Privacy commitment and your client file

Privacy commitment

Protecting the privacy of your personal information is important to me and to the companies whose products and services I offer. I'm committed to collecting, using and disclosing your personal information responsibly.

I'll create and maintain, or continue to maintain, a client file for you containing personal information such as: contact information, financial information, records of meetings and phone calls, general correspondence and any instructions given to me.

This information will be used to assess your financial situation, offer products and services that may be of interest and benefit to you, and provide ongoing client service.

Your personal information in your client file will be disclosed only to:

- My employees and persons authorized by me
- Companies I represent and their employees and agents, for the purpose of providing, or seeking to provide, financial products or services to you
- Third party service providers selected and authorized by me; in some cases third party service providers may be located outside of Canada, and in those cases your personal information may be subject to the laws, including public authority access laws, of other countries
- Persons or entities to which you have granted access
- Persons or entities allowed access by law

In accordance with applicable law and upon your request, I will provide you access to your personal information in your client file for your review. You have the right to request corrections to your personal information.

Should you provide me, for your client file, any additional personal information (including but not limited to the items listed below), you specifically acknowledge you're providing these items at your own risk. Of particular note, you release me and the companies I represent, and those acting on behalf of me or those companies, from any liability or responsibility in connection with these documents:

- Insurance policies
- Copies of life insurance applications, in whole or in part, including medical and lifestyle information
- Wills
- Powers of attorney

You agree any such documents may be converted by me into another format, without the original being retained.

My privacy policies and procedures regarding the above are available from me at your request.

Client file

I have reviewed the above information. I consent to my financial security advisors, Tony Masi and Beverly Krzmarcik ("advisor"), assisted by those acting under my advisor's direction, creating and maintaining a client file for me in accordance with the above. I have the right to later revoke my consent, subject to legal and contractual limitations.

☐ I consent to my personal information being maintained in a joint client file with my spouse, and I consent to my personal information within my joint client file being disclosed to my spouse.*

A reproduction of this signed form is as valid as the original.

Signature of client(s)*________________________________ **Date:** ________________

________________________________ **Date:** ________________

**Signature of spouse is also required*