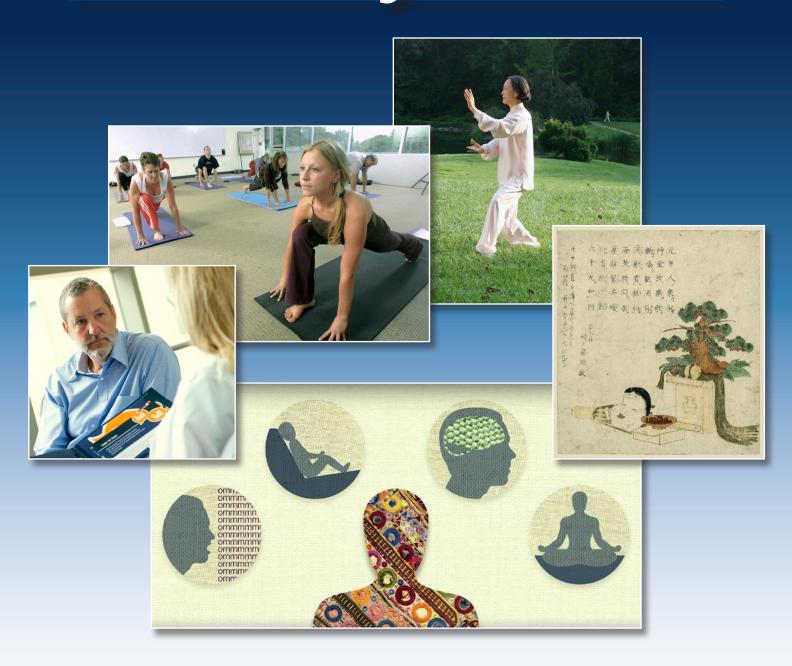
## RHODE ISLAND MEDICAL JOURNAL



SPECIAL SECTION

## INTEGRATIVE MEDICINE

GUEST EDITORS: ELIZABETH KO, MD; FRED J. SCHIFFMAN, MD

## Your records are secure.



## Until they're not.

Data theft can happen to anyone, anytime. A misplaced mobile device can compromise your personal or patient records. RIMS-IBC can get you the cyber liability insurance you need to protect yourself and your patients. Call us.

401-272-1050





## RHODE ISLAND MEDICAL JOURNAL





**15** Introduction to the Principles of Integrative Medicine (IM) **GUEST EDITORS** ELIZABETH KO, MD

FRED J. SCHIFFMAN, MD



G. Chow, MD

K. Liou, MD



**16** Making Whole: Applying the Principles of Integrative Medicine to Medical Education GRACE CHOW, MD KEVIN T. LIOU, MD ROBERT C. HEFFRON, MD



Clockwise from top

A woman practices Tai Chi outside. Credit: Mariann Seriff, Graves Fowler Creative; Courtesy: National Center for Complementary and Integrative Health.

Circa 1820 print by Japanese artist Eisen Ikeda shows the mask of a mythological figure, a dish of incense, a bonsai tree, and other articles. Credit: Library of Congress

Meditation origins and traditions video. Courtesy: National Center for Complementary and Integrative Health. https://nccih.nih.gov/ news/multimedia/video/ meditation-origins-traditions

A doctor and a patient sit to review and discuss a folder of Time to Talk campaign materials. Credit: Matthew Lester, Courtesy: National Center for Complementary and Integrative Health.

Yoga instructor demonstrates a lunge pose. Credit: Bob Stockfield, Courtesy: National Center for Complementary and Integrative Health.





A review of published research and implications for healthcare providers LISA A. UEBELACKER, PhD MONICA K. BROUGHTON, BA

20 Yoga for Depression and Anxiety:



M. Broughton, BA

A. Crawford, MD



K. Aspry, MD

23 Teaching Doctors-in-Training About Nutrition: Where Are We Going in 2016? ALLISON L. CRAWFORD, MD KAREN E. ASPRY, MD, MS



E. Toll, MD



J. Orabone, MD

**26** On Patients, Bonsai, and Orangutans: The Value of Mindfulness in the Practice of Medicine ELIZABETH TOLL. MD JOSHUA ORABONE, MD, MPH

# RHODE ISLAND MEDICAL JOURNAL



#### **8 COMMENTARY**

Checklists: You documented it, but did you do it?

JOSEPH H. FRIEDMAN, MD

#### 11 POINT OF VIEW

American College of Emergency Physicians takes issue with report from new HealthFacts RI database

#### 13 RIMJ AROUND THE WORLD

Agra, India Washington, DC

#### 47 RIMS NEWS

Working for You Why You Should Join RIMS

#### **64 HERITAGE**

1916: RI doctors mobilized on Mexican border in hunt for Pancho Villa MARY KORR









#### IN THE NEWS



creates outpatient palliative care site at W&I's Program In Women's Oncology

PAUL DISILVESTRO, MD 52

helps uncover more inherited genetic mutations linked to ovarian cancer

PROVIDENCE VA 54

one of 7 centers to offer new Hospital-in-Home program

**54** CHARTERCARE

opens outpatient Integrated Behavioral Health Center

**56** W&I RESEARCHERS

publish on connection between anal cancer, HPV

56 JUSTIN M. NASH, PhD

awarded funding for integrated behavioral health program at Memorial



#### PEOPLE/PLACES

VICTOR A. PINKES, MD 59

appointed Chief of Emergency Medicine at Memorial

AFREEN SIDDIQUI, MD 59 honored by RISA 59 OBITUARY

Dr. William Michael Connell

**61** ROGER WILLIAMS CANCER CENTER

receives Outstanding Achievement Award from American College of Surgeons' Commission on Cancer



#### MARCH 2016 VOLUME 99 • NUMBER 3

#### PUBLISHER

RHODE ISLAND MEDICAL SOCIETY

#### DECIDENT

RUSSELL A. SETTIPANE, MD

#### PRESIDENT-ELECT

SARAH J. FESSLER, MD

#### VICE PRESIDENT

BRADLEY J. COLLINS, MD

#### SECRETAR

CHRISTINE BROUSSEEAU, MD

#### TREASURER

JOSE R. POLANCO, MD

#### IMMEDIATE PAST PRESIDENT

PETER KARCZMAR, MD

#### EXECUTIVE DIRECTOR

NEWELL E. WARDE, PhD

#### EDITOR-IN-CHIEF

JOSEPH H. FRIEDMAN, MD

#### ASSOCIATE EDITOR

SUN HO AHN, MD

#### **PUBLICATION STAFF**

MANAGING EDITOR

MARY KORR

mkorr@rimed.org

GRAPHIC DESIGNER
MARIANNE MIGLIORI

#### ADVERTISING

STEVEN DETOY

SARAH STEVENS

ads@rimed.org

#### EDITORIAL BOARD

JOHN J. CRONAN, MD JAMES P. CROWLEY, MD EDWARD R. FELLER, MD JOHN P. FULTON, PhD

PETER A. HOLLMANN, MD

KENNETH S. KORR, MD MARGUERITE A. NEILL, MD

FRANK J. SCHABERG, JR., MD

LAWRENCE W. VERNAGLIA, JD, MPH

NEWELL E. WARDE, PhD

RHODE ISLAND MEDICAL JOURNAL (USPS 464-820), a monthly publication, is owned and published by the Rhode Island Medical Society, 405 Promenade Street, Suite A, Providence RI 02908, 401-331-3207. All rights reserved. ISSN 2327-2228. Published articles represent opinions of the authors and do not necessarily reflect the official policy of the Rhode Island Medical Society, unless clearly specified. Advertisements do not imply sponsorship or endorsement by the Rhode Island Medical Society.

Advertisers contact: Sarah Stevens, RI Medical Society, 401-331-3207, fax 401-751-8050, ads@rimed.org.



#### **CONTRIBUTIONS**

29 Child Passenger Safety Training for Pediatric Interns: Does it Work?

DINA MORRISSEY, MD, MPH, FAAP, CPSTI

ALISON RIESE, MD, MPH

PINA VIOLANO, PhD, MSPH, RN-BC, CCRN, CPS-T

GARRY LAPIDUS, PA-C, MPH

JANETTE BAIRD, PhD

MICHAEL J. MELLO, MD, MPH, FACEP

33 Concordance between Activated Partial Thromboplastin Time and Antifactor Xa Assay for Monitoring Unfractionated Heparin in Hospitalized Hyperbilirubinemic Patients

LEANA MAHMOUD, PharmD
ANDREW R. ZULLO, PharmD, ScM
DONALD MCKAIG, RPh
CHRISTINE M. BERARD-COLLINS, RPh, MBA

#### **CASE REPORT**

38 An Atypical Presentation of a Small Bowel Obstruction in a Young Woman with a Congenital Omental Defect XIAO C. ZHANG, MD, MS THOMAS HARONIAN, MD

#### **PUBLIC HEALTH**

**41** HEALTH BY NUMBERS

The Rhode Island Special Needs Emergency Registry – An Opportunity for Expanding the Healthcare Provider's Role in Health Equity

JAMES C. RAJOTTE, MS
AKSHAR PATEL, MPH
JAMES COYNE, MS
BRITTAN BATES-MANNI, MS

**45** Vital Statistics

ROSEANN GIORGIANNI, DEPUTY STATE REGISTRAR

With the right tools, you can do more than insure against risk.





## You can avoid it.



To learn how Coverys uses business intelligence to improve clinical, operational & financial outcomes, call (844) 894-0686 or visit ThinkCoverys.com today.



### Checklists: You documented it, but did you do it?

JOSEPH H. FRIEDMAN, MD joseph\_friedman@brown.edu

CHECKLISTS ARE HERE to stay. There are convincing data which show that mandatory checklists prevent incorrect surgeries, incorrect medication administrations, testing the wrong patient, and thereby decrease the likelihood of bad medical outcomes. As Dr. Atul Gawande has per-

suasively written, checklists developed in industry but have been extremely helpful in medicine as well.

To be honest, I abhor checklists in medical practice and count myself fortunate to have not been required to use them, so far, I was never much on SOAP (Subjective, Objective, Assessment and Plan) either. I have seen the effects of checklists in many medical electronic medical records. There is a checklist developed by the American Academy of Neurology to guarantee better care of people with Parkinson's disease. It is a good list. I believe it will improve care, if carried out as intended, but cannot foresee myself, a specialist in Parkinson's disease (PD), actually using it. Have I recommended physical therapy within the past year? Have I asked about hallucinations if the patient is on medications? Have I inquired about sleep? I think there are 10 questions, and each is intended to be asked at least once yearly. I think I cover all of them more frequently than that, but it would be



challenging to keep track of which ones I asked and which ones I skipped at each visit. But there is a problem with checklists. They encourage laziness. A quick click and a paragraph of printed material suddenly appears. I recall a neurosurgeon who generated three-page single-spaced notes on each

office follow- up visit. This allowed him to bill at the highest possible level since every visit included a detailed note on the number of bowel movements per day, sleep habits, appetite and a zillion other aspects of life that had nothing to do with neurosurgery. In fact, I often could not discern what had taken place at the office visit. Why did he go? What happened? Was the patient better or not?

I recently was an expert witness in a malpractice case and immediately noted that each exam was exactly the same as the previous one. Like the common, "WNL" abbreviation in medical charts for "within normal limits," but frequently indicative of "we never looked," electronic medical records often contain the identical, carried-forward description of the medical examination and, oftentimes, the medical history. This often-used technique carries with it the high probability of undermining the intent of the EMR. Instead of documenting the exam, I find that it "undocuments" it. If every exam

is the same (normal), was the exam actually performed? On the one hand, when the doctor actually mentioned the patient's mental status, the only time in twenty or so notes, I thought that the description of memory and cognition must be true, as this was the only time it was mentioned. But then I got to worry that perhaps this was the only time he clicked the box for "normal mental status," possibly meaning that it was normal, or possibly meaning that the patient was not too obviously impaired.

I recently reviewed a different neurosurgeon's note on a patient I saw in my office. He had been operated on for normal pressure hydrocephalus. This is a gait disorder often associated with cognitive and bladder problems. It always involves a gait disorder, however, and it cannot be diagnosed in its absence, yet the neurosurgeon's note from the last visit prior to surgery reported, "gait normal." In fact, all the notes of this surgeon on this patient noted that the gait was normal, and all the neurological exams were identical. How could the patient improve? Was the patient actually examined?

When I was a neurology resident we were required to record all our initial evaluations on a printed form several pages long; the first page was for the history, the inner pages for the physical and neurological exam and the last for assessment and plan. The neurological exam had boxes either for written information, describing orientation, language

function, memory, clock drawing, praxis and other mental status details, or tremors and other movements, as well as small boxes for entering the deep tendon reflex scores, whether various eponymal reflexes were present or not, images for dermatomal sensory loss, and boxes for entering the description of the gait. It was excellent training for me, and there were many nights when, while filling out a form, I realized I had to go back and assess something I had forgotten. After all, anyone reading my note would see an empty box and know that I had been incomplete, although, in truth, items could be left out if irrelevant. I suspect, although without any evidence to support it, that most of us think differently when clicking a box on a computer screen than when writing a number on a piece of paper, and certainly differently than when putting an observation into writing. I suspect, especially when there are a lot of boxes to click, that one tends to think, "I really don't have to ask about that. He would have told me if it was a problem. And I'm running late." Or something similar. I think a few boxes in an EMR probably work well. Did you document the presence or absence of falls? Did the patient see their PCP in the last six months? Has weight been stable? The problems develop when the number of boxes gets too large. One way of dealing with that is having the patient fill out an extensive checklist. I've seen those, too. I wonder if anyone actually reviews it. Excellence is often the enemy of the good.

We all have been drilled with the legal advice, "If you didn't chart it, it didn't happen." Unfortunately we now have the opposite problem. I wonder, "if it was charted, did it really happen?" \*

#### Author

Joseph H. Friedman, MD, is Editor-in-chief of the Rhode Island Medical Journal, Professor and the Chief of the Division of Movement Disorders, Department of Neurology at the Alpert Medical School of Brown University, chief of Butler Hospital's Movement Disorders Program and first recipient of the Stanley Aronson Chair in Neurodegenerative Disorders.

#### Disclosures on website



### **COMMITTED TO RHODE ISLAND**

Trusted Guide, Guard and Advocate to Rhode Island Physicians for Over 20 Years

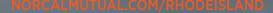
NORCAL Mutual has a legacy of serving Rhode Island physicians and health care professionals, and our commitment to you remains steadfast. Rhode Island is important to us and we're here to stay.

As one of the nation's leading providers of medical professional liability insurance, NORCAL Mutual combines the benefits of local resources with the financial strength and stability of a national carrier:

- A dedicated Rhode Island office since 1995
- Peace of mind from being insured by a company with an A.M. Best "A" (Excellent) rating for 32 consecutive years
- A new, enhanced Health Care Professional policy with increased limits for administrative defense and cyber liability

• Industry-leading risk prevention and claims handling services with 24/7 urgent live phone support

To learn more about NORCAL Mutual, call us at 401.824.7550.



[Editor's Note: The Rhode Island Medical Society received a response from the American College of Emergency Physicians regarding a report issued by the Rhode Island Executive Office of Health and Human Services (EOHHS) recently.]

#### **Background**

On February 10, the HealthFacts RI database, a new all-payer healthcare claims database, was launched. EOHHS released a report from the database which found that nearly 60 percent of all visits to Rhode Island emergency rooms in 2014 were potentially preventable.

The top reasons for emergency room visits varied by payer type, according to the report. Alcohol abuse, teeth disorders and upper respiratory infections were especially prevalent among the Medicaid population. Chest pain, dizziness and urinary tract infections were particular to the Medicare population. Neck sprains, headache and chest pain were among the top reasons for privately insured patients.

The database is a partnership led by EOHHS, with support from HealthSource RI, the Office of the Health Insurance Commissioner and the Rhode Island Department of Health. It includes claims information from all major insurers in the state.

#### Link to report

http://www.health.ri.gov/data/potentiallypreventableemergencyroomvisits/

#### American College of Emergency Physicians takes issue with report from new HealthFacts RI database

WASHINGTON — The American College of Emergency Physicians (ACEP) and its Rhode Island Chapter today jointly took issue with a new report by the state's Executive Office of Health and Human Services (EOHHS) HealthFacts RI database, about "potentially preventable" emergency visits, calling it irresponsible and saying it could put patients at risk.

The report assesses whether emergency visits could have been avoided, based on the patients' final diagnoses, not their presenting symptoms. This analysis does not take into consideration the national "prudent layperson" standard, which says emergency visits must be covered by insurance companies based on the patients' symptoms, not their final diagnoses. This standard was included in the Affordable Care Act (ACA).

"It is very alarming that a report like this is being issued that directly undermines language in the ACA and patients' responsible use of the emergency department," said JAY KAPLAN, MD, FACEP, president of ACEP. "Patients never should be forced into the position of self-diagnosing their medical conditions out of fear of insurance not covering the visit. This applies 20/20 hindsight to possibly life-threatening conditions - such as chest pain - and it violates the national prudent layperson standard designed to protect patients' health plan coverage of emergency care."

Dr. Kaplan adds that a report like this could lay down precedent barring emergency patients from receiving care.

The data in the EOHHS study does not correlate with the latest national data on emergency visits from the Centers for Disease Control and Prevention, which found 96 percent of emergency patients needed medical care within 2 hours in 2011.

"A report like this only serves to potentially scare patients away from the emergency department when they may need it most," said CHRISTOPHER P. ZABBO, DO, FACEP, president of ACEP's Rhode Island Chapter. "Both harmless and deadly conditions often have the same presentations. Asking patients to make that determination while at home, anxious, and with inadequate information, is a recipe for disaster."

A key finding of the RI report cites "chest pain" as representing the "greatest opportunity for savings."

Dr. Zabbo adds that this is a very dangerous message for the state of Rhode Island to send to its citizens. Patients with chest pain should get immediate medical attention to determine whether or not they are having a heart attack. If the doctor discovers it was muscle pain, upset stomach or anxiety/panic attack, it was still right for that patient to seek emergency medical care, and his or her insurance should absolutely cover the visit.

According to a study published in the Journal of the American Medical Association in 2013, researchers found that discharge diagnoses do not identify "non-emergency" ER visits. The small number of emergency patients who are ultimately discharged with "primary care treatable" diagnoses come to the ER with the same symptoms as other patients who need immediate or emergency care, hospital admission or surgery. .





## Saving money and enhancing your coverage is worth a phone call to Butler & Messier

We have partnered with the **Rhode Island Medical Society** to offer an exclusive *Concierge Program* with the best rates designed specifically for medical professionals.

Don't be the 20% who could have saved on their insurance. Call Bruce Messier at 401.728.3200 or email him at bmessier@butlerandmessier.com.



## We are read everywhere



**AGRA, INDIA** 

Andreas Nicholas, filmmaker/partner at Anderlmage, LLC, pauses to view the February issue after visiting the iconic Taj Mahal, the 17th century white marble mausoleum built by Mughal Emperor Shah Jahan's for his favorite wife. Andreas and his team are in India filming a video for an anti human-trafficking organization called Nomi Network.

### We are read everywhere



#### **WASHINGTON, DC**

Sarah Fessler, MD, RIMS President-Elect, accessed the February issue on her tablet at Reagan National Airport. What better way to pass the time while waiting for a delayed flight?

Dr. Fessler was in Washington, DC, with Steve DeToy, RIMS Director of Government and Public Affairs, to attend the AMA National Advocacy Conference and meet with the members of Rhode Island's Congressional delegation.

For details about this and the other ways RIMS staff and leadership were working for you in February, see page 47.

Wherever your travels take you, be sure to check the latest edition of RIMJ on your mobile device and send us a photo: mkorr@rimed.org.

### **Introduction to the Principles of Integrative Medicine (IM)**

**GUEST EDITORS** ELIZABETH KO, MD FRED J. SCHIFFMAN, MD

While there are many definitions of health, the definition by the World Health Organization in 1948 is widely endorsed:

"...a state of complete physical, mental and social well-being, and not merely the absence of disease."

Although medical advances have saved and improved the lives of millions, medicine has primarily focused on addressing the immediate events of disease, with less emphasis on the underlying factors that contribute to illness. The widespread use of this definition supports how the perception of health is gradually shifting from health as "absence-of-disease" to a much more all-encompassing idea of prevention and wellness.

The interest in lower cost, effective, holistic, evidencebased approaches to prevention and treatment of disease is growing. In 2007, nearly two of five Americans reported use of therapies such as massage, yoga, meditation and supplements.1 Such therapies accounted for \$34 billion in out-ofpocket expenditures.2 This interest is growing along with, and fueled by, the growth in knowledge about the relationship between health and the more intangible elements of the healing process. Some of these practices are based on experiences of cultures over time, some based on evolving scientific theories, some based on little more than speculation. Regardless, each compels an inquiry of what is lacking in the conventional healthcare system that prompts so many to turn elsewhere for healing. The challenge remains to determine which models and approaches to healthcare, conventional or alternative, might best integrate the science and achieve the outcomes that patients and providers desire.

The Arizona Center for Integrative Medicine, established by Andrew Weil, MD, in 1994, defines integrative medicine as healing-oriented medicine that takes account of the whole person, including all aspects of lifestyle.<sup>3</sup> Patients and practitioner are partners in the healing process, which uses both conventional and alternative methods to facilitate the body's innate healing response. All factors that influence health, wellness and disease are taken into consideration, including mind, spirit and community. Good medicine is based on good science, which is inquiry-driven and open to new paradigms. The most effective, least invasive interventions should be used whenever possible.

The Institute of Medicine (IOM), in their report on Integrative Medicine and the Health of the Public Summit, identified care coordination as a major and growing need for those with and without chronic disease. Five chronic conditions - diabetes, heart disease, asthma, hypertension and depression - account for more than half of all U.S. health expenditures.4 Most of these conditions can be adequately managed although only 55 percent of the most recommended clinical preventive services are actually delivered.<sup>5</sup> For this reason, care coordination that emphasizes wellness and prevention remains the hallmark of integrative medicine.

Harvey Fineberg, MD, president of IOM, states that there are five critical dimensions to integrative medicine:6

- Broad definition of health: Health is more than the absence of disease; it is a state of physical, emotional and social well-being.
- Wide range of interventions: Integrative medicine explores the spectrum of healing, from prevention to treatment to recovery.
- Coordination of care: Emphasizes coordination across all providers, caregivers and institutions.
- **Patient-centered care**: Services are provided for and around the individual patient.
- Variety of modalities: Integrative medicine is open to not just usual care, but to unconventional modalities that help patients manage, maintain and restore health.

In this special section of the Rhode Island Medical Journal, we explore several ideas and opinions that contributors believe will contribute to the achievement of the WHO's definition of health. The challenge remains to create a seamless engagement by patients and providers of the full range of physical, emotional, social and psychological factors known to be effective and necessary for the achievement of optimal health.

#### References

- 1. Barnes et al. Complementary and alternative medicine use among adults and children: United States, 2007. Natl Health Stat Report. 2008 Dec 10;(12):1-23.
- Nahin et al. Costs of complementary and alternative medicine (CAM) and frequency of visits to CAM practitioners: United States, 2007. Natl Health Stat Report. 2009 Jul  $30_i(18)$ :1-14.
- 3. What is Integrative Medicine? http://integrativemedicine.arizona.edu/about/definition.html. Accessed 30 Jan 2016.
- Druss et al. Comparing the national economic burden of five chronic conditions. Health Aff (Millwood). 2001 Nov-Dec;20(6):
- 5. McGlynn et al. The quality of health care delivered to adults in the United States. N Engl J Med. 2003 Jun 26;348(26):2635-45.
- Integrative Medicine and the Health of the Public: A Summary of the February 2009 Summit. Institute of Medicine (US). Washington (DC): National Academies Press (US). 2009.

#### **Guest Editors**

Elizabeth Ko, MD Clinical Instructor, Internal Medicine UCLA Health eko@mednet.ucla.edu Fred J. Schiffman, MD, MACP Sigal Family Professor of Humanistic Medicine; Vice Chair of Medicine, Warren Alpert Medical School of Brown University fschiffman@lifespan.org

## Making Whole: Applying the Principles of Integrative Medicine to Medical Education

GRACE CHOW, MD; KEVIN T. LIOU, MD; ROBERT C. HEFFRON, MD

#### **ABSTRACT**

In the past few decades, the public's use of complementary and alternative medicine (CAM) has steadily increased. The term "integrative medicine" is often used to refer to the combination of CAM with conventional medicine. Many medical schools have incorporated didactic content on CAM and/or integrative medicine into their curricula. A frequently cited rationale for these course offerings is that medical students ought to be taught the basics of CAM in order to counsel patients on safe, effective therapeutic options. Schools have also offered these courses to meet the needs of students who are interested in incorporating CAM into future practices. In this article, the authors suggest that the core principles of integrative medicine - holistic worldview, centrality of the doctor-patient relationship, emphasis on wellness, and inclusiveness – are aligned with the goals of contemporary medical education and serve a critical function in the development of effective, humanistic physicians.

**KEYWORDS:** complementary alternative medicine, integrative medicine, medical education

#### INTRODUCTION

In the past decade, the public's use of complementary and alternative medicine (CAM) has steadily increased.1 The National Center for Complementary and Integrative Health (NCCIH) was established to conduct scientific research on CAM, to train researchers, and to distribute authoritative information about CAM to health professionals and the public.2 As part of this mission, NCCIH created an educational initiative entitled "Complementary and Alternative Medicine (CAM) Education Project Grant" whose central aim was to support the incorporation of CAM-related content into medical school curricula.3 The initiative's longer-term goal was to promote the integration of CAM and conventional medicine within an interdisciplinary healthcare system.3

The term "integrative medicine" is often used to refer to the combination of best practices from CAM and conventional medicine, but there continues to be a lively debate surrounding the definition of integrative medicine and its role in medical training.3 A recent study found that 66 out of 130 medical schools include CAM and/or integrative medicine in their curricula.4 A frequently cited rationale for these course offerings is that medical students ought to be taught the basics of CAM in order to counsel patients on safe, effective therapeutic options.<sup>5</sup> In addition, schools have offered these courses to meet the needs of students who are interested in incorporating CAM into their future practices. Given the public's and health professionals' growing interest in CAM, it makes sense to equip medical students with CAM-related knowledge and skills. However, the rationale for incorporating integrative medicine into medical curricula extends beyond these goals.

Bell et al. argue that integrative medicine is more than simply the combination of CAM with conventional medicine:

Integrative medicine is a comprehensive, primary care system that emphasizes wellness and healing of the whole person (bio-psycho-socio-spiritual dimensions) as major goals, above and beyond suppression of a specific somatic disease... [T]he patient and integrative practitioner are partners in the effort to develop and implement a comprehensive treatment plan for issues that extend far beyond the immediate chief complaint... Truly integrative medicine draws from conventional and alternative techniques to facilitate healing and to empower the patient because healing is believed to originate within the patient rather than from the physician.6

These core principles of integrative medicine - holistic worldview, centrality of the doctor-patient relationship, emphasis on wellness and healing, and inclusiveness – are aligned with the goals of contemporary medical education<sup>7,8</sup> and are relevant to the training of all medical students, regardless of their interest in practicing CAM. This article describes how the principles of integrative medicine may serve a critical educational function in the development of effective, humanistic physicians.

#### **Holistic Worldview**

Although integrative medicine is a relatively modern field, its philosophical foundations are derived from traditional medical systems (e.g. traditional Chinese medicine, homeopathy, and Ayurvedic medicine), which treat the whole patient as an "intact, complex, dynamic system."6 Many CAM systems share an emphasis on "looking for patterns of dysfunction that manifest throughout the individual rather than isolated problems in separate bodily subsystems."6 A practitioner of traditional Chinese medicine (TCM), for example,

may search for symptoms (e.g. anxiety, sleeplessness), signs, (e.g. tongue appearance, cold limbs), and medical history (relationships, spiritual life) that a conventional physician might not consider when diagnosing patients with a chief complaint of stomach pain. Thus, the TCM practitioner may distinguish several patterns of disharmony - each treated differently – while the conventional physician may diagnose only one pathological mechanism (e.g. peptic ulcer disease).9

Because of their tendency to view human beings as complex systems that are more than the sum of their parts, TCM and other CAM systems are said to embrace a "holistic" worldview; that is, each medical problem (e.g. stomach pain) can only be understood in relation to the whole person.<sup>6,8</sup> This worldview resonates with George Engel's biopsychosocial model for medicine, in which he called for physicians to take into account not only the biology of disease, but also its psychological and societal consequences.<sup>10</sup>

Developed decades ago, the biopsychosocial model continues to shape medical practice and education today. The arts and humanities are increasingly being used in medical schools as a means for students to explore the human dimensions of illness.11 For example, the field of narrative medicine aims to help physicians refocus on the patient's story and appreciate the "singular, irreplicable, and incommensurable" aspects of the illness experience. "What... is different about this disease as it manifests itself in this particular patient? What...is unique about this patient as a host of this disease?"12 These are common questions for integrative practitioners to ask as they formulate an individualized treatment plan. Introducing students to this holistic approach can help them become more cognizant of the biopsychosocial dimensions of medical practice.

Integrative medicine also provides students with a practical framework to fit together the various dimensions of patients' lives. More importantly, this framework leaves room for patients' individuality to be factored into diagnosis, assessment and treatment plans. Such patient-centered approaches have the potential to not only improve clinical outcomes, but also to build more effective, supportive doctorpatient relationships.

#### Centrality of Doctor-Patient Relationship

A central tenet of integrative medicine is that a healthy doctor-patient relationship is vital to the healing process. Integrative medicine envisions patients and doctors as equal partners in the medical decision-making and treatment process.<sup>6,8</sup> This patient-centered approach is consistent with conventional medicine's shift away from a paternalistic model of medicine towards one that is more collaborative.<sup>13</sup>

While it respects the power of conventional biomedicine, integrative medicine also attempts to facilitate the body's own healing response. In this model, patients are expected to be active participants in their health because the source of healing is believed to come from within themselves. Thus, physicians should act not only as care providers, but also as motivators and teachers who guide patients on healthy lifestyle practices.

Because physicians are expected to serve as effective role models, the concept of physician self-care is central to integrative medicine. This issue is especially relevant in light of recent physician suicides and mounting evidence of physicians' poor health habits.14 Educators have long recognized that stress in medical school has detrimental effects on students' health, and in recent years, there has been a growing movement to create student wellness programs. 15 The CAM practices encompassed within integrative medicine offer a wide range of self-care tools (e.g. mind-body techniques, yoga, tai chi, and nutrition) that students can incorporate into personal wellness programs and later teach to future patients.

Recent changes to the health-care system have placed larger emphasis on behavior modification (e.g. smoking cessation, diet) as a form of intervention.8 Such changes will require meaningful patient-physician relationships, an area where integrative medicine has much to offer.

#### **Emphasis on Wellness and Healing**

In the 19th century, two French scientists - Pasteur and Béchamp - put forth competing theories about the nature of illness. Pasteur posited that external pathogens ("germs") were the cause of all disease. Béchamp proposed that the internal terrain ("host") was the most important factor in the pathogenic process and that pathogens only caused disease if the health of the host was compromised.

For decades, the worldview of conventional medicine was based on Pasteur's germ theory of disease, driven in part by the success of antibiotics in fighting disease. However, in light of recent health reforms that emphasize prevention and wellness, 16 the tide has shifted towards Béchamp's approach, which focuses on the cultivation of a healthy terrain through lifestyle practices rather than on the elimination of pathogens. These changes have been most relevant in the management of chronic disease, such as heart disease and diabetes, but can also be extended to the field of oncology. Rather than focusing exclusively on the destruction of "germs" (i.e. tumor cells) through chemo-radiation and surgery, oncologists are now exploring immunotherapies, which optimize the internal terrain and stimulate the host's own immune system to fight cancer.

This shift towards a more host-oriented approach aligns closely with the orientation of integrative medicine, whose view of health is consistent with the World Health Organization's definition: "a state of complete physical, mental, and social well-being and not merely the absence of disease or infirmity."17 Conventional medicine has traditionally focused on the latter half of the above definition. In contrast, many of the CAM systems have emphasized the cultivation of inner balance and harmony.9 Rather than treating a disease after it has already developed, a TCM practitioner will use acupuncture and herbs to correct imbalances and also prescribe individualized diets and lifestyle practices

to supplement a patient's constitutional vulnerabilities, preventing disease from occurring.9 With its vast arsenal of self-care resources, integrative medicine can prepare students for these recent healthcare changes that have placed a larger premium on prevention and wellness.

#### **Inclusiveness**

At its core, integrative medicine is an inclusive paradigm that rejects the notion of an "alternative" medicine by proposing that all safe and efficacious healing modalities have a place in the physician's toolkit. It asks practitioners to recognize the benefits and limitations of conventional medicine while being open to other evidence-based approaches that may be more effective for certain conditions. The inclusiveness and openness of the integrative model provides a useful context for developing two other important skills in medical education: cultural sensitivity and interprofessional teamwork.

Due to the ever-changing demographic patterns in the United States and the growing recognition of culture as a key factor in determining health outcomes, cultural competency is now widely considered a core competency in medical training.18 Beliefs about the causes and treatment of disease are strongly influenced by one's cultural and religious backgrounds. Differences in doctors' and patients' belief systems may result in conflict. 12 Because many CAM systems grew out of ancient traditions, exposure to integrative medicine practices can help students view health and illness through the lens of other cultures. It also promotes cultural humility by helping students realize that conventional medicine may not have all the solutions. Most importantly, the very existence of the integrative model serves as an important reminder that there is room within the medical paradigm for a diverse range of voices and perspectives.<sup>6-8</sup>

Cultural sensitivity and humility is also a crucial component of teamwork.19 Integrative medicine is inherently a collaborative field. The existence of various licensed professions within CAM presents unique opportunities for inter-professional education.<sup>19</sup> By learning from different CAM practitioners, students can develop collaborative skills that are necessary to work effectively with other healthcare professionals.7

These types of inter-professional skills are becoming increasingly important as the healthcare system becomes more team-based and multi-disciplinary.16 The patient-centered medical home (PCMH), for example, is a coordinated, team-based model that has shown promise in improving clinical decision-making and health outcomes.<sup>20</sup> Integrative medicine, which shares many of the features of PCMH, can prepare students for these emerging healthcare trends.

#### **SUMMARY**

Over the years, conventional biomedicine has been responsible for various breakthroughs in medical care, from antibiotics to organ transplants. Conventional medicine excels at taking apart complex systems and studying the individual components. Its strength, however, is also a potential weakness. The reductionistic approach of conventional medicine has produced a fragmented healthcare system, in which patients are shuffled from one specialist to another. Often times, medical care is directed at small pieces of the patient's problem, rather than the whole person.

Integrative medicine fights against this reductionistic tendency and provides a framework for putting the pieces back together. This paradigm challenges physicians to view patients as whole individuals and to weave the various dimensions of their lives into a holistic picture. This comprehensive approach engenders meaningful doctor-patient relationships and promotes wellness and healing. By recognizing that the whole is more than the sum of the parts, integrative medicine also embraces diversity and welcomes new perspectives, which is especially important in today's teambased healthcare system and culturally diverse landscape.

In short, integrative medicine embodies humanistic values that all physicians should possess. It should have a place in contemporary medical education. One of the central aims of medicine is to heal, which literally means "to make whole." This is the very essence of integrative medicine to synthesize the disconnected fragments of a person, and a healthcare system, into a new, meaningful whole.

#### References

- 1. Barnes PM, Bloom B, Nahin RL. Complementary and alternative medicine use among adults and children: United States, 2007. Natl Health Stat Report. 2008(12):1-23.
- 105-277. PL. Public Health Service Act: Subpart 5: National Center for Complementary and Alternative Medicine. SEC. 485D [287c-21] Purpose of the Center; Section C: Complement to Conventional Medicine.
- 3. Pearson NJ, Chesney MA. The CAM Education Program of the National Center for Complementary and Alternative Medicine: an overview. Acad Med. 2007;82(10):921-926.
- 4. Cowen VS, Cyr V. Complementary and alternative medicine in US medical schools. Adv Med Educ Pract. 2015;6:113-117.
- 5. Committee on the Use of Complementary Alternative Medicine by the American Public. Complementary and alternative medicine in the United States. Washington, DC: Intstitute of Medicine of the National Academies. National Academies Press; 2005.
- 6. Bell IR, Caspi O, Schwartz GE, et al. Integrative medicine and systemic outcomes research: issues in the emergence of a new model for primary health care. Arch Intern Med. 2002;162(2):133-140.
- 7. Kligler B, Maizes V, Schachter S, et al. Core competencies in integrative medicine for medical school curricula: a proposal. Acad Med. 2004;79(6):521-531.
- Snyderman R, Weil AT. Integrative medicine: bringing medicine back to its roots. Arch Intern Med. 2002;162(4):395-397.
- Kaptchuk TJ. The web that has no weaver: understanding Chinese medicine. Chicago, Ill: Contemporary Books; 2000.
- 10. Engel GL. The need for a new medical model: a challenge for biomedicine. Science. 1977;196(4286):129-136.
- 11. de la Croix A, Rose C, Wildig E, Willson S. Arts-based learning in medical education: the students' perspective. Med Educ. 2011;45(11):1090-1100.

- 12. Charon R. Narrative medicine honoring the stories of illness.
- 13. Emanuel EI, Emanuel LL, Four models of the physician-patient relationship. Jama. 1992;267(16):2221-2226.
- 14. Wallace JE, Lemaire JB, Ghali WA. Physician wellness: a missing quality indicator. Lancet. 2009;374(9702):1714-1721.
- 15. Slavin SJ, Schindler DL, Chibnall JT. Medical student mental health 3.0: improving student wellness through curricular changes. Acad Med. 2014;89(4):573-577.
- 16. Greer AG, Clay M, Blue A, Evans CH, Garr D. The status of interprofessional education and interprofessional prevention education in academic health centers: a national baseline study. Acad Med. 2014;89(5):799-805.
- 17. World Health Organization. Definition of Heath. http://www. who.int/about/definition/en/print.html. Accessed April 2, 2015.
- 18. Cultural Competence Education for Medical Students. Washington, DC: Association of American Medical Colleges; 2005.
- 19. Nedrow AR, Heitkemper M, Frenkel M, Mann D, Wayne P, Hughes E. Collaborations between allopathic and complementary and alternative medicine health professionals: four initiatives. Acad Med. 2007;82(10):962-966.
- 20. Wen J, Schulman KA. Can team-based care improve patient satisfaction? A systematic review of randomized controlled trials. PLoS One. 2014;9(7):e100603.

#### **Authors**

- Grace Chow, MD, is a first-year resident, Department of Obstetrics and Gynecology, Hofstra North Shore-LIJ School of Medicine, Manhasset, NY.
- Kevin T. Liou, MD, is a first-year resident, Department of Medicine, Weill Cornell Medical College, New York, NY.
- Robert C. Heffron, MD, is Co-Director, Integrative Medicine Scholarly Concentration, and Clinical Assistant Professor, Department of Family Medicine, Warren Alpert Medical School of Brown University, Providence, RI.

#### Correspondence

Robert C. Heffron, MD Memorial Hospital of RI 111 Brewster Street Pawtucket, RI 02860 401-578-6345 robert\_heffron@brown.edu

## Yoga for Depression and Anxiety: A Review of Published Research and Implications for Healthcare Providers

LISA A. UEBELACKER, PhD; MONICA K. BROUGHTON, BA

#### **ABSTRACT**

There is increasing interest in the use of yoga as way to manage or treat depression and anxiety. Yoga is affordable, appealing, and accessible for many people, and there are plausible cognitive/affective and biologic mechanisms by which yoga could have a positive impact on depression and anxiety. There is indeed preliminary evidence that yoga may be helpful for these problems, and there are several ongoing larger-scale randomized clinical trials. The current evidence base is strongest for yoga as efficacious in reducing symptoms of unipolar depression. However, there may be risks to engaging in yoga as well. Healthcare providers can help patients evaluate whether a particular community-based yoga class is helpful and safe for them.

**KEYWORDS:** yoga, depression, anxiety

#### INTRODUCTION

Over the past few years, patients, clinicians, researchers, and yoga practitioners have shown increasing interest in the use of yoga as a way to manage or treat depression and anxiety. Yoga is a discipline and practice with origins in India. In the United States, most people practice hatha yoga, which includes physical postures (asanas) and can include breath control and practices (pranayama) and meditation (dhyana). There are many different styles of hatha yoga – for example, Vinyasa or Iyengar. Hatha yoga classes can vary in numerous ways: some classes may involve flowing from one posture to another; others may be more focused on alignment and holding postures. Classes can range from very vigorous and aerobic in nature to very gentle. In some classes, teachers may focus on teaching mindfulness. That is, teachers may instruct yoga students to focus on breathing and bodily sensations in a non-judgmental way as the students move or hold postures.

Yoga might be appealing to people who struggle with depression and anxiety for many reasons. It is affordable and readily accessible in many areas. In addition to the fact there are many yoga classes in the community, yoga students can also use instructional DVDs and books to practice at home. There are modified classes for people with special concerns, such as pregnant women. Yoga may also alleviate physical pain,1 a common comorbidity among people with depression or anxiety. Yoga can easily be used in combination with traditional mental health treatments. Finally, some people may like the fact that yoga focuses on promoting good mental and physical health, and is not focused on correcting a deficit or treating poor health.

#### MECHANISMS BY WHICH YOGA MAY IMPROVE **DEPRESSION AND ANXIETY**

There are many possible mechanisms by which yoga might have an impact on depression or anxiety. We highlight two types of mechanisms here: cognitive/affective and biologic.

First, in a yoga class, a student may be directed to direct his/her attention to present-moment thoughts, feelings, and body sensations in a non-judgmental way. This practice of mindfulness, when extended into everyday life, may help one to focus on current experience, rather than ruminating on the past or worrying about the future. Further, the emphasis on a non-judgmental approach may help to decrease self-criticism. Learning to attend to current experience, including current thoughts and feelings, can also teach one that thoughts and feelings are transient mental events, and that negative (and positive) feelings will fluctuate and change. Mindfulness-based therapies have a demonstrated impact on depression and anxiety symptoms.2

Yoga-based practices may serve to regulate the autonomic nervous system. Autonomic nervous system dysfunction is associated with depression<sup>3</sup> and anxiety.<sup>4</sup> Yoga practices may modify underactivity of the parasympathetic nervous system (PNS) and GABA systems in part through stimulation of the vagus nerves, which are the primary peripheral pathway of the PNS. There is some research to suggest that yoga does indeed increase PNS activity and increase GABA levels in the thalamus, and that these increases are correlated with improved mood.<sup>5</sup> Researchers have also hypothesized yoga may have a positive impact on related biologic pathways. Yoga may reduce hypothalamic-pituitary-adrenal axis activation, although evidence to date is inconsistent.<sup>6</sup> Finally, there is some evidence yoga may serve to decrease inflammation (e.g., 7). Change in these biologic pathways may affect the underlying pathophysiology of depression and anxiety.

## REVIEW OF CLINICAL TRIALS OF YOGA FOR DEPRESSION AND ANXIETY

Unipolar depression. A recent meta-analysis of 12 randomized controlled trials (RCTs) of yoga for clinical depression reported yoga was significantly better than usual care, relaxation exercises, or aerobic exercise in decreasing depressive symptoms. Studies have also shown that hatha yoga can improve mood symptoms occurring in the context of medical problems. Meta-analyses of RCTs have reported that yoga is associated with large reductions in depression and anxiety in cancer patients, and has a significant impact on depression (and pain) associated with fibromyalgia. Yoga may also be useful for prenatal depression.

**Bipolar disorder.** We were unable to find any randomized clinical trials of yoga for bipolar disorder. We have published anecdotal evidence that yoga can be helpful for some symptoms of bipolar disorder.<sup>12</sup>

Anxiety and anxiety disorders. There are very few studies of yoga for specific anxiety disorders. Two separate single-arm trials of yoga interventions as adjunctive treatments for people with generalized anxiety disorder showed improvements in anxiety symptoms over time. <sup>13,14</sup> Among a small group of people with "anxiety complaints," yoga, relative to a wait-list control, was associated with lower anxiety after 1 month of practice. <sup>15</sup> There is a larger-scale randomized clinical trial of yoga vs. cognitive behavioral therapy vs. an educational control group currently underway (see clinicaltrials.gov).

Promising data on the effects of yoga on anxiety also comes from studies of yoga versus a control group in healthy individuals (without psychiatric disorders) or in individuals with a particular medical problem. These data are encouraging. For example, as mentioned above, a meta-analysis showed that yoga was superior to control groups in reducing anxiety for people with cancer. Yoga was also shown to be superior to a health education control group in reducing anxiety (and increasing quit rates) for women trying to quit smoking. 16

Post-Traumatic Stress Disorder. There is significant interest in yoga for PTSD, although relatively few RCTs have been published. In a recently published RCT, 64 women with PTSD were randomly assigned to yoga or a health education class. At study endpoint, significantly fewer women assigned to the yoga group met criteria for PTSD.<sup>17</sup> A small RCT with 21 male military veterans showed that that a breathing-based yoga intervention was associated with larger decreases in PTSD symptoms than a wait-list control group. 18 In contrast, another RCT included 38 women with PTSD who were randomized to Kripalu yoga vs. an assessment control, and both groups showed decreases in PTSD symptoms. However, the study was likely underpowered to detect statistically significant differences.<sup>19</sup> Finally, in a non-randomized study, Descilo and colleagues 20 compared tsunami survivors with elevated PTSD symptoms who received a yoga breathing intervention vs. a wait-list control, and found significant decreases in PTSD symptoms for the yoga group relative to the control group. There are also several trials of yoga for PTSD in veterans currently underway (see clinicaltrials.gov). Thus, the existing literature on yoga for PTSD is encouraging, but not definitive.

#### LIMITATIONS OF EXISTING RESEARCH

As can be seen by this literature review, with the possible exception of unipolar depression, there are relatively few scientific studies evaluating the impact that yoga may have on symptoms of mood, anxiety disorders, and PTSD. Further adding to the difficulty of making conclusions from this literature, there are important differences between studies, and many studies suffer from methodologic limitations. We highlight a few key issues here. First, the style of yoga varies significantly between study interventions - with different emphasis placed on how gentle vs. vigorous the practice is and the degree to which pranayama, meditation, and mindfulness are emphasized. Some of the yoga interventions described above were not hatha yoga - i.e., they were primarily focused on pranayama and not at all focused on asana practice. Second, yoga interventions also differ in "dosage": i.e., the length of classes, the number of classes per week, and the degree to which home practice is encouraged. Third, trials employ a variety of control groups, ranging from a relatively weak control groups (i.e., no treatment) to stronger control groups (i.e., physical activity or another type of class that controls for time and attention). Fourth, many studies do not include an assessment of the key outcome measure (e.g., depression or anxiety symptoms) performed by an evaluator who is blind to treatment assignment.

#### **IMPLICATIONS FOR HEALTHCARE PROVIDERS**

We provide recommendations for healthcare providers in light of the current level of evidence of yoga for depression and anxiety. It is possible that an individual with a mood or anxiety disorder will be interested in trying yoga. A healthcare provider might advise his/her patient that there are many different styles of yoga in the community, and that the patient may want to try a class for a few weeks, evaluate whether the class seems to be comfortable and helpful, and, if not, consider trying a different class. If the patient is not physically fit, it is wise to start with a "gentle" or "beginner's" yoga class. Classes that emphasize mindfulness practices may be particularly helpful for people with depression or anxiety. Although there is no formal licensure for yoga teachers, yoga teachers who are Registered Yoga Teachers (RYTs) with the Yoga Alliance have gone through a formal training program approved by the Yoga Alliance. Thus, the patient may want to choose a class taught by a RYT.

Although yoga may be beneficial, the patient and healthcare provider should be aware of possible risks of engaging in yoga. In studies described above, investigators often did not report on a systematic assessment of adverse events, and thus there is very little data available on possible risks

of yoga participation. However, in a survey study of people with bipolar disorder who practiced yoga, potential risks cited included: practices such as rapid breathing or extended meditation possibly leading to symptom exacerbation (mania or depression), physical injury, and negative comparison to other students.<sup>12</sup> Other possible risks include dehydration resulting from the combination of a heated room and psychotropic medications, or strong negative psychological reactions (such as panic attacks, flashbacks, or hallucinations) to extended meditation sessions. When choosing a class, a patient will want to be mindful of his/ her own vulnerabilities and risks, including risks associated with psychotropic medications.

#### CONCLUSION

In sum, there is preliminary evidence that yoga may be helpful for depression, anxiety, or PTSD. The evidence is strongest for unipolar depression. Healthcare providers can help patients evaluate whether a given community-based yoga class is helpful and safe for them.

For further reading and suggestions for practice, see Yoga for Depression: A Compassionate Guide to Relieve Suffering Through Yoga by Amy Weintraub (Harmony Books, 2003).

#### References

- 1. Bussing A, Ostermann T, Ludtke R, Michalsen A. Effects of yoga interventions on pain and pain-associated disability: a meta-analysis. J Pain. Jan 2012;13(1):1-9.
- 2. Hofmann SG, Sawyer AT, Witt AA, Oh D. The effect of mindfulness-based therapy on anxiety and depression: A meta-analytic review. J Consult Clin Psychol. Apr 2010;78(2):169-183.
- Kop WJ, Stein PK, Tracy RP, Barzilay JI, Schulz R, Gottdiener JS. Autonomic nervous system dysfunction and inflammation contribute to the increased cardiovascular mortality risk associated with depression. Psychosom Med. Sep 2010;72(7):626-635.
- Dieleman GC, Huizink AC, Tulen JH, et al. Alterations in HPA-axis and autonomic nervous system functioning in childhood anxiety disorders point to a chronic stress hypothesis. Psychoneuroendocrinology. Jan 2015;51:135-150.
- 5. Streeter CC, Whitfield TH, Owen L, et al. Effects of yoga versus walking on mood, anxiety, and brain GABA levels: a randomized controlled MRS study. J Altern Complement Med. Nov 2010;16(11):1145-1152.
- 6. Li AW, Goldsmith CA. The effects of yoga on anxiety and stress. Alternative medicine review: a journal of clinical therapeutic. Mar 2012;17(1):21-35.
- Kiecolt-Glaser JK, Bennett JM, Andridge R, et al. Yoga's impact on inflammation, mood, and fatigue in breast cancer survivors: a randomized controlled trial. Journal of clinical oncology: official journal of the American Society of Clinical Oncology. Apr 1 2014;32(10):1040-1049.
- 8. Cramer H, Lauche R, Langhorst J, Dobos G. Yoga for depression: a systematic review and meta-analysis. Depress Anxiety. Nov 2013;30(11):1068-1083.
- 9. Buffart LM, van Uffelen JG, Riphagen, II, et al. Physical and psychosocial benefits of yoga in cancer patients and survivors, a systematic review and meta-analysis of randomized controlled trials. BMC cancer. 2012;12:559.
- 10. Langhorst J, Klose P, Dobos GJ, Bernardy K, Hauser W. Efficacy and safety of meditative movement therapies in fibromyalgia

- syndrome: a systematic review and meta-analysis of randomized controlled trials. Rheumatology international. Jan 2013;33:193-
- 11. Field T, Diego M, Hernandez-Reif M, Medina L, Delgado J, Hernandez A. Yoga and massage therapy reduce prenatal depression and prematurity. *Journal of bodywork and movement therapies*. Apr 2012;16(2):204-209.
- 12. Uebelacker LA, Weinstock LM, Kraines MA. Self-reported benefits and risks of yoga in individuals with bipolar disorder. Journal of psychiatric practice. Sep 2014;20(5):345-352.
- 13. Khalsa MK, Greiner-Ferris JM, Hofmann SG, Khalsa SB. Yoga-Enhanced Cognitive Behavioural Therapy (Y-CBT) for Anxiety Management: A Pilot Study. Clinical psychology & psychotherapy. May 7 2014.
- 14. Katzman MA, Vermani M, Gerbarg PL, et al. A multicomponent yoga-based, breath intervention program as an adjunctive treatment in patients suffering from generalized anxiety disorder with or without comorbidities. International journal of yoga. Jan 2012;5(1):57-65.
- 15. Kozasa EH, Santos RF, Rueda AD, Benedito-Silva AA, De Ornellas FL, Leite JR. Evaluation of Siddha Samadhi Yoga for anxiety and depression symptoms: a preliminary study. Psychol Rep. Aug 2008;103(1):271-274.
- 16. Bock BC, Fava JL, Gaskins R, et al. Yoga as a complementary treatment for smoking cessation in women. J Womens Health Feb 2012;21(2):240-248.
- 17. van der Kolk BA, Stone L, West J, et al. Yoga as an adjunctive treatment for posttraumatic stress disorder: a randomized controlled trial. J Clin Psychiatry. Jun 2014;75(6):e559-565.
- 18. Seppala EM, Nitschke JB, Tudorascu DL, et al. Breathing-based meditation decreases posttraumatic stress disorder symptoms in U.S. military veterans: a randomized controlled longitudinal study. J Trauma Stress. Aug 2014;27(4):397-405.
- 19. Mitchell KS, Dick AM, DiMartino DM, et al. A pilot study of a randomized controlled trial of yoga as an intervention for PTSD symptoms in women. J Trauma Stress. Apr 2014;27(2):121-128.
- 20. Descilo T, Vedamurtachar A, Gerbarg PL, et al. Effects of a yoga breath intervention alone and in combination with an exposure therapy for post-traumatic stress disorder and depression in survivors of the 2004 South-East Asia tsunami. Acta Psychiatr Scand. Apr 2010;121(4):289-300.

#### **Authors**

Lisa A. Uebelacker, PhD, Associate Professor (Research), Departments of Psychiatry and Human Behavior and Family Medicine, Brown University; Staff Psychologist, Butler Hospital.

Monica K. Broughton, BA, Research Assistant, Butler Hospital.

#### Correspondence

Lisa A. Uebelacker Psychosocial Research, Butler Hospital 345 Blackstone Boulevard Providence, RI 02906 401-455-6381 Fax 401-455-6235 luebelacker@butler.org

## **Teaching Doctors-in-Training About Nutrition:** Where Are We Going in 2016?

ALLISON L. CRAWFORD, MD; KAREN E. ASPRY, MD, MS

#### **ABSTRACT**

Atherosclerotic cardiovascular disease (ASCVD) is the leading cause of preventable death in the U.S., and its public health and economic burdens are rising. There is substantial evidence that dietary factors significantly reduce ASCVD-related morbidity and mortality, and that Americans, including those with established ASCVD, adhere poorly to cardio-protective diet patterns. Despite this, there continues to be a large gap in nutrition education during medical school and post-graduate training, leaving physicians poorly prepared to counsel patients on diet, nutrition, and related behavior change. The result is a massive missed opportunity to improve cardiovascular disease prevention at the health system level. However, recent calls for change by stakeholder groups, and a surprising new experiential learning model, suggest this may be changing.

**KEYWORDS:** nutrition education, graduate medical education, culinary medicine, cardiovascular disease prevention

#### **ABUNDANT DATA SUPPORT DIET CHANGES** TO REDUCE ASCVD RISK

Data from numerous lines of evidence over the past half century have shown that dietary factors impact cardiovascular morbidity and mortality, and various mechanisms are involved, including effects on blood lipids, blood pressure, body weight, inflammation, insulin sensitivity, endothelial function, platelet function, and other mechanisms.1 In the last 15 years, randomized trials have shown that a combination of diet changes may produce large effects on cardiovascular outcomes. In the 2001 Lyon Diet Heart Study, post-myocardial patients randomized to a Mediterranean diet supplemented with plant and marine omega-3-fatty acids demonstrated marked reductions in the rate of cardiac death and recurrent non-fatal infarction at 46 months compared to those assigned to usual diet advice (1.24 per hundred patients per year vs. 4.07 per hundred patients per year).2 In the 2006 PREDIMED trial, subjects free of cardiovascular disease at entry and randomized to a Mediterranean diet supplemented with extra virgin olive oil or with nuts showed 15.5 % and 44.8% relative risk reductions in stroke, respectively, compared to those randomized to a control diet.3 Based on these and other data, the 2013 American Heart Association/American College of Cardiology (AHA/ ACC) Guideline on Lifestyle Management to Reduce Cardiovascular Risk made class I and II recommendations for diet change that included increased intake of fruits, vegetables, whole grains, low-fat dairy products, poultry, oily fish, legumes, nuts and non-tropical oils, and reduced consumption of sweets, sugar-sweetened beverages and red meats.4 Although there are some differences, the guideline-recommended diet changes are food-based (vs. nutrient-based) and aligned with a Mediterranean diet pattern.

#### ADHERENCE TO CARDIO-PROTECTIVE DIETS IS POOR IN THE U.S.

Despite the large evidence base supporting diet interventions for the primary and secondary prevention of ASCVD, the typical American diet has remained poor. In fact, of the seven cardiovascular health metrics established by the American Heart Association in 2010, goal achievement has been lowest in the area of diet adherence.<sup>5</sup> In addition, more than one-third of adults are obese and increased intake of sugar-sweetened beverages and foods has been identified as a contributor.6 Even among those with established coronary disease (CHD), data show low adherence to recommended diet changes. A 2008 survey study by Ma et al showed that subjects diagnosed with CHD a year prior met only 12.4% and 7.8% of the recommended intake of vegetables and fruits, respectively, and had higher than recommended intakes of trans-fats.7 Similarly, in the cross-cultural Prospective Urban Rural Epidemiology (PURE) study, only 39% of more than 7,500 subjects with a history of CHD or stroke reported adherence to healthy diets as assessed via the Alternative Healthy Eating Index.8

#### THE NUTRITION TRAINING GAP IS LARGE

Despite recommendations by the National Academy of Sciences in 1985 that at least 25 hours of nutrition education be provided during the 4 years of medical school training, a 2010 survey showed that little more than one-quarter of medical schools offered a nutrition course, and the average number of nutrition education hours in 2008 was under twenty. 9-10 Moreover, survey data show that most nutrition

training during medical school remains didactic-based, with little to no experiential or problem-based learning. This is unfortunate because recent reforms in medical school curricula would appear to provide ample opportunity to vertically integrate the principles of diet, nutrition and behavior change over the 4 years of training.

Nutrition education during post-graduate training is similarly inadequate: few requirements exist, and there is little to no reinforcement of principles learned during medical school, nor opportunities for competency-building across the domains established by the Accreditation Council for Graduate Medication Education (ACGME), i.e., medical knowledge, patient care, practice-based learning, systems-based practice, communication skills and professionalism.

The reasons for the low prioritization of nutrition in medical training likely include a lesser focus on disease prevention and management compared to technologically advanced acute and chronic treatments, both in outpatient or inpatient settings; earlier perceptions of nutrition as less evidence-based than other sciences; and lack of core nutrition faculty, and funded research, within medical institutions.

Against this background, it is not surprising that data show physicians perceive significant barriers to effective diet counseling of patients, including lack of time, knowledge and resources, and have low confidence in their ability to effect diet change. 11 These gaps, in knowledge, competencies, confidence and practice, translate to a massive missed opportunity to optimize cardiovascular health at the health system level.

#### NEW CALLS TO ACTION, AND NEW NUTRITION **EDUCATION MODELS**

Fortunately, the nutrition training gap may be closing. The nutrition-science evidence base has grown rapidly over the last several decades. Cardiovascular disease prevention and health promotion have now been prioritized by policy makers and payers. Also, the fact that clinicians lack competencies for translating diet and lifestyle knowledge to patients has been well publicized, leading to calls to action from various stakeholders.

The most vocal of these have been nutrition leaders who, in 2014, published an extensive summary of current training in nutrition education in the American Journal of Clinical Nutrition. In it, they outlined the history of governmental and non-governmental activities aimed at improving nutrition education during medical training, and called for reforms of medical school curricula to increase exposure to nutrition. 12 Similarly, In 2015, the Journal of Parenteral and Enteral Nutrition also questioned the current status of nutrition training in Graduate Medical Education after a survey of 72 ACGME program directors in a variety of medical specialties showed that only 26% of programs had formal nutrition education curricula, and these varied substantially in length and form.<sup>13</sup> The American Society for Parenteral and Enteral Nutrition (A.S.P.E.N.) has since created a Task Force on Postgraduate Medical Education in Nutrition to identify ways to close the gaps.13 Similarly, the ACC has recommended that cardiovascular training programs provide nutrition education pertinent to treating obesity and its associated risks in its 2015 Core Cardiology Training Statement (COCATS 4) on cardiovascular disease prevention.<sup>14</sup>

In response to these and other calls for change, some residency training programs have begun to incorporate formal nutrition training into graduate medical education. The University of North Carolina at Chapel Hill has developed an open-access, web-based Nutrition in Medicine program targeting medical students and residents, reportedly in use by a number of residency programs.<sup>15</sup> Innovative partnerships between medical and culinary schools, most notably Johnson and Wales, also have led to the development of experiential nutrition learning activities. Dubbed 'culinary medicine,' these new electives and courses are now in place in at least 10 medical schools (including Brown University's Alpert Medical School) and one residency program in the U.S. They aim to teach medical students and trainees the relationship between food components and health, how to distinguish between healthier vs. unhealthier diet components, and practical aspects of healthy food sourcing and preparation. Students and trainees take part in workshops and live cooking demonstrations that teach the differences between whole vs. refined grains and saturated vs. unsaturated fats, and how to prepare tasteful meals with healthier macronutrient and sodium contents. The goal: impart food knowledge and skills that will translate to teachable moments at the bedside and in the clinic. This translational aspect of not only teaching the science of nutrition and the effects of dietary components on health outcomes, but providing experiential learning, is being utilized in the area of public health nutrition as well. A similar culinary medicine partnership between the Harvard School of Public Health and the Culinary Institute of America, that teaches food and cooking skills to practicing clinicians, has shown promising early outcomes.<sup>16</sup>

#### CONCLUSION

In conclusion, the economic and health burdens from cardiovascular disease are large and growing, and payers and other stakeholders have called for an increased focus on disease prevention and health promotion, including by health systems. Although robust evidence supports diet change to improve cardiovascular outcomes, physicians have been inadequately trained to impart this knowledge to patients and families. However, calls for better nutrition education during medical school and training are being heard. New web-based curricula are being developed and used. Also, unlikely partnerships between medical and culinary schools are moving nutrition education out of the classroom and into the kitchen, possibly the perfect place to blend together the related fields of nutrition science, behavioral medicine and the culinary arts.

#### References

- 1. Hu FB, Willett WC. Optimal Diets for Prevention of Coronary Heart Disease. *JAMA* 2002 Nov 27;288(20):2569-78. Review.
- De Lorgeril M, Salen P, Martin JL, Monjaud I, Delaye, J, Mamelle N. Mediterranean diet, traditional risk factors, and the rate of cardiovascular complications after myocardial infarction: final report of the Lyon Diet Heart Study. Circulation. 1999; 99(6):779-785.
- 3. Estruch R, Ros E, Salas-Salvadó J, Covas MI, Corella D, Arós F, Gómez-Gracia E, Ruiz-Gutiérrez V, Fiol M, Lapetra J, Lamuela-Raventos RM, Serra-Majem L, Pintó X, Basora J, Muñoz MA, Sorlí JV, Martínez JA, Martínez-González MA; PREDIMED Study Investigators. Primary prevention of cardiovascular disease with a Mediterranean diet. New England Journal of Medicine. 2013;368(14):1279-90.
- Eckel, RH et al. 2013 ACC/AHA Guideline on lifestyle management to reduce cardiovascular risk. Circulation 2013. DOI: 10.1161/01.cir.0000437740.48606.d1
- Go, A et al. Heart disease and stroke statistics 2014 update. Circulation 2014;129:e28-e292.
- Malik, VS et al. Sugar-sweetened beverages, obesity, Type 2 diabetes mellitus and cardiovascular disease risk. Circulation. 2010;121:1356-64.
- Ma, Y, Wenjun, Li Barbara C. Olendzki, Sherry L. Pagoto, Philip A. Merriam, David E. Chiriboga; Jennifer A. Griffith, Jamie Bodelos, Yanli, Wang; Ira S. Ockene, Dietary Quality 1 Year after Diagnosis of Coronary Heart Disease. Feb 2008, Journal of the American Dietetic Association. 108 (2): 240-246.
- 8. Teo, K et al. Prevalence of a healthy lifestyle among individuals with cardiovascular disease in high, middle and low income countries: PURE Study. JAMA 2013; 309(15):1613-21.
- Adams KM, Kohlmeier M, Zeisel SH. Nutrition Education in U.S. Medical Schools: Latest Update of a National Survey. Acad Med. 2010;85(9):1537-1542.
- 10. Devries, S et al. A deficiency of nutrition education in medical training. Am J Med 2014;127:804-06.
- 11. Kolasa KM, Rickett K. Barriers to providing nutrition counseling cited by physicians: a survey of primary care practitioners. *Nutr Clin Pract*. 2010;25(5):502-509.
- Lenders, Carrie M; Deen; Darwin D et al. Residency and specialties training in nutrition: a call for action. Am J of Clin Nutr. 2014; 99 (suppl): 1174S-1183S.
- Daley, Brian J; Cherry-Bukowiec, Jill et al. Current Status of Nutrition training in Graduate Medical Education From a Survey of Residency Program Directors: A Formal Nutrition Course is Necessary. *Journal of Parenteral and Enteral Nutrition*. 2015; February 11, 2015, doi: 10.1177/0148607115571155.
- Sidney C. Smith, Jr.; Vera Bittner; J. Michael Gaziano; John C. Giacomini; Quinn R. Pack Donna M. Polk; Neil J. Stone; Stanley Wang. COCATS 4 Task Force 2: Training in Preventive Cardiovascular Medicine. J Am Coll Cardiol. May 05, 2015,65(17): 1754-1762
- 15. Lindell KC; Adams KM; Kohlmeier M; Zeisel, SH. The Evolution of Nutrition in Medicine, a computer-assisted nutrition curriculum. *Am J of Clin Nutr.* 2006; 83(4): 956S-962S.
- David M. Eisenberg; Amy Myrdal Miller; Kathy McManus; Jonathan Burgess; and Adam M. Bernstein. Enhancing Medical Education to Address Obesity: "See one. Taste one. Cook one. Teach one." *JAMA Internal Medicine*. 2013;173(6):470-72.

#### **Authors**

- Allison L. Crawford, MD, Resident Physician, Department of Medicine, Alpert Medical School, Brown University
- Karen E. Aspry, MD, MS, Assistant Professor of Medicine (Clinical), Alpert Medical School, Brown University. Director, Lipid and Prevention Program, Lifespan Cardiovascular Institute.

#### Correspondence

Allison L. Crawford, MD Department of Medicine Rhode Island Hospital 593 Eddy Street Providence, RI 02903 401-444-5577, Fax 401-444-3056

## On Patients, Bonsai, and Orangutans: The Value of Mindfulness in the Practice of Medicine

ELIZABETH TOLL, MD; JOSHUA ORABONE, MD, MPH

In 2008, I (JO) met an elderly gentleman selling tiny trees at a roadside stand, a chance encounter that sparked a change in how I see the world and live within it. I began to learn about bonsai, the Japanese art form that literally means "tree in a dish." Initially I thought it would be fun to grow a miniature tree, but as I acquired the knowledge and tools to care for my plant, I wondered, "How can one little tree be so complicated?" This was years before I became a resident with patients of my own. Now I care for fifty bonsai and many patients. Both have taught me about nature, patience, and mindfulness. As it turns out, trees and patients are not so very different.

My patients and trees come in all ages and sizes. Young patients and trees have one set of needs, while adolescents, adults, and ancients have others. My patients and trees come from all over the world. In addition to their physical differences, they know different climates and diets and communicate distinctively. Both embody lifetimes of stories – of love and neglect, peace and strife, sunny weather and lightning strikes. How they prosper, or sicken, has everything to do with these experiences. Good care must consider all these factors, not to mention the practitioner's own agenda.

I have had many hobbies. I've collected coins and raised tropical fish. I've followed sports teams. Legions of action figures gather dust in my childhood bedroom. Bonsai is different. It is a practice.

How does a hobby differ from a practice? Hobbies are leisure pursuits providing enjoyment and escape. A practice involves studying an activity from multiple perspectives, including one's mind, body, and emotions with unconscious integration of all these areas. Mastery requires energy and discipline, yet paradoxically yields flexibility and calm.

Mindfulness is quite the rage these days: meditation, yoga, stress reduction. Proponents cite a wide variety of beneficiaries, ranging from quarreling preschoolers1 to sufferers of irritable bowel syndrome, 2,3,4 chronic pain,5 mental illness,6 and physician burnout,7 to note a few. Research demonstrates mindfulness to improve acceptance,8 calm, and empathy.9

Perhaps mindfulness is having its moment because so many folks, including physicians, are living lives that are anything but. Drivers chug coffee and text. Teens do algebra while watching feature films and instagramming their friends. Physicians and staff deliver patient care amidst minefields of high-maintenance electronic medical records, administrative hurdles, and smart phone intrusions. Technology designed to simplify life and connect people falls short, adding complexity and increasing isolation.

In medicine and beyond many suffer overwhelm and scatter from the attention deficit disorder of technology. This may be the appeal of mindfulness. It teaches people to slow down and focus on this moment, right here, right now, and nothing else. At its very best, medicine is a mindful practice, in spite of countless current trends. Cultivating mindfulness in any setting sows these skills throughout work and life.

Mindfulness draws on ancient meditative practices of the East, including Buddhism. Practitioners learn to focus on this moment through awareness of breathing, a stepping stone to noticing the many sensory signals we constantly receive. There are physical sensations, like a tense muscle or itchy elbow, as well as visual, auditory, tactile, olfactory, gustatory, and intuitive ones. Pings arrive continuously from all these fronts. So do waves of thought and emotion, not just from this instant, but also the future ("Will I meet that deadline?") and past ("They were so irritating!"). Stop for a moment. Observe your breathing. What else do you see, hear, feel, taste, smell, and intuit? Note thoughts and feelings rolling through. An astonishing amount of data continuously streams into our experience radars.

High-octane living mandates we ignore most of this input in the name of productivity. Who can notice every breath, sensation, idea, and emotion when we need to understand yesterday, plan next week, and figure out dinner? Ironically, while ruminating on the past and future, we miss the cornucopia of this moment, the only time and place we can truly be.

Mindfulness teaches "bare attention," noticing each morsel of input as it floats through, not in order to respond to it, but rather to cultivate focus, openness, curiosity, observation, acceptance, and non-judgment.<sup>10</sup> Create a simple moment of mindfulness. Close your eyes and strive to breathe with an attitude of focus, openness, curiosity, observation, acceptance, and non-judgment (hereafter "attitude"). You may ask, "Am I supposed to breathe through my nose or mouth?" or, "Should my belly fill as I inhale or exhale?" Note "supposed to" and "should." You are bringing in judgment, as though there is a right or wrong way to breathe. Let that judgment go, and return to your breathing and attitude. You may feel, "This is frustrating!" An emotion has snuck in. That's fine. Notice it. Return to your breathing and attitude.

Next come thoughts like, "Shoot! I forgot to answer that email!" and "Will there be traffic on the way home?" Note them, and return to your breathing and attitude. Your nose is itching. That's ok. Notice it. Return to your breathing and attitude. An inner voice chides, "Pay attention! How can breathing be this confusing?" Judgment is back. (Physicians are expert at scolding themselves.<sup>11</sup>) Observe all this. Let it go. Return to your breathing and attitude.

Mindfulness starts with breathing. When thoughts, feelings, or sensations intrude, simply note them and let them float through like puffy clouds, returning to this moment and this breath. Acknowledge honking horns, cooking smells, distracting thoughts, or uncomfortable emotions and allow them to pass through. You have climbed aboard the asymptote of experiencing the totality of a single moment while doing nothing with that experience.

Interestingly, from the earliest days of medical training, physicians learn the same mindful approach – focus, openness, curiosity, observation, acceptance, and non-judgment -as the foundation of the patient-doctor relationship. We practice giving full attention to a patient, listening actively, being curious, suspending judgment, asking open-ended questions, receiving answers, and refining information with supple inquiry. We absorb and reflect on the emotions and thoughts of patients and ourselves. Later we layer scientific knowledge and clinical experience onto these bedrock healing skills.

Mastery in medicine comes when we connect with a patient, interview with emotional intelligence, complete a through exam, and tap into evidence-based medicine, memory of this patient, and experiences with comparable patients. We develop a differential, devise a care plan, consider contingencies, and communicate our impressions to the patient at the right educational level with empathy, realism, and hope. Vigilant practice yields the breadth, flexibility, and calm emerging from years of mindful doctoring. This is not a job, nor a hobby, but a conscious practice. Mastery means setting aside all other responsibilities and connections and being fully present with this patient and this concern. Each encounter is endlessly complicated, requiring bare attention.

And so it is with little trees. I (JO) need to think about the container, soil, water, sun, and nutrients. I must consider seasons, sending some bonsai into the dark and cold for dormancy and exposing others to heat and humidity to approximate their habitats. I must weigh how interventions like pruning, trimming, repotting, or wiring will impact growth. When done too aggressively, or impatiently, I have defeated my plans and distorted, injured, and even killed the very trees I've tried to nurture. As in medicine, the outcomes of today's decisions may not be obvious for months or years, and important lessons emerge from the school of painful mistakes. I imagine a tree in the future, yet it's impossible to know how it will grow. In balancing the trees' needs and my goals, I often choose to do nothing but watch and wait. Some days I feel I have delivered the best care when I've done the least and spent the visit observing and "listening." Leaving a tree alone allows my unconscious mind to develop a care plan. One day, later on, I return, and the next step is clear.

The trees have taught me to take this wait and watch approach with my patients. Doing nothing, or rather doing No Thing, can be a sound policy in medicine, 12,13 too, especially when one has no idea what is going on with a patient, often when health and life collide. These maladies improve only when patients and caregivers consider both realms. When doctors treat their own anxious imperatives to do Some Thing they can cause harm, while a tincture of time and open ears and eyes may reveal a solution for the patient.

I (JO) used to feel I'd shortchanged my patients when visits ended without prescriptions or definite plans, until they started thanking me for helpful care. Being genuine, present, curious, and willing to do No Thing allows patients to vent and share perplexing symptoms, thereby decreasing pain and loneliness. Like trees, patients can take months and years to reveal important clues about symptoms like trauma, substance use, and hidden fears. Watching and waiting, and hanging in there, allows patients to communicate slowly and quietly, like trees. One day the right intervention appears. Better yet, patients declare readiness for one path or another, uncovering their own capacities for problem solving and healing.

Medical trainees assume knowledge will package neatly. The patient will have a problem. There will be a solution. It's disconcerting to learn how many grey areas like human interaction, decision-making, and risk juggling permeate practice. Tolerating uncertainty and moving ahead is a huge part of medicine, seldom addressed throughout training.

Mindfulness helps manage such uncertainty and confusion. Buddhists speak of the "monkey mind," those thoughts (and emotions and physical sensations) that divert us from this moment. They resemble monkeys swinging freely without focus or connection. We all host these creatures and their antics. The more readily we acknowledge monkey thoughts, feelings, and sensations without attending to them, the more easily they can pass through without disturbance.

Mindful moments in medicine are similarly interrupted. The medical monkey can feel like a massive orangutan crashing through, grabbing attention from patients to overstuffed schedules, EMR foibles, insurance annoyances, meaningful use, corporate compliance, and the bottom line, to name but a few of this beast's favorite branches and leaves. While mindful medical considerations converge to help patients, orangutan thoughts yank us into a dense forest of demands and hassles that fracture their care. It's challenging to let the orangutan pass through. Sometimes we even invite it into the room by sharing our stresses and frustrations with patients.

The bonsai monkey is a chattering little trickster, appearing in many guises, especially impatience. It urges me to clip here, tie there, and make a cut before studying a tree. "Hurry!" it whispers, preventing me from sitting still and making decisions in concert with a tree's natural cycle.

Understanding these simians is important. They slow us

down and deplete us. They draw us away from our mission - be that breathing, connecting with a patient, or sizing up a bonsai. They complicate our lives. Today's walk is diminished by self-rebuke about missing the gym yesterday. Fear of failing the Boards increases anxiety, not knowledge or preparation. No patient encounter is enhanced when a physician complains about an EMR. Mindful practice reveals how much energy goes toward thinking, feeling, and being elsewhere, especially the lands of tomorrow's worry and yesterday's regret. Being here and now allows full engagement in life.

Releasing the monkeys frees us to enter a calmer place, sometimes called "the zone." The zone comes in many versions, all affording a level of absorption deep enough to relinquish daily concerns and get lost in an activity.14 Stillness emerges while engaging all faculties. Some find this through quiet activities like fishing, rocking a baby, watching a fire, praying, or creating. Others achieve stillness through motion, like running, drumming, skateboarding, or working on a car. The common threads are focus, engagement, and internal calm.

People describe feeling lost, and found, and removed from time in the zone. They feel peaceful, but energized. They don't care what others think. In fact, they love themselves. They speak of having access to the totality of their experience and a merging of its parts. To paraphrase one surgeon, "There's work, and then there's operating. That's not work; it's what I love, and I get lost in it. I emerge from each case refreshed."14 The same thing happens when one really connects with a patient, exchanging woes and pain for hopes and care while tapping into our finest selves.

With bonsai, I (JO) move from one tree to the next, getting lost in stepping back, observing, and imagining. Hours pass. Because many interventions take months or years to play out I must harbor optimism and employ all my senses, including intuition.

The trees have helped me bring a similar approach to my patients. I talk with a toddler's parents about setting limits, yet none of us will see the fruits of our labor for several years. My smoking intervention must be engaging, not preachy or nonchalant. In discussing end of life care I must meld medical reality with this patient's unique coordinates. Most of all, I must listen to my patients, because, like the little trees, no matter how hard I work to imagine the world from their perspectives, ultimately, it will be the patient who experiences the impact of the practitioner. And the practice.

#### **Acknowledgments**

For Takako "Bubs" Huang with love and admiration on the occasion of your 96th birthday (ET), and for Sarah, with love and thanks for supporting me and all our little trees (JO).

#### References

- 1. Greenland SK. The Mindful Child: How to Help Your Kid Manage Stress and Become Happier, Kinder, and More Compassionate. New York, NY: Free Press. 2010.
- Boschert S. Mindfulness improved irritable bowel for a year. Internal Medicine News. June 15, 2014;15.

- 3. Gaylord SA, Palsson OS, Garland EL, et al. Mindfulness training reduces the severity of irritable bowel syndrome in women: results of a randomized controlled trial. Am J Gastroent. 2011;106:1678-1688.
- 4. Zernicke KA, Campbell TS, Blustein PK, et al. Mindfulnessbased stress reduction for the treatment of irritable bowel syndrome symptoms: a randomized wait-list controlled trial. Int. J. Behav. Med. 2013;20:385-396.
- 5. Chiesa A, Serretti A. Mindfulness-based interventions for chronic pain: a systematic review of the evidence. J Alt and Comp Med. 2011;17(1):83-93.
- Mace C. Mindfulness and Mental Health: Therapy, Theory and Science. New York, NY and London, England: Routledge. 2008.
- 7. Krasner M, Epstein R, Beckman H, et al. Association of an educational program in mindful communication with burnout, empathy, and attitudes among primary care physicians. JAMA. 2009;302(12):1284-1293.
- 8. Hayes SC, Follette VM, Linehan MM. Mindfulness and Acceptance: Expanding the Cognitive-Behavioral Tradition. New York, NY: The Guilford Press. 2004.
- 9. Beckman HB, Wendland M, Mooney C, et al. The impact of a program in mindful communication on primary care physicians. Acad Med. 2012; 87(6):815-819.
- 10. Schwartz JM, Begley S. The Mind and the Brain: Neuroplasticity and the Power of Mental Force. New York, NY: Harper Collins Publishers, Inc. 2002.
- 11. Hundert, E. A golden rule: remember the gift. JAMA. 2001;286 (6):648-650.
- 12. Wehlage DF. The art of "doing nothing". Rhode Island Medical Journal; 1986; 69(9):409-418.
- 13. Zuger A. Don't do something; just sit there. http://well.blogs.nytimes.com/2013/06/10/dont-do-something-just-sit-there/?r=0. Accessed February 26, 2015.
- 14. Chen P. How mindfulness can make for better doctors. http:// www.nytimes.com/2009/10/15/health/l5chen.html?\_r=1& pagewanted=pring. Accessed July 15, 2013.

#### **Authors**

Elizabeth Toll, MD, Clinical Associate Professor of Pediatrics and Medicine, Warren Alpert Medical School of Brown University, Providence, RI.

Joshua Orabone, MD, MPH, 2015 Graduate, Combined Residency Program in Internal Medicine/Pediatrics, Warren Alpert Medical School of Brown University, Providence, RI; Internal Medicine Hospitalist, University of Massachusetts Memorial Group, Clinton Hospital, Clinton, MA.

#### Correspondence

Elizabeth Toll, MD The Medicine-Pediatrics Primary Care Center 245 Chapman St. #100 Providence, RI 02905 401-444-6118 Fax 401-444-8804 etoll@lifespan.org

Joshua Orabone, MD, MPH University of Massachusetts Memorial Group Clinton Hospital 201 Highland St. Clinton, MA 01510 978-368-3000 Fax 978-368-3724 Joshua.Orabone@umassmemorial.org

## **Child Passenger Safety Training for Pediatric Interns: Does it Work?**

DINA MORRISSEY, MD, MPH, FAAP, CPSTI; ALISON RIESE, MD, MPH; PINA VIOLANO, PhD, MSPH, RN-BC, CCRN, CPS-T; GARRY LAPIDUS, PA-C, MPH; JANETTE BAIRD, PhD; MICHAEL J. MELLO, MD, MPH, FACEP

**ABBREVIATIONS:** CPS = child passenger safety, AAP = American Academy of Pediatrics, RF = rear-facing, FF = forward-facing, WCC = well-child check

#### **ABSTRACT**

**OBJECTIVE:** Evaluate the efficacy of a child passenger safety (CPS) educational intervention on the CPS-related knowledge, attitude and anticipatory guidance behaviors of pediatric interns.

**METHODS:** All subjects were surveyed at baseline and 6 months. Intervention interns attended a CPS training module which included viewing an educational video, observing a car seat inspection appointment, hands-on practice and completion of a post-intervention survey.

**RESULTS:** All 16 intervention interns completed the initial survey, the intervention and the immediate-post questionnaire. Thirteen (81%) completed the 6-month follow-up. The baseline survey was completed by 27/40 (67%) of control interns, 28/40 (70%) submitted a follow-up. The proportion of intervention interns who self-reported giving CPS guidance at all well-child visits increased by 31.3% (95% CI 6.1,56.5%); the control group had no change. Similar results were seen with self-reported knowledge and attitude.

**CONCLUSIONS:** A CPS training module increases pediatric interns' knowledge, improves attitudes, and self-reported behaviors regarding CPS-related anticipatory guidance.

#### **BACKGROUND**

In the United States motor vehicle occupant injury is a significant source of morbidity and mortality for children. In 2013 an estimated 172,000 children under the age of 14 were injured, and 1,149 were killed in motor vehicle crashes. Motor vehicle crashes are the leading cause of death in this age group.

Pediatricians play an important role in promoting child passenger safety (CPS). CPS is the only health supervision topic that is recommended at every well-child visit by Bright Futures, a preventative screening guide developed by the American Academy of Pediatrics (AAP).<sup>2</sup> Pediatricians' CPS recommendations have been shown to change parental behaviors.<sup>3,4</sup> A national study found that this crucial guidance does not occur universally, with less than 30% of

parents reporting CPS counseling.<sup>5,6</sup> Parental recall of anticipatory guidance during well-child visits has been shown to be high.<sup>7</sup> Education provided during pediatric residency is associated with a greater likelihood that pediatricians will counsel caregivers regarding CPS.<sup>8</sup> Among pediatric residents who do not counsel, 57% cited lack of information as the major barrier.<sup>8</sup>

The purpose of this study was to investigate the effect of a brief CPS educational intervention delivered to pediatric interns. We hypothesized that a two-hour CPS training module would increase pediatric interns' knowledge, improve attitudes, and self-reported behaviors while delivering CPS-related anticipatory guidance during well-child visits compared to pediatric trainees in comparable academic residency programs who do not receive such training.

#### **METHODS**

#### **Study Setting and Design**

A quasi-experimental study design was utilized with three academic children's hospitals serving as study sites. One site supplied the intervention group and the others the controls. All pediatric interns at these sites were invited to complete a baseline survey between month 2 and 4 of their first year of residency, and a follow-up survey approximately 6 months post-baseline. Participants were given a \$5 gift card upon completion of each survey. Intervention interns completed a survey immediately following the educational session. All surveys assessed CPS-related knowledge (correct vs. incorrect response), attitude (4 point scale, 1 = not important to 4 = very important), and behavior (5 point scale, 1 = never, 5 = always). All surveys were developed for this study by the research team which consisted of pediatricians, child passenger safety professionals as well as a survey design expert. The study was approved by the institutional review board at all institutions.

#### The CPS Educational Intervention

After completing the baseline survey, intervention interns attended a 2-hour CPS educational module. They watched the 1-hour AAP CPS Continuing Medical Education video<sup>7</sup>, followed by discussion with a certified CPS technician instructor. Intervention interns then practiced harnessing dolls in car seats and installing car seats in a vehicle or training-seat with expert feedback from one of the study authors (DM). If

possible, based on timing of appointments, interns observed a car seat inspection appointment at the hospital's car seat fitting station conducted by a certified CPS technician.

#### **Data Collection and Analysis**

All surveys were administered electronically using DatStat™ (Seattle, Washington). Participant surveys were coded with participant ID number and emailed to participants. No identifying information was collected. Data were extracted from DatStat™ into Excel, cleaned and analyzed in aggregate. The data are presented as the percent change in subjects answering correctly on the immediate post and 6 month follow-up surveys compared with baseline, with 95% confidence intervals (CI) calculated for each percent change.

#### **RESULTS**

All sixteen interns at the intervention site and all 40 interns at the control sites were invited to participate. Participation was completely voluntary and participants could drop out of the study at any time. All 16 intervention interns completed the initial survey, the educational intervention and the immediate post survey. Thirteen intervention interns (81%) completed the 6-month follow-up. The baseline survey was completed by 27/40 (67%) of interns at the control sites, 28/40 (70%) submitted a follow-up. The intervention and control groups were similar in age, experience with installing car seats, whether or not they had children and history of formal CPS training (Table 1).

**Table 1.** Characteristics of intervention and control groups.

	Intervention interns	Control interns	p - value	
Age 20–25 26–30 31–35 36–40 > 40	4 (25%) 11 (69%) 1 (6%) 0	3 (11%) 22 (79%) 1 (3%) 1 (3%) 1 (3%)	p = 0.23 p = 0.34 p = 0.70 p = 0.44 p = 0.44	
Have children	1 (6%)	3 (11%)	p = 0.59	
Never installed car seat	13 (81%)	20 (71%)	p = 0.59	
Formal CPSa training	0	0		

<sup>a</sup>CPS = child passenger safety

We conducted statistical tests of comparison of proportional changes pre- and 6 months post-intervention for each condition. Using a binomial test for proportions expressed as a z statistic we found that knowledge increased with the CPS intervention and was maintained over the 6-month follow-up period. Compared to baseline, 31% (95% CI 5.6, 56.1% [p<0.001] more intervention interns correctly identified the recommended criteria for transition from a rear- to forward-facing car seat both in the immediate post survey and the 6-month follow-up, while the control group demonstrated a 3.6% [95% CI 3.4, 10.6%][p>0.05] increase at 6 months. We observed changes in attitudes in the intervention group. For example, the proportion of intervention interns who agreed that booster seat use is 'very important' increased from baseline by 37.5% (95% CI 13.8, 61.2%) when measured immediately post the intervention and 29.5% (95% CI 4.7,54.3%)[p<0.05] at 6-month follow-up, compared to an increase of 1.6% (95% CI -3.1,6.3%)[p>0.05] from baseline to 6-month follow-up in the control group. I think that p values rather than confidence intervals, or both would be helpful.

The intervention also influenced behavioral intention and self-reported behaviors: the proportion of study interns who planned to give CPS guidance at all well child visits increased by 43.8% (95% CI 19.4, 68.1%) immediately post intervention and increased by 31.2% (95% CI 6.1,56.5%) [p=0.05] from baseline to 6 months, while the control group had no change. Other practice behaviors had similar changes (Table 2). Of note, the proportion of interns in the intervention group who cited lack of confidence in their knowledge as a reason that they don't ask caregivers about CPS at each well child visit dropped from 78% at baseline to 0% immediately post-intervention and at the 6-month follow-up. In the control group this percentage increased from 31% at baseline to 64% at the 6-month follow-up.

All three components of the educational module were rated favorably by the intervention interns: hands-on practice with car seats was rated as helpful or very helpful by 100%, the AAP video was rated as helpful or very helpful by 14 of the 16 participants, and 85% thought that observing a car seat fitting station appointment was helpful or very helpful. All participants stated they were either likely, or very likely to change their clinical practice as a result of the educational module, with all stating that increased confidence in their CPS knowledge was the main reason for the change.

#### DISCUSSION

In this study we were able to demonstrate that a 2-hour CPS educational intervention increases pediatric interns' knowledge, improves attitudes, and changes self-reported behaviors regarding CPS-related anticipatory guidance during well child visits. The intervention produced a sustained improvement in CPS knowledge, attitude and behavior, which exceeded those changes attributable to standard training experiences at 2 control residency programs.

There were several limitations to this study. First, intervention and control subjects were not randomly selected, but by the measures used, the groups appear to be similar. While both groups denied formal CPS training, we did not account for any differences in informal messaging or training at the respective continuity clinics that may have influenced the participants' attitudes/practices at baseline or follow-up. Our data also relies on self-report, which may be biased; however, this would likely be present in both groups. Research subjects were only followed for 6 months so it is not known if the intervention effect would be seen

Table 2. Percent change from baseline in subjects answering correctly

Target Area	Intervention Group			Control Group		
	% correct at baseline	%∆ immediate post (95%CI)	%∆ at 6 months (95%CI)	% correct at baseline	%∆ at 6 months (95%CI)	
Knowledge	Knowledge					
Transition from a RF to FF car seat	69.0%	+31.0% (8.3,53.7)	+31% (5.6,56.1)	64.3%	+3.6% (-3.4,10.6)	
Use of booster seat until 4'9"	62.5%	+37.5% (13.8,61.2)	+37.5% (11.2,63.8)	57.1%	+7.2% (-2.6,17.0)	
Back seat until age 13	31.3%	+62.5% (38.8,86.2)	+31.7% (6.4,57.0)	50.0%	0%	
Less than one inch movement at belt path	25.0%	+68.8% (46.0,91.5)	+59.6% (32.9,86.3)	46.4%	+14.3% (1.1,27.5)	
Attitude <sup>a</sup>	Attitude <sup>a</sup>					
CPS anticipatory guidance at all WCCs	75.0%	+12.5% (-3.7,28.7)	+3.6% (-2.9,38.7)	64.3%	-9.2% (-20.1,1.7)	
Child stays RF until 2 years	75.0%	+6.3% (-5.6,18.1)	+17.9% (-2.9,38.7)	67.9%	-1.6% (-6.3,3.1)	
Booster until 4'9"	56.3%	+37.5% (13.8,61.2)	+29.5% (4.7,54.3)	53.6%	+1.6% (-3.1,6.3)	
Back seat until 13 years	75.0%	+6.3% (-5.6,18.1)	+10.7% (-6.1,27.5)	64.3%	+8.1% (-2.2,18.4)	
Behavior	Behavior					
Give anticipatory guidance at all WCCs	18.8%	+43.8% (19.4,68.1) <sup>b</sup>	+31.3% (6.1,56.5)	51.7%	0%	
Ask if confident car seat is installed correctly (at least some visits)	25.0%	+68.8% (46.0,91.5) <sup>b</sup>	+32.1% (6.7,57.5)	37.9%	+3.5% (-3.4,10.4)	
Discuss when to turn from RF to FF (at least some visits)	37.5%	+62.5% (38.8,86.2) <sup>b</sup>	+41.1% (14.3,67.7)	79.3%	0%	
Discuss booster seat use (at least some visits)	25.0%	+75.0% (53.8,96.3) <sup>b</sup>	+39.3% (12.7,65.9)	69.0%	-10.4% (-21.9,1.1)	

CPS = child passenger safety, RF = rear-facing, FF = forward-facing, WCC=well child check;  $\Delta$  = change

beyond this time period. Finally, small sample size led to large, sometimes overlapping confidence intervals, however the percent changes observed in the study versus the control group are suggestive of an intervention effect.

#### CONCLUSION

A brief CPS educational intervention for pediatric interns may increase the likelihood, as well as nature, of CPS anticipatory guidance given to their clinic patients. Further investigation is needed to fully evaluate the efficacy of a CPS educational intervention with residency training programs. Further investigation of the effect of increased CPS knowledge and greater incorporation of this knowledge into clinical practice should also evaluate the effect this has on the retention of parental knowledge and change in parental behaviors around CPS.

#### **Acknowledgments**

We wish to acknowledge Brittni Henderson, CPST and Chelsea D'Angelo, CPST for performing the car-seat inspection observed by the study participants.

#### References

- National Highway Traffic Safety Administration. Traffic Safety Facts. Washington, DC2015.
- 2. Hagan JF, Shaw JS, Duncan PM. *Bright futures: Guidelines for health supervision of infants, children, and adolescents.* American Academy of Pediatrics Elk Grove Village, IL, 2008.
- 3. Reisinger KS, Williams AF, Wells JK, John CE, Roberts TR, Podgainy HJ. Effect of pediatricians' counseling on infant restraint use. *Pediatrics*. 1981,67(2):201-206.
- 4. Kelly B, Sein C, McCarthy PL. Safety education in a pediatric primary care setting. *Pediatrics*. 1987,79(5):818-824.
- 5. Chen J, Kresnow M-j, Simon TR, Dellinger A. Injury-prevention counseling and behavior among US children: results from the second Injury Control and Risk Survey. *Pediatrics*. 2007,119(4):e958-e965.
- 6. Zonfrillo MR, Sauber-Schatz EK, Hoffman BD, Durbin DR. Pediatricians' self-reported knowledge, attitudes, and practices about child passenger safety. *The Journal of pediatrics*. 2014,165(5):1040-1045 e1041-1042.
- 7. Combs-Orme T, Holden Nixon B, Herrod HG. Anticipatory guidance and early child development: pediatrician advice, parent behaviors, and unmet needs as reported by parents from different backgrounds. *Clinical pediatrics*. 2011,50(8):729-737.
- 8. Wright MS. Pediatric injury prevention: preparing residents for patient counseling. *Arch. Pediatr. Adolesc. Med.* 1997,151(10):1039-1043.

<sup>&</sup>lt;sup>a</sup>Reporting attitudes noted to be "very important"

<sup>&</sup>lt;sup>b</sup>Planned behavior change

#### **Authors**

- Dina Morrissey, MD, MPH, FAAP, CPSTI, Research Associate, The Injury Prevention Center at Rhode Island Hospital, Assistant Professor of Emergency Medicine, The Warren Alpert Medical School of Brown University, Providence, RI.
- Alison Riese, MD, MPH, Hasbro Children's Hospital, Assistant Professor of Pediatrics and Assistant Professor of Medical Science, Section of Medical Education, The Warren Alpert Medical School of Brown University, Providence, RI.
- Pina Violano, PhD, MSPH, RN-BC, CCRN, CPS-T, Manager, Injury Prevention, Community Outreach & Research - Yale-New Haven Hospital, Yale-New Haven Children's Hospital, New Haven, CT.
- Garry Lapidus, PA-C, MPH, Director, Connecticut Injury Prevention Center, Connecticut Children's Medical Center/ Hartford Hospital, Associate Professor of Pediatrics & Public Health University of Connecticut School of Medicine, Hartford, CT.
- Janette Baird, PhD, Senior Research Scientist, The Injury Prevention Center at Rhode Island Hospital, Associate Professor of Emergency Medicine (Research), The Warren Alpert Medical School of Brown University, Providence, RI.
- Michael J. Mello, MD, MPH, FACEP, Director, The Injury Prevention Center at Rhode Island Hospital, Professor of Emergency Medicine, Professor of Medical Science, Section of Medical Education, The Warren Alpert Medical School of Brown University; Professor of Health Services, Policy, and Practice, Brown University School of Public Health, Providence, RI.

#### **Disclosures**

No funding for this study was provided by National Institutes of Health (NIH); Wellcome Trust; or the Howard Hughes Medical Institute (HHMI).

No conflicts of interest are reported by any authors.

#### Correspondence

Dina Morrissey, MD The Injury Prevention Center at Rhode Island Hospital 55 Claverick Street Providence, RI 02903 401-444-5018 Fax 401-444-2249 dmorrissey@lifespan.org

## Concordance between Activated Partial Thromboplastin Time and Antifactor Xa Assay for Monitoring Unfractionated Heparin in Hospitalized Hyperbilirubinemic Patients

LEANA MAHMOUD, PharmD; ANDREW R. ZULLO, PharmD, ScM; DONALD MCKAIG, RPh; CHRISTINE M. BERARD-COLLINS, RPh, MBA

#### **ABSTRACT**

**BACKGROUND:** Activated partial thromboplastin time (aPTT) and antifactor Xa (anti-Xa) monitoring methods for unfractionated heparin (UFH) often disagree. The extent of discordance for those with elevated bilirubin remains unclear. Our objective was to evaluate concordance between activated aPTT and anti-Xa methods for hyperbilirubinemic patients on UFH.

**METHODS:** This was a retrospective cohort study of 26 patients hospitalized at Rhode Island Hospital between August 2014 and September 2014. Patients had at least one bilirubin measurement >5 mg/dL. After categorizing lab values, percent agreement and kappa were used to examine concordance between aPTT and anti-Xa.

**RESULTS:** Overall percent agreement between aPTT and anti-Xa was 50%. A nontherapeutic aPTT and therapeutic anti-Xa accounted for 98% of all disagreement. Specifically, 76.7% of disagreement was due to a subtherapeutic aPTT and a therapeutic anti-Xa. Unweighted kappa was 0.141 (95% CI: 0.048–0.235).

**CONCLUSION:** Concordance between aPTT and anti-Xa values was poor in hyperbilirubinemic patients.

**KEYWORDS:** Activated partial thromboplastin time, antifactor Xa heparin assay, unfractionated heparin, hyperbilirubinemia, Rhode Island

#### INTRODUCTION

Intravenous unfractionated heparin (UFH) is an anticoagulant frequently used to treat thromboembolic diseases.¹ Despite its beneficial anticoagulant effect, UFH is recognized as a high-risk medication by the Institute of Safe Medication Practices due to associated medication errors and adverse drug events (ADEs), including serious or fatal bleeding episodes.² ¹ Laboratory monitoring of UFH guides dosing to achieve therapeutic levels and avoid ADEs due to overor under-dosing. Monitoring of UFH was traditionally done using activated partial thromboplastin time (aPTT) since it was widely available and inexpensive.⁴ More recently, institutions have transitioned to using antifactor Xa levels (anti-Xa).⁴

Data suggest that the aPTT and anti-Xa tests are not

equivalent measures due to distinct limitations of each.<sup>5-8</sup> There is no single absolute numerical reference range for aPTT because it can vary between institutions,<sup>1</sup> which is a significant limitation that interferes with accurate assessment of a patient's intrinsic heparin activity across care settings.<sup>1</sup> Variation in aPTT occurs due to differences in collection, sample preparation, reagents, and instruments used between institutions.<sup>9</sup> Studies have shown that aPTT is also a more variable assay when compared to anti-Xa due to biologic variables.<sup>9</sup> For example, several conditions can affect the aPTT assay, such as factor deficiency, renal disease, and liver disease.<sup>4</sup> Even though anti-Xa is less affected by these laboratory and biological factors, it still can be affected by others like hyperbilirubinemia and hypertriglyceridemia.<sup>4, 9, 10</sup>

At Rhode Island Hospital, physicians identified hyperbilirubinemia as a particular concern due to its potential to interfere with valid assessment of intrinsic heparin activity. The threshold for hyperbilirubinemia to interfere is dependent on the lab reagent used, but many institutions use an upper total bilirubin level of 20 mg/dL.<sup>10</sup> Nonetheless, clinicians at our institution reported discordant values of anti-Xa and aPTT for patients with elevated bilirubin levels of just 5 mg/dL. In some cases, clinicians believed that the interference with assessment of heparin activity via anti-Xa had been obscured by hyperbilirubinemia, which may motivate improper dosing, increasing the risk for significant bleeding and thromboembolic events. Due to a deficit in published literature examining the concordance between anti-Xa and aPTT values in patients with hyperbilirubinemia, we aimed to examine the agreement between anti-Xa and aPTT in these patients.

#### **METHODS**

#### **Setting and Participants**

This was an exploratory single-center retrospective observational cohort study conducted at Rhode Island Hospital in Providence, RI. Data was collected for all patients on UFH intravenous infusion protocol from August 8, 2014 to September 8, 2014, a time period during which both anti-Xa and aPTT methods were available to clinicians for monitoring as the hospital transitioned to exclusively using anti-Xa for UFH protocol. We collected baseline data from the medical record admission history, including age, sex, height, actual body weight, and other relevant characteristics. Patients

included had ≥1 order for continuous intravenous UFH that was administered during their inpatient stay, a total bilirubin level >5 mg/dL, and both an aPTT and anti-Xa measurement at least once during their stay. At our institution, the normal range for bilirubin is 0.2-1.3 mg/dl. We therefore chose bilirubin >5mg/dL as the threshold for hyperbilirubinemia as it is approximately three times the upper limit of normal. Patients were excluded from the analysis if they did not have both aPTT and anti-Xa measured at least once on the same day. Patients were also excluded if their treating physician did not follow the hospital-approved UFH dosing nomogram. The study was approved by the Lifespan-Rhode Island Hospital Institutional Review Board.

#### **Measures**

Since we did not expect the aPTT and anti-Xa tests to be assessed using the same plasma sample (at exactly the same measurement time), we calculated the mean daily value of each test by patient. The mean daily lab values for aPTT and anti-Xa were then recoded from continuous variables to two dichotomous variables indicating whether the lab value for each test was in the therapeutic range or out of the therapeutic range for a given day. The first dichotomous variable was equal to 1 if the aPTT value was >=70 and <=100 seconds, 0 if otherwise.11 The second dichotomous variable was equal to 1 if anti-Xa was >=0.3 and <=0.7 units/mL, 0 otherwise. 11 For a secondary analysis, values of the dichotomous variables were recoded to multilevel categorical variables where 0 indicated subtherapeutic lab values (<70 seconds for aPTT and <0.3 units/mL for anti-Xa), 1 indicated therapeutic values (as above), and 2 indicated supratherapeutic values (>100 seconds for aPTT and >0.7 units/mL for anti-Xa).

#### Statistical Analysis

To describe the relationship between mean daily anti-Xa and aPTT levels, we plotted the anti-Xa values versus the aPTT values with a means-centered 95% confidence ellipse. Assuming a bivariate normal distribution, the ellipse shows where 95% of the data in a scatter plot should lie on average. Confidence ellipses also serve as visual indicators of correlations, where more circular ellipses indicate that two variables are uncorrelated and more diagonal ellipses indicates stronger correlations. 12,13 To complement the plot and describe the linear association between anti-Xa and aPTT, we additionally calculated the coefficient of determination (R2), thus allowing for interpretation of the correlation between measures independent of the scale of the plot.<sup>12</sup>

After creating categorical variables, we calculated the observed agreement between the aPTT and anti-Xa tests. Cohen's unweighted kappa (k) was also used to assess agreement between the dichotomous variables and both unweighted and weighted k were used to assess agreement between the ordinal variables. 5,6,8,14,15 Kappa is a more robust measure than percent agreement because it accounts for agreement due to chance. Kappa was interpreted using previously established benchmarks.<sup>16</sup> We calculated 95% confidence intervals for  $\kappa$  using an analytic method for the dichotomous variables<sup>17</sup> and 1,000 bootstrap replications for ordinal variables. 18,19 Statistical significance was based on a two-sided type 1 error of 0.05.

#### **RESULTS**

We identified 86 individuals with 1,236 lab measurements as our initial study population. Their mean (SD), median, and range of daily total bilirubin level during the inpatient stay was 5.4 (8.3) mg/dL, 1.2, and 0.2 to 34.2, respectively. Of 483 total days of patient stay for all patients in the cohort, a mean daily aPTT and anti-Xa levels were both available on 108 days (22.4%). There were 42 patients (49%) with complete information for anti-Xa, 53 patients (62%) with complete information for aPTT, and 26 patients (30%) with complete information for both. At baseline, patients were older, predominantly male, and typically admitted for acute coronary syndrome (Table 1). During the inpatient stay, the mean (SD), median, and range of daily total bilirubin level for these 26 patients was 5.2 (9.0) mg/dL, 0.8, and 0.2 to 34.2, respectively; these values stand in contrast to those at admission (baseline), which were 1.1 (1.3) mg/dL, 0.5, and 0.2 to 5.3.

Figure 1 depicts the distribution of mean daily anti-Xa versus aPTT levels. The R<sup>2</sup> was 0.32, indicating modest correlation. Table 2 shows that when the aPTT and anti-Xa levels disagree, the mismatch almost always occurs (98% of disagreement) because the anti-Xa level is therapeutic and the aPTT level is not. We observe the same result in Table 3, which shows that disagreement usually occurs (77% of

Figure 1. Mean Daily anti-Xa Versus Mean Daily aPTT Levels with 95% Confidence Ellipse

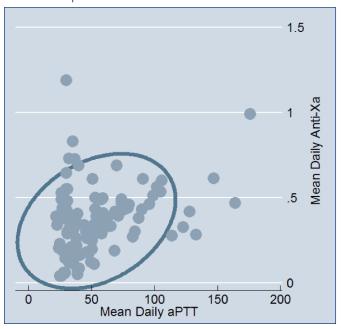


Table 1. Demographic and Clinical Characteristics of Patients at Admission

CHARACTERISTIC	(N=26)
Age at Admission – yr [mean (SD)]	66.5 (15.5)
Median	64.5
Range	23 to 88
Sex – no. (%)	
Male	17 (65.4)
Female	9 (34.6)
Weight – kg [mean (SD)]	84 (23.4)
Median	82.1
Range	43.6 to 137
International Normalized Ratio – mean (SD)	1.2 (0.2)
Median	1.1
Range	1 to 1.6
Total Bilirubin – mg/dL [mean (SD)]	1.1 (1.3)
Median	0.5
Range	0.2 to 5.3
Unfractionated Heparin Indication – no. (%)	
Acute Coronary Syndrome	12 (46.2)
Pulmonary Embolism and/or Deep Vein Thrombosis	5 (19.2)
Atrial Fibrillation or Flutter	2 (7.7)
Atrial Thrombus	1 (3.9)
Congestive Heart Failure	1 (3.9)
Extracorporeal Membrane Oxygenation	1 (3.9)
Ischemic Colitis	1 (3.9)
Ischemic Stroke	1 (3.9)
Portal Vein Thrombosis	1 (3.9)
Necrotic Bowel status post Short Bowel Resection	1 (3.9)
Hepatic Impairment – no. (%)	1 (3.3)
Yes	1 (3.9)
No	25 (96.1)
Factor Deficiency – no. (%)	25 (50.1)
Yes	0 (0)
No	26 (100)
Vitamin K Deficiency – no. (%)	20 (100)
Yes	0 (0)
No	0 (0) 26 (100)
Factor Xa Use – no. (%)	20 (100)
	2 (7 7)
Yes No	2 (7.7) 24 (92.3)
	24 (32.3)
Vitamin K Antagonist Use – no. (%)	2 (7.7)
Yes	2 (7.7)
No Direct Thrombin Inhibitor Lice and (%)	24 (92.3)
Direct Thrombin Inhibitor Use – no. (%)	0.(0)
Yes	0 (0)
No	26 (100)
Antiphospholipid Syndrome – no. (%)	4 (2.0)
Yes	1 (3.9)
No	25 (96.1)
Triglyceride Value >360 mg/dL – no. (%)	:
Yes	1 (3.9)
No	25 (96.1)

**Table 2.** Percent Agreement of Mean Daily aPTT and anti-Xa Therapeutic Classifications, Dichotomous Indicator

		аР		
		Non- therapeutic Therapeutic T		Total
Anti-Xa	Non- therapeutic	41 (37.9%)	1 (0.9%)	42
	Therapeutic	53 (49.1%)	13 (12%)	66
	Total	94	14	108

**Table 3.** Percent Agreement of Mean Daily aPTT and anti-Xa Therapeutic Classifications, Multilevel Indicator

	аРТТ					
			Sub- therapeutic	Therapeutic	Supra- therapeutic	Total
	Sub- therapeutic	34 (31.5%)	1 (0.9%)	2 (1.9%)	37	
Anti	i-Xa	Therapeutic	46 (42.6%)	13 (12%)	7 (6.5%)	66
	Supra- therapeutic	4 (3.7%)	0 (0%)	1 (0.9%)	5	
		Total	84	14	10	108

disagreement) when the aPTT level is subtherapeutic and the anti-Xa level is in the therapeutic range.

As shown in **Table 4**, the observed agreement between aPTT and anti-Xa levels was 50.00%. If each lab test had produced a value randomly, we would expect the aPTT and anti-Xa levels to agree 41.77% of the time. The unweighted kappa value was 0.141 (95%CI: 0.048–0.235), indicating poor agreement. Using the ordinal categorical indicator variables, the observed agreement between sub-, supra-, and therapeutic levels was 44.4%. We would expect the aPTT and anti-Xa levels to agree 35% of the time by chance. The unweighted kappa value associated with this was 0.145 (bias-corrected 95%CI: 0.070-0.173), indicating poor agreement.

The linear weighted kappa for the ordinal categorical indicator variables was 0.15 (bias-corrected 95%CI: 0.079–0.161), indicating poor agreement (**Table 5**). Similarly, the quadratic weighted kappa for the ordinal categorical indicator variables was 0.15 (bias-corrected 95%CI: 0.096-0.253).

#### **DISCUSSION**

We report the results of an exploratory retrospective cohort study of aPTT and anti-Xa UFH laboratory monitoring methods for anticoagulation in hyperbilirubinemic patients at a large academic medical center. The monitoring methods agreed approximately half of the time with a nontherapeutic aPTT and therapeutic anti-Xa accounting for nearly all of the disagreement

Table 4. Unweighted Kappa for Agreement Between aPTT and anti-Xa Measurements

A. Dichotomous Indicator Variable					
Observed Agreement	Expected Agreement	Карра	95%CI	<i>P</i> -value	
50.00%	41.77%	0.141	0.048-0.235	<0.01	
B. Multilevel Categorical Indicator Variable					
Observed Agreement   Expected Agreement		Карра	95%CI	<i>P</i> -value	
44.44%	35.00%	0.145	0.070-0.173	<0.01	

Table 5. Weighted Kappa for Agreement Between aPTT and anti-Xa Measurements

A. Linear Weights					
Observed Agreement	Expected Agreement	Kappa	95%CI*	<i>P</i> -value	
69.44%	64.11%	0.149	0.049-0.269	<0.01	
B. Quadratic Weights					
Observed Agreement	Expected Agreement	Карра	95%CI*	<i>P</i> -value	
81.94%	78.67%	0.153	0.009-0.313	<0.01	

<sup>\*</sup>Confidence intervals bias-corrected and calculated using 1000 bootstrap replications.

and 76.7% of disagreement due to a subtherapeutic aPTT and therapeutic anti-Xa. To our knowledge, this is one of the first studies examining the discordance between aPTT and anti-Xa among hyperbilirubinemic patients.

Even though guidelines recommend calibrating aPTT levels to anti-Xa levels, this practice has been questioned.<sup>5,</sup> <sup>11, 20-22</sup> Previous studies have shown that anti-Xa and aPTT assays poorly correlate and that about 50% of measurements are discordant, but did not examine the effect of hyperbilirubinemia on coagulation assays.<sup>5-8</sup> Those findings and our own results support the hypothesis that anti-Xa and aPTT often disagree, with disagreement among those with hyperbilirubinemia similar to those without. Our findings align with the laboratory study of Lippi et al., who concluded that bilirubin up to 20mg/dl does not significantly affect coagulation testing.<sup>23</sup> Kostousov et al. conducted an in vitro study of extracorporeal membrane oxygenation (ECMO) patients with hyperbilirubinemia and found that elevated bilirubin increased the aPTT level and decreased the anti-Xa level.<sup>24</sup>

A limitation of our study was that aPTT and anti-Xa levels were not measured at the same exact time, but measuring the two levels at the exact same time could produce different results. Our study was also limited by its single-center setting, small sample size, and the unclear cause of hyperbilirubinemia for many patients. A major strength of our study was its use of recent data and the application of statistical methodologies that have not been previously used to examine the discordance between aPTT and anti-Xa.

In conclusion, our study supports the evidence that aPTT and anti-Xa monitoring methods often disagree, but offers important new information to suggest that disagreement in patients with elevated total bilirubin is not dramatically different from that documented in the overall hospitalized

population. Clinicians should continue to use anti-Xa to assess coagulation status since it is less affected by laboratory and biological factors than aPTT. Alternatively, some studies have suggested the use of endogenous thrombin potential (ETP) as a more direct measure of heparin activity,<sup>7, 25</sup> but this assay is not widely available and requires further investigation to assess its clinical utility.

#### References

- 1. Garcia DA, Baglin TP, Weitz JI et al. Parenteral anticoagulants: Antithrombotic therapy and prevention of thrombosis, 9th ed: American college of chest physicians evidence-based clinical practice guidelines. *Chest.* 2012; 141(2 Suppl): e24S-43S.
- 2. Institute for Safe Medication Practices. Latest heparin fatality speaks loudly-what have you done to stop the bleeding? https://www.ismp.org/newsletters/acutecare/articles/20100408.asp (accessed 2015 August 27).
- 3. Pennsylvania Patient Safety Authority. Focus on high-alert medications. https://www.ismp.org/newsletters/acutecare/articles/20100408.asp (accessed 2015 August 28).
- Francis JL, Groce JB, 3rd and Heparin Consensus G. Challenges in variation and responsiveness of unfractionated heparin. *Pharmacotherapy*. 2004; 24(8 Pt 2): 108S-19S.
- 5. Price EA, Jin J, Nguyen HM et al. Discordant aptt and anti-xa values and outcomes in hospitalized patients treated with intravenous unfractionated heparin. *The Annals of pharmacotherapy*. 2013; 47(2): 151-8.
- 6. Baker BA, Adelman MD, Smith PA et al. Inability of the activated partial thromboplastin time to predict heparin levels. Time to reassess guidelines for heparin assays. *Archives of internal medicine*. 1997; 157(21): 2475-9.
- 7. Takemoto CM, Streiff MB, Shermock KM et al. Activated partial thromboplastin time and anti-xa measurements in heparin monitoring: Biochemical basis for discordance. *American journal of clinical pathology.* 2013; 139(4): 450-6.
- 8. Levine MN, Hirsh J, Gent M et al. A randomized trial comparing activated thromboplastin time with heparin assay in patients with acute venous thromboembolism requiring large daily doses of heparin. *Archives of internal medicine*. 1994; 154(1): 49-56.
- 9. Vandiver JW and Vondracek TG. Antifactor xa levels versus activated partial thromboplastin time for monitoring unfractionated heparin. *Pharmacotherapy*. 2012; 32(6): 546-58.
- 10. Intrumentation Laboratory. Bedford, MA; 2015.
- 11. Hirsh J, Bauer KA, Donati MB et al. Parenteral anticoagulants: American college of chest physicians evidence-based clinical practice guidelines (8th edition). *Chest.* 2008; 133(6 Suppl): 141S-59S.
- 12. Moore DS. Introduction to the practice of statistics. 8th edition. ed. New York, NY: W.H. Freeman and Co.; 2014.
- 13. Culyer AJ. The dictionary of health economics. Third edition. ed. Chelthenham, UK; Northhampton, Massachusetts: Edward Elgar; 2014.
- 14. Cohen J. A coefficient of agreement for nominal scales. *Educ Psychol Meas*. 1960; 20(1): 37-46.
- Cohen J. Weighted kappa nominal scale agreement with provision for scaled disagreement or partial credit. *Psychol Bull*. 1968; 70(4): 213-&.
- 16. Landis JR and Koch GG. The measurement of observer agreement for categorical data. *Biometrics*. 1977; 33(1): 159-74.

- 17. Fleiss JL, Levin B and Paik MC. Statistical methods for rates and proportions. 3rd ed. Hoboken, N.J.: J. Wiley; 2003.
- 18. Efron B and Tibshirani R. An introduction to the bootstrap. New York: Chapman & Hall; 1993.
- 19. Lee J and Fung KP. Confidence-interval of the kappa-coefficient by bootstrap resampling. Psychiat Res. 1993; 49(1): 97-98.
- 20. Cuker A. Raby A. Moffat KA et al. Interlaboratory variation in heparin monitoring: Lessons from the quality management program of ontario coagulation surveys. Thrombosis and haemostasis. 2010; 104(4): 837-44.
- 21. Olson JD, Arkin CF, Brandt JT et al. College of american pathologists conference xxxi on laboratory monitoring of anticoagulant therapy: Laboratory monitoring of unfractionated heparin therapy. Archives of pathology & laboratory medicine. 1998; 122(9): 782-98.
- 22. Baglin T, Barrowcliffe TW, Cohen A et al. Guidelines on the use and monitoring of heparin. British journal of haematology. 2006; 133(1): 19-34.
- 23. Lippi G, Plebani M and Favaloro EJ. Interference in coagulation testing: Focus on spurious hemolysis, icterus, and lipemia. Semin Thromb Hemost. 2013; 39(3): 258-66.
- 24. Kostousov V, Nguyen K, Hundalani SG et al. The influence of free hemoglobin and bilirubin on heparin monitoring by activated partial thromboplastin time and anti-xa assay. Archives of pathology ⊕ laboratory medicine. 2014; 138(11): 1503-6.
- 25. al Dieri R, Alban S, Beguin S et al. Thrombin generation for the control of heparin treatment, comparison with the activated partial thromboplastin time. J Thromb Haemost. 2004; 2(8): 1395-401.

#### **Authors**

- Leana Mahmoud, PharmD, Neurocritical Care Specialist, Department of Pharmacy, Rhode Island Hospital, Providence, RI.
- Andrew R. Zullo, PharmD, ScM, Investigator, Department of Health Services, Policy, and Practice, Brown University School of Public Health, Providence, RI; Clinical Pharmacist Specialist, Department of Pharmacy, Rhode Island Hospital,
- Donald McKaig, RPh, Medication Quality and Safety Specialist, Department of Pharmacy, Rhode Island Hospital, Providence, RI.
- Christine M. Berard-Collins, RPh, MBA, Director of Pharmacy, Rhode Island Hospital, The Miriam Hospital, and Bradley Hospital, Providence, RI.

#### **Disclosures**

Dr. Zullo is supported by an institutional AHRQ K12 Award (5K12HS022998-02).

### Correspondence

Andrew R. Zullo, PharmD, ScM Department of Health Services, Policy, and Practice Brown University School of Public Health 121 South Main Street Providence, RI, 02912 401-863-3172 andrew\_zullo@brown.edu

### An Atypical Presentation of a Small Bowel Obstruction in a Young Woman with a Congenital Omental Defect

XIAO C. ZHANG, MD, MS; THOMAS HARONIAN, MD

#### INTRODUCTION

Acute small bowel obstruction (SBO) is a common surgical emergency in which the normal flow of intraluminal intestinal contents is interrupted.1 The two most common etiologies for SBO are postoperative adhesions and hernias; additional risk factors include tumors, foreign body ingestion, and inflammatory bowel diseases. Prolonged obstruction can lead to bowel dilatation proximal to the obstruction, coupled with edematous bowel wall and loss of normal absorptive functions.2 If untreated, SBO can progress to compromised intestinal perfusion, causing perforation, infection, and death; up to 42% of SBO are complicated by ischemia with significantly increased mortality.3-4 Initial symptoms include periumbilical cramping, nausea, vomiting, obstipation and abdominal distention, while sudden onset of sharp, focalized abdominal pain is suggestive of peritoneal irritation secondary to acute perforation.5-6

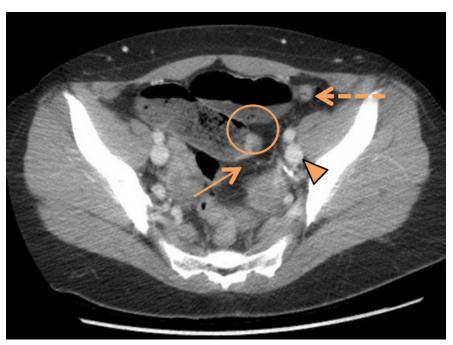


Figure 1. CT abdomen pelvis w/contrast of a small bowel obstruction of in a young woman with a left congenital omental defect. Specific findings include the transition point in the left pelvis (arrowhead), prominent loops of dilated distal ileum with air-fluid levels (dashed arrow), a small bowel feces sign (circled) and adjacent free fluid within the right pelvis (solid arrow).

### **CASE REPORT**

A 35-year-old woman with no past medical or surgical histories presented to the ED with sudden onset of epigastric pain that radiated to her left flank and left lower quadrant. She had never experienced this discomfort in the past and had a normal bowel movement shortly prior to presentation. Review of systems was negative for urinary or vaginal symptoms, constipation, diarrhea, hematochezia, and melena. She denied any history of pelvic inflammatory diseases or inflammatory bowel diseases or abdominal trauma; she had an unremarkable colonoscopy with excision of a non-malignant polyp 5 years ago for rectal bleeding after vaginal birth.

On arrival to the ED, she was afebrile with blood pressure 141/97 mm Hg and pulse rate 77 beats/min. Her initial exam was remarkable for mild left epigastric tenderness with minimal left flank tenderness without any rebound tenderness or guarding. Complete blood count (CBC), comprehensive metabolic panel (CMP), lipase, urinalysis, and serum pregnancy tests were normal. Throughout the ED stay, her symptoms gradually worsened with more frequent, intermittent episodes of abdominal pain, requiring repeated dosages of morphine, ondansetron and GI-cocktail (aluminum-magnesium, hydroxide-simethicone suspension with viscous lidocaine 2%) with interval improvement. An abdomen CT with IV-contrast showed a small bowel obstruction located in the left pelvis (Figure 1).

The patient was kept NPO and taken to the operating room for diagnostic laparoscopy that revealed a loop of small bowel herniating through an omental defect. The small bowel was reduced without signs of ischemic injuries; the omental defect was lysed and the appendix was also removed. The patient was transferred to the surgical floor where she was able to be discharged home on hospital day 3 taking pantoprazole and oral analgesia.

### DISCUSSION

This is an unusual presentation of an acute SBO secondary to an internal hernia through a congenital omental defect. This case is presented due to the rarity of the disease process and to review unique CT findings for SBO, as well as emphasizing the importance of frequent patient reassessment.

Internal hernia is a protrusion of an intraperitoneal viscus within the peritoneal cavity and it is a very rare etiology for bowel obstruction, especially in the absence of abdominal surgeries, trauma or inflammation. While only 0.2 to 5.8% of intestinal hernias are due to internal hernia, the mortality may exceed 50% if there is strangulation.7-9 Traditionally, internal hernias through the omentum occur in a bimodal distribution in both pediatric and adult patients. Pediatric omental hernias are associated with congenital defects and occur in 35% of pediatric internal hernia; adult mesenteric hernias, in contrast, often occur after abdominal surgeries, especially gastric bypass with Roux-En-Y anastomosis. 10 Adult patients with mensenteric hernia after a recent gastric bypass present more acutely with periumbilical crampy pain, nausea, and distention; vomiting is less prominent due to decreased gastric secretions from a surgically reduced stomach.<sup>11</sup> Due to the vague symptoms, compounded by disease rarity, a high level of suspicion should be kept for SBO on the differential diagnosis for acute abdominal pain, especially since early intervention can prevent unnecessary resection anastomosis.

Abdominal computed tomography (CT) is the imaging modality of choice in the ED for SBO due to its ability to identify the etiology, the location, as well as the severity and complications of the obstruction. 12 The ability to detect SBO via CT depends on the various imaging slice widths; the sensitivity and specificity can be as high as 96% and 100% for a 0.75mm slice thickness study, or as low as 79% and 87% for a 50mm slice thickness study. 13-14 The presence of intraluminal fluid within the dilated bowel loops can also provide imaging enhancement to help delineate bowel wall pathologies. 15 Common SBO findings on CT include dilated proximal bowel with multiple air fluid levels, a distal collapsed bowel and a localizable transition point. Additional CT findings include submucosal edema, mesenteric edema, "target sign" (intussusception), "whirl sign" (volvulus), and "venous cut-off sign" (thrombosis). 16-18 Another rare CT finding for SBO is the small bowel feces sign (SBFS), defined by the presence of feculent material mingled with gas bubbles in the small intestinal lumen, as seen in our patient's CT (Figure 1). The etiology of SBFS is thought to be secondary to bacterial overgrowth and increased water absorption in the distal small bowel from delayed intestinal transit and obstruction. While rare (prevalence as low as 7–8%), SBFS has a high specificity for subacute or low-grade SBO because the presence of increased water absorption indicates slowed, but not halted intestinal transit. 19-20

Once diagnosed, management of SBO depends on the severity of the obstruction. Patients with partial or uncomplicated obstruction (without ischemia or perforation) may be observed with appropriate volume resuscitation, electrolyte repletion and gastric decompression, while patients with complicated SBO require prompt surgical exploration.<sup>21-22</sup>

### CONCLUSION

Small bowel obstruction is a surgical emergency commonly associated with post-operative adhesions or hernias and can result in intestinal perforation from intraluminal dilatation. Omental or mesenteric hernias in adults are often seen after abdominal surgeries such as gastric bypass, and may present with vague abdominal symptoms. CT abdomen is the imaging modality of choice due to its high sensitivity and specificity; common SBO CT findings include air-fluid levels, dilated loops of bowel and collapsed distal bowel both proximal and distal to the transition point, respectively. The small bowel feces sign (SBFS) is a rare but specific CT finding for low grade SBO and should prompt immediate surgical consult in the absence of other radiographic findings for SBO. Patients diagnosed with partial or uncomplicated SBO can be managed conservatively, while signs of bowel ischemia and perforation warrant immediate surgical intervention.

#### References

- 1. Miller G. Boman I. Shrier I. Gordon PH. Natural history of patients with adhesive small bowel obstruction. Br J Surg 2000;
- Wright HK, O'Brien JJ, Tilson MD. Water absorption in experimental closed segment obstruction of the ileum in man. Am J Surg 1971; 121:96.
- Noer RJ, Derr JW, Johnston CG. The Circulation of the Small Intestine: An Evaluation of its Revascularizing Potential. Ann Surg 1949; 130:608.
- Markogiannakis H, Messaris E, Dardamanis D, et al. Acute mechanical bowel obstruction: clinical presentation, etiology, management and outcome. World J Gastroenterol 2007; 13:432.
- Cheadle WG. Garr EE. Richardson ID. The importance of early diagnosis of small bowel obstruction. Am Surg 1988; 54:565.
- Flasar MH, Goldberg E. Acute abdominal pain. Med Clin North Am 2006; 90:481.
- Mathieu D, Luciani a. Internal abdominal herniations. AJR 2004; 83:397-404.
- Ghahremani GG. Abdominal and pelvic hernias. In: Gore RM, Levine MS, eds. Textbook of gastrointestinal radiology, 2nd ed. Philadelphia, PA: Saunders, 2000:1993-2009.
- Newsom BD, Kukora JS. Congenital and acquired internal hernias: unusual causes of small bowel obstruction. Am J Surg 1986; 152:279-284.
- 10. Ghahremani GG. Abdominal and pelvic hernias. In: Gore RM, Levine MS, eds. Textbook of gastrointestinal radiology, 2<sup>nd</sup> ed. Philadephila, Pa: Saunders, 2000:1993-2009.
- 11. Martin L, Merkle E, Thompson W. Review of Internal Hernias: Radiographic and Clinical Findings. Am J Roet. 2006;186:703-717.
- 12. Megibow AJ, Balthazar EJ, Cho KC, et al. Bowel obstruction: evaluation with CT. Radiology 1991; 180:313.
- Mallo RD, Salem L, Lalani T, Flum DR. Computed tomography diagnosis of ischemia and complete obstruction in small bowel obstruction: a systematic review. J Gastrointest Surg 2005;

- 14. Shakil O, Zafar SN, Saleem S, et al. The role of computed tomography for identifying mechanical bowel obstruction in a Pakistani population. J Pak Med Assoc 2011; 61:871.
- Fukuya T, Hawes DR, Lu CC, et al. CT diagnosis of small-bowel obstruction: efficacy in 60 patients. AJR Am J Roentgenol 1992; 158:765.
- Ho YC. "Venous cut-off sign" as an adjunct to the "whirl sign" in recognizing acute small bowel volvulus via CT scan. J Gastrointest Surg 2012; 16:2005.
- Balthazar EJ, Birnbaum BA, Megibow AJ, et al. Closed-loop and strangulating intestinal obstruction: CT signs. Radiology 1992; 185:769.
- Zalcman M, Sy M, Donckier V, et al. Helical CT signs in the diagnosis of intestinal ischemia in small-bowel obstruction. AJR Am J Roentgenol 2000; 175:1601.
- 19. Fuchsjäger MH. The small-bowel feces sign. Radiology. 2002;225 (2): 378-9.doi:10.1148/radiol.2252010976 Pubmed citation
- Mayo-smith WW, Wittenberg J, Bennett GL et-al. The CT small bowel faeces sign: description and clinical significance. Clin Radiol. 1995;50 (11): 765-7. -Pubmed citation
- Oyasiji T, Angelo S, Kyriakides TC, Helton SW. Small bowel obstruction: outcome and cost implications of admitting service. Am Surg 2010; 76:687.
- 22. Diaz JJ Jr, Bokhari F, Mowery NT, et al. Guidelines for management of small bowel obstruction. J Trauma 2008; 64:1651.

#### **Authors**

Xiao C. Zhang, MD, MS, Department of Emergency Medicine, Alpert Medical School of Brown University, Providence, RI. Thomas Haronian, MD, Department of Emergency Medicine,

Alpert Medical School of Brown University, Providence, RI.

### **Funding and Support**

No disclosures.

### Correspondence

Thomas Haronian, MD
Xiao C. Zhang, MD, MS
Department of Emergency Medicine,
Alpert Medical School,
593 Eddy Street, Claverick 100,
Providence, RI 02903
xzhang1@lifespan.org
tharonian@lifespan.org

### The Rhode Island Special Needs Emergency Registry – An Opportunity for Expanding the Healthcare Provider's Role in Health Equity

JAMES C. RAJOTTE, MS; AKSHAR PATEL, MPH; JAMES COYNE, MS; BRITTAN BATES-MANNI, MS

"Every American should have the opportunity to be as healthy as he or she can be. Every community should be safe from threats to its health. And all individuals and families should have a high level of services that protect, promote, and preserve their health, regardless of who they are or where they live."

— Trust for America's Health (TFAH)<sup>1</sup>

These insightful words provided by TFAH reflect Rhode Island's aspiration to achieve health equity, but require expansion to address health security. All citizens should have the opportunity to be healthy by accessing services regardless of who they are, where they live, and whether or not an emergency is happening. The American Medical Association's *Declaration of Professional Responsibility* references that the healthcare community, as a whole, takes ownership for safeguarding the health of patients who are under medical care to earn society's trust in the healing profession.<sup>2</sup> Thus, do providers inherently challenge themselves to consider what they can do for patients to also prevent health disparities from occurring during and after a disaster?

Rhode Islanders who report having a disability or diabetes are more likely to be prepared (25.1% and 26.2%, respectively) than those without a disability or diabetes (18.3% and 19.2%, respectively).<sup>3</sup> The Federal Emergency Management Agency (FEMA) and Ad Council report 81% of Americans are not very prepared for an emergency,<sup>4</sup> increasing the likelihood for relying upon outside assistance. Being prepared should include having an emergency plan and an emergency three-day supply of water, food, and medications. Higher personal and community preparedness can minimize reliance upon first responders for the first three days after an emergency.

The Rhode Island Special Needs Emergency Registry (RISNER) was established in 2007 by the Rhode Island Department of Health (RIDOH) and the Rhode Island Emergency Management Agency. RISNER strives to identify individuals with disabilities, chronic conditions, or other special healthcare needs. Residents who use life support systems, have mobility or assistive devices, utilize a service animal, or require assistance due to cognitive/developmental needs are the primary focus. Residents of assisted living/nursing facilities are not eligible for enrollment since those facilities have trained medical staff and are already prioritized by first responders (e.g., police, fire, and emergency medical services).

Outreach has predominantly focused on community-based organizations, first responders, and individuals. The information within RISNER is kept strictly confidential at the state/municipal level. Data are only shared with first responders to assist in responding to 911 calls and with local/state emergency management staff to protect individuals' safety and well-being during emergencies. While enrollment in RISNER does not guarantee assistance, the system allows first responders to effectively plan for, prepare for, and respond to community needs. This article briefly describes the demographics of enrollees and recommends ways RISNER can be utilized as a tool for healthcare providers seeking to protect and prepare their patient population.

### **METHODS**

RISNER data are updated through an annual mailing to all enrollees in addition to reconciliation with the Center for Vital Records. Incomplete data due to self-registration utilized for enrollment can occur. A dataset containing 14,836 individuals enrolled in RISNER as of December 31, 2015 was updated to remove individuals with either an invalid year of birth (<1903) or a missing date of birth to standardize association of disability data to age groups. The data presented herein were gathered from a one-page enrollment form containing 69 data entry fields. While RISNER prioritizes collection of predefined disabilities important to consider during emergencies, individuals can enter "other disabilities" using text boxes on the enrollment form. Individuals enrolled with only "other disabilities" reported were excluded. A total of 13,175 enrollees were reviewed, grouped, and analyzed using SAS® 9.3.

Demographics were grouped, where applicable, for ease of comparison. Grouping included core cities (i.e., those with highest childhood poverty levels) versus non-core to compare geographic enrollment. **Table 1** illustrates the fields combined for this report using the available data entry fields. These fields were used to generate 13 traits that were then consolidated to create six major characteristic variables. These were utilized to create three main categories (i.e., mobility, life support, and sensory/cognitive) for the disabilities/conditions. The number of categorical disability types selected by an enrollee was also calculated as having a single category, two categories, or all categories.

Table 1. Disability Variables

Category	Characteristic	Trait	Field(s) combined
Mobility	Uses Assistive Aid	Mobility Device	Uses Wheelchair/Mobility Vehicle Uses Walker/Cane Uses Crutches
		Prosthetic Device	Uses Prosthesis
		Assistive Animal	Uses Assistive Animal
	Confined to Bed	Confined to Bed	Is Confined to Bed
Life Support	Dependent on Mechanical Device	Oxygen / Respirator / Ventilator	Uses Oxygen Uses Tanks Uses Concentrator Uses Respirator/Ventilator Has Battery Backup for Unit
		Pacemaker / Defibrillator	Uses Electrical Uses Pacemaker Uses Defibrillator
	Dependent on Treatment	Insulin	Is Insulin-Dependent
		Dialysis	Uses Dialysis On Dialysis at Home On Dialysis at Clinic
Sensory/ Cognitive	Has Sensory	Auditory	Is Hard of Hearing Is Deaf Uses Hearing Aids
	Impairment	Visual	Is Visually Impaired Is Legally Blind
	Has Cognitive/ Muscular Condition	Neurological Disorder	Has Seizure Disorder Has Autism Spectrum Disorder Has Alzheimer's/Dementia Has Cognitive/Developmental Delay
		Speech Impairment	Is Speech Impaired Has Non-Verbal Impairment
		Psychiatric Disorder	Has Psychiatric Condition

### **RESULTS**

**Table 2** describes the overall composition of RISNER enrollees' demographics collected on the registration form. The majority of enrollees (77.6%) reported their race as white, 10.9% as non-white, 4.9% as multi-racial, and 6.6% did not report race. Similarly, a total of 91.0% of enrollees indicated a language preference for communications or assistance in English, with 9.0% indicating a language other than English. Enrollees spanned across the age spectrum from birth to over 100 years of age (data not shown). Only 28.1% of enrollees reported living in core cities that include nearly two-thirds of the state's poor children.

Table 3 depicts the categories, characteristics, and traits of disabilities identified. A total of 42.3% of enrollees identified only one disability-type, with sensory/cognitive being the most common (21.0%). A similar percentage (40.3%) of enrollees identified themselves as having two disability-types, with mobility and sensory/cognitive being the most frequent combination (21.9%). Only 17.9% of enrollees identified as having all three types. Over one-third of individuals reported at least one of the following characteristics: uses assistive aids (53.8%), has sensory impairments (40.0%), or

has a diagnosis with cognitive/neurological conditions (35.9%). In terms of characteristic-specific traits, the use of a mobility device (53.2%), dependency on pulmonary devices, such as oxygen or a respirator (13.3%), or diagnosis with neurological con-

**Table 2.** Demographics by Age, Race, Language, and Location, 2007–2015

Total Cample	N	%
Total Sample	13,175	100
Age		
Children	871	6.6
0-6 Years	168	1.3
7-17 Years	703	5.3
Adults	12,304	93.3
18-24 Years	427	3.2
25-44 Years	1,257	9.5
45–64 Years	3,239	24.6
65 Years and Older	7,381	56.0
Race		
Single Race	11,666	88.5
White	10,225	77.6
Non-White	1,441	10.9
Multi-Race	644	4.9
Unreported Race	865	6.6
Preferred Languag	je	
English	11,992	91.0
Non-English	1,145	8.6
Spanish	741	5.6
Portuguese	150	1.1
Other	254	1.9
American Sign Language	38	0.3
Living Location		
Core Cities	3,701	28.1
Providence	2,141	16.3
Pawtucket	802	6.1
Woonsocket	518	3.9
Central Falls	240	1.8
Non-Core Cities	9,474	71.9

Note: Additive values of groups are not exact due to rounding percentages.

ditions (28.9%) were most common.

Relationship status of the registrant to the enrollee is outlined in **Table 4**. Self-enrollment was most common (69.0%) followed by enrollment by a personal contact (21.7%). The lowest registrants were service providers (9.2%), where only 1.0% of enrollments were represented by healthcare workers. The remaining 8.1% of service providers were social workers/case managers.

Table 3. Enrollment by Disability Variables, 2007–2015

Total Canada	N	%
Total Sample	13,175	100
Disability Category		
Single Disability-Type	5,508	42.3
Mobility	1,395	10.6
Life Support	1,410	10.7
Sensory/Cognitive	2,703	21.0
Two Disability-Types	5,304	40.3
Mobility & Life Support	1,355	10.3
Mobility & Sensory/Cognitive	2,884	21.9
Life Support & Sensory/Cognitive	1,065	8.1
All Disability-Types	2,363	17.9
Mobility Characteristics		
Uses Assistive Aid *	7,091	53.8
Mobility Device	7,012	53.2
Prosthetic Device	209	1.6
Assistive Animal	69	0.5
Confined to Bed	399	3.0
Life Support Characteristics		
Dependent on Mechanical Device *	2,343	17.8
Oxygen/Respirator/Ventilator	1,749	13.3
Pacemaker/Defibrillator	796	6.0
Dependent on Treatment *	2,271	17.2
Insulin	1,360	10.3
Dialysis	1,143	8.7
Sensory/Cognitive Characteristics	,	
Has Sensory Impairment *	5,270	40.0
Auditory	3,281	24.9
Visual	3,086	23.4
Has Cognitive/Muscular Condition *	4,733	35.9
Neurological Disorder	3,810	28.9
Speech Impairment	1,658	12.6
Psychiatric Disorder	1,121	8.5

Notes: All trait percentages represent presence among entire sample size.

\* Includes individuals who reported one, two, or all three traits within the denoted characteristic.

### **DISCUSSION**

Using the total number of enrollees (N=13,175), RISNER enrollment can be estimated at 1.0% of the state's population. Enrollment appears low compared to the U.S. Census estimate<sup>5</sup> (12.8%) and Disability and Health Program estimate (19.0%)<sup>6</sup> for the number of non-institutionalized Rhode Islanders with disabilities. Aside from lack of awareness, enrollment might be limited by a belief that preparing won't help.<sup>4</sup> A person's decision to not enroll may be based

**Table 4.** Enrollment by Registration Method, 2007-2015

	N	%	
Total Sample	13,175	100	
Relationship To Enrollee			
Self	9,093	69.0	
Personal Contact	2,866	21.7	
Family/Friend	2,810	21.3	
Caregiver	56	0.4	
Service Provider	1,216	9.2	
Social Worker/Case Manager	1,060	8.1	
Healthcare Worker	128	1.0	
Other Professional	28	0.1	

on one's perception of not having a disability or not needing assistance from others.

Increased outreach activity to raise awareness about RIS-NER and improve inclusion in the registry is needed. Outreach efforts that leverage the lowest registering groups (i.e., healthcare workers) may help diversify and increase enrollment. Primary care practices, select specialties (e.g., geriatricians, ophthalmologists, podiatrists), and health center providers may help to reach individuals who should be enrolled, regardless of age, language, race, or geography. As efforts are underway to reduce health disparities among Rhode Islanders already at-risk, healthcare providers can help us reach new audiences. The results from this article create an opportunity for healthcare workers to play a pivotal role for improving the resiliency of Rhode Island patients.

There are a few study limitations. Gender was unavailable for analysis because the default value for the field was previously set to female and therefore, cannot be validated. Trend data and a review of all enrollment/disenrollment data since inception could not be included given changes to the dataset resulting from technology improvements to RISNER. Form design limited the data set and how fields were merged into categorical variables. While RISNER data are updated through ongoing self-reports and annual matches to vital records, there remains a chance that a small data percentage may be attributed to deceased individuals.

### **MOVING FORWARD**

In 2016, RISNER began improving data collection with a revised form that obtains information on enrollees' living situations, transportation access, and conditions such as morbid obesity. The intent of this first improvement is to allow for further analyses that can provide more detailed community profiles to local responders and emergency planners. Secondly, a shift in the outreach strategy for RISNER will be aimed at balancing enrollment across population groups. Targeted outreach in this manner aims to ensure

equal access to RISNER. By working together, public health and healthcare can safeguard the health of the population.

Eliminating current health disparities and preventing new disparities from occurring before, during, or after an emergency remains a strategic priority for RIDOH. Healthcare provider collaboration on the use of RISNER as a tool for helping Rhode Island assure health equity and security is feasible. Dialogues with healthcare providers on how to promote RISNER is a start. Providers can then enroll patients and provide informational resources to help improve personal preparedness among those with special healthcare needs. In addition, healthcare providers can partner with RIDOH as part of the local emergency management system that utilizes RISNER to conduct activities such as wellness calls before, during, and after an emergency. To enroll someone or learn more, visit: http://www.health.ri.gov/emregistry/.

#### References

- 1. Institute of Medicine. (2015). Healthy, resilient, and sustainable communities after disasters: Strategies, opportunities, and planning for recovery. Washington, DC: The National Academies Press.
- 2. American Medical Association. (2001). Declaration of professional responsibility: Medicine's social contract with humanity. Chicago, IL: American Medical Association.
- 3. Rajotte, J., et al. (2015). "Understanding the disparities of citizen health preparedness can providers help close the gaps?" *Rhode Island Medical Journal*. Providence, RI: Rhode Island Medical Society. 98(10):38-42.
- Federal Emergency Management Agency. (2014). "Preparedness in America: research insights to increase individual, organizational, and community action." Washington, DC: U.S Department of Homeland Security.
- U.S Census Bureau. (2014). "Selected social characteristics in the United States." American Community Survey 5-Year Estimates. Washington, DC: U.S Department of Commerce.
- Rhode Island Department of Health. (2014). "Disability and health 2014 annual report." Providence, RI. Retrieved online: http://health.ri.gov/publications/annualreports/2014DisabilityAndHealth.pdf

### **Acknowledgments**

Special thanks to community partners and other programs at RIDOH for continued collaboration. This article was supported by funding from the Centers for Disease Control and Prevention (CDC) Public Health Emergency Preparedness Cooperative Agreement. The authors declare no conflicts of interest. The findings and conclusions in this article are those of the authors and do not necessarily represent the views of the CDC.

### **Authors**

- James C. Rajotte, MS, Health Policy Analysts and James Coyne, MS, is a Senior Public Health Promotion Specialist in the Center for Emergency Preparedness and Response at RIDOH.
- Brittan Bates-Manni, MS, Health Policy Analysts and James Coyne, MS, is a Senior Public Health Promotion Specialist in the Center for Emergency Preparedness and Response at RIDOH.
- Akshar Patel, MPH, is a Programming Services Officer and RISNER Data Manager in the Division of Preparedness, Response, Infectious Disease, and Emergency Medical Services at RIDOH.

### Correspondence

James C. Rajotte, MS Rhode Island Department of Health Three Capitol Hill – Lower Level Providence, RI 02908 www.health.ri.gov

### **Rhode Island Monthly Vital Statistics Report** Provisional Occurrence Data from the Division of Vital Records

	REPORTING PERIOD	)		
MITAL EVENITS	SEPTEMBER 2015	SEPTEMBER 2015 12 MONTHS ENDING WITH SEPTEMBER 2		
VITAL EVENTS	Number	Number	Rates	
Live Births	949	11,523	10.9*	
Deaths	787	10,407	9.9*	
Infant Deaths	7	70	6.1#	
Neonatal Deaths	5	58	5.0#	
Marriages	948	6,630	6.3*	
Divorces	274	2,997	2.8*	
Induced Terminations	197	2,625	227.8#	
Spontaneous Fetal Deaths	49	621	53.9#	
Under 20 weeks gestation	44	567	54.5#	
20+ weeks gestation	5	54	4.7#	

<sup>\*</sup> Rates per 1,000 estimated population

<sup>#</sup> Rates per 1,000 live births

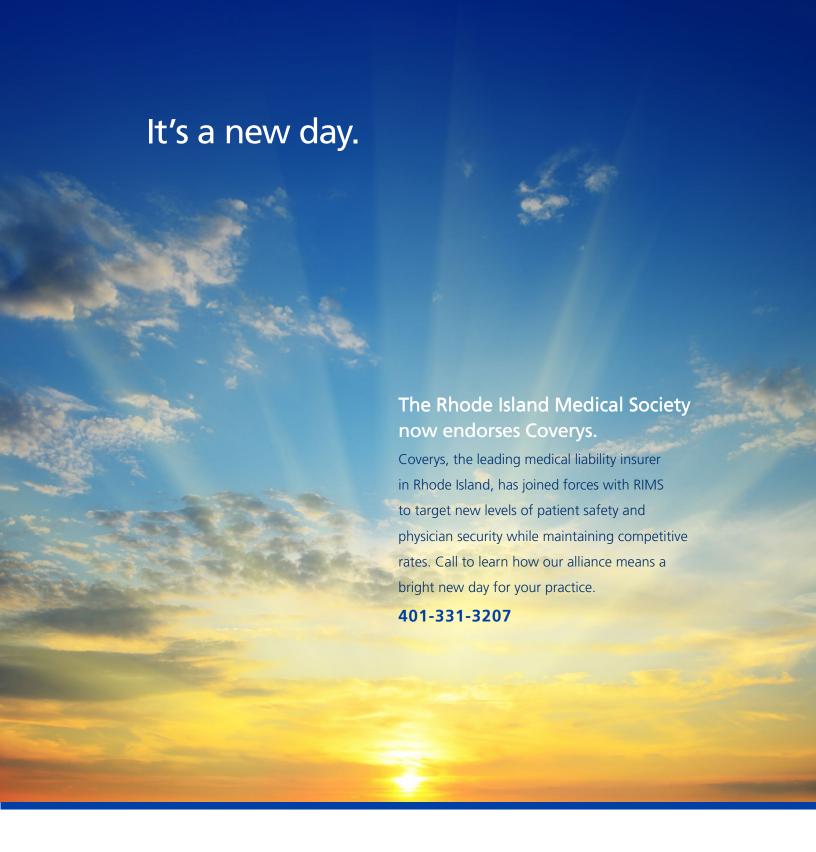
	REPORTING PERIOD			
Underlying Course of Dooth Cotogon	MARCH 2015	12 MONTHS ENDING WITH MARCH 2015		
Underlying Cause of Death Category	Number (a)	Number (a)	Rates (b)	YPLL (c)
Diseases of the Heart	198	2,415	229.3	3,699.5
Malignant Neoplasms	187	2,244	213.1	5,522.0
Cerebrovascular Disease	46	422	40.1	490.0
Injuries (Accident/Suicide/Homicide)	74	789	74.9	11,931.0
COPD	62	552	52.4	655.0

<sup>(</sup>a) Cause of death statistics were derived from the underlying cause of death reported by physicians on death certificates.

NOTE: Totals represent vital events, which occurred in Rhode Island for the reporting periods listed above. Monthly provisional totals should be analyzed with caution because the numbers may be small and subject to seasonal variation.

<sup>(</sup>b) Rates per 100,000 estimated population of 1,055,173 (www.census.gov)

<sup>(</sup>c) Years of Potential Life Lost (YPLL).









### Working for You: RIMS advocacy activities

### February 1, Monday

Interview with Providence Business News regarding Zika virus; Christine Brousseau, MD

RIMS Council Meeting

### February 2, 2016

RIMS Physician Health Committee: Herbert Rakatansky, MD, Chair Interview on *Dan Yorke State of Mind* television show; Sarah Fessler, MD, President-elect, and Steve DeToy, Director of Government and Public Affairs

Legislative hearings

### February 3, Wednesday

Workers Compensation Advisory Council Legislative hearings

House Majority Leader DeSimone fundraiser

### February 4, Thursday

Conference call regarding amicus briefs defending confidentiality of Patient Safety Organization data in a liability case involving a Rhode Island physician and a hospital

Legislative hearings

Senate Majority Leader Ruggerio fundraiser

### February 9, Tuesday

Conference call regarding Emergency Department Information Exchange/ possible legislation, Gary Bubly, MD, Past President, and RIMS legal Counsel

### February 10, Wednesday

Board of Medical Licensure and Discipline presentation on RIMS' legislative agenda

Governor's Overdose Prevention and Intervention Task Force, Josiah Rich, MD; Gary Bubly, MD; Peter Karczmar, MD

Legislative hearings

House Majority Whip Edwards fundraiser

### February 11, Thursday

Legislative hearings

State Innovation Model Steering Committee; Peter A. Hollmann, MD

### February 12, Friday

Meeting with health insurers regarding telemedicine legislation

### February 16, Tuesday

Meeting with RIMS Sponsor Shred-it

### February 17, Wednesday

Primary Care Physician Advisory Committee

Conference call regarding RIMS' outreach

### February 18, Thursday

SIM Population Health and Behavioral Health Subcommittee

Secretary of State seminar on lobbying legislation, reporting, and compliance regulations

### February 19, Friday

Meeting with Providence Health Centers regarding potential nutrition grant; Stanley Block, MD

### February 22, Monday

Meeting with Neighborhood Health Plan

### February 23, Tuesday

Sarah Fessler, MD, President-elect, and Steve DeToy, Director of Government and Public Affairs, attend AMA National Advocacy Conference and meet with Congressional delegation

Secretary Roberts Provider Advisory Council at RIMS



On February 23, **Sarah Fessler, MD**, Presidentelect, and **Steve DeToy**, Director of Government and Public Affairs, attended AMA National Advocacy Conference in Washington, DC to meet with **US Senator Jack Reed** (above left) and other members of the Rhode Island Congressional delegation.

### February 24, Wednesday

Legislative Hearings

### February 25, Thursday

Mental Health and Substance Abuse Coalition Meeting; Steve DeToy, RIMS Director of Government and Public Affairs, Co-chair

Meeting with insurers regarding telemedicine legislation

Legislative Hearings



## AFFINITY PROGRAM

The Rhode Island Medical Society continues to drive forward into the future with the implementation of various new programs. As such, RIMS is expanded its affinity program to allow for more of our colleagues in healthcare and related business to work with our membership.

RIMS thanks these participants for their support of our membership.

Contact Megan Turcotte for more information: 401-331-3207 mturcotte@rimed.org

### RIMS CORPORATE AFFILIATES

### CARE NEW ENGLAND

BUTLER HOSPITAL • KENT HOSPITAL • WOMEN & INFANTS HOSPITAL VNA of CARE NEW ENGLAND • CNE WELLNESS CENTER

The Care New England health system was founded in 1996 by members committed to

the vision that we can build a better system of health care for the people and communities of southeastern New England. An integrated health system that offers a continuum of quality care, Care New England is comprised of five members: Butler Hospital, Rhode Island's only private, nonprofit psychiatric and substance abuse hospital for adults, adolescents, children and seniors; Kent Hospital, the largest community hospital in the state, providing a full spectrum of primary and secondary acute care services; Women & Infants Hospital of Rhode Island, one of the nation's busiest obstetrical facilities with the one of the nation's largest single-family room neonatal intensive care units; the VNA of Care New England, which provides a broad spectrum of home health, hospice and private duty nursing services; and the Care New England Wellness Center, which offers an array of rehabilitation, wellness, and fitness programs.

Care New England, 45 Willard Avenue, Providence RI Contact May Kernan, Senior Vice President, Marketing Communications



Established in 1817, Claflin has been supplying medical equipment to physicians, clinics, and hospitals for nearly 200 years.

Claflin Company, 455 Warwick Industrial Drive, Warwick RI 02886 Contact Raisa Lomidze: rlomidze@claflin.com 401-739-4150 Main, 401-562-8208 Direct, 800-343-7776 Toll free



Doctor's Choice provides no cost Medicare consultations. Doctor's Choice was founded by Dr. John Luo, a graduate of the Alpert Medical School at Brown University to provide patient education and guidance

when it comes to choosing a Medicare Supplemental, Advantage, or Part D prescription plan. Doctor's Choice works with individuals in RI, MA, as well as CT and helps compare across a wide variety of Medicare plans including Blue Cross, United Health, Humana, and Harvard Pilgrim.

Contact John Luo, John@Insurehealthgroup.com, 401-404-7373



RIPCPC is an independent practice association (IPA) of primary care physicians located throughout the state of Rhode Island. The IPA, originally formed in 1994, represent 150 physicians from Family Practice, Internal Medicine and Pediatrics. RIPCPC also has an affiliation with over 200 specialty-care member physicians. Our PCP's act as primary care providers

for over 340,000 patients throughout the state of Rhode Island. The IPA was formed to provide a venue for the smaller independent practices to work together with the ultimate goal of improving quality of care for our patients.

RIPCPC,1150 New London Avenue, Cranston RI 02920 www.ripcpc.com 401-654-4000, Fax 401-654-4001



### RIMS gratefully acknowledges the practices who participate in our discounted Group Membership Program





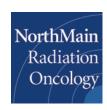






























### Women's Medicine Collaborative

A program of The Miriam Hospital A Lifespan Partner



### Why You Should Join the Rhode Island Medical Society

The Rhode Island Medical Society delivers valuable member benefits that help physicians, residents, medical students, physican-assistants, and retired practitioners every single day. As a member, you can take an active role in shaping a better health care future.

RIMS offers discounts for group membership, spouses, military, and those beginning their practices. Medical students can join for free.











### RIMS MEMBERSHIP BENEFITS INCLUDE:

### Career management resources

Insurance, medical banking, document shredding, collections, real estate services, and financial planning

### Powerful advocacy at every level

Advantages include representation, advocacy, leadership opportunities, and referrals

### Complimentary subscriptions

Publications include Rhode Island Medical Journal, Rhode Island Medical News, annual Directory of Members; RIMS members have library privileges at Brown University

### Member Portal on www.rimed.org

Password access to pay dues, access contact information for colleagues and RIMS leadership, RSVP to RIMS events, and share your thoughts with colleagues and RIMS





Metropolitan Life Insurance Company New York, NY 10166 (MLIC). MLIC markets as Baystate Financial. Securities products and services are offered through MetLife Securities, Inc. a broker dealer (MSI) (member FINRA/SIPC). Investment advisory services are offered through MetLife Securities, Inc. and/or Baystate Wealth Management Ltc, each a registered investment advisor. Some health insurance products offered by unaffiliated insurers through the Enterprise General Insurance Agency, Inc. (EGA), Somerset, NJ 08873. MLIC, the EGA & MSI are MetLife companies and are unaffiliated with Baystate Wealth Management and the Rhode Island Medical Society. MetLife, its agents, and representatives do not provide tax and/or legal advice.

### Care New England creates first outpatient palliative care site at the Program In Women's Oncology at Women & Infants

PROVIDENCE - Almost four years after creating a multidisciplinary Palliative Care Program and joining 10 other pioneering organizations nationally to support the Conversation Project with a goal of promoting discussions about palliative care and end-of-life decisions, Care New England Health System is bringing palliative care into the outpatient setting.

In addition to an inpatient consult service at all CNE hospitals, Care New England has offered home-based palliative care through the VNA of CNE. Care New England is seeking to reach patients who would benefit from palliative care in all possible settings, and hoping to help more patients engage their physicians in conversations about what matters as they face advanced illness. This clinic is a natural extension of the inpatient and home-based palliative care programs.

"Palliative care is a specialized medical care for people with serious illness and focuses on providing patients with relief from the symptoms, pain, and stress of a serious illness, whatever the diagnosis," says KATE M. LALLY, MD, FACP, director of the Palliative Care Program.

"The goal is to improve quality of life for both the patient and the family."

Starting this month, she and a specialized palliative care team will begin working with women who seek care for gynecologic or breast cancers through the Program in Women's Oncology. This, Dr. Lally says, can include women with:

- · Stage IV cancers.
- Recurrent or progressive disease.
- Unmanaged pain.
- Malignant bowel obstruction.
- Many symptoms.
- Frequent hospital admissions for symptom management.
- Uncertainty regarding the goals of care, which includes discussion of advanced directives.

"People do not need to be dying to need help living with their symptoms," says CORNELIUS "SKIP" GRANAI III, MD, director of the Program in Women's Oncology. "This is a tremendous step in helping us provide what our patients and their families need, which is physical and psychological relief from cancer."

The palliative care team - which includes physicians, a nurse practitioner, a medical assistant, social workers, case managers and others as needed – will meet with patients referred by the physicians in the Program in Women's Oncology and its Breast Health Center in sessions scheduled twice a month to start.

"Based on the patient's clinical criteria and needs, we may see her once or more than that," Dr. Lally says. "This is an extra layer of support for them." \*

### Paul DiSilvestro, MD, helps uncover more inherited genetic mutations linked to ovarian cancer

PROVIDENCE - Previous research has established a link between genetic mutations in the BRCA1

and BRCA2 genes to an increased risk of developing ovarian, fallopian tube or peritoneal cancer in women. A recent publication documents the efforts of a team of researchers affiliated with the Gynecologic Oncology Group



(GOG) to determine if inherited genetic mutations other than BRCA1 and BRCA2 can also put a woman at risk of developing these diseases.

The team - which includes PAUL DISILVES-TRO, MD, head of research with the Program in Women's Oncology at Women & Infants Hospital and professor of obstetrics and gynecology at The Warren Alpert Medical School of Brown University - published their findings in the article "Inherited Mutations in Women with Ovarian Carcinoma" in the recent issue of the *Journal of the American Medical Society.* 

"Descriptions of the identity of these genes and their frequency was lacking in the medical literature," Dr. DiSilvestro explains. "The goal of this research was to better define these issues."

More than 1,900 women with ovarian cancer who were identified through the University of Washington gynecologic tissue bank and from various GOG clinical trials made up the study population. Information about mutation frequencies were compared with the National Heart, Lung and Blood Institute GO Exome Sequencing Project and the Exome Aggregation Consortium. Clinical characteristics and survival rates were assessed by mutation status.

What the evaluations revealed was that 18 percent of the women with ovarian cancer carried mutations in genes associated with ovarian cancer risk beyond the BRCA1 and BRCA2 genes.

"The results of this trial expanded our knowledge of the genes that we suspect cause hereditary ovarian cancer, bringing the total to 11," Dr. DiSilvestro says, adding that, "Genetic testing should now begin screening for these nine additional genetic mutations so women carrying the genes can make educated decisions about their health care future." ❖



# One Call Does It All! 401-354-7115

### Rhode Island's Medical Staffing Experts!

As a Valued Sponsor of the Rhode Island Medical Society, Favorite Healthcare Staffing provides a comprehensive range of staffing services at preferred pricing to RIMS members.

Serving the Rhode Island healthcare community since 1981, Favorite continues to set the standard for quality, service, and integrity in medical staffing. Call today and let us show you why we are *The Favorite Choice* of Physician Practices and Healthcare Professionals across the US!

Favorite Healthcare Staffing is a Valued Sponsor of the Rhode Island Medical Society





Quality Staffing, Exceptional Results!

Phone: 401-354-7115

Email: MedicalStaffing@FavoriteStaffing.com



### Providence VA one of 7 centers to offer new Hospital-in-Home program

PROVIDENCE – The Providence VA Medical Center is one of seven Veterans Health Administration facilities participating in a new Hospital-in-Home program.

It was launched in August for veterans who are medically stable, but traditionally would have been admitted as inpatients. The Hospital-in-Home program provides hospital-level care in the veteran's own home.

Since it started at the Providence VAMC, 104 veterans have been referred for evaluation, 75 were accepted, and 73 have been successfully treated and discharged. Of the veterans treated to date, 30 percent were evaluated for admission to the program after coming to the emergency room.

"We've received rave reviews from veterans and their family members about the program," said **THOMAS MOCK**, chief of the Social Work and Geriatric Extended Care services at the Providence VAMC. He added that the Hospital-in-Home program can also improve access to care for other veterans, by freeing-up hospital beds that may have otherwise been filled without the program.

"Home care helps reduce the risk of infections and falls, and allows



Anthony Witherstone, a registered nurse with the Providence VA Medical Center's Hospital-in-Home program, uses a stethoscope to listen to the lungs of Ray Edington, a Navy Seabee Veteran of Vietnam, at his home in Woonsocket, R.I., Thursday, Jan. 14, 2016. Ray had an infection that would normally have required a hospital stay for treatment, but he and his wife, Karen Edington, were offered an alternative through the Hospital-in-Home program.

veterans to spend more time with the people they care about," said **DR. SUSAN MACKENZIE**, director of the Providence VAMC. "We're honored to be a part of this new program, helping VA provide the exceptional health care Veterans have earned through their service and sacrifice." •

### CharterCARE opens new outpatient Integrated Behavioral Health Center

PROVIDENCE – CharterCARE Health Partners has announced the opening of its new Integrated Behavioral Health Center, an outpatient program designed specifically for people who need a structured support system to achieve early recovery from addiction. The Center is located on the campus of Roger Williams Medical Center in a building adjacent to the hospital at 877 Chalkstone Avenue in Providence.

The Department of Outpatient Addiction Medicine, currently located on the first floor of the main building at Roger Williams Medical Center, has also moved into this space.

The new Center is staffed by a multi-disciplinary treatment team including physicians, nurses, and therapists, and will be led by **ALEX ETIENNE**, **MD**, and **VINCENT MARCACCIO**, **MD**, both of whom are certified Suboxone providers. The program is directed by Liz Cantor, PhD, and will offer same day appointments for outpatient evaluation/intake for co-occurring disorders and/or co-morbid behavioral health

and medical conditions, short- and long-term counseling, individual counseling, Suboxone treatment from induction phase to maintenance, a Partial Hospital Program (PHP) and an Intensive Outpatient Program (IOP).

"Opiate addiction is a national and local epidemic and, since CharterCARE is a leading provider of behavioral health services, it is a natural extension of our program to create an outpatient center that offers services to this complex population," said Dr. Cantor. "Additional supports and treatment toward sustaining their recovery are important at this critical stage." She added that CharterCARE is working closely with the state of Rhode Island to become a center of excellence in Suboxone outpatient treatment.

To make a referral or appointment, call 401-456-2362. For more information about the program, contact Dr. Liz Cantor, Director of Behavioral Health Outpatient Services, at 401-318-2452 or at Elizabeth.cantor@chartercare.org ❖

### **WE CARE BECAUSE YOU CARE**



Exclusive Collection Agency for the Rhode Island Medical Society

# COLLECTIONS WITHOUT ALIENATING YOUR PATIENTS

Eating right and exercise are great ways to help keep your body healthy

As a doctor, your in the business of helping your patients with these steps ... NOT TO CHASE MONEY!

Leave that to the professionals at Debt Management.

Our company has a system put in place that will help keep

our practice healthy

Our customized programs will help improve patient retention and recover your money quicker

For a free consultation call Carmella Beroth at 508-553-1916 or visit www.debtmanagementinc.com

Debt Management, Inc.
"Collecting the Uncollectible"

### Women & Infants' researchers publish on connection between anal cancer, HPV

PROVIDENCE - Researchers at Women & Infants Hospital recently published the results of a study demonstrating a connection between anal cancer and human papillomavirus (HPV) infection.

The study - entitled "Anal Cytology and Human Papillomavirus Genotyping in Women with a History of Lower Genital Tract Neoplasia Compared with Low-Risk Women"- was published in a recent issue of Obstetrics & Gynecology.

The publication extends the research of KATINA ROB-ISON, MD, of the Program in Women's Oncology at Women & Infants. The initial research, presented at the 2014 HPV Conference, indicated an increased likelihood that the two diseases would coexist in some women.

"HPV is associated with anal cancer, which is more common among women. In 2014 alone, there were 7,200 cases of anal cancer noted and 4,500 of them are women. We believe that certain women with a history of an HPV-related genital infection would benefit greatly from anal cancer screening," says Dr. Robison, who is also an assistant professor and co-director of colposcopy at The Warren Alpert Medical School of Brown University.

Anal cancer screening is routinely performed using anal cytology in HIV positive men and women, as well as in men having sex with men. Knowing that anal cancer is five times more likely in women with a history of cervical, vaginal or vulvar cancer, which are all linked to HPV, Dr. Robison wanted to evaluate the feasibility of screening HIV negative women with anal cytology and HPV testing.

The research - conducted from December 2012 to February 2014 - examined 273 women recruited through Women & Infants' outpatient clinics. Anal cytology and HPV genotyping were performed. All women with abnormal anal cytology were referred for high-resolution anoscopy. Biopsies were also conducted at the discretion of the colorectal surgeon.

The 273 women were divided into two groups - the "high-risk group" who had a history of cervical, vaginal or vulvar cancer, and the "low-risk group" who had no history of cancer, dysplasia or abnormal Pap smears. Of those, 40 percent of the high-risk group and 21.7 percent of the low-risk group were found to have abnormal anal cytology. In the high-risk group, 20.8 percent were found to have high-risk HPV, but only 1.2 percent of the low-risk group.

The study also included the work of other scientists affiliated with Women & Infants. Listed as co-authors were: BETH CRONIN, MD; MELISSA CLARK, MD; CHRISTINE LUIS, MS; PAUL DISILVESTRO, MD; STEVEN SCHECTER, MD; LATHA PISHARODI, MD; CHRISTINA RAKER, ScD; AMY BREGAR, MD; and JOEL PALEFSKY, MD. ❖

### Justin M. Nash, PhD, awarded funding for integrated behavioral health program at Memorial

PAWTUCKET - JUSTIN M. NASH, PhD, professor in the departments of Family Medicine and Psychiatry and Human Behavior at The Warren Alpert Medical School of Brown University and director of behavioral health in family medicine at Memorial Hospital was awarded \$15,000 in funding from the Care Transformation Collaborative (CTC) of Rhode Island's Integrated Behavioral Health Pilot Program to develop a population health approach to improving the behavioral and overall health of Memorial's patients.

The CTC was started in 2008 by the Office of the Health Insurance Commissioner with the goal of bringing health care stakeholders together to promote care for patients with chronic illnesses by utilizing the Patient Centered Medical Home model.

"The funding allows us to enhance our efforts to improve the behavioral health of the patients in the Family Care Center. We are using a population health approach of standardizing screening for depression, anxiety, and substance abuse. We are also using a registry to understand how our patients access and use the behavioral health services in the Family Care Center and in the community," says Dr. Nash. \*



### Day in and day out, you make a difference

Aetna is proud to support the members of the Rhode Island Medical Society.

Aetna is the brand name used for products and services provided by one or more of the Aetna group of subsidiary companies, including Aetna Life Insurance Company and its affiliates (Aetna).

# In healthcare, the security of patient information is critical.





# With HIPAA / HITECH regulations, doctor-patient confidentiality is no longer just a professional promise. It's now a legal requirement.

Shred-it document destruction services can help you meet your compliance obligations with reliable, on-time service.

Whether it's a clean out of archived materials or regularly scheduled service, you can prevent confidential information from ending up in the wrong hands.

We can help you prevent identity theft and security breaches, and safeguard your patients' privacy so you can focus on other priorities.

Contact Shred-it today for more information or to schedule a complimentary Security Risk Assessment.

401.383.8866 or 800.697.4733 | shredit.com

### With Shred-it you're:

- ✓ 100% Secured
- √ 100% Assured
- √ 100% Destroyed
- ✓ 100% Recycled
- √ 100% Peace of Mind

### Shred-it is also:

 An endorsed provider of the Rhode Island Medical Society



# Specialized financing

for a successful practice.

**STAYING COMPETITIVE** in today's changing healthcare environment can be a challenge. It may require investing in new technologies, expanding services, even merging with another practice.

For the specialized financing you need to help keep your practice successful, contact Dev Singh at 401.688.3314 or asingh@websterbank.com.

Webster Bank is the affinity banking partner for the members of





### **Appointment**

### Victor A. Pinkes, MD appointed Chief of Emergency Medicine at Memorial



PAWTUCKET - Memorial Hospital recently appointed VICTOR A. PINKES, MD, **FACEP**, its new chief of emergency medicine. Dr. Pinkes is a member of Affinity Physicians working at Memorial.

Dr. Pinkes earned his medical degree from University of Health Sciences/The Chicago Medical School. He completed his emergency medicine residency at Cook County Hospital and Loyola University,

Resurrection Medical Center, both in Chicago.

Dr. Pinkes is a member of the American Academy of Emergency Medicine, the American College of Emergency Physicians and American Medical Association. He is certified in advanced cardiac life support, pediatric life support and advanced trauma life support. His clinical interests include addiction medicine and emergency department informatics. .

### Obituary

DR. WILLIAM MICHAEL CONNELL, 54, of Newport, RI, died unexpectedly from an apparent heart attack at his home, Monday February 1, 2016.

Born in Newport on June 15, 1961, he was the son of Phillip Michael Connell and Rosalie Ann Connell of Newport.



He received a Bachelor of Science Degree from the University of Rhode Island wlith Phi Beta Kappa honors. Additionally, he received his medical degree from Georgetown University. Doctor Connell first opened his internal medicine practice on Bellevue Avenue in 1992 and subsequently moved his practice to Middletown. He was well known as a modern doctor with an old country doctor's style, personally visiting patients at their homes and allocating an abundance of time to each patient's office visit. He cared for his patients with kindness, dignity, and respect going out of his way to provide each patient with true individual care.

He was a member of the Ancient Order Hibernians, Division #1. He is survived by his parents; his sister Jacqueline and her husband Thomas Patton of Portsmouth.

Donations in his memory may be made to St. Augustin Church, 2 Eastnor Road, Newport, RI 02840.

### Recognition

### RISA honors Afreen Siddiqui, MD, at annual meeting

PROVIDENCE - AFREEN SIDDIQUI, MD, of East Greenwich, was honored for her two years of dedicated service as president of the Rhode Island Society of Anesthesiologists (RISA) at the annual RISA membership meeting on Thursday, January 28, 2016. The society is a nonprofit organization that promotes professional education and support of its members to provide the best possible care of their patients.

The 2016-2018 slate of officers was approved by the membership and announced at this meeting. RAFAEL E. PADILLA, MD, of Tiverton, was named president. STEPHEN PANARO, MD, of Barrington, was nominated as vice president. HERBERT CHEN, MD, of East Greenwich, accepted the treasurer position. EVAN BURKE, MD, of Providence, was named secretary.

For more information about RISA, contact Megan Turcotte at mturcotte@rimed.org or 401-331-3207. \*



Afreen Siddigui, MD, shown with Rafael Padilla, MD, was honored for her two years of service as president of the Rhode Island Society of Anesthesiologists at its annual meeting.



### 

Not many small businesses are ready to deal with the changes to health insurance, compliance, and human resources. Whether it's finding the best deal on health insurance, assisting your company with business and HIPAA compliance, or keeping up with the most recent human resource requirements, HNI is ready to help you with the support you need to focus on what really matters – your patients.

With over 20 years of combined experience in group benefits, HNI has the expertise to advise on the most complex benefits matters, yet we are small enough to keep a personal touch.

Make sure you're covered.
Call us today 401-228-8915 or visit us
online HNlins.com

### Recognition

### Roger Williams Cancer Center receives Outstanding Achievement Award from American College of Surgeons' Commission on Cancer

PROVIDENCE - Roger Williams Cancer Center is one of just 24 accredited cancer programs in the country to receive the June-December 2015 Outstanding Achievement Award from the Commission on Cancer (CoC) of the American College of Surgeons.

The award acknowledges programs that achieve excellence in providing quality care to cancer patients. Roger Williams is the only cancer center in Rhode Island, Massachusetts, Vermont, New Hampshire and Maine to receive this recognition during this period of time.

"This national recognition recognizes our team's efforts to deliver cancer care that not only meets national standards, but exceeds them," said KIMBERLY O'CONNELL, president of Roger Williams Medical Center. "Our center provides Rhode Island's most comprehensive continuum of cancer care and it is care that is recognized nationally for excellence."

The Commission on Cancer Outstanding Achievement Award recognizes cancer programs that strive for excellence in demonstrating compliance with the CoC standards and are committed to ensuring high quality cancer care. The purpose of the award is to raise the bar on quality cancer care, with the ultimate goal of increasing awareness about quality care choices among cancer patients and their loved ones.

"All our clinical, academic, and research efforts are focused on advancing treatment and care for those with cancer," said DR. N. JOSEPH ESPAT, director of the Cancer Center at Roger Williams. "We believe patients are best cared for in a collaborative environment that includes surgical, medical and radiation oncologists, along with oncology nurses, laboratory professionals, and support staff. This award is a reflection of our dedication to this philosophy of collaborative cancer care."

To achieve the Outstanding Achievement Award, programs are evaluated on 34 cancer program standards categorized within one of four cancer program activity areas: cancer committee leadership, cancer data management, clinical services, and quality improvement. Seven of the 34 standards are evaluated as to whether they will receive commendation. Award recipients must have received commendation ratings in all seven commendation standards, in addition to receiving a compliance rating for each of the 34 cancer program standards.

In addition, the award is intended to:

- Recognize cancer programs that achieve excellence in providing quality care to cancer patients.
- · Motivate other cancer programs to work toward improving their level of care.
- Facilitate dialogue between award recipients and health care professionals at other cancer facilities for the purpose of sharing best practices.
- Encourage honorees to serve as quality-care resources to other cancer programs.

In 2015, the Cancer Center at Roger Williams was classified by the Commission on Cancer as an Academic Comprehensive Cancer Center Program, placing it among a select group of cancer centers in New England to hold such a designation. Nationally, only 13% of Commission on Cancer programs hold the Academic Comprehensive Center Program designation.

The Cancer Center also holds numerous other national accreditations and certifications including:

- accreditation of its Hematology/Oncology Division by the Quality Oncology Practice initiative Certification Program, an affiliate of the American Society of Clinical Oncology;
- accreditation of the state's only Bone Marrow Transplant unit, located at Roger Williams, from the Foundation for the Accreditation of Cellular Therapy;
- accreditation in mammography from the American College of Radiology;
- accreditation in Breast Health from the National Accreditation Program for Breast Centers;
- accreditation for a Complex General Surgical Oncology Fellowship through the Accreditation Council for Graduate Medical Education;
- certification from the STAR Program® of Oncology Rehab Partners for offering premium cancer rehabilitation and survivorship services for cancer survivors.

The Commission on Cancer is a consortium of professional organizations dedicated to improving survival and quality of life for cancer patients through standard-setting, prevention, research, education and the monitoring of comprehensive quality care. Its membership includes fellows of the American College of Surgeons and representatives of 47 national organizations that reflect the full spectrum of cancer care. •



### Trusted Name, Proven Results

- #1 in Rhode Island Our company sold more residences than any other brokerage in the state. In addition, we compiled over \$704,000,000 in gross sales volume, which was over \$280,000,000 more than the closest Rhode Island competitor. (Reported by Providence Business News)
- National Recognition Residential Properties Ltd. is ranked among the top 500 most successful brokerages in the entire country by both Real Trends and RIS Media.
- Top Rated Website Our website has been voted as one of the top 25 real estate websites in the entire nation, featuring a simplified design and useful tools that make finding your next home fun.

Call Today to work with one of our dedicated agents.
Buy or Sell with us and receive a FREE HOME WARRANTY!



### First, do no harm.



### Second, have great insurance.

When things go wrong, your coverage really matters. For more than 25 years we have specialized in physicians' insurance. Working with multiple insurers allows us to offer you choice, competitive rates, and the benefit of one-stop shopping. Call us.

800-559-6711



### 1916: RI doctors mobilized on Mexican border in hunt for Pancho Villa

MARY KORR RIMJ MANAGING EDITOR

When President Woodrow Wilson called out the cavalry to capture the notorious Mexican bandit and former Revolutionary War general, Francisco "Pancho" Villa, Providence physicians DRS. AUGUSTUS W. CALDER, MARCIUS H. MERCHANT and **BUXTON H. BERTRAM** packed their duffels.

Along with about 700 other medical and military personnel in the Rhode Island National Guard, they mustered out at Quonset Point on a train bound for El Paso, Texas, where they would be encamped at Fort Bliss near the Rio Grande. A Horse Guards Battalion rounded out the contingent, which no doubt attracted the interest of Dr. Calder, a rider and one of the founders of a Rhode Island polo club.

The federal call to action stemmed from a decade of border skirmishes during the Mexican Revolutionary War and escalated three months earlier, in March 1916, when Villa and his guerrilla band of "Villistas" killed 18 American soldiers at a fort in Columbus, New Mexico, three miles north of the Mexican border. This followed the execution of 16 American employees of the American Smelting and Refining Co. on a



Dr. Augustus W. Calder of Providence



Dr. Marcius H. Merchant of Warren

train outside of Chihuahua, Villa's home turf, on the previous January 11.

General John "Black Jack" Pershing led President Wilson's Mexican Punitive Expedition, as it was branded. He and more than four thousand Army regulars crossed the border and pursued the villains for 400 miles into uncharted terrain, from March 16, 1916 to February 7, 1917.



Ambulance Corps leaving Columbus, NM, for Mexico in search for Villa.



General Pershing and his men pursued Villa for almost a year, until America's entry into World War I intervened and Black Jack was called back to lead the American Expeditionary Forces in Europe. Ultimately, in 1923, Villa was assassinated by political foes.

The Rhode Island National Guard mustered out from November 1916 to the following February. Dr. Calder returned to his practice at the Providence Surgical Hospital; Dr. Merchant to his practice in Warren, and Dr. Buxton to the Lying-In Hospital. The latter would become an active editorial board member and business manager of the Rhode Island Medical Journal.

The Rhode Island National Guard, upon the 97th anniversary of the long-forgotten Mexican Punitive Expedition, published an account, which included the following written excerpt by Dr. Buxton assigned to Ambulance Company No. 1 which he titled: Experiences on the Mexican Border.

"We left Quonset Point on June 19th of a Sunday and had a very rapid trip to the border, four days on the road; received very enthusiastic greetings all the way along. I was surprised to find how general the call was throughout the country, brought home very forcibly to me by the fact that almost everyone whom I happened to know from various cities we passed through I found, on trying to reach them by telephone, were either at some mobilization camp or on their way to the border.

...I'll never forget the night that we reached El Paso, we landed in the freight yards near the Rio Grande river and had to wait an hour before being shunted out to Fort *Bliss about 6 miles out of the city where we were to camp.* I got off the train and wandered down to the river to take a look at the border. It was a bright moonlight night and one could look very readily across the small brook which is about what the Rio Grande is during dry weather.

I had an idea that the minute we struck the border

[Left] General Francisco "Pancho" Villa (1877-1923) on horseback. during the Mexican Revolution circa 1914. Possibly related to the Mutual Film Co., which contracted with Pancho Villa to produce a silent film, "The Life of General Villa" during the Revolution in 1914.

[Below] The camp of the U.S. Army 13th Cavalry in Columbus, NM, which was raided by Pancho Villa in March 1916 during the Mexican Revolution. The incident served as the catalyst for the Mexican Punitive Expedition ordered by President Woodrow Wilson.

[Bottom] The Rhode Island National Guard was encamped here in El Paso, TX. on the Rio Grande border.





there would be some exciting moments - perhaps wild shooting or attacks from across the river, but this night

everything seemed peaceful - in fact as I walked down to the river bank I came to an infantry man (not a Rhode Island guardsmen, I am glad to say), on guard with his rifle leaning up against a fence while he engaged in a game of dice with another nearby sentry. The game quickly broke up, however, as I approached and I drew the man into conversation. He pointed out the Mexican sentry on the other bank of the river pacing up and down calmly smoking a cigarette. He also told me that two or three nights ago one of his sergeants had been shot by someone from across the way and that almost every night a few shots stray across. I decided that it might be just as well to return to the train as it was fairly bright that night – too bright to be out anyway, so without any noticeable haste, of course, returned to my train expecting to be shot in the back at any moment." \*