	469	18.5%	469	18.5%	N/A	N/A

Note: DOCCS defines recidivism as re-commitment or return to NYS DOCCS custody after an inmate's sanctioned release to the community.

Note: DOCCS followed a 2009 release cohort of offenders for three years to obtain the return rate data in the above table.

## Community Supervision Staffing and Cases by Region/Bureau\*

(start of month data - excludes staff and parolees in the revocation process)

Region/Bureau	Number of Parolees	Number of Parole Officers & Senior Parole Officers
<b>Bronx Region</b>		
Bureau I	989	16
Bureau II	840	20
Bureau III	907	17
Bureau IV	1,345	24
Bureau V	893	18
<b>Brooklyn Region</b>		
Bureau I	1,144	21
Bureau II	1,304	22
Bureau III	957	15
Bureau IV	1,088	17
Bureau V	1,125	30
<b>Central New York</b>		
Northeast	1,383	33
Syracuse Belt	1,099	23
Syracuse	843	20
Utica	1,186	27
<b>Hudson Valley Region</b>		
New Rochelle	1,005	20
Peekskill	892	22
Albany	1,365	30
Poughkeepsie	990	23
<b>Manhattan/Staten Island</b>		
Manhattan Bureau II	743	20
Manhattan Bureau III	953	16
Manhattan Bureau IV	1,185	21
Manhattan Bureau VI	714	28
Staten Island	1,021	19
<b>Queens/Long Island</b>		
Queens Bureau I	806	15
Queens Bureau II	1,075	22
Queens Bureau III	783	14
Suffolk	1,600	34
Nassau	1,009	20
<b>Western Region</b>		
Buffalo Metro	1,066	25
Niagara Frontier	1,124	23
Elmira	1,037	22
Rochester Metro	1,321	31
Rochester Belt	980	19

\*average case load size cannot be determined using this data