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Brochure Supplements ADV Part 2B, March 20, 2019

This brochure supplement provides information about John T. Mitchell, , Marjorie Mitchell, Dwight Ower, James Nowicki, Thomas Dugan, Robert Osmanski and Judith Macnab that supplements Mitchell, Vaught and Taylor, Inc.'s brochure. You should have received a copy of that brochure. Please Contact Dwight Ower if you did not receive Mitchell, Vaught and Taylor's brochure or if you have any questions about the contents of this supplement.

Additional Information about John T. Mitchell, Dwight Ower, Marjorie Mitchell, James Nowicki, Thomas Dugan, Robert Osmanski and Judith Macnab is available on the SEC's website at www.adviserinfo.sec.gov.

Name: John T. Mitchell President

53 West Jackson, Suite 905 Chicago, IL 60604 312-922-1717 phone 312-922-1772 fax jmitchell@mvtinvest.com

Year of Birth: 1949

Educational Background: Bradley University, B.A.

Lewis University College of Law (Currently, Northern Illinois University College of Law), J.D.

Business Experience:

John Mitchell had over fifteen years of investment experience leading to the formation of MVT, Inc. in 1996. John's investment advising experience includes senior Vice President and Portfolio Manager at The Chicago Corporation Asset Management Group where he managed public pension funds. His role at Mitchell, Vaught and Taylor, Inc. is that of President, Director and Senior Portfolio Manager overseeing the investments of institutional and individual investors.

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision: John reports to Dwight Ower, the chief compliance officer. The advice he gives and his business activities are monitored through our compliance program. Dwight can be reached at 312-922-1717.

Name: Dwight Ower IACCP Vice President, Chief Compliance Officer

53 West Jackson, Suite 905 Chicago, IL 60604 312-922-1717 phone 312-922-1772 fax <u>dower@mvtinvest.com</u>

Year of Birth: 1956

Educational Background: College of Lake County, Associates Degree

Business Background:

Dwight joined MVT, Inc. in January of 2008, after his retirement as Deputy Chief of the Zion Police Department. Dwight became interested in investment management while serving as the President of the Zion Police Pension Fund for over twenty years, where he gained valuable knowledge and practical experience in the day-to-day management of a public pension fund.

Dwight is the Chief Compliance Officer at MVT. He has completed the IACCP Investment Advisor Certified Compliance Professional course of study and examination through National Regulatory Services. Dwight is involved in investments and services for our pension and individual clients. He manages cash flow needs for our clients and he attends pension board meetings and delivers reports.

Dwight is a registered investment advisor representative.

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision: The advice that Dwight gives and his business activities are monitored through our compliance program. Dwight also reports to John Mitchell who heads the investment committee. John Mitchell can be contacted at 312-922-1717.

Name: Marjorie Mitchell Director, Portfolio Manager, Fixed Income

53 West Jackson, Suite 905 Chicago, IL 60604 312-922-1717 phone 312-922-1772 fax <u>mburke@mvtinvest.com</u>

Birth Year: 1984

Educational Background: DePaul University, B.A.

Business Experience:

Marjorie joined MVT, Inc. in 2007 after graduating from DePaul University with her bachelor's degree. Marjorie works as a fixed income trader, monitors client accounts, and reconciles portfolios. She also handles many of the day-to-day client account operations in the firm. She works alongside the rest of the portfolio management team and with all of our client accounts in preparing client account documents, reports and communications. Marjorie has been named a Director of the Firm in 2016.

Marjorie is a registered investment advisor representative.

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision: Marjorie reports to Dwight Ower, the chief compliance officer. Advice provided by Marjorie and her business activities are monitored through our compliance program. Margie also reports to John Mitchell who heads the Investment Committee. Dwight Ower, John Mitchell can be contacted at 312-922-1717.

Name: James Nowicki CPA Portfolio Associate, Client Relations

53 West Jackson, Suite 905 Chicago, IL 60604 312-922-1717 phone 312-922-1772 fax jnowicki@mivtinvest.com

Birth Year: 1953

Educational Background: Illinois State University B. S. Certified Public Accountant 1979

Business Experience:

Jim joined the firm in December 2010 after serving as the Chief Financial Officer and Treasurer for the City of Elgin for seventeen years. Jim also served as the Treasurer for the Village of South Barrington since 2000 and was the Finance Director and Treasurer for the City of Moline from 1983 to 1993. He has been an appointed board member to Elgin's Fire Pension Board and has over thirty-five years of experience in local government finance. Jim provides consulting services to municipal governments in the areas of finance and accounting.

James is a registered investment advisor representative.

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision: Jim reports to Dwight Ower, the chief compliance officer. Advice provided by Jim and his business activities are monitored through our compliance program. Jim also reports to John Mitchell who heads the Investment Committee. Dwight Ower, John Mitchell can be contacted at 312-922-1717.

Name: Thomas Dugan Senior Trading Operations, Fixed Income analyst

53 West Jackson, Suite 905 Chicago, IL 60604 312-922-1717 phone 312-922-1772 fax tdugan@mvtinvest.com

Birth Year: 1963

Educational Background: B.S. University of Illinois Urbana-Champaign, IL

Business Experience:

Tom joined MVT, Inc. in Decembr of 2018. He previously worked at Morgan Stanley as a financial advisor for individual clients. Tom has experience at the Chicago Board of Trade in the Treasury Complex, executing large orders for institutional houses including Goldman Sachs, UBS, and HSBC. Tom worked in 1991 on the New York Stock Exchange as a specialist and market maker trading 30 listed securities. Prior to that he worked at the Chicago Stock Exchange as a floor broker.

Tom is licensed as an investment advisor representative with Series 3, 7 and 65.

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision: Tom reports to Dwight Ower, the chief compliance officer. Advice provided by Tom and his business activities are monitored through our compliance program. Tom also reports to John Mitchell who heads the Investment Committee. Dwight Ower, John Mitchell can be contacted at 312-922-1717.

Name: Robert Osmanski Trading Operations, Fixed Income analyst

53 West Jackson, Suite 905 Chicago, IL 60604 312-922-1717 phone 312-922-1772 fax tdugan@mvtinvest.com

Birth Year: 1963

Educational Background:

Business Experience:

Robert joined MVT, Inc. in August of 2018. He is studying for the Series 65 Exam to become licensed as an Investment Adviser Representative.

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision: Robert reports to Dwight Ower, the chief compliance officer. Advice provided by Robert and his business activities are monitored through our compliance program. Dwight Ower can be contacted at 312-922-1717.

Name: Judith Macnab Director, Treasurer

53 West Jackson, Suite 905 Chicago, IL 60604 312-922-1717 phone 312-922-1772 fax tdugan@mvtinvest.com

Birth Year:

Educational Background:

Business Experience:

Judith was named to the board of directors in 2018. She is licensed as an Investment Adviser Representative.

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision: Judith reports to Dwight Ower, the chief compliance officer. Advice provided by Judith and her business activities are monitored through our compliance program. Dwight Ower can be contacted at 312-922-1717.