

ALLIANT SECURITIES, INC.
(TURNER, NORD & KIENBAUM)
DISCLOSURE OF BUSINESS CONTINUITY PLAN

Pursuant to FINRA Rule #4370, Alliant Securities, Inc. (“Alliant Securities”) has established a business continuity plan (“BCP”) that contemplates both temporary and longer term business disruptions. For planning purposes, Alliant Securities considers a temporary disruption to be an event specific to Alliant Securities or to the building in which Alliant Securities is located, whereas a longer term disruption would be an event that impacts the entire city of Spokane/Liberty Lake or the U.S. financial markets.

Contacting Us – If after a significant business disruption you cannot contact us as you usually do by phone at either (509) 747-9144 or 1-800-345-9734, go to our website at www.alliantsecurities.com . If you cannot access us through either of those means, you should contact our clearing firm Wells Fargo Clearing Services, LLC (WFCS) at www.wellsfargoclearingservicesllc.com for information about our status and to help you obtain information about your account.

Our Business Continuity Plan – We plan to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the firm’s books and records, and allowing our customers to transact business. In short, our business continuity plan is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

Our business continuity plan addresses: data backup and recovery; all mission critical systems; financial and operational assessments; alternative communications with customers, employees, and regulators; alternate physical location of employees; critical supplier, contractor, bank and counter party impact; regulatory reporting; and assuring our customers prompt access to their funds and securities if we are unable to continue our business.

During a significant business disruption, Alliant Securities will re-establish telephone service with our clients as soon as possible. If you cannot contact us by phone, you can get more information at www.alliantsecurities.com.

As a client of Alliant Securities we recognize you may need access to your account to sell a position or request a check before we re-establish telephone service. During this time, WFCS, our clearing firm will assist you with sell/liquidation orders and provide check disbursements if needed. If our firm has declared an emergency and you cannot contact us, please call WFCS for assistance at 877-496-3223.

Varying Disruptions – Significant business disruptions can vary in their scope, such as only our firm, a single building housing our firm, the business district where our firm is located, the city where we are located or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only our firm or a building housing our firm, we will transfer our operations to a local site when needed and expect to recover and resume business within a matter of hours. In a

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disruption affecting our business district, city, or region, we will transfer our operations to a site outside the impacted area, and aim to resume business no later than the following business day. However, we should also inform you that our ability to continue after a longer term disruption could be effected by factors outside of our control and the recovery time for such a significant disruption could extend beyond the next business day. If necessary, we will transfer operations to **WFCS** and notify you through our website (www.alliantsecurities.com) about how to contact us or how to arrange for access to your funds and securities directly through **WFCS**.

For more information – If you have questions about our business continuity planning, please don't hesitate to contact us by phone at (509) 747-9144 or 1-800-345-9734 or go to our website at www.alliantsecurities.com . For more information on WFCS's business continuity plan, please go to www.wellsfargoclearingservicesllc.com .

THANK YOU FOR INVESTING WITH ALLIANT SECURITIES!