



# Fact Sheet

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## Safe Policing for Safe Communities

### Section 2. Standards for Certification

#### *Implementation of the Executive Order on Safe Policing for Safe Communities*

On June 16, 2020, President Donald J. Trump issued Executive Order (EO) 13929 on Safe Policing for Safe Communities.<sup>1</sup> The EO's goal is to ensure that law enforcement agencies continue striving to provide transparent, safe, and accountable delivery of services to communities. This delivery will enhance community confidence in law enforcement and facilitate the identification and correction of internal issues before they result in injury to the public or to law enforcement officers.

Pursuant to authority vested in the Attorney General by the EO, a group of designated organizations will serve as the independent credentialing bodies. An independent credentialing body will be responsible for certifying that an applying law enforcement agency is in compliance with two mandatory safe policing principles in the U.S. Department of Justice (DOJ) *Standards of Certification*. A list of approved certifying agencies and the DOJ Standards of Certification can be found at <https://cops.usdoj.gov/SafePolicingEO>.

The DOJ *Standards of Certification* identifies two **safe policing principles that independent credentialing bodies *must consider***<sup>2</sup> when assessing certification of applying law enforcement agencies:

- 1. Adherence to applicable laws.** The applying agency maintains use of force policies that adhere to all applicable federal, state, and local laws.<sup>3</sup>
- 2. Prohibition of choke holds.** The applying agency maintains use of force policies that prohibit the use of choke holds, except in situations where the use of deadly force is allowed by law.

Certification of adherence to the two required principles is a prerequisite to a law enforcement agency's eligibility for DOJ discretionary grant funding. Agencies will be required to provide a current and valid certification by **January 31** in order to be eligible for federal funds in that year's funding cycle. Certifications will be good for three years from their date of issue. The credentialing body will maintain a list of certified agencies within its jurisdiction and submit this list to the DOJ's Office of Community Oriented Policing Services (COPS Office), which will serve as the repository for the list of all eligible law enforcement agencies.

Independent credentialing bodies in each state will be able to provide agencies with guidance on how they can obtain certification. Agencies should contact their state bodies directly for this information. Agencies in areas where there is not an independent credentialing body should contact the International Association of Directors of Law Enforcement Standards and Training (IADLEST).

1. The White House, *Executive Order on Safe Policing for Safe Communities*, Executive Order 13929, June 16, 2020, <https://www.whitehouse.gov/presidential-actions/executive-order-safe-policing-safe-communities/>.

2. The DOJ *Standards* document identifies other factors that the credentialing bodies may also consider in their own reviews.

3. Policies apply to both paid and volunteer law enforcement officers.

## Frequently Asked Questions

### **EO 13929 and the DOJ *Standards of Certification* apply to which entities?**

The EO and DOJ *Standards of Certification* are applicable to state, local, and university or college law enforcement agencies (LEA) seeking DOJ discretionary (i.e., competitive) grant funding. They do not apply to tribal law enforcement agencies.

### **As it relates to certification of safe policing standards, what does an LEA that plans to apply for DOJ discretionary grant funds in fiscal year (FY) 2021\* need to do?**

LEAs that plan to *or could* apply to receive DOJ discretionary grant funds should begin reviewing their policies to determine whether they meet the criteria as set forth in the *Standards of Certification*. LEAs can contact the independent DOJ-approved credentialing body or bodies in their state or a nationally recognized entity with questions and assistance. Those LEAs that believe they comply with the *Standards of Certification* can immediately initiate a letter to one of the independent DOJ-approved credentialing bodies seeking further review and approval. LEAs that plan to apply for DOJ discretionary grant funds for FY 2021 must be certified or have started the certification process no later than January 31, 2021.

### **What entity will serve as the primary credentialing or certifying body for law enforcement agencies seeking certification?**

In states where there is a state accreditation program, that accreditation program will serve as the primary credentialing or certifying body. If the program has or experiences a capacity challenge (meaning the volume of requests exceeds its capabilities), the default credentialing or certifying body will be the respective Peace Officer Standards and Training (POST) board. In states without an existing accreditation program, the state POST will be responsible for certifying agencies. IADLEST will be available to provide assistance to these states without certifying agencies.

The Commission on Accreditation for Law Enforcement Agencies (CALEA) will serve as the certifying body for its client pool; however, if a requesting agency would prefer certification from its state accreditation program (if a program exists in its state), the state accreditation program will serve as the certifying body.

The International Association of Campus Law Enforcement Administrators (IACLEA) will serve as the certifying body for its client pool of colleges and universities; however, if a requesting law enforcement agency prefers to be certified by a state accreditation program, the state program will serve to certify the agency.

### **Where can credentialing bodies go for funding support?**

IADLEST has released a COPS Office–approved solicitation on its website limited to entities identified by the Attorney General as independent credentialing bodies. The deadline to apply is November 16, 2020. These mini-grant awards will provide a limited amount of financial funding (based on the available funding from the COPS Office). Any agency on the approved list of independent credentialing bodies may apply and receive these limited funds. For information on how to apply for funding, see <https://www.iadlest.org/news/agencyaccreditation>.

### **Are the independent credentialing bodies authorized to start work right away?**

Yes. The independent credentialing bodies are authorized to start certifying law enforcement agencies.

### **Will an LEA be permitted to apply for FY 2021 discretionary grant funds if it is not certified by January 31, 2021?**

LEAs need not be certified by January 31, 2021, to apply for any DOJ discretionary grants for FY 2021; however, they must be in the process of being certified. Applicants must affirm and provide proof that they are in the process of becoming certified as complying with safe policing practices at the time

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\* FY 2021 grant solicitations occur between October 1, 2020, and September 30, 2021.

## Frequently Asked Questions, *cont'd*

of making a grant application. Being in the process of receiving certification means that the LEA must have contacted a local credentialing body and the body must be in the process of conducting its review. Applicant agencies that are in the process of certification and that are selected to receive discretionary grant funds must be certified by the time they accept the grant award.

### **What processes will certifying entities use to issue their certifications?**

Each individual certifying entity will develop its own locally tailored process. Some may conduct policy reviews of law enforcement agencies to ensure that they are compliant with the two mandatory requirements of the EO. Other entities may request that law enforcement agencies provide a statement of declaration attesting that agency policy has been reviewed and is compliant with the EO. The statement of declaration is a legally binding document and assures that the agency head has reviewed the policy or policies and complies with the two mandatory requirements of the EO. Other certifying entities may require both a policy review and a statement of declaration or other means that they develop to assure that agencies are in compliance. Beginning in 2021, on or before January 31 of each year, independent credentialing bodies must provide the names of each certified law enforcement agency to the Director of the COPS Office or his or her delegate. Each certified law enforcement agency is qualified to receive DOJ discretionary funds for up to (i) 36 months from the date of its most recent certification by an independent credentialing body or (ii) the life cycle of any discretionary grant awarded, whichever is longer.

### **Should the credentialing bodies review the policies after the LEA has certified compliance?**

There are three approaches to certifying law enforcement agencies to meet the requirements of the EO:

1. The chief, sheriff, or chief executive officer of the law enforcement agency submits to the certifying body a "letter of declaration" attesting that their agency is in compliance with the two mandatory conditions of the EO.

2. The certifying body may choose to review the use of force policies for compliance with the understanding that the certification applies only to the mandatory requirements. This option will service two purposes: (1) for the certifying body to develop a comprehensive set of best practices and (2) to determine which agencies are including in their respective policies the "should" principles spelled out in the EO.
3. This option is a combination of the first two options whereby the certifying body accepts a "letter of declaration" for certification but will also at a later date review the other "should" principles.

### **Who can help the credentialing body develop its process for certification, particularly if it doesn't already have one?**

For existing state accreditation programs that do not have an established process, programs in Texas, Michigan, Tennessee, and New Jersey are available to provide technical assistance at no cost to assist in the development of a process, forms, etc. For states without an accreditation program, IADLEST will provide technical assistance at no cost to assist in the development process (including forms, etc.).

### **How can corrections be made to the names of participating credentialing bodies?**

If a credentialing body has an update to how their name is listed, please contact the COPS Office.

### **I am an independent credentialing body. How do I submit my list of certified agencies?**

Certifying entities will be provided a standardized spreadsheet on which to capture the list of agencies they have certified. Credentialing bodies will be provided additional information on the submission process.

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## Frequently Asked Questions, *cont'd*

### What identifiers will need to be collected from agencies applying for certification?

The COPS Office will need to have the following identifiers to be able to match lists obtained from the independent certifying bodies to agencies applying for funding:

- Originating Agency Identifier (ORI)
- Employee Identification Number (EIN)
- Data Universal Number System (DUNS) number
- Agency name
- Agency address
- The name of the agency's chief executive.

ORI is assigned by the Federal Bureau of Investigation for crime reporting. EIN is assigned by the Internal Revenue Service and can be found on an employee's W2 or payroll statement. A DUNS number is a unique nine- or 13-digit sequence recognized as the universal standard for identifying and keeping track of entities receiving federal funds.

### Will the credentialing bodies charge a fee to certify pursuant to the EO and DOJ Standards?

Some credentialing bodies could charge a nominal fee to certify law enforcement agencies. LEAs can contact the independent DOJ-approved credentialing body or bodies in their state or a nationally recognized entity with questions and assistance.

### How are law enforcement officers or deputies defined?

A law enforcement officer or deputy is a state, local, or university or college employee or volunteer who is licensed under applicable state law or certified by an applicable POST commission.

### Are state, county, or local agencies that house offenders but do not have arrest authority or who are not otherwise considered by the state to be an LEA required to be certified?

Agencies that employ officers who meet the definition of a law enforcement officer as described in the EO and prescribed by state statute or regulatory measure should be certified.

### Are parole and probation agencies required to be certified?

If the parole and probation agency (1) employs officers who meet the definition of a law enforcement officer as described in the EO and prescribed by state statute or regulatory measure and (2) applies for federal funding from a DOJ component, the agency should be certified.

### Do these requirements apply to LEAs receiving DOJ discretionary funding through a subaward via a primary grantee?

Yes, all law enforcement agencies receiving DOJ discretionary funding, including through a subaward, must comply with the certification requirement.

### If an LEA decides after January 31, 2021, after having seen a particular FY 2021 solicitation, that they would like to apply for a discretionary grant, are they permitted to apply if they have not yet started the process of certification?

It is recommended that all LEAs that might make an application start the process of becoming certified to prevent the LEA from being precluded from applying later. An LEA that finds itself in this situation may make an appeal to the relevant DOJ grant-making agency for consideration.

### What if there is not a credentialing body in the state?

LEAs that do not have a DOJ-approved credentialing body in their state may use one of the following approved nationally recognized entities:

- The Commission on Accreditation for Law Enforcement Agencies, Inc. (CALEA)
- International Association of Campus Law Enforcement Administrators (IACLEA)
- International Association of Directors of Law Enforcement Standards and Training (IADLEST)

### Will there be language about the *Standards of Certification* in the FY 2021 solicitations?

Yes. Specific language regarding certifications will be included in all FY 2021 solicitations.

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**Frequently Asked Questions, *cont'd*****How often do I need to renew my certification?**

The certifications will be valid for a three-year period and will need to be renewed according to that schedule.

**Is there recourse if my application for certification is denied?**

Applying agencies denied certification by an independent credentialing body may make an appeal to the Deputy Attorney General for review and relief.

**Can I use my CALEA or IACLEA accreditation in lieu of certification?**

Agencies that have current active accreditation from CALEA or IACLEA may request certification from the respective agencies, and certification may be issued using the existing accreditation as a basis provided the required safe policing principles are also taken into consideration.

**How were the credentialing bodies identified?**

The agencies that have been initially identified to serve as the credentialing bodies are organizations that are recognized for routinely establishing law enforcement standards and processes for reviewing adherence to those standards. The Attorney General has sole discretion for the selection of these organizations.

**Is there a process of becoming a DOJ-approved independent credentialing body?**

The Attorney General has already identified numerous entities to serve in this capacity. However, an entity inquiring about becoming a DOJ-approved independent credentialing body can petition the DOJ by submitting an application to the Director of the COPS Office. Applications should include (1) the name of the entity, (2) point of contact and contact information, and (3) detailed information as to why the entity requires the ability to serve as an independent credentialing body.

**Where do I go if I have questions or receive inquiries?**

For questions related to the certification process, we encourage you to reach out to your state or national certification organization. Updated information will continue to be posted to the COPS Office website at <https://cops.usdoj.gov>, and you may also contact the COPS Office at 800-421-6770.

**Contact the COPS Office**

For more information about COPS Office programs and resources, please call the COPS Office Customer Care Center at 800-421-6770 or by email at [AskCopsRC@usdoj.gov](mailto:AskCopsRC@usdoj.gov) or visit the COPS Office website at [www.cops.usdoj.gov](http://www.cops.usdoj.gov).