

Transfer Monitoring

IIPS 2014 Summer Conference

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Overview

- Make sure NSLDS and Colleague (FAUP) are set up correctly
- Determine your submission schedule
- Create your lists of students to be sent
- Export your file
- Check for errors and/or warnings
- Review Transfer Monitoring Alert reports

Set Up

- In NSLDS, go to TRAN tab, then School Transfer Profile

Menu **Aid** **Enroll** **Org** **Report** **Tran**

[Transfer Monitoring List](#) | [Monitoring Alert Review](#) | [Transfer Monitoring Re-Populate](#) | **School Transfer Profile**

ANCY.BEASLEY.FSA logged on as: NANCY BEASLEY from [WAKE TECHNICAL COMMUNITY COLLEGE](#) / TGD1068 /

School Transfer Profile

First Name: REGINA
Last Name: HUGGINS
Title: FINANCIAL AID DIRECTOR
Phone: 919-866-5408 **Ext:**
E-Mail: rmhuggins@waketech.edu
Inform: TG53558 **Transfer Monitoring Servicer:** Yes
Inform Org: WAKE TECHNICAL COMMUNITY CLG
Monitor: 120
Alert: Web and Batch
SAIG Mailbox: TG53558 **Transfer Monitoring Servicer:** Yes
Alert Org: WAKE TECHNICAL COMMUNITY CLG
Batch Alert Method: Extract
Last Update By: NAONA WOOD on 11/04/2011

Set Up

- In Colleague, go to FAUP

FAUP - FA Update Parameters

Imported File Types to Update

COD XML

NSLDS Exit Interview Yes

NSLDS Monitoring Yes

MRR Yes

SAS Yes

ESOA Yes

Recon Yes

PROFILE/NCP

Updated Records

Report

COD Student

Report SSN Option Output Saved List Name Ext

Interview

NSLDS Monitoring FATRAMON

Options

PROFILE/NCP

Determine Submission Schedule

- We split the year into 3 monitoring periods:
 - August 1 – November 29
 - December 1 – March 31
 - April 1 – July 30
- We send an all inclusive list at the beginning of each monitoring period
- We send a list of newly awarded students at the first of each month that have not already been sent for a particular monitoring period

Create Lists

- Created Informer report to select students with an ISIR on file and with awards for a particular term
 - Award amount > 0; or
 - Transmit amount > 0
- Name each list using naming convention *XTM.mmddyy.PERM*, where
 - *mm* = 2-digit month
 - *dd* = 2-digit date
 - *yy* = 2-digit year

Create Lists

Overview

Title	TM: Select students with ISIR and Awards for Particular Term
Description	selects students who have an isir on file for the specified year & who have any award (or transmit) amount > 0; save list as XTM.mmddy.PERM
Datasource	Production
Mapping	SA.ACYR: Prompt for table at runtime
Select Filter	Select Ta Term exactly matches ? "Enter Term (leave blank for all)" and (Ta Term Amount is more than '0' or Ta Term Xmit Amt is more than '0') from TA.ACYR returning Ta Student Id and Select Year exactly matches ? "Enter 4-digit year (2013 for 2013-14)"! from ISIR.FAFSA returning Stu ID
Columns	Id, Sa Last, Sa First, Sa Middle
Sorts	Sa Last, Sa First, Sa Middle
Groups	None
Normalize	None
PDF Template	System default
Tags	Financial Aid / Veteran's Affairs
Sharing	Protected
Limit Result Count	(none)

Create Lists

Detailed view of selection criteria

Edit Criteria

Save Save and Close Cancel Reset Or... Clear

Criteria Types to Add

- Simple Condition
- Compound Condition
- Select / Returning
- TCL/ECL Block
- GET.LIST
- Key List

Select All of the following from SA.ACYR:

All of the following from TA.ACYR returning SA.ACYR (Ta Student Id) x

Ta Term exactly matches ? "Enter Term (leave blank for all)" x

and At least one of: x

- Ta Term Amount is more than '0' x
- or Ta Term Xmit Amt is more than '0' x

and All of the following from ISIR.FAFSA returning SA.ACYR (Stu ID) x

Year exactly matches ? "Enter 4-digit year (2013 for 2013-14)!" x

Export Lists

- Use TMXP in Colleague to export your list

TMXP - Transfer Monitoring Export

Export Filename : TRNINFIN.44

Limit to Federal Awards only

Award Codes

CM Code

CM Date 

Using EdConnect on the PC

Input Saved List Name

Students

	ID	Student
1	<input type="text"/>	
2	<input type="text"/>	
3	<input type="text"/>	
4	<input type="text"/>	
5	<input type="text"/>	

Navigation: Page 1 of 1

Additional Selection Criteria

Export Lists

- TMXP will add a communication code to each student's CRI screen with a blank status/date
- TM Disbursement Hold flag will be set to Y
- The com code will be marked Received when the response comes back from NSLDS regardless of alerts

CRI - Communication Code Entry

Default File Suite Year

Origin Origin Date

Communication Codes

Code Instance	Description	Status Comment	Stat Dt	Assgn Dt	Act Dt
1 FATRAMON	Fa Transfer Monitorin			08/01/14	
2 FA14CPKG	14/15 Autopackaged	Sent	07/09/14	07/09/14	
3 FA14QISR	14/15 FAFSA	Received	07/09/14	07/09/14	
4					

Page 1 of 5

Check for Errors

- When importing (DOEI), the summary report will tell you if you have any NSLDS Monitoring ERROR files and the date of those errors
- Use TMWR to get a list of Rejects and/or Warnings
- Resolve rejects and resubmit those records to NSLDS so they can be added to your monitoring list

Check for Errors

September 7 2013
10:52

SAIG Import Process

Page 1

COD Document Totals:

409 COD.RECEIVE.DIR records selected
0 records were non-student documents

409 Records Processed

COD Student Totals

399 students processed
399 students updated
0 student errors

COD transaction totals

Transactions have been processed for the year: 2013-2014
90 Booking Notification transactions imported
6 Credit Decision transactions imported
3 Grad-PLUS Loan transactions imported
1 PLUS Loan Counseling Interviews imported
53 SUB/UNSUB Loan Counseling Interviews imported
4 PLUS Loan transactions imported
149 Promissory Note Loan transactions imported
215 Subsidized Loan transactions imported
172 Unsubsidized Loan transactions imported
2 Unsolicited PLUS Appl transactions imported

NSLDS Monitoring Student Totals

1 NSLDS Monitoring ALERT (TRALRTOPT) files processed
265 FAH students processed
265 FAH students updated

View the TM Alert Status Report (TMSR) for today, 09/07/2013, for details regarding these alerts.

1 NSLDS Monitoring ERROR (TRNINFOP) files processed
1 ERR students processed
1 ERR students updated

View the TM Warnings and Rejects Report (TMWR) for today, 09/07/2013, for details regarding these errors.

Refer to FA.COD.LOG.DIR DOE1_AWILLIAMS_39136_16687 using the View DOEI Log File (VDLF) form for processing details

End of Report

Check for Errors

TMWR - TM Warning and Rejects Report

Error File Import Start Date 

Error File Import End Date 

Error Types to Include

- R Rejects Only
- W Warnings Only
- B Both Rejects and Warnings

Saved List Name

Students

1	<input type="text"/>	<input type="text"/>
2	<input type="text"/>	<input type="text"/>
3	<input type="text"/>	<input type="text"/>
4	<input type="text"/>	<input type="text"/>
5	<input type="text"/>	<input type="text"/>
6	<input type="text"/>	<input type="text"/>

Additional Selection Criteria

Check for Errors

July 9 2014
16:52

Transfer Monitoring Warnings and Rejects Report
Both Rejects and Warnings
Showing Transactions Imported Between 08/01/2013 and 06/30/2014

Page 9

Student ID	Last Name	First Name	OPE ID	Err Type	Description
				701 W	Student SSN not found. (Student added to Monitoring List, but is not on Database).
19	Z	C	00484400	101 W	Student SSN not found.
				701 W	Student SSN not found. (Student added to Monitoring List, but is not on Database).
18	I	M	00484400	100 R	Match on SSN. No match on DOB, First Name, Last Name.
				702 W	Match on SSN. No match on DOB, First Name, Last Name. (Student added to Monitoring List, but does not match information on Database).

Review Alert Reports

- When importing files (DOEI), the summary report will tell you if you have any NSLDS Monitoring ALERTS to review and the date of those alerts
- Use TMSR to get a list of alerts to review
- Resolve any problems created by alerts:
 - Pell LEU or Sub loan SULA
 - Pell or loan limits reached or exceeded
 - Overlapping loan periods

Review Alert Reports

September 7 2013
10:52

SAIG Import Process

Page 1

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NSLDS Monitoring Student Totals

1 NSLDS Monitoring ALERT (TRALRTOP) files processed
265 FAH students processed
265 FAH students updated

View the TM Alert Status Report (TMSR) for today, 09/07/2013, for details regarding these alerts.

1 NSLDS Monitoring ERROR (TRNINFOP) files processed
1 ERR students processed
1 ERR students updated

View the TM Warnings and Rejects Report (TMWR) for today, 09/07/2013, for details regarding these errors.

Refer to FA.COD.LOG.DIR DOE1_AWILLIAMS_39136_16687 using the View DOEI Log File (VDLF) form for processing details

End of Report

Review Alert Reports

TMSR - TM Alert Status Report

Alert File Import Start Date 

Alert File Import End Date 

Include Pell

Include Loans

Include ACG

Include SMART

Include TEACH

Input Saved List Name

	ID	Name
Students	<input type="text" value="1"/>	<input type="text"/>
	<input type="text" value="2"/>	<input type="text"/>
	<input type="text" value="3"/>	<input type="text"/>
	<input type="text" value="4"/>	<input type="text"/>
	<input type="text" value="5"/>	<input type="text"/>

Additional Selection Criteria

Review Alert Reports

July 9 2014
16:07

Transfer Monitoring Alert Status Report
Pell, Loans Detail
Showing Transactions Imported Between 06/30/2014 and 06/30/2014

Page 14

ID Name	OPE ID	Contact Code	Chg Flag	EFC	Tran No	Pell Sched Awd	Pell % Used	Sub Elig Used	Awd Amt	Disb Amt	Latest Disb Dt	Loan Period Begin	Loan Period End
Sub Elig Used								20.00%					
Pell	00484400			1724	03	3895	87.50%		3408	3408	06/03/14		
Pell	00291300		Y	1724	02	3895	50.01%		1948	1948	08/31/13		
Pell	00291300			0	01	5550	100.00%		5550	5550	01/22/13		
Loan (D2)	00484400								3000	3000	06/03/14	05/19/14	07/29/14
Loan (D0)	00484400								1296	1296	06/03/14	05/19/14	07/29/14
Loan (D2)	00484400								3000	3000	03/05/14	01/06/14	05/07/14
Loan (D0)	00484400								1750	1750	03/05/14	01/06/14	05/07/14
Loan (D0)	00291300								454	454	08/31/13	08/21/13	12/09/13
Loan (D2)	00291300								0	0	08/31/13	06/24/13	06/19/14
19: [redacted], [redacted]	00484400												
Sub Elig Used								0.00%					
Loan (D2)	01019800		Y						6000	3000	06/18/14	03/03/14	09/28/14
Loan (D1)	01019800		Y						3500	1750	06/18/14	03/03/14	09/28/14
19: [redacted], [redacted]	00484400												
Sub Elig Used								5.00%					
Pell	00544800			1867	02	3795	100.00%		3795	3795	02/18/14		
Loan (D0)	00544800		Y						1750	1750	02/12/14	01/02/14	07/30/14
Loan (D2)	00544800		Y						1000	1000	02/12/14	01/02/14	07/30/14
15: [redacted], [redacted]	00484400												
Sub Elig Used								0.00%					
Pell	00107400		Y	4484	01	1195	50.04%		1195	598	06/20/14		
Pell	00484400			2121	01	3400	75.00%		2550	2550	06/05/13		
Pell	00484400			4266	03	1300	67.69%		880	880	06/13/12		
Pell	00484400			0	02	5550	25.01%		3469	1388	02/11/11		
Pell	00484400			0	01	5350	50.00%		2675	2675	02/17/10		
Pell	00484400			0	02	4731	50.01%		2366	2366	06/09/09		
Loan (D2)	00107400		Y						6000	3000	06/20/14	05/26/14	01/29/15
Loan (D1)	00107400		Y						3500	1750	06/20/14	05/26/14	01/29/15
Loan (D2)	00484400								6000	3000	02/06/13	08/15/12	05/07/13
Loan (D1)	00484400								4500	2250	02/06/13	08/15/12	05/07/13
Loan (D1)	00484400								1750	1750	12/09/11	08/17/11	05/07/12
Loan (SF)	00484400								3500	1750	02/04/10	08/17/09	05/07/10
Loan (D5)									4798	4550	09/18/00		
Loan (D6)									2825	2825	09/18/00		
Loan (SF)	02141300								2625	2625	06/26/90	02/07/90	11/01/90
Loan (SL)	02141300								4000	4000	06/26/90	02/07/90	11/01/90
05: [redacted], [redacted]	00484400												
Sub Elig Used								0.00%					
Pell	00184600		Y	0	08	0	100.00%		0	2822	01/15/14		
Pell	00484400			241	04	5300	100.00%		5300	5300	02/09/12		
Pell	00484400			1460	01	2600	50.00%		2600	1300	09/30/05		
Pell	00484400			3123	01	900	50.00%		900	450	04/01/04		

Disbursement Hold = Y

- You can use the TM Disb Hold = Y in rules to prevent awards from transmitting to AR

Rules ID : FATRMON Created On : 09/30/11 Created By : RMHUGGIN

Description:

Office Code:

Primary File View:

Comments:

Subroutine Name:

Rule Failure Message

Checking Criteria

Connector	Left-hand Expression	Relation	Right-hand Expression
<input type="text" value="1"/> <input type="text" value="WITH"/>	<input type="text" value="TA.TM.DISB.HOLD"/>	<input type="text" value="NE"/>	<input type="text" value="Y"/>

Disbursement Hold = Y

- You can create an Informer report to find students with TM Disb Hold = Y throughout the year to make sure all issues have been resolved

Overview

Title	TM: Identify students with TM Disb Hold=Y
Description	find students for particular term that have TM disb hold = Y
Datasource	Production
Mapping	TA.ACYR: Prompt for table at runtime
Select Filter	Ta Tm Disb Hold exactly matches 'Y' (ignore case) and Ta Term exactly matches ? "Enter Term Code (leave blank for all)" and Ta Aw Id exactly matches ? "Enter Award Code (leave blank for all)" and Ta Term Action exactly matches ? "Enter Award Action Code (leave blank for all)" and Ta Student Id equals ? "Enter 7-digit student ID (leave blank for all)" and Ta Acyr Add Date on ? "Enter Award Add Date (leave blank for all)" and Ta Acyr Add Operator exactly matches ? "Enter Add Operator (leave blank for all)"
Columns	Id, Ta Student Id, Ta Last, Ta First, Ta Aw Id, Ta Term, Ta Term Amount, Ta Term Action, Ta Term Xmit Amt, Ta Tm Disb Hold, Ta Ssn, PERSON Birthday
Sorts	Ta Last, Ta First, Ta Aw Id, Ta Term
Groups	None
Normalize	None
PDF Template	System default
Tags	Financial Aid / Veteran's Affairs
Sharing	Protected
Limit Result Count	(none)

Disbursement Hold = Y

- Detail of selection criteria

The screenshot displays the 'Edit Criteria' window with a toolbar at the top containing 'Save', 'Save and Close', 'Cancel', 'Reset', 'Or...', and 'Clear'. On the left, a 'Criteria Types to Add' panel lists 'Simple Condition', 'Compound Condition', and 'Select / Rotate...'. The main area is titled 'Select All of the following from TA.ACYS:' and contains a list of criteria:

- Ta Tm Disb Hold exactly matches 'Y' (ignore case) x
- and Ta Term exactly matches ? "Enter Term Code (leave blank for all)" x
- and Ta Aw Id exactly matches ? "Enter Award Code (leave blank for all)" x
- and Ta Term Action exactly matches ? "Enter Award Action Code (leave blank for all)" x
- and Ta Student Id equals ? "Enter 7-digit student ID (leave blank for all)" x
- and Ta Acyr Add Date on ? "Enter Award Add Date (leave blank for all)" x
- and Ta Acyr Add Operator exactly matches ? "Enter Add Operator (leave blank for all)" x

A dark overlay at the bottom shows a 'New List' dialog with a 'Block' button.

Questions/Suggestions?

