

UNITED STATES DISTRICT COURT
MIDDLE DISTRICT OF LOUISIANA

TROY LILLIE, ET AL.	*	CIVIL ACTION
	*	
VERSUS	*	DOCKET NO. 3:13CV150 c/w 19CV138
	*	
	*	JUDGE BRIAN A. JACKSON
STANFORD TRUST COMPANY, ET AL.	*	MAGISTRATE ERIN WILDER-DOOMES

NOTICE OF APPEAL

NOTICE IS HEREBY GIVEN, that Plaintiffs, TROY LILLIE, *ET AL.* (“Plaintiffs” or “Retirees”), individually and on behalf of the class certified pursuant to Fed. R. Civ. P. 23 in the above referenced case pursuant to the May 2, 2016 Order (ECF 201 in Dkt. 19cv138), in the above numbered and captioned matter, hereby appeal to the United States Court of Appeals for the Fifth Circuit from:

1. the Final Judgment (ECF 160 in Dkt. 13cv150; Ex. A) dated August 15, 2019 dismissing this action with prejudice;

2. the Ruling and Order (ECF 159 in Dkt. 13cv150; Ex. B) dated August 15, 2019 denying Plaintiffs’ Motion for Reconsideration, granting summary judgment in favor of the Insurer Defendants, Allied World Assurance Company (U.S.) Inc., Continental Casualty Company, Arch Insurance Company, Indian Harbor Insurance Company, Nutmeg Insurance Company, and Certain Underwriters at Lloyd’s of London Subscribing to Policy Nos. FD0805144, FD0805145, FD0805146, FD0805149) under Fed. R. Civ. P. 56(f), and entering judgment against the Plaintiffs in accordance with Fed. R. Civ. P. 58;

3. the Ruling and Order (ECF 146 in Dkt. 13cv150; Ex. C) dated July 9, 2019 granting the Motion for Summary Judgment (ECF 127) filed by Defendants, SEI Investments Company and SEI Private Trust Company, dismissing Plaintiffs’ claims against SEI relating to control

person liability under La. R.S. 51:714(B) with prejudice, and denying Plaintiffs' Motion for Dismissal of Summary Judgment or a Continuance Under Rule 56(D) Until Discovery Is Commenced Or Substantially Completed (ECF 130); and

4. the Order (ECF 198 in consolidated Dkt. 19cv138; **Ex. D**) entered on September 22, 2015 granting in part the Motion for Reconsideration (ECF 180) filed by Defendants, SEI Investments Company and SEI Private Trust Co., dismissing Plaintiffs' claims regarding primary liability under La. R.S. 51:714(A) of the La. Securities Law.

Respectfully submitted by:

PREIS GORDON, APLC

s/Phillip W. Preis

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CERTIFICATE OF SERVICE

I HEREBY CERTIFY that on the 27th day of August, 2019, I served copies of the foregoing pleading upon all counsel via electronic mail, the Court's electronic filing system or by placing same in the United States mail, postage prepaid and properly addressed.

s/Phillip W. Preis
Phillip W. Preis