

Rattling modes in thermoelectric materials

Jon Goff

Outline of talk

Phonon-glass electron-crystal

Highlights

- Inelastic X-ray Scattering
- Density Functional Theory
- Thermal conductivity



Collaborators

Royal Holloway

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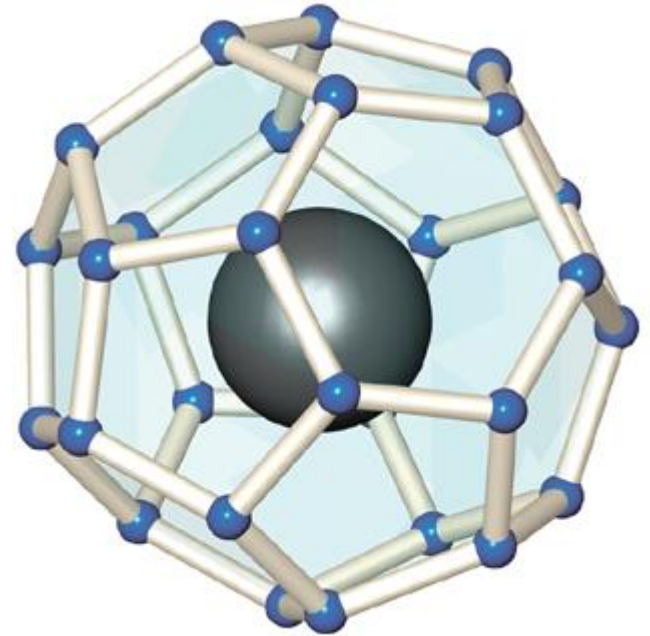
David Voneshen



Phonon-glass electron-crystal

Phonon-glass electron crystal (PGEC)

- Cage forms regular periodic lattice in which electrons or holes move freely
- Loosely bound rattler scatters phonons reducing thermal conductivity to glass-like values
- Skutterudites, clathrates, cobaltates

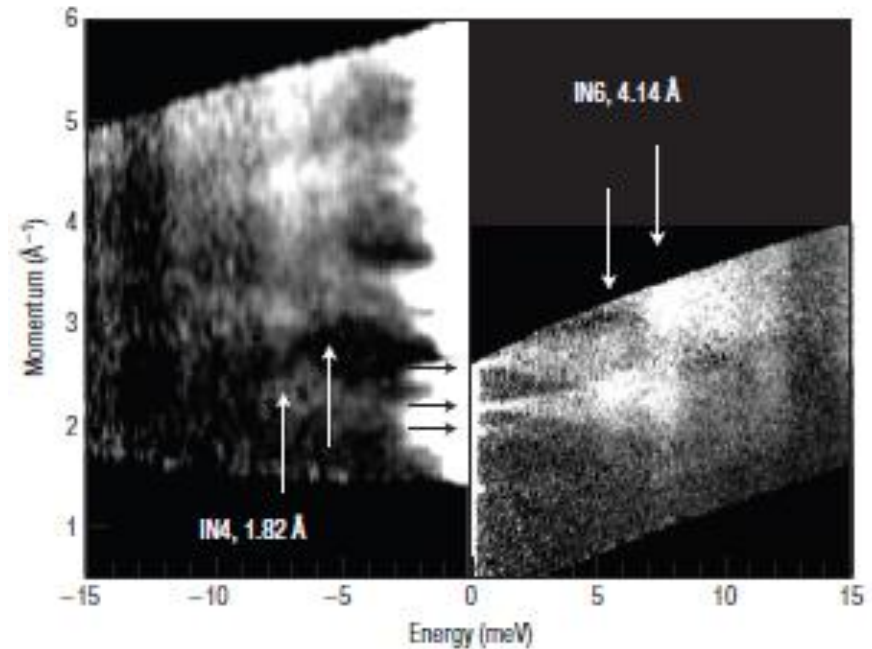


[Slack, G. A. in *CRC Handbook of Thermoelectrics* (ed. Rowe, D. M.) 407-440 (CRC, Boca Raton, FL USA, 1995).]

Phonon-glass electron-crystal

$\text{LaFe}_4\text{Sb}_{12}$ & $\text{CeFe}_4\text{Sb}_{12}$

- Powder measurements on IN4 & IN6 at the ILL
- See well defined phonons
- Quasi-harmonic coupling between guest & host lattice

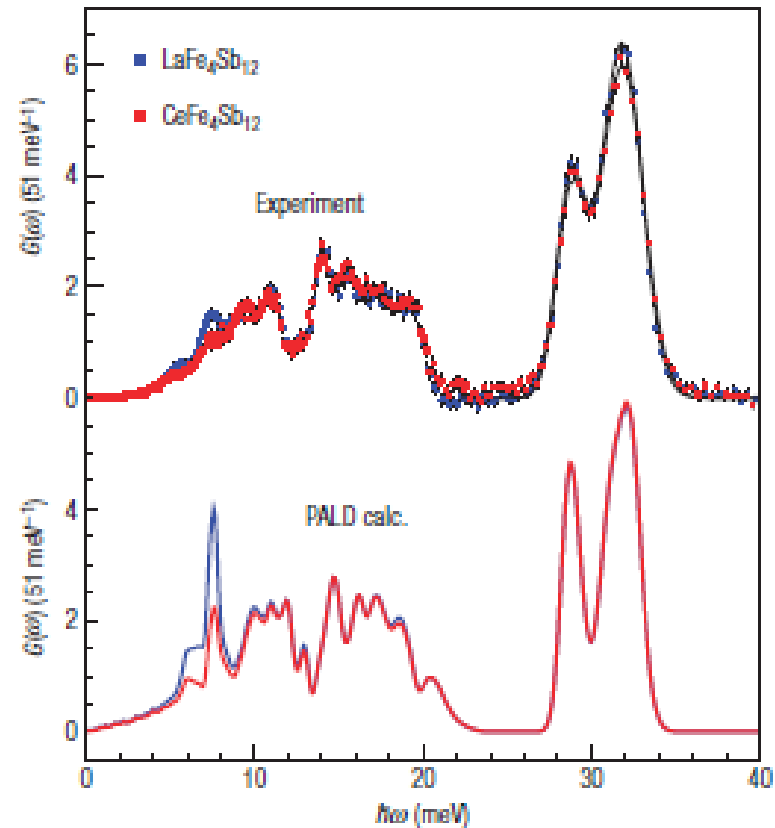


[Koza, M. M., *et al.*, Breakdown of phonon glass paradigm in La- and Ce-filled $\text{Fe}_4\text{Sb}_{12}$ skutterudites. *Nature Mater.* **7**, 805-810 (2008).]

Phonon-glass electron-crystal

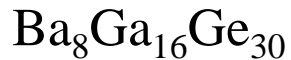
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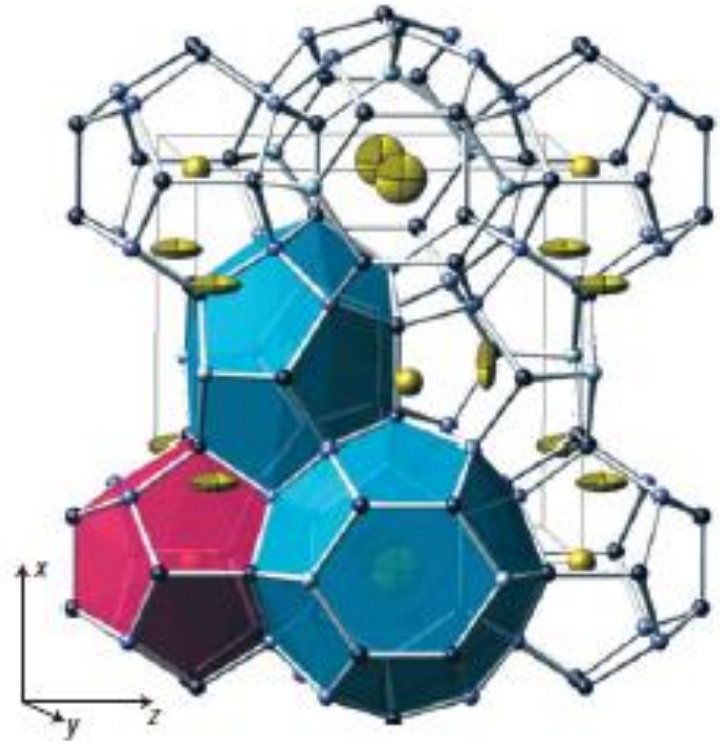


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Avoided crossing in clathrates

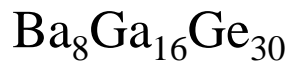


- Ba guest atoms in cages of Ge & Ga
- Spring model describing interaction between guest atoms and cage walls
- Avoided crossing of acoustic phonon of the cage and flat mode of the guest
- Single-crystal Inelastic Neutron Scattering data from RITA-II at PSI

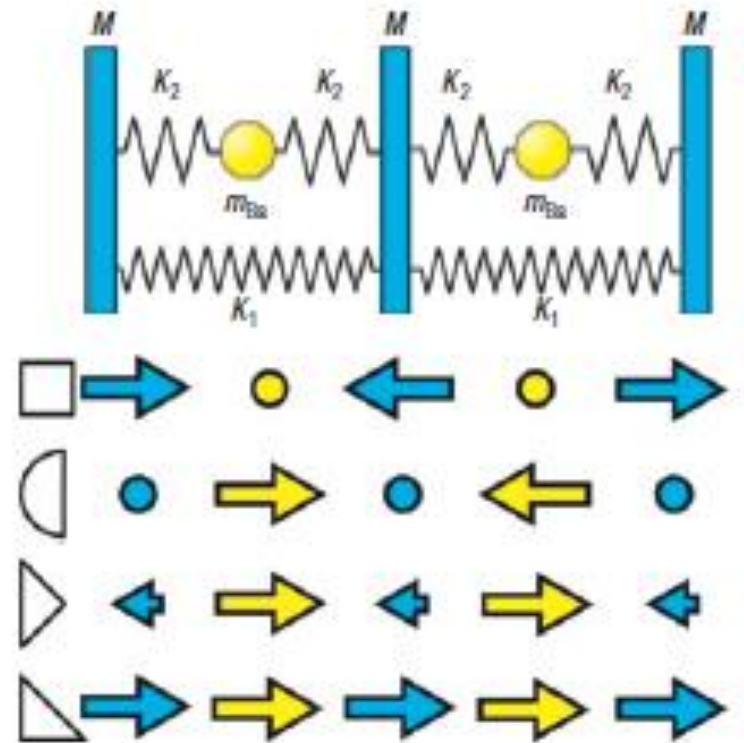


[Christensen, M. *et al.*, Avoided crossing of rattler modes in thermoelectric materials. *Nature Mater.* **7**, 811-815 (2008).]

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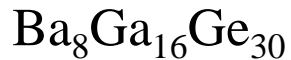


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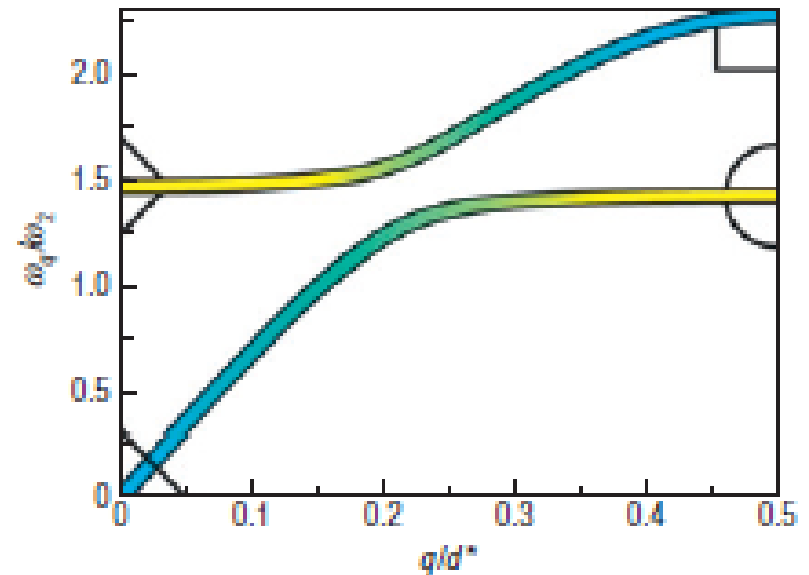


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Avoided crossing in clathrates

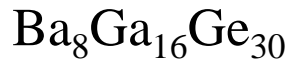


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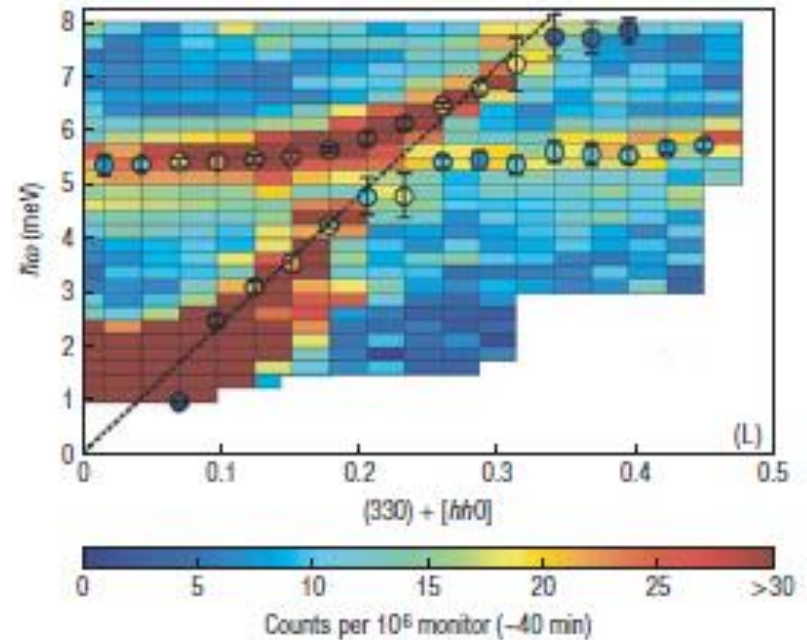


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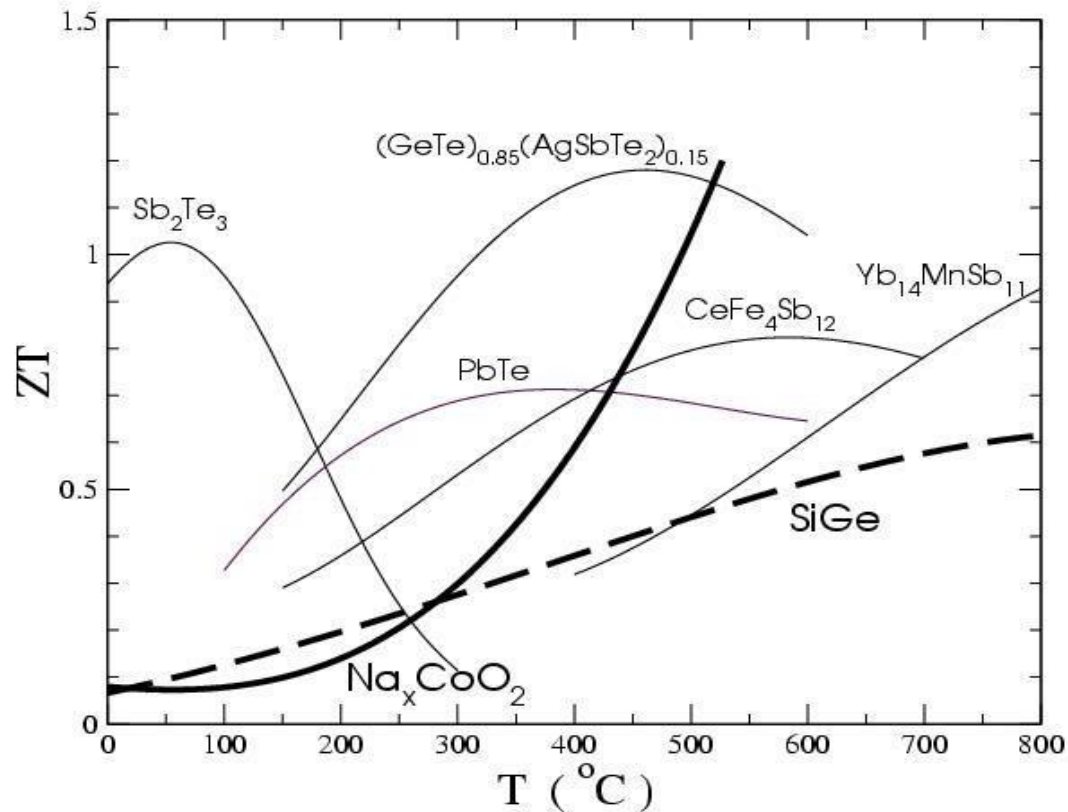


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P-type thermoelectric oxides



- Sodium cobaltate better than semiconductors already at high temperatures!

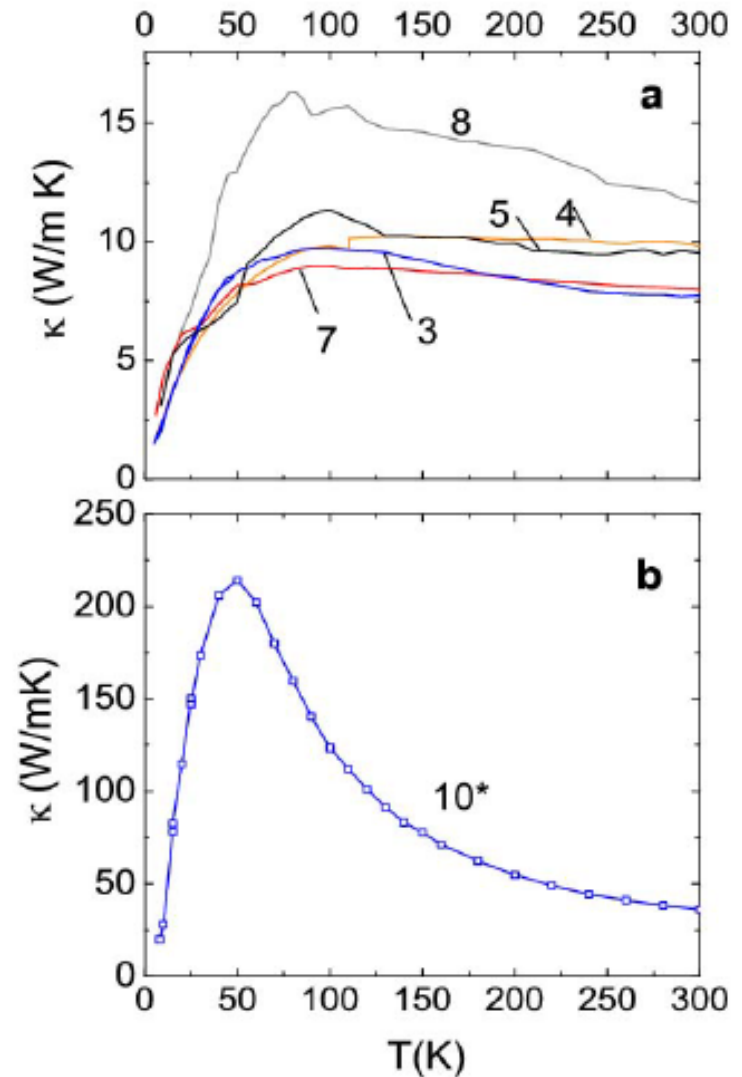
[Terasaki *et al. Phys. Rev. B* **56** R12685 (1997); Wang *et al. Nature* **423**, 425 (2003); Lee *et al. Nature Mater.* **5**, 537 (2006).]

P-type thermoelectric oxides

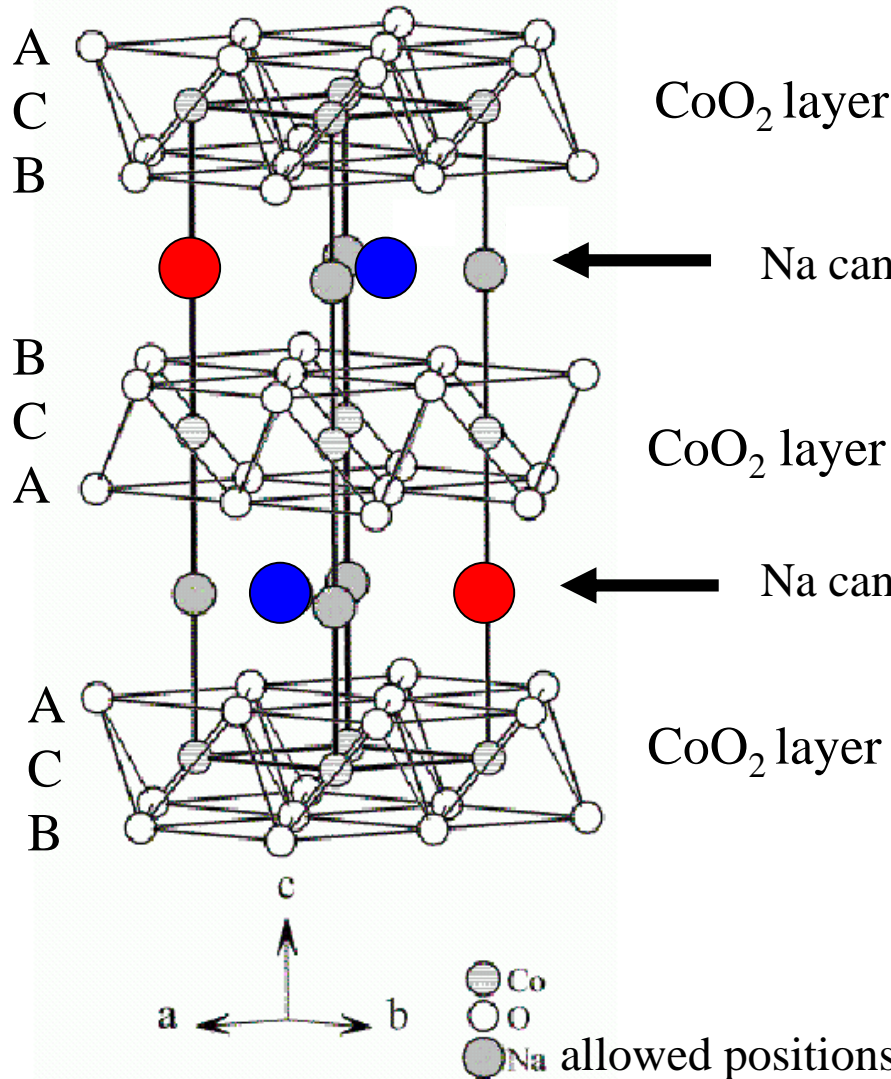
Thermal conductivity

[Supplementary Information Lee *et al. Nature Mater.* **5**, 537 (2006)]

- Na_xCoO_2 a few W/mK for high x
- NaCoO_2 much higher



Crystallographic structure



- Tunable number of Na⁺ ions
- $x = 0$ to 1 per CoO₂

Na1 if C position

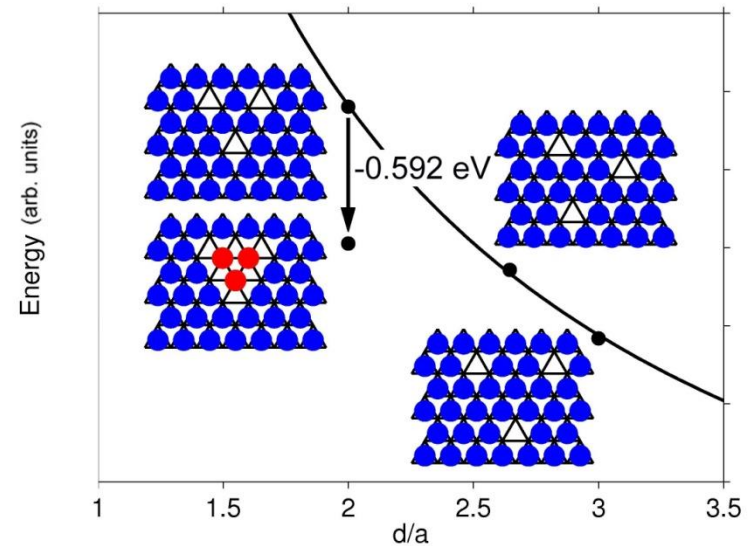
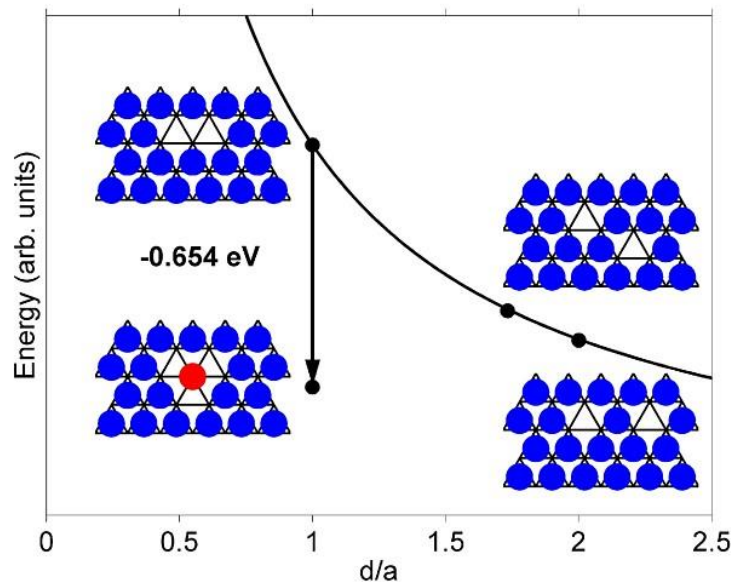


Na2 otherwise



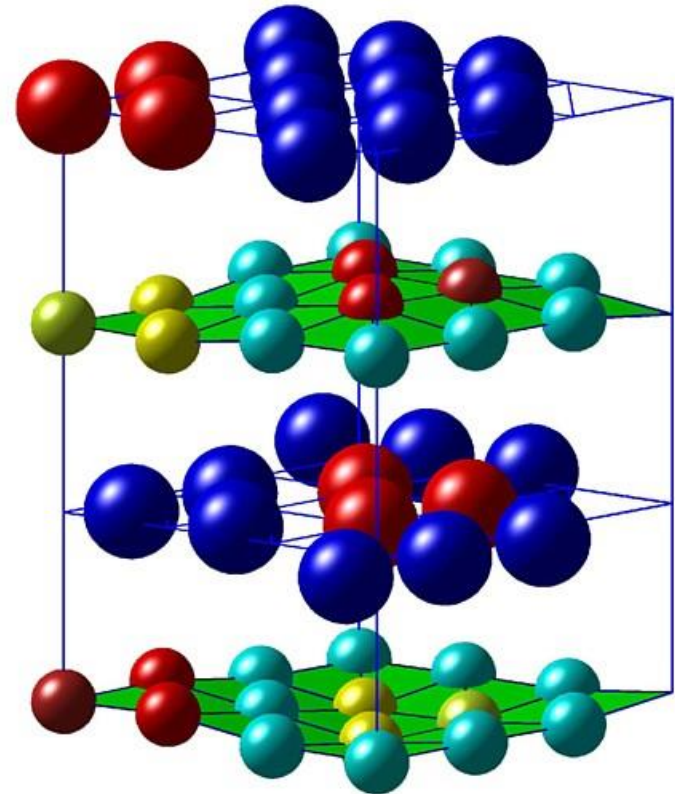
Vacancy clustering

- Vacancies potential varies as $1/d$
- Promotion of a Na2 to a Na1 site
- Lowers surface energy
- Drives vacancy droplet formation



- Large clusters become unfavourable
- Na1 core cost too high

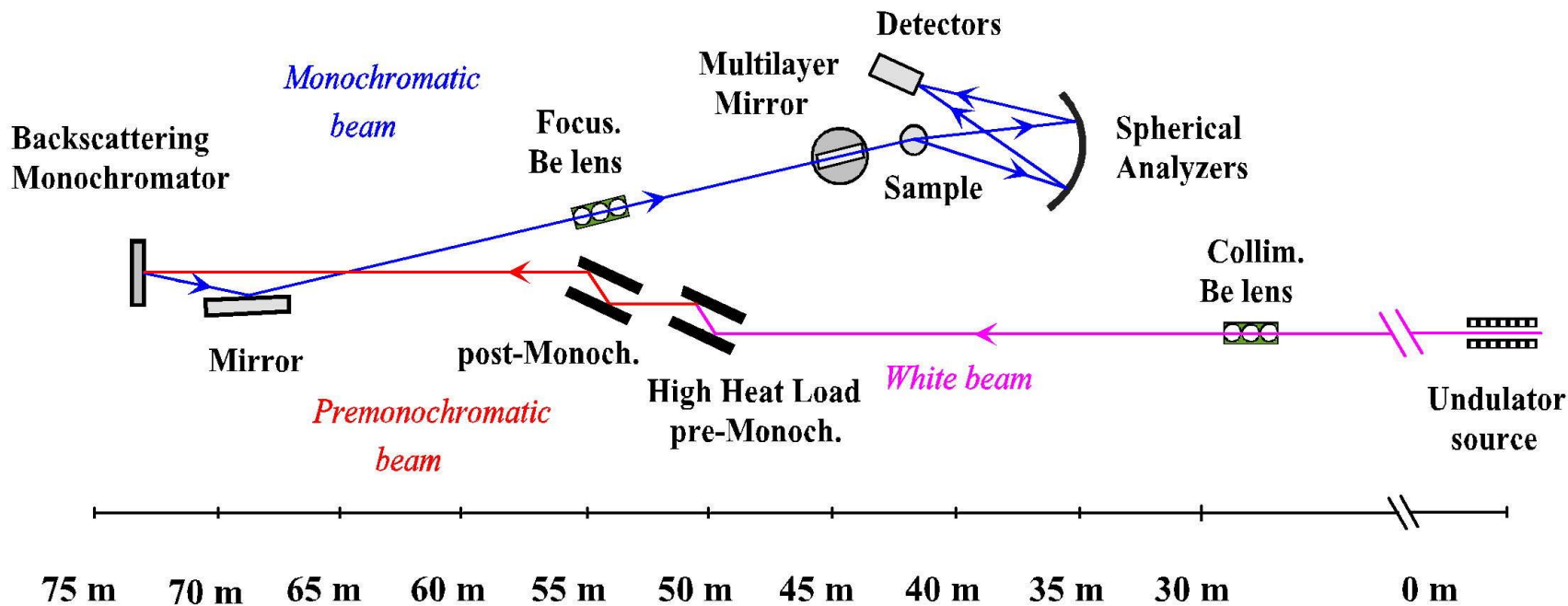
Neutron diffraction



- Are the Na ions inside multi-vacancy clusters rattlers inside cages?
- Are they responsible for low thermal conductivity?

Roger *et al.*, Patterning of sodium ions and the control of electrons in sodium cobaltate. *Nature* **445**, 631 (2007)

Inelastic X-ray Scattering (IXS)



ID28 at the ESRF

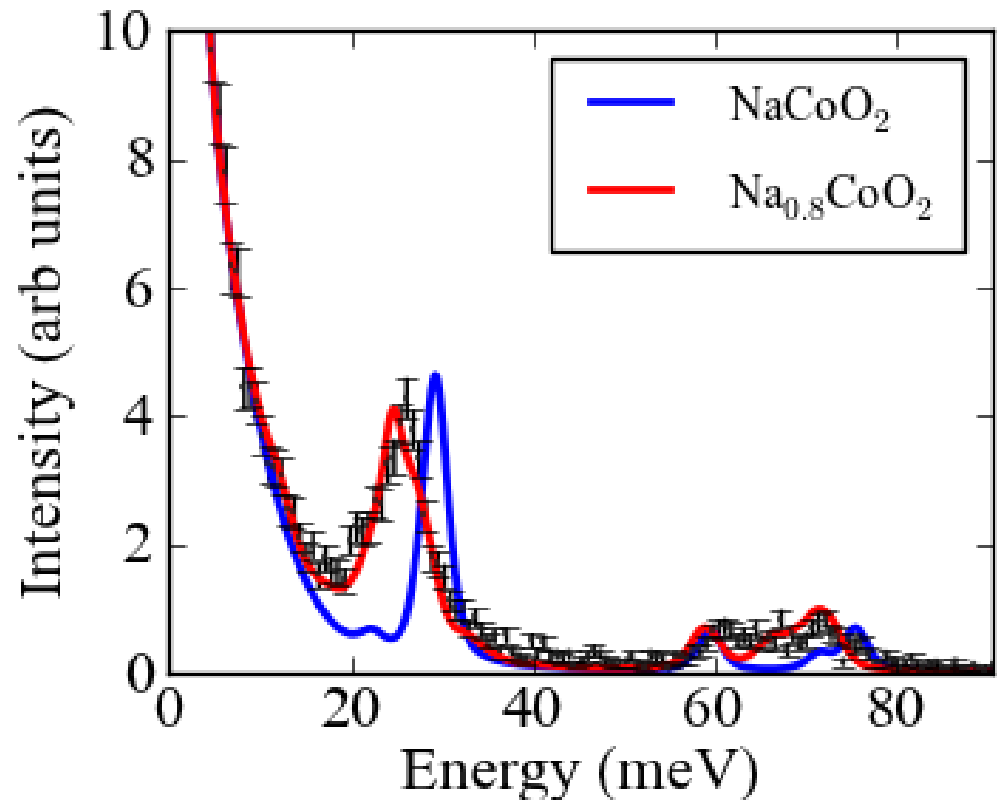
- Study sub-millimetre single crystals throughout Brillouin zone
- Energy resolution $\sim 1\text{meV}$

Inelastic X-ray Scattering (IXS)

ΓM

$Q = (1.17, 0, 0)$

Better for $\text{Na}_{0.8}\text{CoO}_2$



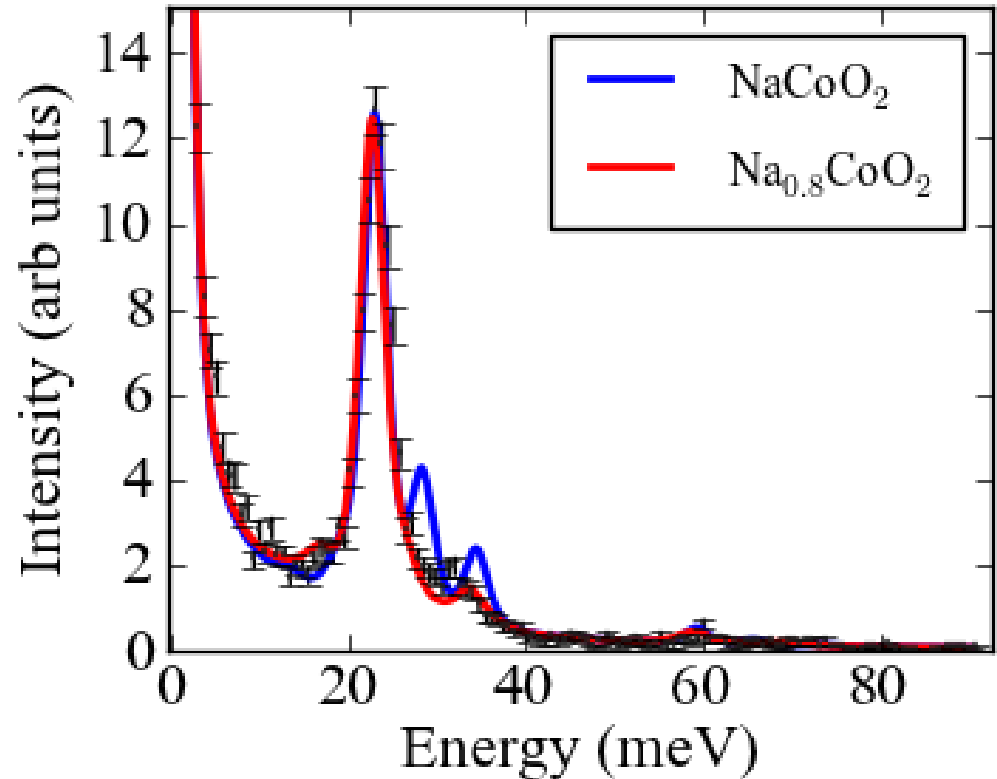
- Typical IXS data in the square phase at $T \sim 200$ K
- Sharp energy line shapes show that it is **not a phonon glass**

Inelastic X-ray Scattering (IXS)

ΓK

$Q = (1.1, 1.1, 1)$

Better for $\text{Na}_{0.8}\text{CoO}_2$



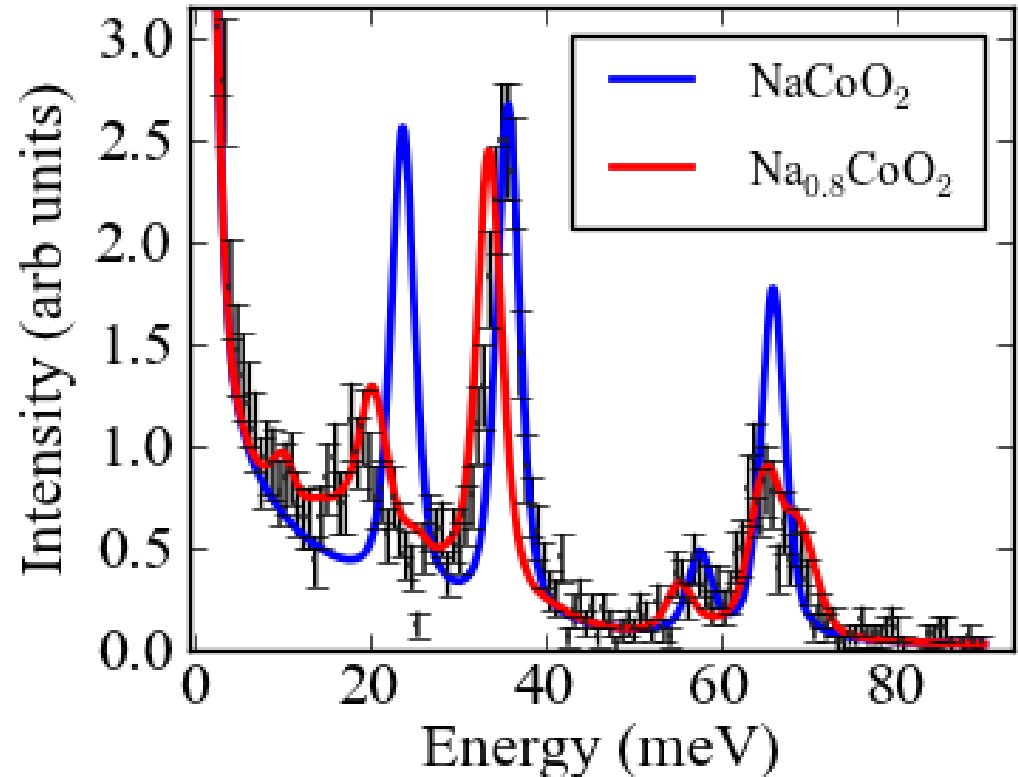
- Typical IXS data in the square phase at $T \sim 200$ K
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Inelastic X-ray Scattering (IXS)

M

$Q = (1.5, 1.5, 1)$

Lose some sharp peaks



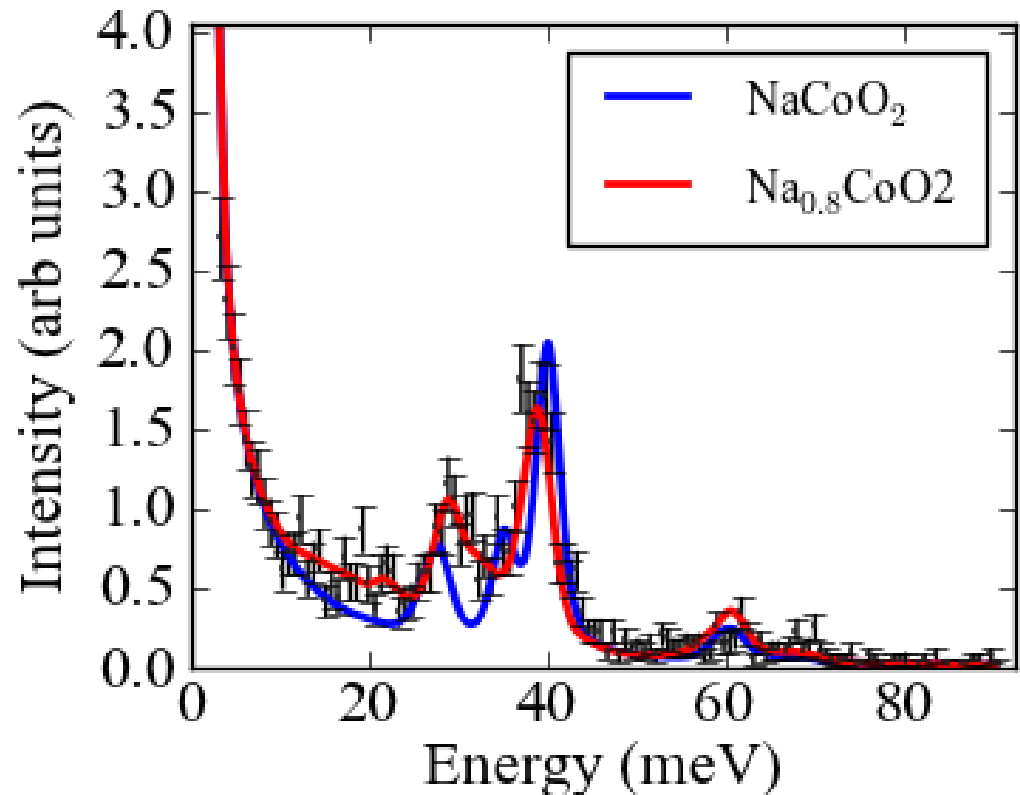
- Typical IXS data in the square phase at $T \sim 200$ K
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Inelastic X-ray Scattering (IXS)

Off axis

$Q = (1.175, 1.174, 1.084)$

Data from 9 detectors



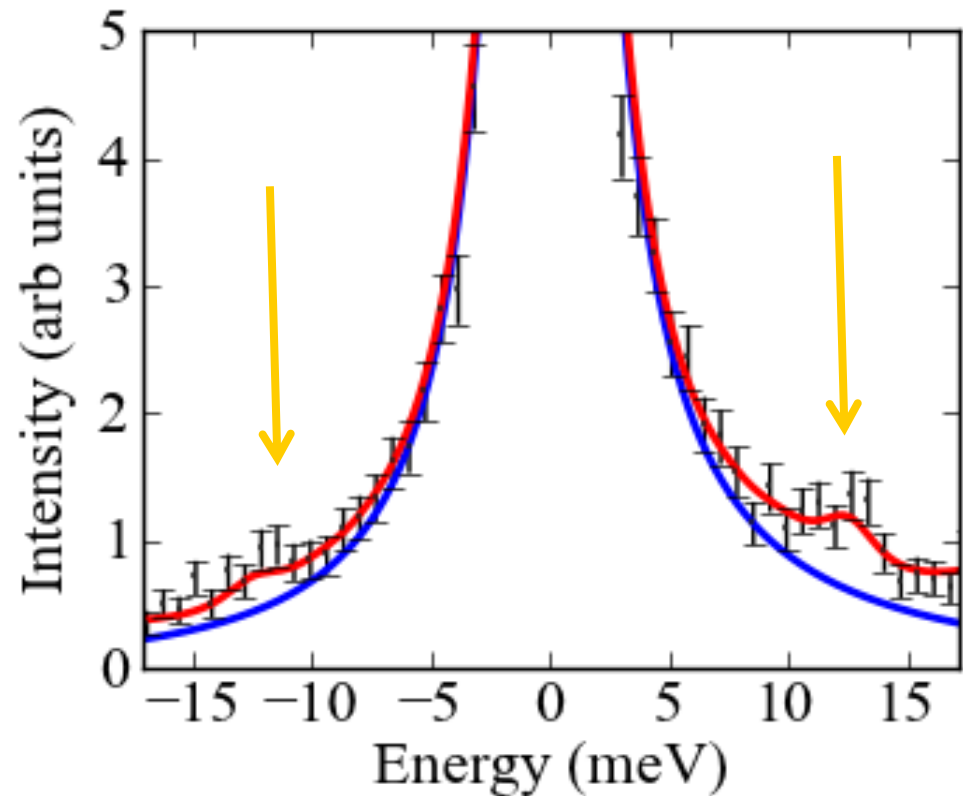
- Typical IXS data in the square phase at $T \sim 200$ K
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Inelastic X-ray Scattering (IXS)

Low energy transfer

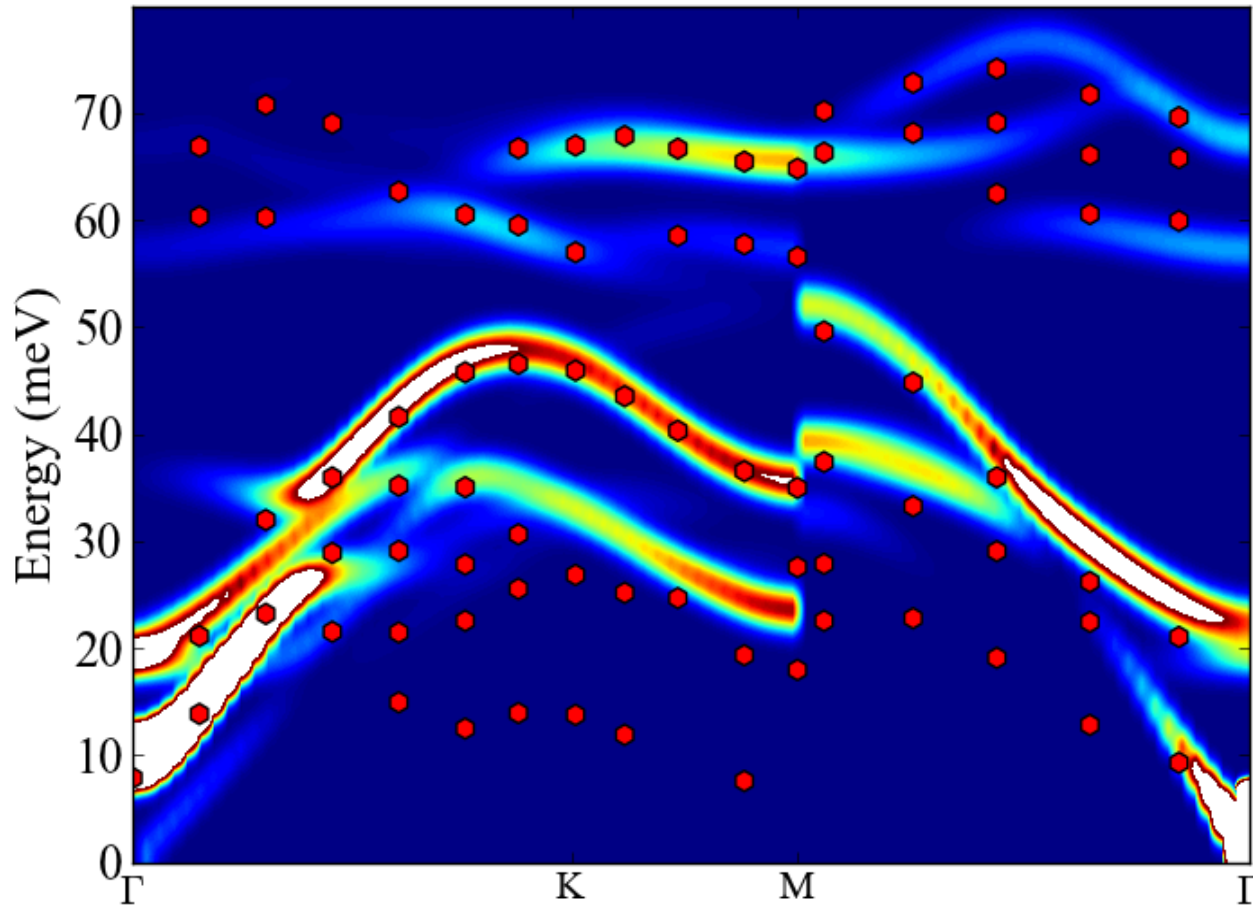
$$Q = (1.25, 1.25, 1)$$

Arrows indicate position
of **rattling mode**



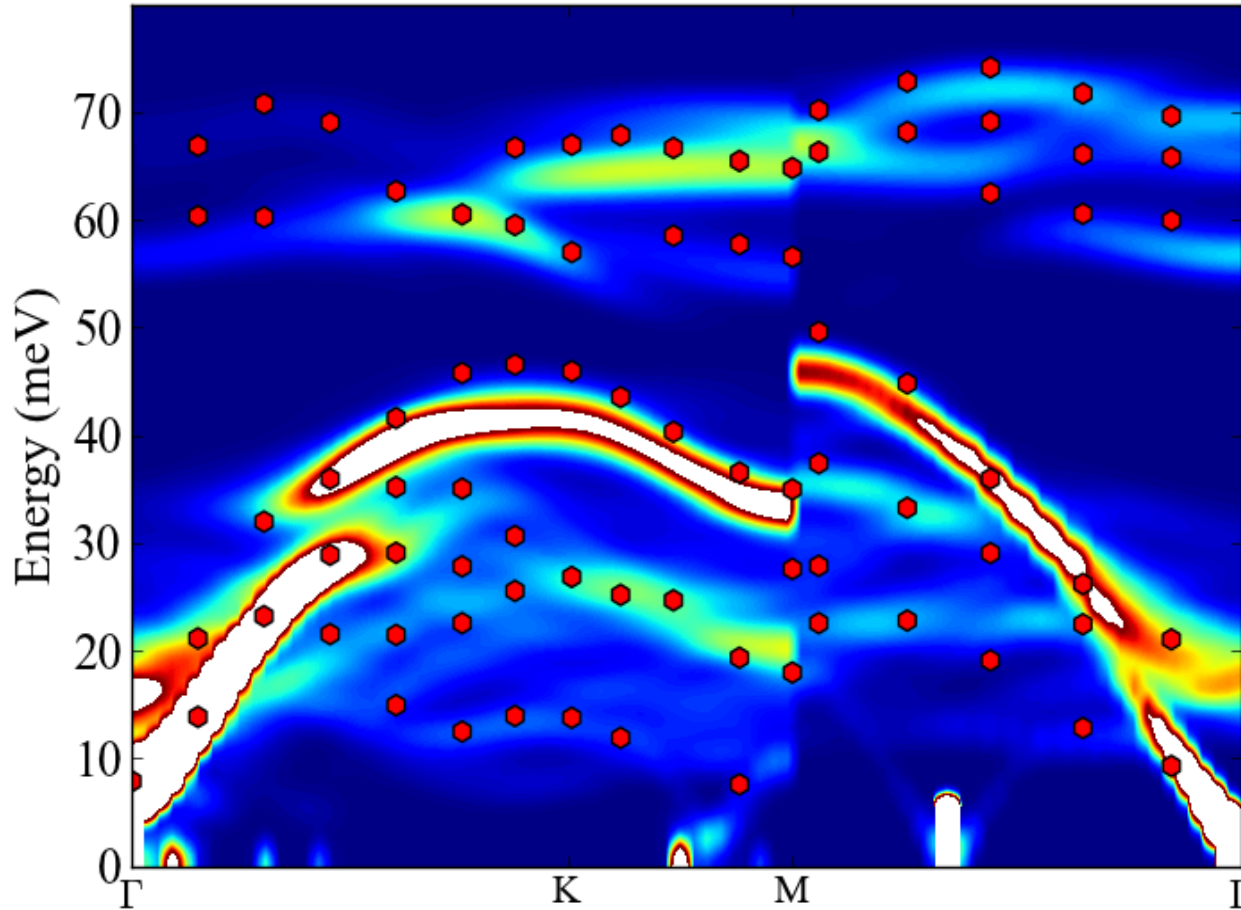
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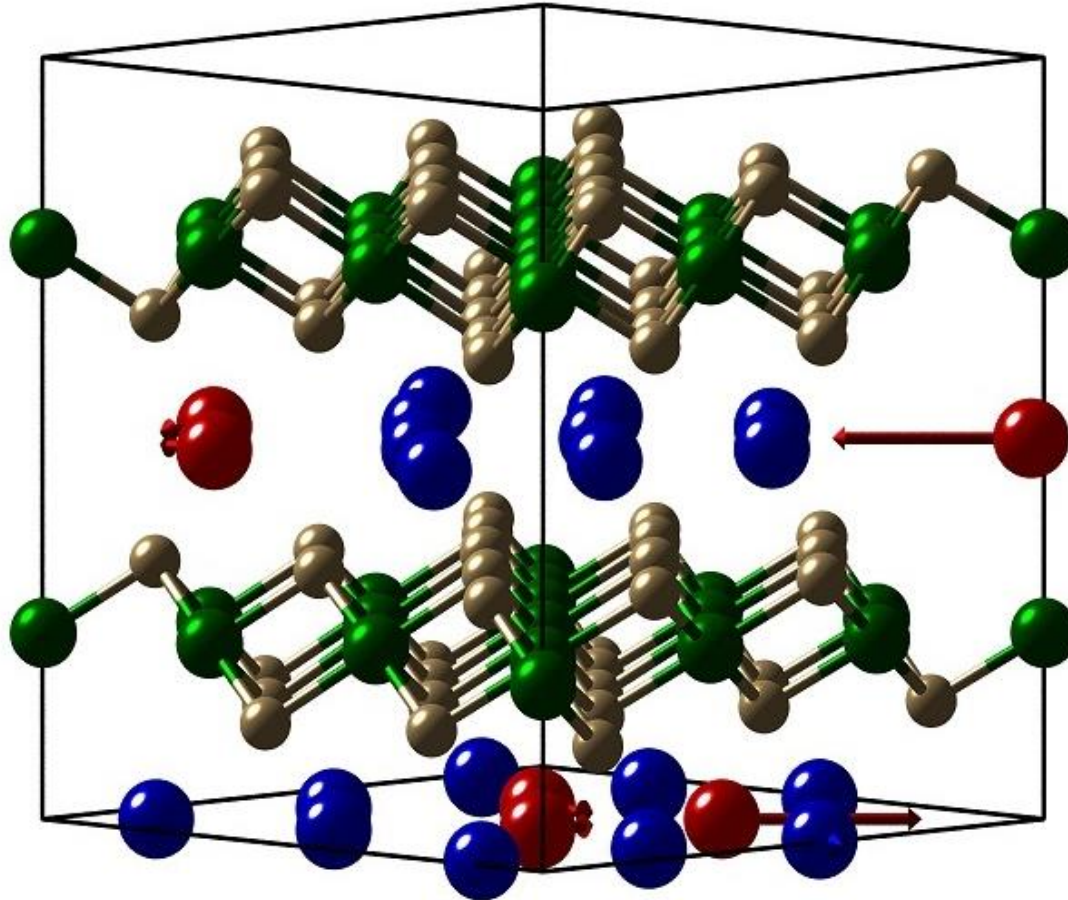
- Phonon dispersion determined by IXS
- CASTEP calculation for **NaCoO₂**

Inelastic X-ray Scattering (IXS)



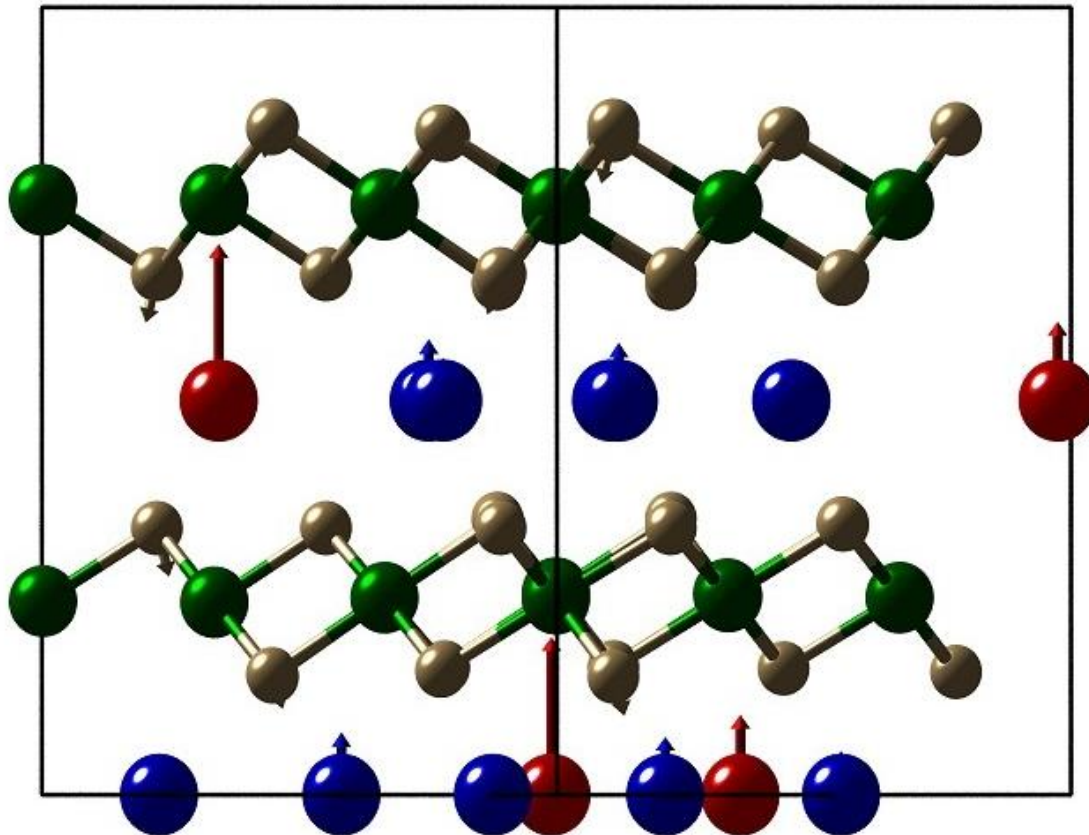
- Phonon dispersion determined by IXS
- CASTEP calculation for $\text{Na}_{0.8}\text{CoO}_2$

Phonon modes



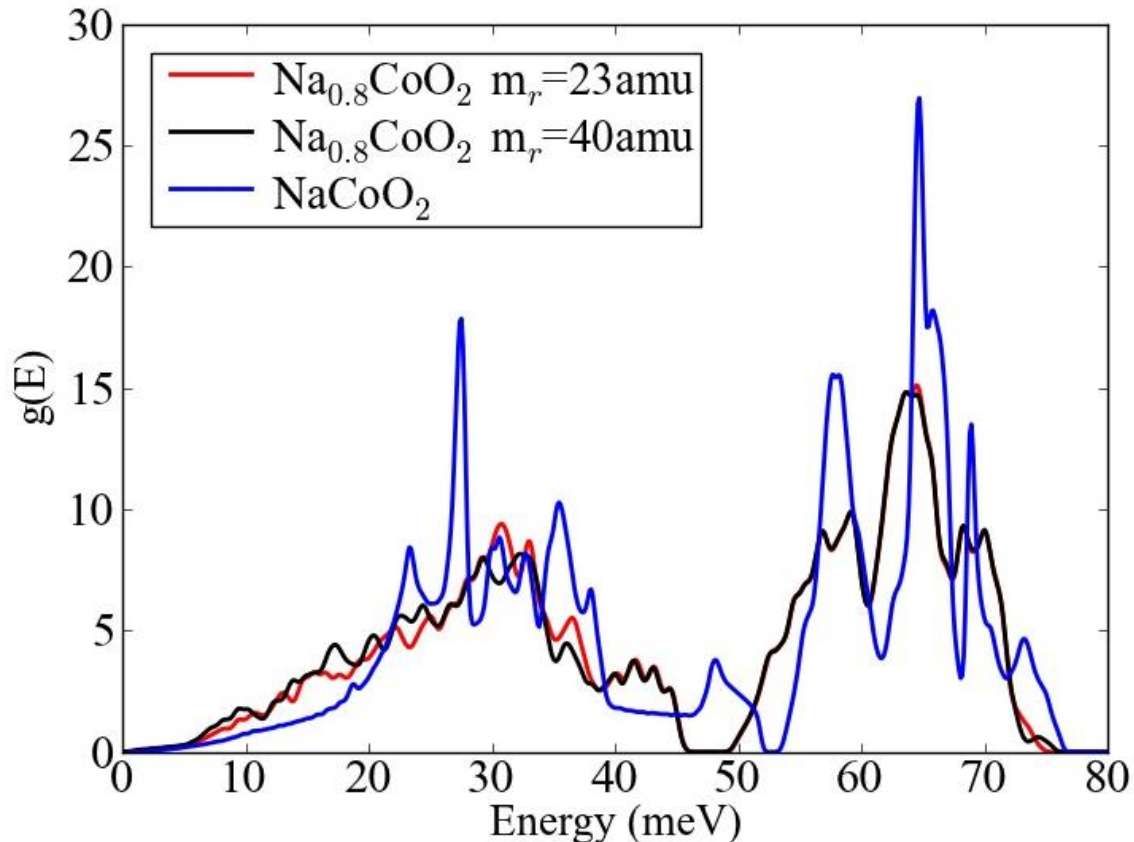
- **Rattling mode** observed using IXS
- Na $2b$ ions have large-amplitude vibrations

Phonon modes



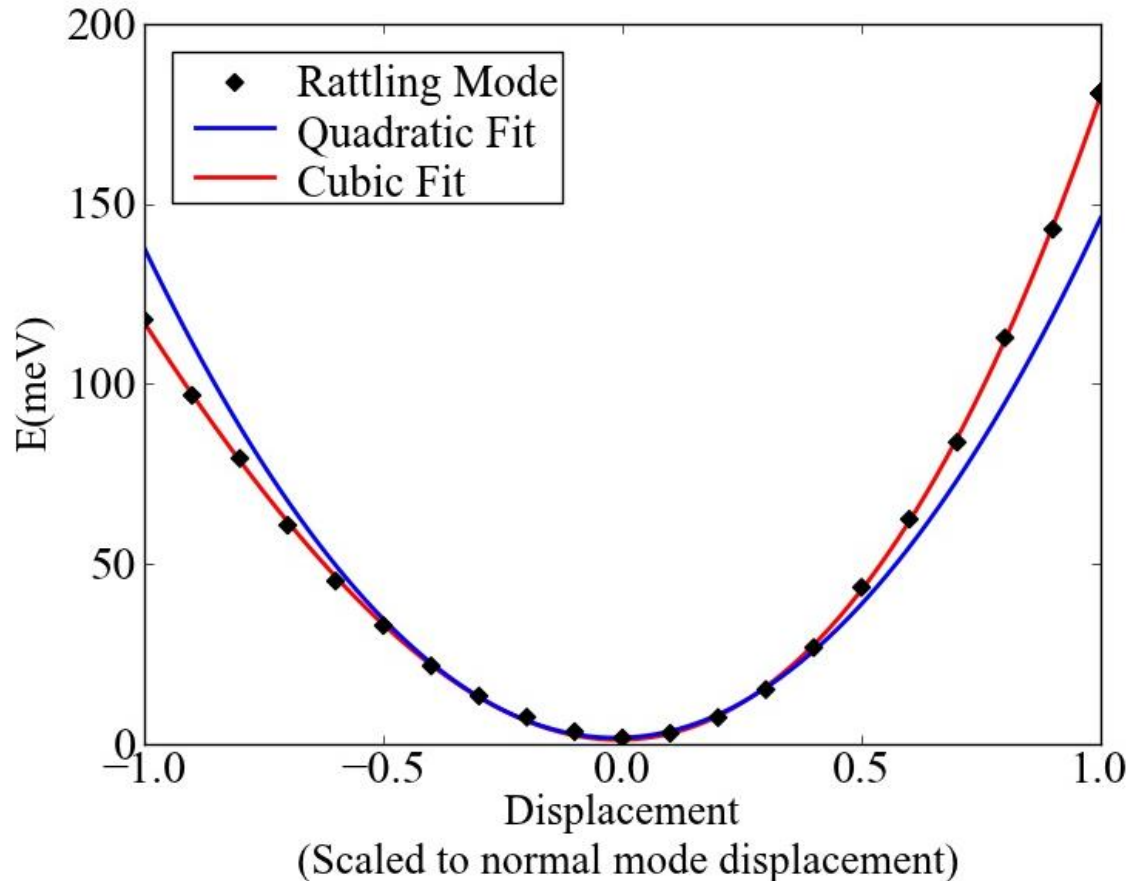
- Effect of rattlers on a typical **optical phonon**
- Na $2b$ ions have large vibrational amplitude

Phonon density of states



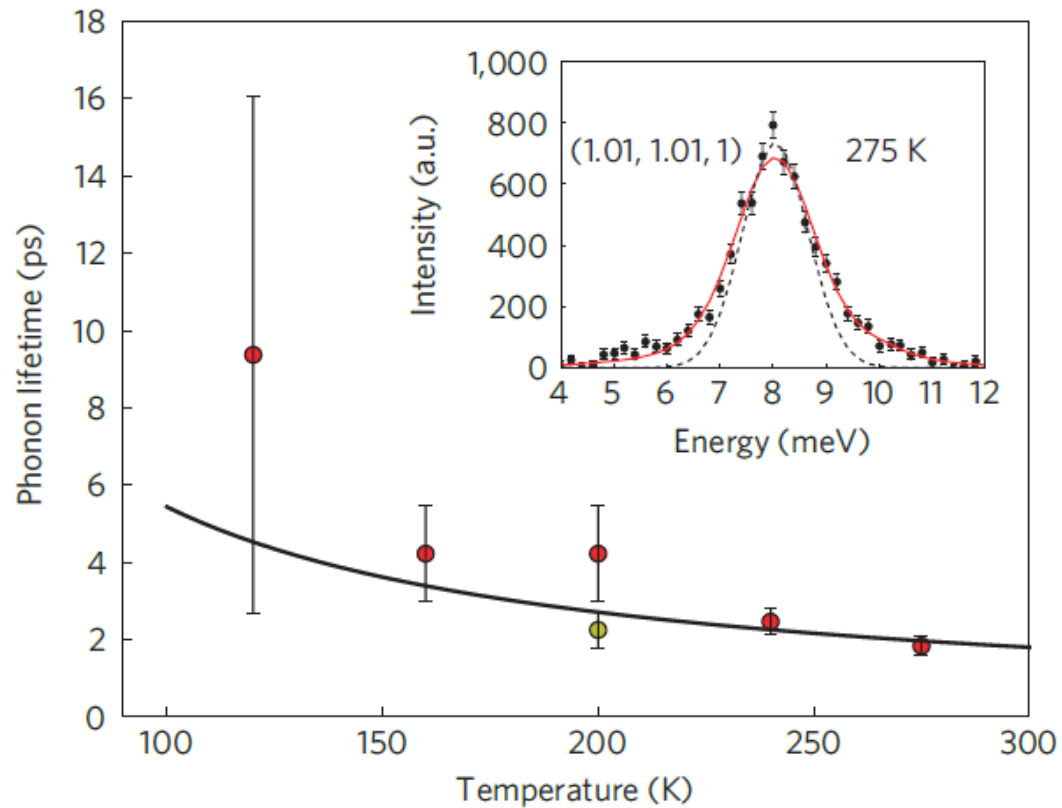
- Rattler only affects modes below $E \sim 40$ meV
- Transfer from sharp peaks to low energy

Anharmonicity



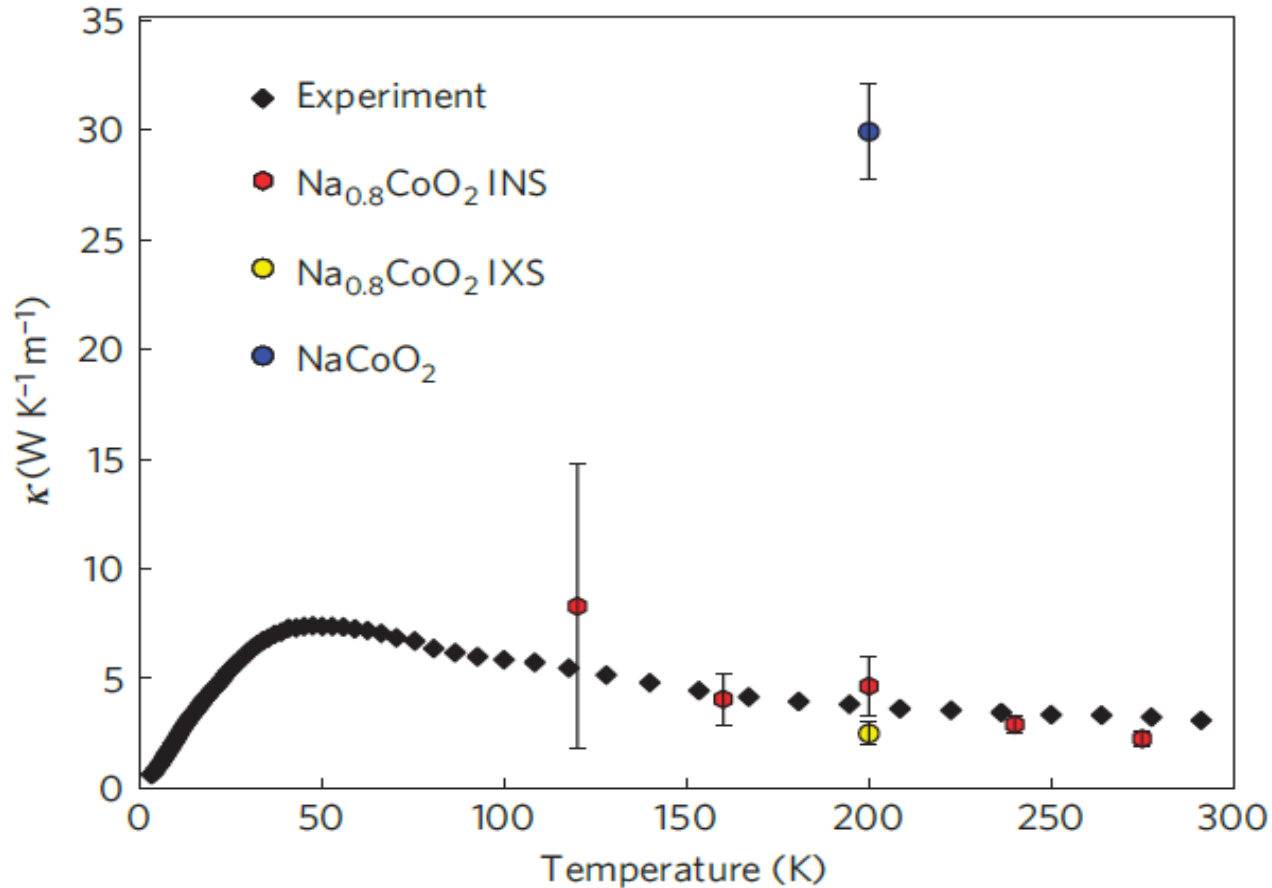
- **Frozen phonon** calculation for rattling mode
- Asymmetry indicates **anharmonicity**

Phonon lifetimes



- **Frozen phonon** calculation for rattling mode
- Asymmetry indicates **anharmonicity**

Thermal conductivity



Thermal conductivity given by

$$\kappa^L = \sum_{j, Q} v_{jx}^2(Q) \tau_j(Q, T) c_j(Q, T)$$

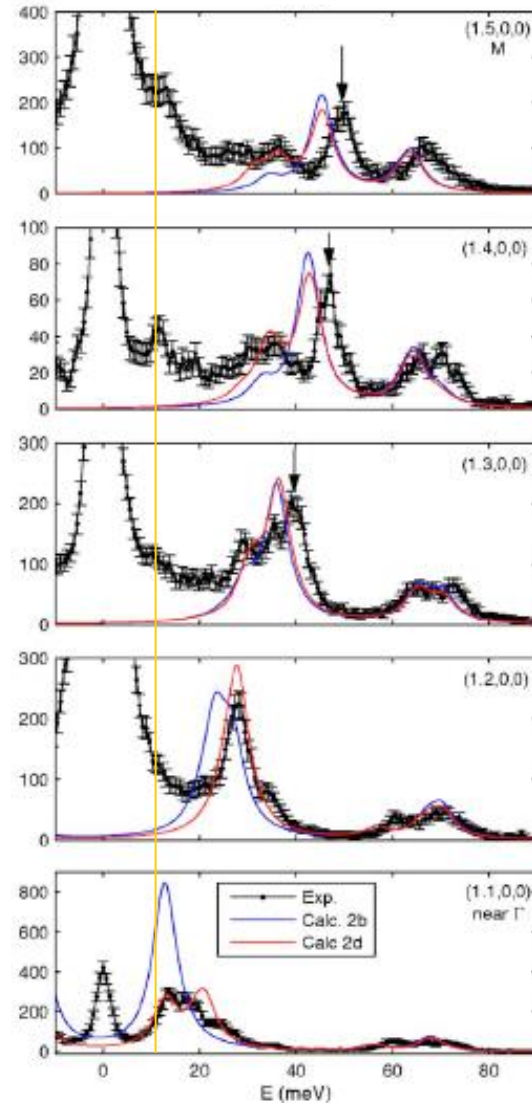
- Quantitative understanding of thermal conductivity

Composition dependence

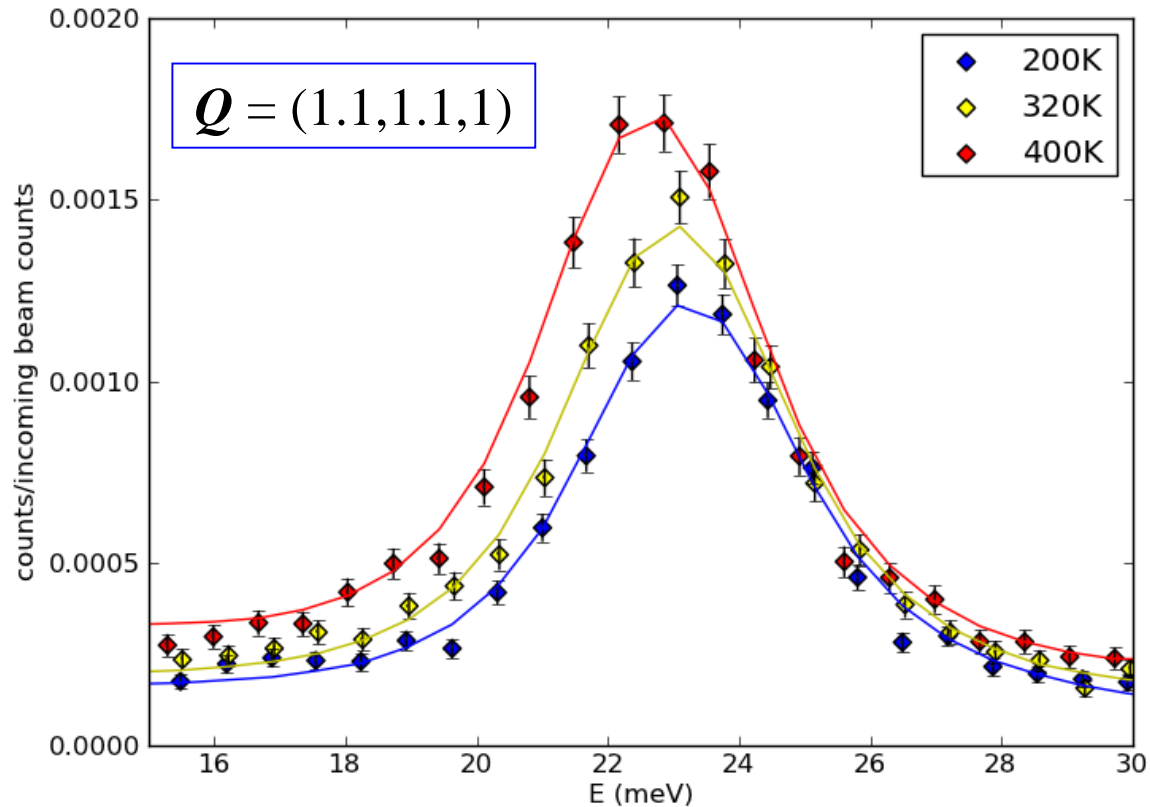
Previous IXS experiment

[Rueff *et al.*, *PRB* **74**, 020504 (2006)]

- $\text{Na}_{0.7}\text{CoO}_2$ crystal of unknown superstructure
- Rattling mode is golden line
- Rattling modes persist over wide composition range



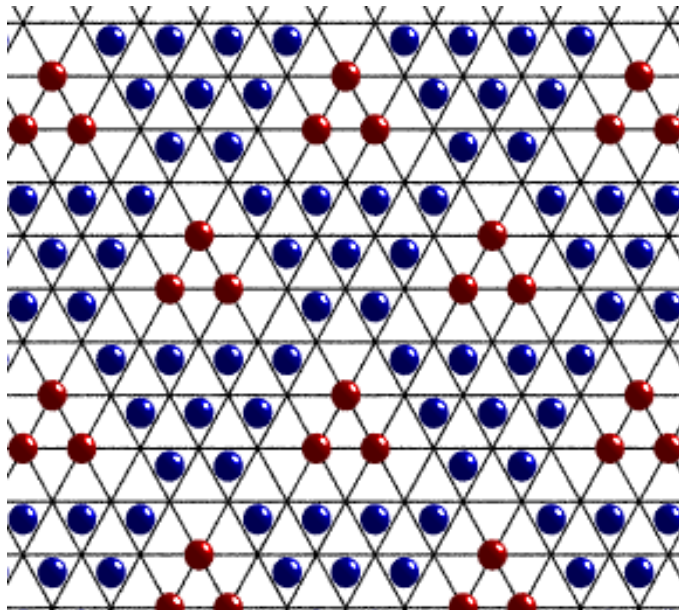
Temperature dependence



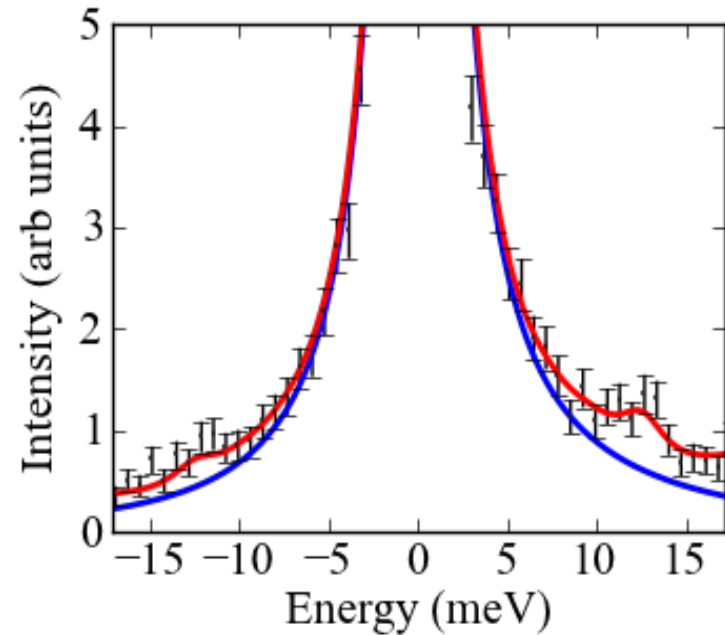
- Renormalisation to lower energy
- Broadening of energy line width

Temperature dependence

Square phase



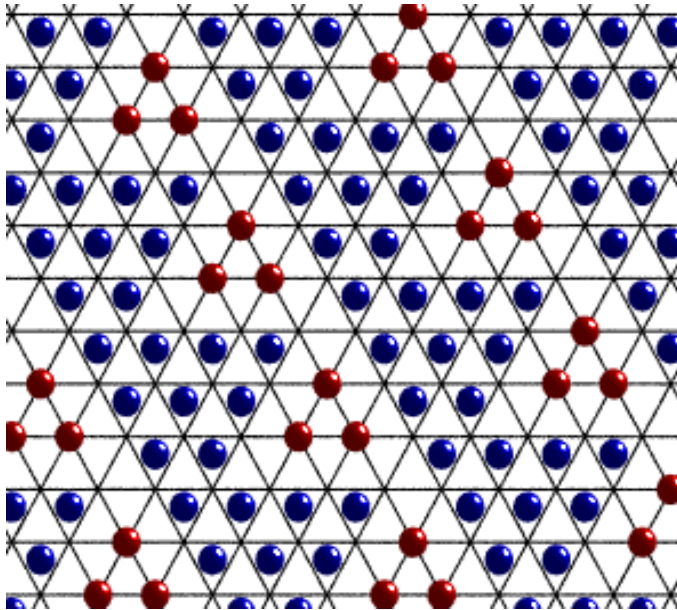
$T = 200$ K



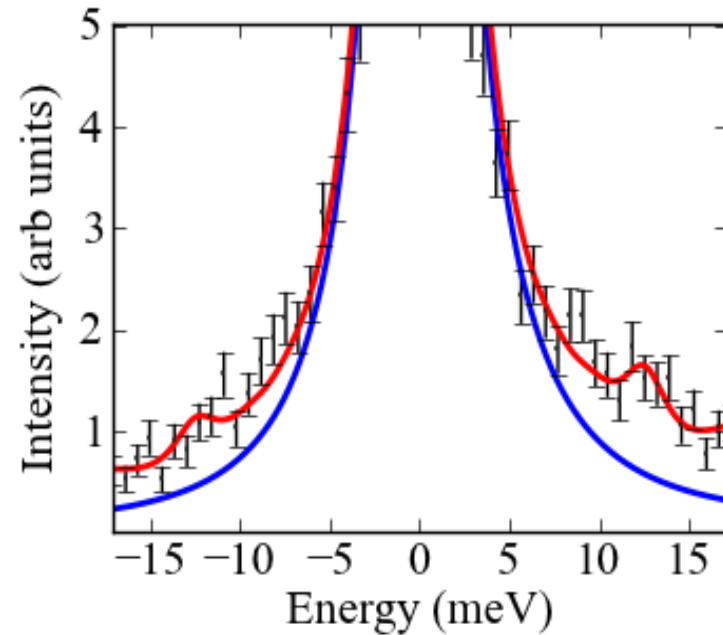
- Rattling mode persists to elevated temperatures
- Important for power recovery applications

Temperature dependence

Striped phase



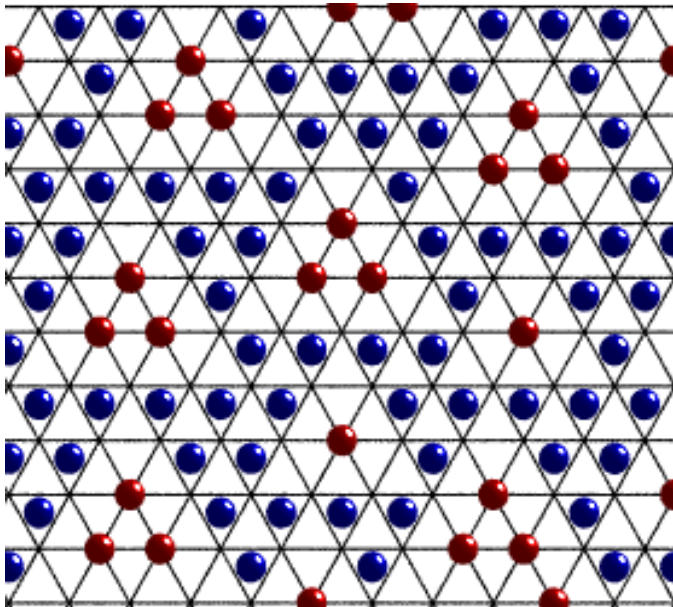
$T = 320$ K



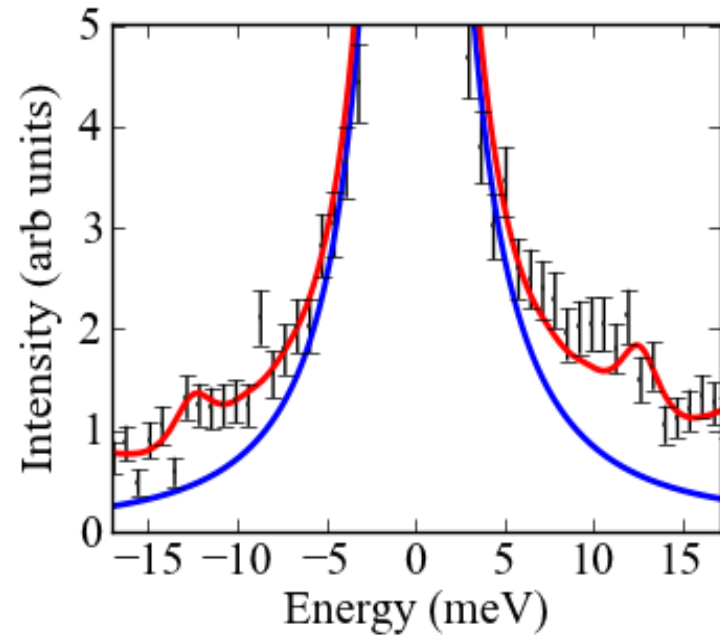
- Rattling mode persists to elevated temperatures
- Important for power recovery applications

Temperature dependence

Disordered phase



$T = 400$ K



- Rattling mode persists to elevated temperatures
- Important for power recovery applications

Conclusions

[D.J. Voneshen *et al.*, Suppression of thermal conductivity by rattling modes in thermoelectric sodium cobaltate. *Nature Mater.* **12**, 1028 (2013)]

- **We have directly observed an Einstein-like rattling mode**
- **Quantitatively account for observation of low thermal conductivity**
- Next stages:
 - Apply these techniques to other materials
 - Study anharmonicity in this way
- First principles calculation of thermal conductivity