

Wolf von Kumberg B.A., LL.B., LL.M (Cantab), FClarb

Wolf von Kumberg has over 30 years of international legal, compliance, conflict management and business experience. He served as Legal Director and Assistant General Counsel to Northrop Grumman Corporation out of its London office, as legal and commercial director for Sperry Marine Limited, out of its London office, as EMEA Legal Director for Litton Industries Inc., in Zurich, Switzerland and as Canadian VP Legal for Litton Canada Limited out of its Toronto office. Wolf has unique knowledge related to global business and the legal, compliance, regulatory and cultural issues associated therewith. His practise involved most regions of the World and included, private commercial, government and state entities, as well NGOs, community groups and other stakeholders. He is now applying this accumulated knowledge to the field of global sustainable investment, compliance, investigations, corporate governance, CSR, ESG and conflict management.

In his roles, Wolf was responsible for all international legal activities across EMEA which included corporate governance, developing a dispute management process, ADR and CSR policies, compliance guidelines and international disputes and compliance clauses. In addition, he was involved in drafting and implementing international compliance and corporate governance programmes and oversaw complex international regulatory investigations.

Wolf was involved in drafting, implementing, training and monitoring of all international policies and procedures. This was aimed at improving governance and addressing non-compliance to create a more robust compliance culture within these companies.

Wolf has served on the Boards of Northrop, Sperry and Litton entities outside of the United States and has also been active on the Boards of several NGOs including: past Chairman of the Board of Management the **Chartered Institute of Arbitrators**, Chairman and then Director of the **International Mediation Institute**, interim Chair and currently Director of **CEDR** and a current Director of the **American Arbitration Association**.

Wolf's global governance, compliance, conflict resolution and investigations experience includes:

- Complex contract negotiations for a wide range of global infrastructure projects at Ministerial and senior Government levels,
- Establishing contractor and supply chain oversight for these projects,

- Implementation, monitoring and conflict management of these projects,
- Drafting international compliance and ethics programmes together with associated policies and procedures, in particular, encompassing FCPA, Bribery Act and local ABC requirements,
- Drafting and monitoring of global supplier policies,
- Implementing and adapting as necessitated by local legislation, compliance programmes across international entities,
- Writing compliance training modules,
- Providing compliance training across European, Asian and Middle Eastern entities,
- Conducting supplier, sales agent and sales representative due diligence,
- Planning and conducting annual compliance audits,
- Compliance investigation planning, staffing and implementation (FCPA, Bribery Act, OECD and ethics),
- Regulatory investigations involving regulators in the USA Europe and Asia including, FCPA, Bribery Act, money laundering, competition, sales agency, tax, export and environmental,
- Compliance investigation planning, staffing and implementation (FCPA, Bribery Act, OECD and ethics),
- International M&A planning and restructuring activity with emphasis on compliance, CSR, ESG and corporate governance,
- Drafting and reviewing sales representative and sales agent agreements with emphasis on compliance,
- Drafting and reviewing international joint venture, teaming and distributor agreements with emphasis on compliance,
- Government procurement expertise with emphasis on US, UK and local compliance regimes,
- Export regulations and international sanctions,
- Intellectual property and licensing,
- Risk management planning and response team member,
- Providing Compliance, CSR, ESG and risk management training,
- Investor/State conflict avoidance structures,
- Introducing single and co Mediation programs,
- Implementing board room and partnership dispute procedures,
- Establishing dispute management and resolution boards in infrastructure projects;
- Developing appropriate adjudication systems for projects;
- Drafting ADR Guidelines and Model dispute resolution clauses,
- Drafting and implementing ADR policies and procedures,
- Establishing global conflict compliance and governance programs.

Career History:

2015- Present - Consulting Counsel Bird & Bird

2015-Present - Member ArbDB Chambers London

2000- 2015 - Assistant General Counsel, Northrop Grumman Corporation European HQ – London, England

1996 – 2000 - Legal and Commercial Director, Sperry Marine Limited – Global HQ London, England

1992- 1996 - European Legal Director, Litton Industries, Inc. European HQ – Zurich, Switzerland.

1987 - 1992 - Vice President, Counsel and Assistant Secretary to Litton Systems Canada Limited (a subsidiary of Litton Industries, Inc.), a 3'000 employee aerospace electronic manufacturer having facilities in Toronto, Ottawa and Halifax, Canada.

1985 - 1987 - Litigation Associate - Smith, Lyons, Torrence, Stevenson, & Mayer Toronto, Ontario, Canada

Education

1974 - 1977 - York University, Toronto, Ontario - B.A. History and Political Science

1977 - 1980 - Osgoode Hall Law School, Toronto, Ontario - LL.B. Bachelor of Law

1981 - 1982 - Law Society of Upper Canada, Toronto, Ontario Bar Admission Course Barrister and Solicitor - April, 1982

1984 - 1985 - University of Cambridge, United Kingdom - LL.M. Master of Law International Commercial Law

1989 - Solicitor's Final Examination - The Law Society England and Wales

Languages: English and German - mother tongue, Italian - fair French- social knowledge

Nationalities: German and Canadian. EU Settlement status in the UK obtained.