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# British colonialism and democracy: Divergent inheritances and diminishing legacies<sup>†</sup>



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#### ABSTRACT

Did British colonial rule promote post-independence democracy? We provide evidence that the relationship follows a strong temporal pattern. Former British colonies were considerably more democratic than other countries immediately following independence, but subsequent democratic convergence has largely eliminated these differences in the post-Cold War period. Existing theories expounding superior British culture or alternative colonial institutions cannot account for divergent inheritances and diminishing legacies. To explain the time-varying pattern, we analyze European powers' varying policy approaches to decolonization as well as changes in the international system. Britain more consistently treated competitive democratic elections as a prerequisite for gaining independence, leading to higher initial democracy levels. However, nascent democracies that lacked deep-rooted societal transformation faced challenges to democratic consolidation because of Cold War superpower competition. Later shifts in the international system toward promoting democracy further contributed to convergence by destabilizing colonially rooted dictatorships.

# 1. Introduction

How did colonial rule affect post-independence political outcomes? Amid the enormous social science and historical literatures on colonialism, scholars devote considerable attention to assessing the relationship between British colonialism and democracy. Existing research is inconclusive. Many scholars expound pro-democratic legacies: British governance promoted the rule of law and better acquainted subjects with the norms of democratic procedures (Emerson, 1960; Weiner, 1987; Ferguson, 2012). Scholars also routinely control for a British colonial rule dummy in cross-national democracy regressions. However, statistical findings vary: some find strong evidence for a Britain effect (Bernhard et al., 2004; Olsson, 2009), whereas others do not (Barro, 1999; Przeworski et al., 2000; Miller, 2015). Furthermore, recent political science research on colonialism and democracy mainly looks "beyond national colonial legacies" (Owolabi, 2014) and instead focuses on alternative historical legacies such as Protestant missionaries, forced migration, and European settlers—often explicitly de-emphasizing the importance of British colonialism. Research on economic development features a similar debate, with support for the importance of colonizer identity (La Porta et al., 1998; Grier, 1999; Fails and Krieckhaus, 2010; Lee and Schultz, 2012) countered by arguments that other aspects of colonial rule were more important (Acemoglu et al., 2001; Kohli, 2004; Engerman and Sokoloff, 2011; Iyer, 2010).

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<sup>&</sup>lt;sup>1</sup> de Juan and Pierskalla (2017) recently reviewed this vast literature.

This article establishes a specific mixed legacy of British colonialism on democracy, and demonstrates that the Britain effect cannot be convincingly explained without examining change over time. We document that although British colonial rule bequeathed a large and statistically distinguishable democratic inheritance at independence, this legacy diminished afterwards. In countries' first full year of independence, the average difference in democracy levels exceeds 30% of the range of the standard Polity scale. In fact, nearly every country that attained high Polity scores at independence during the Second Wave of democracy experienced British colonial rule. However, ex-British colonies do not significantly differ from non-former British colonies in democracy levels since 1991.

We propose a two-part explanation for this pattern. First, policy differences across empires during the post-1945 decolonization era contributed to British colonies' advantages at independence. Focusing on decolonization builds off a smaller strand of the existing historical and social science literatures (Smith, 1978; Kahler, 1984; Spruyt, 2005; Pepinsky, 2015) and contrasts with many prominent political science theories focused on long-term cultural or institutional factors that cannot explain change over time. After 1945, Britain—the most democratic metropole among major colonies and confronted with a relatively weak colonial lobby—facilitated transitions to independence more tailored to individual countries' democratic development compared to other major colonial powers, and also avoided the successful anti-colonial revolutions that ended European rule in many other colonies.

Second, changes in the international system correspond with diminishing legacies. Colonies in our core sample gained independence amid Cold War competition that undermined Western incentives to promote democracy. Given these poor international conditions, newly independent states that possessed democratic institutions but experienced minimal societal transformation during colonialism—such as many British colonies—should suffer reversals. However, several decades later, the Third Wave democratization forces that began during the Cold War and accelerated afterwards created more favorable international conditions for Western democracy promotion. Destabilizing colonially rooted dictatorships made democratization, or at least movement toward greater levels of electoral competition, possible in all ex-colonies—even those lacking prior democratic experience.

Numerous statistical tests demonstrate the existence and robustness of the core pattern of divergent inheritances and diminished legacies for British colonialism and democracy. Cross-sectional models estimate a moderately large positive association between British rule and democracy among all post-independence years for countries that gained independence after 1945. The correlation is quite large at independence and robustly statistically significant, and only a large amount of bias from unobserved covariates could explain away the estimated effect. However, the coefficient estimate is considerably smaller and not consistently significant in a post-1991 sample. These findings are similar when accounting for alternative democratization accounts such as modernization theory or the resource curse, when changing democracy measures, and when expanding the sample to include all non-European countries using data since 1800.

These findings are inconsistent with existing explanations for the British colonialism-democracy effect. Some emphasize long-term cultural and institutional factors, and therefore cannot explain convergence over time nor why many ex-British colonies suffered democratic reversals shortly after independence. Others emphasize the importance of diversity within the British empire, whereas we show that the estimates are similar across many varieties of British rule, including short and indirectly ruled colonies exhibiting a democratic advantage at independence relative to non-British colonies. Finally, others argue that alternative aspects of the colonial era such as European settlers or Protestant missionaries can explain away the Britain effect. We show that the estimates at independence are similar when controlling for these factors, which themselves receive circumscribed support in our regressions.

Additional statistical evidence more directly supports our posited mechanisms. Regarding democracy promotion before independence, Britain indeed facilitated more competitive elections prior to granting independence, evidenced across various precolonial democracy components. However, demonstrating the importance specifically of the post-1945 decolonization period, British colonies would not have exhibited their democratic advantage at independence had, counterfactually, they gained independence even several years before they factually did. Britain also avoided the successful anti-colonial revolutions that ended European rule in many other colonies, which correlates with democracy levels at independence, as do mechanisms that help to explain differences in Britain's post-1945 decolonization strategy relative to other European powers: metropolitan democracy level and colonial lobby strength. After independence, evidence from time series regressions demonstrate patterns of both (1) democratic reversals shortly after independence in ex-British colonies and (2) greater democratic gains by non-former British colonies several decades after independence. Although Third Wave forces should conceivably have helped British colonies as well, we show that differential trends at the end of the Cold War—which largely washed out the initial British colonial advantage—likely arose because the British colonies most likely to benefit from Third Wave forces had already achieved high democracy scores, creating ceiling effects. Furthermore, the decolonization factors that correlate with democracy levels at independence do not correlate with post-1991 democracy levels.

Most directly, the theory and findings provide a more nuanced perspective on how British colonialism affected democracy. Both British colonialism and other historical factors mentioned in the literature (such as European settlers and Protestant missionaries) only affected democracy levels in the short term, due to the importance of decolonization and changes in the international system. This pattern contrasts with studies of economic development, which tend to show persistence of income or other development differences over centuries or millennia. However, our findings are potentially important for research on path dependence in economic development. Given evidence that democracy may contribute to economic growth (Acemoglu et al., 2019), the inability of ex-British colonies to consolidate democracy helps to account for their inability to significantly improve their aggregate economic performance after independence relative to the colonial era (Lee and Paine, 2019) and, consequently, to close large income gaps with Europe (Acemoglu et al., 2001; 2002). The conclusion elaborates upon these considerations.

<sup>&</sup>lt;sup>2</sup> Throughout, references to waves of democratization and reversals draw from Huntington (1993).

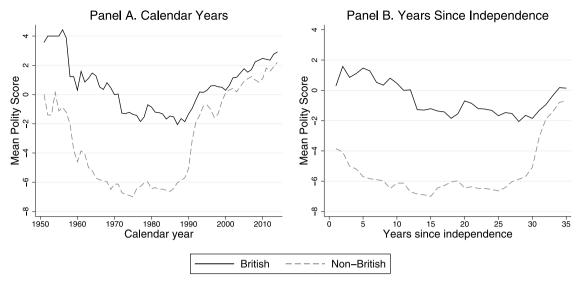


Fig. 1. Ex-British colonies versus other ex-colonies after independence.

## 2. Establishing the pattern: trends over time

Fig. 1 graphically establishes the time-varying relationship between British colonialism and democracy.<sup>3</sup> Both panels contain a sample of 73 former colonies that gained independence from a Western European country between World War II and 1980, which corresponds with the second major wave of Western European decolonization (Abernethy, 2000; Olsson, 2009).<sup>4</sup> Ex-British colonies appear in solid black and non-British are in dashed gray. We use a broad definition of British colonies to ensure that our core findings are not driven by selection effects regarding how intensely and for how long Britain decided to rule a territory after gaining nominal control.<sup>5</sup> The dependent variable is Polity score, specifically, the standard *polity2* variable in the Polity IV dataset (Marshall and Gurr, 2014). The time unit on the horizontal axis of Panel A is calendar years, and in Panel B is (country-specific) years since independence. The basket of countries changes over time in Panel A as new countries gain independence. By contrast, Panel B contains a constant basket of countries, which implies that changes over time necessarily reflect changes in democracy scores rather than changes in the sample. Panel B only includes the first 35 years after independence because this is the longest time period that allows a constant sample of countries.

Both panels demonstrate two main patterns. First, ex-British colonies were strikingly more democratic on average at independence than other ex-colonies. This difference equaled 30% of the Polity scale in the first year of independence, and 29% of the Polity scale when averaging between 1950 and 1965. Appendix Table A.4 highlights similar patterns using cross-tabs. Over half of British ex-colonies were democratic (Polity score of at least 6) at independence, compared to only one non-British colony, for a gap of 50%. Therefore, British colonialism can explain nearly all of the democratic variation among post-colonial nations during the Second Wave of democracy because essentially all non-British colonies were non-democratic at independence.

Second, this gap narrowed considerably over time, due to two trends. Democracy levels dropped in ex-colonies shortly after independence, although this pattern was sharper in British colonies. Within the first six years of independence, the average Polity score declined by 14% of the Polity scale in ex-British colonies compared to 7% of the Polity scale in non-British colonies. However, this and other declines in democracy levels in the first two decades of independence did not close the gap. Only around 1990, after the end of the Cold War—which corresponds with about 30 years after independence for many colonies and the peak of the Third Wave of democracy—did a spike in democracy levels among non-British colonies create near-convergence. Therefore, rather than convergence occurring linearly, a dramatic change in the international system—an important component in our explanation for diminishing legacies—created conditions that facilitated democratization among many countries that inherited authoritarian legacies from colonial rule. Appendix Table A.4 examines data from 1991 and 2014 and shows that 24% of ex-British colonies had an average Polity score of at least 6, compared to 15% of non-British colonies. This gap of only 9% exhibits a remarkable degree of convergence

<sup>&</sup>lt;sup>3</sup> Section 4 describes the variables in more detail.

<sup>&</sup>lt;sup>4</sup> Empirically, this sample captures the bulk of the British Empire, and also minimizes heterogeneity in metropolitan institutions and policy over time. Appendix Table A.1 lists every country in the sample.

<sup>&</sup>lt;sup>5</sup> We include territories over which Britain gained control as League of Nations mandates after World War I (e.g., Tanganyika/Tanzania, Iraq) and exerted minimal internal control (e.g., Kuwait). This is somewhat broader than Lange's (2009) definition of British colonies, from whom we differ by counting British Middle Eastern colonies. However, like Lange, we do not code as British colonies modern-day countries that merged a smaller British colony with a larger non-British colony (Cameroon, Somalia, Yemen).

<sup>&</sup>lt;sup>6</sup> Although this is difficult to see visually in the figures, below we present time series models with a lagged dependent variable and unit fixed effects that demonstrates this pattern.

following the large discrepancy at independence.

#### 3. Theory

#### 3.1. Decolonization policies and divergent inheritances

To explain British colonies' initial democratic advantages, we argue that—relative to its imperial rivals—Britain more adeptly encouraged democratic preparation before granting independence, and better tailored independence timing to individual colonies' democratic development. Britain also tended to grant independence in response to strong local demands, which prevented having to relinquish the post-colonial state to guerrilla movements. By contrast, other colonizers alternated between an undignified hurry to relinquish colonial possessions, inflexible opposition to independence, and attempts to guarantee post-colonial influence.<sup>7</sup>

Democracy as an "honourable" exit strategy. Britain more actively encouraged democratic preparation prior to granting independence and tailored the timing of independence to individual colonies' democratic development (Young, 1970). Britain generally followed its decolonization strategy of transferring "complete power to colonies as soon as the transfer could be made decently—that is, to a democratically elected government which could reasonably be held to represent a 'national will'" (Fieldhouse, 1986, 8), although of course not every colony met this ideal. The final pre-independence election often book-ended a longer process of democratic devolution to fulfill Britain's goal of an "honourable exit" (Young, 1970, 482). This produced structures for democratically electing national officials. For example, India gained independence from Britain in 1947, about a year after the introduction of responsible self-government at the national level, although wealthy voters had elected national and provincial legislators since the early 1920s, and elected officials had controlled all the executive departments in some provinces since the 1930s.

Even in poorer and less institutionalized Nigeria, Britain imposed a federal constitution in 1954 designed to balance sharp regional divisions and to prevent undemocratic power concentration by any one group. In the late 1950s, as France pushed out its African colonies, in Nigeria, "the Secretary of State for the Colonies refused to set a date [for independence] until regional self-government had been tested and other problems, especially the related questions of minority fears and the demand for new states, had been resolved" (Sklar and Whitaker, 1966, 51). In India, Nigeria, and many other cases, Britain introduced elections well before independence but installed increasingly comprehensive reforms as independence became more likely.

This pattern contrasts starkly with France, the largest non-British colonizer. Despite implementing uniform electoral reforms in all its African colonies shortly after World War II, France planned to retain power for the long term until circumstances changed in the late 1950s, after failures in Vietnam and Algeria had "progressively infected all French political life" (Young, 1970, 471). Consequently, only two years after every French African colony except Guinea had voted to remain within the French colonial sphere, France simultaneously granted independence to all 14 of its Sub-Saharan African colonies with a population over 100,000. Similarly, Belgium quickly retreated from Africa after rioting in Leopoldville in 1959, granting independence to the Congo in 1960 and to Rwanda and Burundi in 1962. By contrast, British colonialism in Africa ended in stages throughout the 1950s and 1960s.

Avoiding violent power transfers. Another aspect of the British government's flexible approach to decolonization was that it usually ceded power before pressure for independence engendered violent rebellion. The rarity of major rebellions in British colonies contrasted with France's heavy-handed policies in Vietnam after Japan departed, and its view that Algeria was an integral part of France—similar to how Portugal viewed its African colonies. Where these violent rebellions succeeded, they limited the colonizer's power to set the terms and timing of independence by increasing the costs of remaining in the colony and by creating powerful alternative claimants to state power. In this situation, independence arrangements resembled negotiated surrender more closely than constitution-making. These treaties tended to hand power to the former guerrilla movement in a hasty or disorganized fashion, and these rebel groups tended to establish authoritarian regimes (Wantchekon and Garcia-Ponce, 2015, 9).

Explaining divergent decolonization policies. Why did Britain pursue more tailored decolonization policies? Two factors appear particularly important. First, Britain itself was more democratic than most other decolonizing powers, consistent with existing research showing that democratic powers are more likely to spread democracy than are dictatorial powers. Narizny (2012, 362) argues that Britain tended to promote a liberal state-society relationship in its colonies to advantage British firms. More broadly, Gunitsky (2014, 569–71) argues that democratic great powers seek to expand their trade and patronage networks by democratizing client states, hence shifting the institutional preferences of domestic actors and coalitions, although the present argument departs somewhat from Boix's (2011, 815) claim that democratic powers only seek to export democracy to wealthier states where they expect democracy to be stable. Whereas existing research focuses mainly on post-independence Western influence, we suggest that a similar phenomenon occurred during post-World War II decolonization. Across empires, the United States, Belgium, and Netherlands were also stably democratic, whereas Portugal and Spain were both authoritarian until the end of the decolonization era. France, although never fully authoritarian, went through a less democratic period during the 1950s and 1960s when Charles de Gaulle revised the constitution to personalize power after gaining office following a military revolt in Algeria.

Second, the political power of social groups that favored continued colonial rule—in particular, European settlers and business interests—were weaker in Britain. French citizens in Algeria could vote in French elections and their lobby often held the balance of power in unstable Fourth Republic governments. They successfully prevented decolonization until the late 1950s (Marshall, 1973). Investors with colonial interests provided another pressure group that favored limiting devolution. France protected firms in its colonies against international competition (Kahler, 1984), and Belgium's largest company, the Societe Generale de Belgique,

<sup>&</sup>lt;sup>7</sup> This focus builds off historically oriented research on post-World War II decolonization (Smith, 1978; Kahler, 1984; Spruyt, 2005).

controlled 60% of the Congo's economy (Peemans, 1975, 182). By contrast, although pro-colonial interests were present in Britain, the country possessed a less powerful pro-colonial lobby than did other colonial powers (Spruyt, 2005). For example, in Rhodesia, the British government pressured European settlers to grant broader rights to Africans, and in 1968 overcame pro-settler forces in the House of Lords to impose economic sanctions on the rogue settler regime (Coggins, 2006).

#### 3.2. International democracy promotion and diminishing legacies

Although different decolonization approaches bequeathed ex-British colonies with a more established electoral framework at independence, these policies minimally altered deeper structural factors argued to affect democracy promotion. For example, only five British colonies in the core sample had GDP per capita levels at independence above the \$6055 threshold that (Przeworski et al., 2000, 98) show perfectly predicts democratic stability. In all five, their wealth predominantly reflected oil income—which does not tend to exhibit the same pro-democratic effects as other income sources (Ross, 2012)—and four of the five were highly authoritarian Gulf sheikhdoms at independence.

Nor did power-sharing arrangements at independence in countries such as Nigeria and Uganda—engendered by electoral competition—solve deep sources of inter-ethnic strife (Paine, 2019b).<sup>8</sup> The general weakness of domestic factors posited to consolidate democracy instead left nascent ex-British democracies vulnerable to the broader international climate for democracy promotion, which we argue helps to explain diminishing legacies.

Cold War democratic impediments. The unfavorable Cold War international environment created impediments to consolidating democracy in newly independent British colonies. Most colonies in our sample gained independence amid Cold War competition that undermined Western incentives to promote democracy. The literature broadly agrees that Cold War competition enabled dictators to play the United States and the Soviet Union, and their respective allies, off each other to avoid democratic reforms. Even if the United States inherently preferred democratic regimes, its threat to withhold aid if not reciprocated by democratic reforms was not credible given the desire to avoid pushing countries into the Soviet camp (Dunning, 2004, 411). Correspondingly, this period coincided with the Second Reverse Wave of democratization. Because nearly every country that was democratic at independence in this period was a former British colony, the democratic reversal effects of Cold War competition should apply mainly to ex-British colonies.

The Third Wave of democracy. Although ex-British colonies remained more democratic on average than other ex-colonies for several decades (Fig. 1), Third Wave democratization forces that began during the Cold War and accelerated afterwards created more favorable international conditions for Western democracy promotion. This created an alternative pathway to democratization—or at least heightened political competition—for countries with unfavorable colonial legacies. International conditions changed sharply in the last decades of the Cold War, and especially after 1991, to favor democracy promotion. Not only did many Soviet-funded dictatorships lose their source of support, the fall of the Soviet Union also substantially increased the West's leverage to implement democratic conditionalities in return for foreign aid (Dunning, 2004; Levitsky and Way, 2010; Boix, 2011). Such shocks to the international system can disrupt authoritarian equilibria. To exemplify the importance of the Cold War ending, Gunitsky (2014) argues that shocks to superpowers have ushered the different international waves of democracy, and characterizes 1989 to 1995 as the only hegemonic shock since 1947. Haggard and Kaufman (2016, 9) show that the number of regime transitions in 1990 and 1991 far exceeded that in any other years between 1980 and 2008.

Although a favorable change in the international environment should help all countries, any broad stimulus that mitigates colonial legacies should most strongly affect colonially rooted dictatorships, which were particularly prevalent among non-British colonies prior to the Third Wave. By contrast, British colonies had less to gain from such international forces because their higher average starting point creates ceiling effects.

#### 3.3. Alternative theories

Taken together, our theoretical emphasis on decolonization differences anticipates divergent democracy levels at independence, whereas changes in the international system anticipate convergence and diminishing legacies. This argument differs from the three main existing theories connecting British colonialism and democracy. First, many argue that Britain fostered post-colonial democracy by altering the political institutions and/or culture of its territories in ways that should enhance prospects for democratic consolidation. This perspective expects persistent legacies. A second, closely related perspective is that only certain British colonies should exhibit positive outcomes, depending on the mode of colonial rule. Similar to cultural theories, this perspective does not anticipate changes over time. Furthermore, below we demonstrate that even short and indirectly ruled British colonies were distinct from non-British colonies at independence, which these accounts cannot explain. Third, others argue against any positive Britain effect, and instead posit that other colonial-era factors or pre-colonial differences between empires can explain cross-empire differences. These arguments do not anticipate divergent inheritances at independence.

Culture and institutions. Numerous proposed mechanisms link British colonial rule to stronger post-colonial democracy, focusing primarily on cultural and institutional explanations. Weiner (1987) posits two main mechanisms through which Britain promoted "tutelary democracy" (18). First, Britain promoted bureaucratic structures that maintained order through the rule of law rather than through arbitrary authority. Because these administrative institutions gradually become indigenous, colonial subjects gained

<sup>&</sup>lt;sup>8</sup> Unreported regressions show that British colonies and other colonies are statistically indistinguishable at independence in income per capita (after excluding the Gulf states) or ethnic fractionalization.

experience with law-based governance (see also Narizny, 2012, 362; Abernethy, 2000, 406; and Treisman, 2000, 418–427). Second, Britain provided a limited system of representation and elections that enabled political elites to learn to use and to internalize the norms of democratic procedures (see also Lipset et al., 1993, 168; Diamond, 1989, 8; and Abernethy, 2000, 367). Although France also introduced elections in many of its African colonies prior to independence, Britain tended to grant greater responsibilities to its elected legislative organs, whereas France practiced a more centralized style of rule (Emerson, 1960, 232). These arguments closely relate to arguments about other beneficial British institutional legacies: common law (La Porta et al., 1998) and parliamentary institutions with strong legislative constraints on the executive (Abernethy, 2000, 367). Another closely related argument emphasizes the role of human capital (Glaeser et al., 2004)—specifically, higher education levels in former British colonies (Diamond, 1988, 9)—in shaping economic and political outcomes.

A common theme among these arguments is that British colonial rule should promote long-term advantages via cultural norms and institutions that facilitate democratic stability. These theories therefore face difficulties accounting for change over time. This is a problem when we consider the temporal pattern shown in Fig. 1. Former British colonies that became independent after 1945 are not consistently more democratic than other ex-colonies over the longer term. Ex-British colonies exhibited steep democratic declines in the 1960s and 1970s, and achieved smaller democratic gains during the Third Wave than ex-colonies from other empires.

Heterogeneity within the British Empire. Many qualify pro-Britain arguments by instead positing that only certain British colonies received beneficial inheritances. These arguments concern how Britain governed its various territories, in particular, how directly it ruled them. One proxy for directness of rule is length of British colonial rule in a territory. Huntington (1984, 206) asserts that British colonial rule should have only promoted democracy in countries it ruled for a long period, whereas the democratic record of former British colonies in Africa, "where British rule dates only from the late nineteenth century, is not all that different from that of the former African colonies of other European powers." Olsson (2009) provides statistical evidence that the length of British colonial rule mattered. Mahoney (2010), although not explicitly discussing democracy, emphasizes the intensity of colonial rule and its interaction with colonizer origin.

Lange (2004, 2009) statistically examines heterogeneity within the British empire by measuring the directness of British rule with the percentage of court cases in the 1950s tried in customary rather than British colonial courts. More customary court cases correspond to less direct rule. Among a sample of ex-British colonies, he demonstrates a positive relationship between direct rule and post-colonial democracy (2004, 915). An even more extreme type of British indirect rule occurred in its Middle Eastern colonies, which were acquired as Mandate territories after World War I and/or ruled indirectly through monarchs. There is also evidence that Britain ruled more directly in its forced settlement colonies, in particular by granting metropolitan legal rights to colonial subjects prior to World War II (Owolabi, 2015). This relates to Mamdani's (1996) hypothesis that two-tiered colonial legal systems, prevalent in African colonies, contributed to subsequent political dysfunction.

These arguments differ from unconditional pro-Britain positions by implying that British rule should associate with democracy where it was especially intrusive (such as the settler and plantation colonies), but not in other areas (such as African and Middle Eastern colonies). However, similar to cultural and institutional arguments, these theories do not attempt to explain change over time. They anticipate that British colonies ruled directly and/or for long periods should be stable democracies since independence, whereas indirectly ruled countries should not be democratic at independence or afterwards.

**Alternative historical explanations.** Other recent work on historical causes of democracy argues that colonizer identity is relatively unimportant. This research instead posits that alternative aspects of the colonial or pre-colonial era that correlate with colonizer identity offer greater explanatory power.

Two recent contributions critique the British colonialism-democracy thesis by arguing that cross-empire Protestant missionary influence accounts for any beneficial aspects of British colonial rule: "Some scholars suggest that British colonialism fostered democracy...but this may be because [Protestant missionaries] had greater influence in British colonies" (Woodberry, 2012, 254). Although British colonies tended to have higher education levels, stronger civil societies, and more electoral participation prior to independence, Woodberry claims these are entirely accounted for by the larger number of Protestant missionaries in British colonies (255). Lankina and Getachew (2012, 466–7) similarly argue: "With respect to the societal underpinnings for democratic development, the record of British colonialism is not very laudable....Our call to isolate the impact of missionary activity from that of colonial authority rests on the role of Christian missions in the promotion of education." Empirically, Woodberry (2012) demonstrates that a British colonialism indicator becomes statistically insignificant and substantively small when controlling for colonial-era Protestant missionaries across a large sample of non-European countries with democracy level averaged between 1950 and 1994. This resembles Hadenius' (1992, 133) earlier finding that controlling for Protestant population share explains away the Britain effect.

Hariri (2012, 2015) offers a different account that links the pre-colonial and colonial eras, providing evidence that (1) territories with a long history of statehood have experienced lower levels of post-Cold War democracy and (2) a proxy for European settler influence positively correlates with democracy. Although Hariri does not focus on the Britain-democracy thesis, the general thrust of his framework resembles Woodberry (2012) and Lankina and Getachew (2012): specific colonial-era actors caused democracy, whereas differences among colonizers were relatively unimportant (Hariri, 2012, 474). Similarly, pre-colonial characteristics of territories, i.e., selection effects, impact prospects for the directness of rule and for democracy promotion rather than the identity of

<sup>&</sup>lt;sup>9</sup> Although not focused on British colonialism specifically, Weingast (1997) proposes a plausible rationalist mechanism for why democratic norms matter: in a repeated interaction between a ruler and citizens that need to coordinate to prevent transgressions, a history of limited government can help citizens to solve their coordination problem.

Table 1
Core results.

	Dependent varia	ble: Polity score				
			Panel A. Post-1945 inc	lependence countries		
	All post-in	post-indep. years, 1945- First post-indep. year		<u>P</u>	ost-1991	
	(1)	(2)	(3)	(4)	(5)	(6)
British colony	3.301***	2.482**	6.038***	6.912***	1.062	0.0463
	(1.173)	(1.038)	(1.473)	(1.575)	(1.295)	(1.331)
Ethnic frac.		-2.630		-0.988		-0.596
		(2.298)		(2.525)		(3.214)
Muslim pop. %		-0.0325**		-0.0309		-0.0341**
• •		(0.0131)		(0.0186)		(0.0153)
ln(GDP p.c.)		1.025		0.423		0.569
-		(0.657)		(1.028)		(0.868)
ln(Population)		0.669*		0.544		0.372
		(0.388)		(0.478)		(0.456)
ln(Oil & gas p.c.)		-0.460*		-0.753*		-0.549*
. 01,		(0.235)		(0.397)		(0.279)
Country-years	3825	3681	73	69	1734	1663
Countries	73	70	73	69	73	70
R-squared	0.062	0.169	0.200	0.376	0.008	0.145
•			Panel B. All non-Eu	uropean countries		
				t-indep. year	ost-1991	
	(1)	(2)	(3)	(4)	(5)	(6)
British colony	4.453***	4.662***	6.228***	6.289***	0.339	0.268
•	(1.240)	(1.021)	(1.202)	(1.123)	(1.180)	(0.981)
Ethnic frac.		-1.773		-0.364		-1.952
		(1.448)		(1.901)		(1.985)
Muslim pop. %		-0.0550***		-0.0411***		-0.0770***
1 1		(0.00785)		(0.0129)		(0.0118)
Country-years	11,088	11,071	129	127	3147	3130
Countries	129	127	129	127	129	127
R-squared	0.084	0.197	0.215	0.293	0.001	0.222

*Notes*: Table 1 summarizes a series of OLS regressions by presenting coefficient estimates, and country-clustered robust standard error estimates in parentheses. \*\*\*p < 0.01, \*\*p < 0.05, \*p < 0.1.

the colonizer. Acemoglu et al.'s (2001) related contribution about colonial-era European settlers explicitly draws this conclusion: "it appears that British colonies are found to perform substantially better in other studies in large part because Britain colonized places where [large-scale European] settlements were possible, and this made British colonies inherit better institutions" (1388).

Whereas cultural arguments cannot explain decreases in democracy levels in British colonies or subsequent convergence, appealing to alternative historical explanations cannot explain differences at independence. They instead imply that after controlling for the causally important factors such as Protestant missionaries, state history, and European settlers—and therefore addressing selection effects—the gap in early years shown in Fig. 1 should disappear.

# 4. Regression evidence of core pattern

The first set of regressions establish the pattern from Fig. 1 using regression analysis, while additionally evaluating different samples as well as accounting for standard alternative explanations in the democracy literature, including modernization theory and the resource curse. We then consider additional robustness checks that vary the models, measures, and time samples. Broadly, the robust time-varying pattern supports our theory, which focuses on differences in democratic preparation leading up to independence and on changes in the international system that should contribute to convergence over time. By contrast, the core pattern contradicts theories based on longer-term culture and institutions, which do not anticipate diminishing legacies. We also specifically address existing theories that allege certain forms of selection effects or alternative colonial institutions, or examine heterogeneity within the British empire.

#### 4.1. Core results

We present results from pooled OLS models that use country-year as the unit of analysis and cluster standard errors by country. Table 1 estimates:

$$Polity_{it} = \alpha + \delta \cdot BritishColony_i + \beta \cdot X_{it} + \epsilon_{it}, \tag{1}$$

where  $Polity_{it}$  is the polity2 score for country i in year t,  $\delta$  is the main parameter of interest, and  $X_{it}$  is a vector of covariates that differs across specifications. Panel A of Table 1 uses the same sample of 73 post-1945 independence countries as in Fig. 1, and Panel B uses

an expanded sample since 1800 of all 129 non-European countries with Polity IV data.<sup>10</sup> Each panel contains three time periods: all post-independence years (through 2014), the first full year of independence, <sup>11</sup> and only post-1991 years. The latter two correspond with time periods highlighted in the theory and with the disjunctures highlighted in Fig. 1.

Column 1 in both panels of Table 1 pools all sample years. It recovers the common finding in the existing large-N literature: former British colonies are in general more democratic than other countries. Column 2 demonstrates a similar relationship remains even when controlling for five standard democracy covariates in Panel A: logged annual GDP per capita, logged annual population, logged annual oil and gas production per capita, Muslim percentage of the population in 1980, and ethnic fractionalization. 12

Although Columns 1 and 2 of Table 1 provide evidence for a positive British colonialism effect, the estimated magnitude of the coefficients is relatively small. The estimated effect of 3.3 Polity points in Panel A corresponds to a move from Saudi Arabia to slightly more liberal Kuwait in 2014, or from Guyana to India. <sup>13</sup> Furthermore, as shown below, the coefficient estimate in the full temporal sample is not robustly statistically significant using every democracy measure (Table A.6, Panel A, Column 2) nor across all types of British colonies (Tables A.17 through A.20). The estimated effect in Panel B is somewhat larger, although this arises primarily from including four historically exceptional neo-British colonies.

The remainder of Table 1 disaggregates time periods. Columns 3 and 4 present results for each country's first full year of independence. The Britain coefficient estimate in Panel A increases by 83% between Columns 1 and 3, and by 278% between Columns 2 and 4. The magnitude is even larger once standard covariates (Column 4) or region or year fixed effects (Table A.5) are included. The estimated effect is remarkably large: more than six Polity points, and exceeding eight in the year fixed effects model. For comparison, France had a Polity score only seven points larger than Algeria's in 2014. The coefficient estimates are also large in Panel B.

One hint that the findings at independence are unlikely to be entirely driven by unobserved factors is that the coefficient estimate *increases* when adding covariates in both Panels A and B. Therefore, the sign of the bias induced by omitting unobservables must (1) go in the opposite direction as the bias induced by omitting the observable factors in Table 1 and (2) be large in magnitude in order to explain away the finding. Considering the large magnitude of the coefficient estimate and insensitivity to observables, even without exploiting natural experimental variation, it appears quite unlikely that selection effects can explain away the estimated British colonialism effect at independence—although robustness checks below further examine this possibility.

However, the findings are quite different when instead examining the period since 1991. The coefficient estimates in Columns 5 and 6 of Table 1 are substantively small in estimated effect and not statistically significant. Therefore, lingering concerns that omitted variable bias drives the results at independence must additionally address the diminished coefficient estimates in the post-Cold War era by identifying factors that covary with British colonialism and a short-term *but not* long-term positive democracy effect.

# 4.2. Robustness checks

Four sets of robustness checks reinforce these findings: adding year or region fixed effects, altering the dependent variable, changing the cutoff dates, and disaggregating non-British colonizers.

First, Appendix Table A.5 alters the set of covariates and demonstrates similar results when controlling for either region or year fixed effects to account for unobserved heterogeneity in the cultural characteristics of specific regions or in the international climate toward democracy at different times.

Second, the results are similar across different dependent variable measures. Appendix Table A.6 considers V-Dem's polyarchy measure (Coppedge, 2018) and Boix et al.'s (2012) binary democracy measure, chosen because they exhibit extensive time coverage. Tables A.7 through A.10 change the dependent variables to disaggregated democracy components, capturing the standard distinction between contestation (constraints on the executive, free and fair elections) and participation (extent of the franchise). Most contestation measures exhibit a similar pattern of divergent inheritances and diminishing legacies as the aggregate democracy measures. The British advantage is more persistent post-1991 using Polity IV components. However, the long-term relationship is sensitive to adding covariates, the estimated effect magnitude still declines by about half, and contestation measures from other datasets do not provide evidence of persistence. By contrast, there is no clear pattern of a British advantage at independence or anytime afterwards for various participation measures. Collectively, this evidence is consistent with arguments that the British governing tradition should

<sup>&</sup>lt;sup>10</sup> Polity IV includes all countries with a population of at least 500,000 in 2015. Among British colonies, the Panel B sample adds to the Panel A sample the five major settler colonies (Australia, Canada, New Zealand, South Africa, United States) and two Middle Eastern quasi-British colonies (Egypt and Iraq) that gained independence before 1945.

<sup>&</sup>lt;sup>11</sup> Polity IV measures its variables in December 31 of the given year, and only provides post-independence data. Although coding democracy scores at independence may seem to be an error-prone process, there do not appear to be strong concerns about measurement error in Polity at independence relative to other times and places. The Polity IV coders do not flag any cases of coding uncertainty in the year after independence, compared to 33 cases in later years of our sample.

<sup>&</sup>lt;sup>12</sup> Appendix Table A.2 describes these variables and their sources. Three of the 73 countries in the core sample are missing GDP per capita data in all years (four at independence), which accounts for the discrepancy in sample size between the specifications that include these covariates versus those that do not. Because of missing data on the three time-varying covariates in the 19th century, the Panel B regressions with controls only include ethnic fractionalization and Muslims (two countries are missing data on these variables in the bigger sample).

<sup>&</sup>lt;sup>13</sup> The range of the Polity scale is 20.

<sup>&</sup>lt;sup>14</sup> Never-colonized countries' "year of independence" in our data is their first year with Polity IV data. The United States' "year of independence" in our dataset is 1800 because Polity IV does not have data for 1783.

promote contestation (although, in most specifications, only at independence), whereas broader global trends after World War II promoted widespread franchises and holding elections even if the elections were not competitive.

Third, the chosen date cutoffs do not affect the findings. Appendix Table A.11 demonstrates that the coefficient estimates from Columns 3 and 4 of Table 1 are similar when analyzing average Polity score over each country's first six years of independence, instead of just the first year, or between 1946 and 1965. Table A.11 also shows that the findings from Columns 5 and 6 of Table 1 are mostly unchanged when defining "recent" years as either 35 years after independence (the same end year used in Fig. 1) or only 2014 (the last year in our sample), rather than the post-1991 period. <sup>15</sup> Finally, rather than truncating the sample by time period, Appendix Table A.12 interacts British colonialism with either year or years since independence. It shows that the Britain coefficient estimates decline significantly over time.

Fourth, we disaggregate non-British colonies by their colonizer and show that no single non-British colonizer drives the findings (Appendix Table A.13).

#### 4.3. Selecting better colonies?

Many studies propose colonial or pre-colonial factors other than British colonization to predict democracy. Tables 2, A.14, A.15, and A.16 evaluate prominent alternative historical accounts: European settlers, state antiquity, Protestant missionaries, other colonial and historical factors, human capital, and geography. These tables generate two main takeaways. First, alternative historical accounts do not explain away the Britain effect. The Britain coefficient remains large and statistically significant in every regression in the first year of independence (Columns 3 and 4 of each panel), and the coefficient estimates across the entire post-independence period are also minimally impacted in most specifications (Columns 1 and 2). Second, the disaggregated time periods and samples qualify arguments about other colonial legacies. Overall, these findings mitigate concerns that Britain—which, as the world's leading naval power in the 19th century, was well-positioned to annex the most economically and strategically desirable colonies—simply colonized places that were inclined to become more democratic regardless of which European power colonized them.

Panels A and B of Table 2 assess the European settlers thesis—premised on the idea that colonial European settlers transplanted representative institutions—which does not explain away the temporally contingent Britain effect and itself receives circumscribed support. When examining post-1945 independence countries, the colonial European settler coefficient is consistently small in magnitude and never statistically significant. Among all non-European countries, the settlers thesis receives support in all years and in post-1991 years but not at independence. Therefore, European settlers may explain some variation in post-colonial democracy, but these differences did not exist at independence. Furthermore, comparing across columns and across these two panels suggests that democratic gains by ex-Spanish American countries in the 1980s and 1990s mainly account for the significant settlers coefficient. We require additional theorizing to explain why—if colonial European settlers bequeathed democratic legacies—these effects appeared more than a century after independence. <sup>16</sup>

The thesis that areas with longer histories of statehood above the local level should be less democratic, evaluated in Panels C and D, receives even weaker support. Overall, the results are similar to those for European settlers: no support in the post-1945 decolonization sample; and, among all non-European countries, some support in all years and post-1991 (although not when including covariates), but not at independence. Every specification for the first year of independence has a positive sign—opposite from the theoretical prediction that a longer history of statehood hinders democracy. Appendix Table A.14 demonstrates similar results when controlling for related pre-colonial or early colonial factors: European settler mortality rates (Acemoglu et al., 2001), historical population density (Acemoglu et al., 2002), years elapsed since a territory's Neolithic transition (Hariri, 2012), and year of colonial conquest.

The Protestant missionary hypothesis also cannot explain away the Britain effect at independence, <sup>17</sup> and itself appears to follow a similar temporal pattern. In Panels E and F, Protestant missionaries correlate somewhat strongly with democracy in all years, and very strongly at independence—but not after 1991. Additional theorizing is needed to explain this temporally contingent pattern because existing pro-missionary arguments rely on the types of structural cultural influences that seemingly should imply a long-term in addition to a short-term effect (Woodberry, 2012; Lankina and Getachew, 2012). Tables A.15 and A.16 show that two related human capital explanations (secondary education and literacy) also cannot explain away the Britain effect, nor can standard geographical controls.

# 4.4. Heterogeneity within the British empire

Appendix Section A.4 examines heterogeneity within the British empire. The British empire was notable for the cultural and geographic diversity of areas it ruled and for the variety of institutional forms adopted to govern them. Various British bureaucracies—such as the Colonial Office, India Office, the Foreign Office, and for-profit corporations—established their own local

<sup>&</sup>lt;sup>15</sup> The Britain coefficient is statistically significant at 10% in one of the specifications for 35 years after independence in the expanded sample, which the appendix discusses.

<sup>&</sup>lt;sup>16</sup> These largely null findings are consistent with Paine's (2019a) argument that although European settlers were responsible for the spread of early elected colonial representative institutions, settlers' resistance to franchise expansion usually undermined their earlier positive legacy.

<sup>&</sup>lt;sup>17</sup> The magnitude of the coefficient estimate for British colonialism in Panel F of Table 2 relative to Panel B of Table 1 is somewhat attenuated because Woodberry (2012) is missing data for the four neo-Britains.

**Table 2** Alternative historical explanations.

	Dependent variable	e: Polity score				
		Panel A. I	European settlers, post-	1945 independence co	ountries	
	All post-inde	p. years, 1945-	*	indep. year		<u>-1991</u>
	(1)	(2)	(3)	(4)	(5)	(6)
British colony	3.425***	2.501**	6.017***	6.543***	1.477	0.361
•	(1.145)	(1.081)	(1.457)	(1.679)	(1.276)	(1.396)
ln(European pop. %)	0.132	0.0156	-0.0225	-0.313	0.435	0.281
	(0.260)	(0.262)	(0.303)	(0.299)	(0.291)	(0.319)
Country-years	3825	3681	73	69	1734	1663
R-squared	0.064	0.169	0.200	0.385	0.033	0.154
Covariates	NO	YES	NO	YES	NO	YES
			B. European settlers, al			
	All post-inde	p. years, 1800-	First post-	indep. year	Pos	<u>-1991</u>
	(1)	(2)	(3)	(4)	(5)	(6)
British colony	4.647***	4.767***	6.297***	6.296***	0.689	0.578
	(0.939)	(0.894)	(1.182)	(1.128)	(1.048)	(0.963)
ln(European pop. %)	0.620***	0.416***	0.205	0.0131	0.851***	0.553***
	(0.101)	(0.117)	(0.141)	(0.151)	(0.148)	(0.160)
Country-years	11,088	11,071	129	127	3147	3130
R-squared	0.193	0.232	0.226	0.293	0.168	0.279
Covariates	NO	YES	NO	YES	NO	YES
		Panel C. Stat	te antiquity in 1500, po	st-1945 independence	e countries	
	All post-inde	p. years, 1945-	First post-	indep. year	Pos	<u>-1991</u>
	(1)	(2)	(3)	(4)	(5)	(6)
British colony	5.202***	4.177***	8.471***	8.163***	3.219**	1.754
	(1.152)	(1.098)	(1.386)	(1.662)	(1.266)	(1.360)
State antiquity in 1500	-0.382	-0.0824	1.537	4.478	-2.532	-2.994
	(1.712)	(2.165)	(2.033)	(3.015)	(1.996)	(3.128)
Country-years	3333	3246	62	60	1471	1423
R-squared	0.156	0.219	0.400	0.450	0.095	0.149
Covariates	NO	YES	NO	YES	NO	YES
		Panel D.	State antiquity in 1500		untries	
	All post-inde	p. years, 1800-	First post-	<u>indep. year</u>	Pos	<u>-1991</u>
	(1)	(2)	(3)	(4)	(5)	(6)
British colony	5.488***	5.667***	8.356***	8.231***	1.542	1.348
	(1.090)	(1.001)	(1.090)	(1.079)	(1.124)	(1.055)
State antiquity in 1500	-3.664***	-2.131*	-0.633	0.585	-4.795***	-2.635
	(1.162)	(1.277)	(1.376)	(1.532)	(1.653)	(1.939)
Country-years	10,122	10,122	112	112	2763	2763
R-squared	0.176	0.220	0.380	0.398	0.082	0.183
Covariates	NO	YES	NO	YES	NO	YES
		Panel E. Pro	testant missionaries, po	st-1945 independence	e countries	
	All post-inde	p. years, 1945-	First post-	<u>indep. year</u>	Pos	<u>-1991</u>
	(1)	(2)	(3)	(4)	(5)	(6)
British colony	2.690**	2.332**	5.060***	6.398***	0.639	-0.0189
	(1.180)	(1.048)	(1.545)	(1.594)	(1.294)	(1.345)
Protestant missionaries	0.988**	0.487	1.489***	1.332***	0.625	0.171
	(0.459)	(0.637)	(0.403)	(0.490)	(0.452)	(0.697)
Country-years	3825	3681	73	69	1734	1663
R-squared	0.088	0.173	0.269	0.410	0.022	0.146
Covariates	NO	YES	NO	YES	NO	YES
		Panel F.	Protestant missionaries	, all non-European co	untries	
	All post-inde	p. years, 1800-	First post-	indep. year	Pos	-1991
	(1)	(2)	(3)	(4)	(5)	(6)
British colony	1.431	2.397**	4.795***	5.042***	-1.031	-0.289
	(1.061)	(0.966)	(1.359)	(1.322)	(1.187)	(1.019)
Protestant missionaries	1.393***	0.744*	1.607***	1.254***	0.895**	0.0105
	(0.429)	(0.422)	(0.360)	(0.393)	(0.410)	(0.418)
Country-years	10,395	10,395	121	121	2980	2980
R-squared	0.053	0.129	0.270	0.302	0.020	0.201
Covariates	NO	YES	NO	YES	NO	YES

*Notes*: The paired panels in Table 2 are identical to those in Table 1 except each adds one additional covariate: log of colonial European population, state antiquity in 1500, or Protestant missionaries. Appendix Table A.2 describes these variables and their sources. \*\*\*p < 0.01, \*\*p < 0.05, \*p < 0.1.

institutions and followed divergent policies toward local inhabitants and traditional authorities. Whereas many argue that Britain bequeathed beneficial democratic legacies only to directly ruled colonies (Diamond, 1989; Lange, 2004; Olsson, 2009; Owolabi, 2015), Appendix Tables A.17 through A.20 show that many subsets of British colonies exhibit the core time-varying pattern. There is evidence of democratic advantages at independence among indirectly ruled British colonies, British colonies without metropolitan

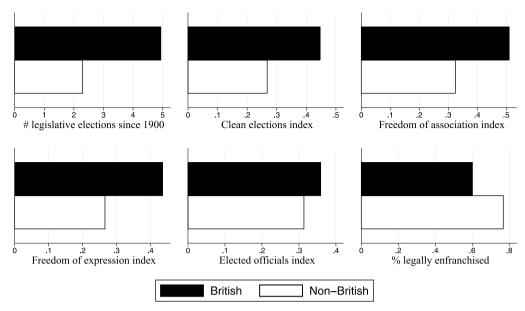


Fig. 2. Democratic preparation at independence. *Notes*: The sample for Fig. 2 contains all post-1945 independence countries with V-Dem data, which provides all six variables. Each variable is measured in the year before independence.

legal institutions, short-ruled British colonies, and when subsetting the data to only include Sub-Saharan African colonies. One difference found in these tables, however, is that directly ruled colonies as measured using Lange's (2009) customary courts variable exhibit a democratic advantage even in the post-1991 period (Panel A of Table A.17, although it is not robust to adding covariates). Still, directly ruled colonies fit the general pattern of convergence because the coefficient estimate is considerably smaller than at independence (37% decline between Columns 1 and 5), and other proxies for direct British rule do not exhibit any evidence of persistence: British colonies with metropolitan legal institutions and long-ruled British colonies (Panels B and C of Table A.17).

#### 5. Evidence for mechanisms

Our theory not only attempts to account for the temporally heterogeneous relationship between British colonies and democracy in aggregate, but also proposes specific mechanisms. Divergent decolonization policies should help to account for the democratic gap at independence, and changes in the international environment should help to explain subsequent convergence. This section provides additional evidence consistent with these mechanisms.

# 5.1. Decolonization policies and divergent inheritances

Democracy as an "honourable" exit strategy. The first posited mechanism connecting British colonialism to divergent inheritances is that Britain made a more concerted effort to promote democracy in its colonies before granting independence. Supporting this contention, Fig. 2 depicts averages from six V-Dem indicators (cumulative lower house elections elections since 1900, and the five polyarchy components used in Appendix Table A.8). The data support the idea that British colonies were more democratic immediately prior to independence than non-British colonies across a range of democratic contestation indicators. British colonies had freer elections as well as greater freedom of association and expression. They also enjoyed more extensive electoral experience. At independence, the average British colony had experienced six lower house elections since 1900, whereas the average non-British colony only held two. By contrast, British colonies were not significantly different on two lesser measures of democracy: whether the executive was elected, and franchise size. Simply having elected officials across a broad franchise did not necessarily correspond to high electoral fairness, powerful elected officials, or the broader presence of democratic institutions. Instead, all colonies followed a similar trajectory after World War II with regard to the presence of elections and a nominally large franchise. <sup>18</sup>

The next test provides evidence that policies during the decolonization period, especially the handful of years before independence, were particularly important for explaining the democracy gap at independence. Specifically, Table 3 assesses effects of Britain's calculated independence timing. If Britain tended to hold onto its colonies longer to secure higher democracy levels, then it should be true that had Britain let go of its colonies earlier, they would have not have enjoyed the relative democratic inheritance documented throughout the article. Although British colonies held a democratic advantage relative to non-British colonies throughout the first half of the 20th century, this advantage grew precipitously in the last few years of colonial rule (see Appendix

<sup>&</sup>lt;sup>18</sup> Appendix Table A.8 provides corresponding regression results, although the time period differs slightly from that in Fig. 2: the year after independence as opposed to the year before independence.

Table 3
British colonialism and "counterfactual" democracy level at independence.

	Dependent variable: "c	ounterfactual" V-Dem level at indepe	ndence		
	British colonies: V-Den	1 5 yrs. before	British colonies: V-Dem 1 yr. before		
	(1)	(2)	(3)	(4)	
British colony	-0.0498	-0.0642*	0.0360	0.0307	
	(0.0342)	(0.0334)	(0.0365)	(0.0369)	
Countries	66	62	66	62	
R-squared	0.033	0.172	0.016	0.107	
Covariates	NO	YES	NO	YES	

Notes: Table 3 summarizes a series of OLS regressions by presenting coefficient estimates, and robust standard error estimates clustered by country in parentheses. Columns 2 and 4 control for the same standard democracy covariates as in Table 1, Panel A. The sample in every specification consists of post-1945 independence countries. \*\*\*p < 0.01, \*\*p < 0.05, \*p < 0.1.

Figure A.2). The dependent variable in Table 3 is a "counterfactual" democracy measure at independence. For non-British colonies, this variable takes the value of the country's V-Dem polyarchy score in the first full year of independence. For British colonies, this variable takes the value of the colony's polyarchy score either five years (Columns 1 and 2) or one year before independence (Columns 3 and 4). These regressions assess whether, had British counterfactually granted independence to its colonies earlier than it factually did—perhaps by following France's path of pushing all its colonies out in a single year—whether they would still have enjoyed a democratic advantage at independence. Strikingly, given the robust positive correlations between British colonialism and democracy in the first year of independence (see Appendix Table A.6 for the original specifications using V-Dem), the coefficient estimate flips to negative for the five-year counterfactual and is statistically insignificant in the one-year counterfactual. This suggests strongly that Britain's concerted democracy promotion in the *immediate* lead-up to independence was crucial for generating its colonies' relative democratic inheritance, as opposed to longer-term factors.

**Avoiding violent power transfers.** The second mechanism for divergent inheritances posits that Britain's more flexible decolonization policies spared its colonies a likely path to authoritarian rule by avoiding major revolts after World War II. Within our sample, 28% of non-British colonies experienced major decolonization violence versus 6% of British colonies. Even when the British did face such rebellions, as in Malaysia and Kenya, they successfully avoided handing over power to rebels through a combination of successful counterinsurgency and granting opportunities to non-violent nationalist groups. We do not code any violent takeovers in British colonies in our sample, compared to three French, three Portuguese, and Dutch Indonesia. <sup>19</sup> The absence of guerrilla takeovers in British colonies spared its new states "a potentially potent source of antidemocratic pressure" (Diamond, 1988, 9).

Panel A of Appendix Table A.21 assesses the democratic implications of guerrilla takeovers. Columns 1 and 2 examine the guerrilla takeover correlation in isolation, with and without covariates. They show that this factor is significantly negatively correlated with democracy levels at independence, roughly the same magnitude of the coefficients for British colonialism shown throughout the article. Columns 3 and 4 re-run these specifications while adding the British colonial dummy. Because no British colonies are coded as having guerrilla takeovers, these regressions compare British colonies and (non-British) guerrilla takeover countries to non-British non-guerrilla takeover countries. Both variables are statistically significant, although the Britain coefficient is somewhat attenuated compared to the core regressions (12% when comparing the specifications with covariates). Therefore, the absence of guerrilla takeovers in British colonies cannot by itself explain the Britain effect at independence, but it appears to be one contributing factor to British colonies' more favorable democratic inheritance.

Explaining divergent decolonization policies. The theory also posits that a high metropole democracy score and a less entrenched colonial lobby facilitated Britain's pursuit of more democratically oriented decolonization policies. To assess these hypotheses, Panel B of Appendix Table A.21 examines the metropole's Polity score in each country's year of independence. Panel C uses the Manifesto Project's (Gabel and Huber, 2000) measure of the degree to which metropolitan political parties' manifestos mentioned decolonization and anti-imperialism, a proxy for the power of colonial lobbies. Higher levels of metropole democracy and heightened political party attention to decolonization are each significantly correlated with ex-colonies' Polity scores at independence (Columns 1 and 2). These factors also attenuate the British colonialism effect at independence, with the coefficient estimates ranging from 27% to 42% lower than in paired regressions that omit both intervening factors but use the same sample.

**Promoting friendly successor regimes?** Finally, we provide evidence that rejects an alternative possible explanation for divergent democratic inheritances: colonizers' specific desire to promote friendly successor regimes, even if they were authoritarian. Several prominent cases featured pro-French leaders winning elections with the help of the colonial authorities and then building durable authoritarian regimes, including Gabon, Cote d'Ivoire, and Senegal. However, this observation does not convincingly explain lower post-colonial democracy levels in non-British colonies. Britain *also* attempted to promote friendly post-independence regimes, exemplified by Malaysia, Swaziland, Jordan, and most West Indies states.

Two pieces of statistical evidence substantiate that post-colonial relationships were not considerably different between ex-British and other former colonies. First, Appendix Table A.22 evaluates two post-independence measures that affected the relationship with

<sup>&</sup>lt;sup>19</sup> The sample does not include South Yemen because its subsequent merger with North Yemen does not allow comparisons in the post-Cold War period. Additionally, Zimbabwe gained independence from a rogue white settler government rather than directly from Britain.

Table 4
Time series results.

	Dependent variable: Polity score						
	All post-indep. years, 1945-	All post-indep. years, 1945-	1st 6 yrs. post-indep.	1st 6 yrs. post-indep.	After 6 yrs. post-indep.	After 6 yrs. post-indep.	
	(1)	(2)	(3)	(4)	(5)	(6)	
Years since indep.	0.0121	0.0212**	0.123	-0.0714	0.0150***	0.0185*	
	(0.00794)	(0.0105)	(0.116)	(0.147)	(0.00354)	(0.00940)	
Br. col.*Years since indep.	-0.0128**	-0.0123**	-0.437**	-0.470**	-0.0118*	-0.0122**	
_	(0.00516)	(0.00553)	(0.198)	(0.204)	(0.00593)	(0.00582)	
Country-years	3811	3668	431	407	3380	3261	
Countries	73	70	73	69	73	70	
R-squared	0.850	0.850	0.449	0.474	0.856	0.857	
LDV	YES	YES	YES	YES	YES	YES	
Country FE	YES	YES	YES	YES	YES	YES	
Year FE	YES	YES	YES	YES	YES	YES	
Covariates	NO	YES	NO	YES	NO	YES	
			Marginal	effects			
Post Ind. Years   Br. colony = 1	-0.000693	0.00893	-0.315**	-0.542***	0.00319	0.00631	
	(0.00782)	(0.0112)	(0.133)	(0.208)	(0.00458)	(0.00993)	
Post Ind. Years   Br. colony = 0	0.0121	0.0212**	0.123	-0.0714	0.0150***	0.0185**	
, ,	(0.00794)	(0.0105)	(0.116)	(0.147)	(0.00354)	(0.00940)	

Notes: Table 4 summarizes dynamic time series regressions (described in Eq. (2)) by presenting coefficient estimates for the main variables of theoretical interest, and country-clustered standard error estimates in parentheses. The other coefficient estimates are suppressed for expositional clarity. The bottom panel presents marginal effect estimates calculated from the same models. Every specification includes a lagged dependent variable, country fixed effects, and year fixed effects. The even-numbered columns additionally control for the three time-varying standard democracy covariates in Table 1, Panel A: income per capita, population, and oil production per capita. The sample in every specification consists of post-1945 independence countries. \*\*\*p < 0.01, \*\*p < 0.05, \*p < 0.1.

the ex-colonizer: Communist bloc membership and the presence of a NATO base in the country. Neither of these factors systematically correlate with democracy at independence in models that also control for British colonialism, and the Britain coefficient estimate is largely unchanged. Second, Appendix Table A.23 examines countries' differences in ideal points from their colonizer, measured using voting data from the United Nations General Assembly. It presents a bivariate specification, as well as ones with region and/or year fixed effects to capture common preferences among countries. Although the coefficient estimate for ex-British colonies is positive—indicating that ex-British colonies voted differently than Britain more frequently than other ex-colonies from their former colonizer—it is not statistically significant in any specification (the lowest p-value is 0.27).

Overall, this evidence supports that although non-British colonies more frequently harbored pro-colonial *dictatorships* than ex-British colonies, this reflected British preferences for democratic decolonization reforms—whether to friendly or unfriendly local elites—rather than, necessarily, lesser ability to secure their preferred successor regime. This observation is also consistent with arguments that democratic powers can advance their aims by promoting democracy in countries where they have influence (Gunitsky, 2014).

#### 5.2. International democracy promotion and diminishing legacies

The theory highlights differences in prospects for democratic consolidation and democratization between the Cold War period in which colonies in our core sample gained independence, and changes in the international system toward the end of the Cold War that engendered the Third Wave of democracy. Table 4 more systematically establishes change over time by estimating a series of dynamic panel models:

$$Polity_{it} = \gamma_i + \gamma_t + \theta \cdot Polity_{it-1} + \rho \cdot Ind. \ Years_{it} + \delta \cdot BritishColony_i \times Ind. \ Years_{it} + \beta \cdot X_{it} + \epsilon_{it}, \tag{2}$$

where  $Polity_{it}$  is the polity2 score for country i in year t,  $Polity_{it-1}$  is the lagged dependent variable,  $\delta$  is the main parameter of interest, Ind.Years is the number of years since independence,  $X_{it}$  is a vector of time-varying covariates that differs across the specifications,  $\gamma_i$  is a vector of country fixed effects, and  $\gamma_t$  is a vector of year fixed effects. The unit and time fixed effects account for the confounding influence of time invariant heterogeneity among countries and global shifts in democracy promotion over time. The models cannot estimate the lower-order effect of BritishColony because it is perfectly collinear with country fixed effects. Every model estimates country-clustered standard errors.

Columns 1 and 2 of Table 4 show a general positive trend over time in democracy levels among non-British colonies (p-value in Column 1 is 0.129), but also that ex-British colonies have experienced less pronounced gains, as evidenced by the negative and statistically significant interaction term between British colonialism and years since independence. Columns 3 and 4 analyze the first six years of independence and demonstrate a statistically significant negative marginal effect estimate for years since independence among ex-British colonies, which indicates ex-British colonies exhibited sharper decreases shortly after independence. Finally, Columns 5 and 6 only includes years after the first six years of independence, and reveal similar findings as the full temporal sample:

 Table 5

 Reversal events in failed British-colonized democracies.

Country	Independence year	Reversal year	Event
Burma	1948	1958	Military coup
Sri Lanka	1948	1978	Consolidation
Sudan	1956	1958	Military coup
Malaysia	1957	1969	Consolidation
Nigeria	1960	1966	Military coup
Sierra Leone	1961	1967	Military coup
Uganda	1962	1966	Military coup
Gambia	1965	1994	Military coup
Lesotho	1966	1970	Consolidation
Fiji	1970	1987	Military coup

other ex-colonies gained in democracy levels more strongly than former British colonies.

Cold War democratic impediments. Providing insight into the countries that drive the post-independence democratic decline documented in Columns 3 and 4 of Table 4, Table 5 lists the 10 ex-British colonies that were democratic at independence but suffered a reversal before the mid-1990s (see Appendix Table A.4).<sup>20</sup> In most cases, the reversal occurred within a decade of independence. Each case exhibited either a military coup or authoritarian consolidation by the incumbent. Such events establish the importance of domestic factors that contributed to democratic collapse, as opposed to external regime-changing factors like British intervention or rebel victory in a post-colonial civil war.

The Third Wave of democracy. Regarding the later post-independence democratic rise documented in Table 4, in five of the six non-British cases with an average Polity score of at least 6 after 1991 (Benin, Cape Verde, Lebanon, Madagascar, Mali, Philippines; see Appendix Table A.4), five transitioned to democracy in or after 1991 and the other (Philippines) occurred in 1987. Thus, the only non-British colonies that achieved relatively high democracy levels experienced their gains during a period several decades after independence in which international forces favored democratization—the first major international shock to destabilize colonial-inherited regimes. Angola and Mozambique provide additional relevant examples for the theory because of their guerrilla origins, although neither achieved full democracy. Rebels seized control of the government in both countries at independence in 1975 after long decolonization wars with Portugal. These countries fit the general pattern in Appendix Table A.21 that guerrilla regimes were undemocratic at independence. However, intense Cold War rivalries in these countries reached a detente in the late 1980s (Reno, 2011, 76), which fostered a lasting liberalization of Mozambique's formerly one-party regime in the 1990s (Manning, 2005) and—briefly—free and fair parliamentary elections in Angola in 1992 (Fituni, 1995, 152).

Third Wave forces also facilitated greater democratic contestation in some ex-British colonies, such as Kenya and Zambia. However, the leveling influence of the changed international environment should promote fewer gains in British colonies because of ceiling effects. Ex-British colonies' higher baseline democracy levels in the 1980s imply that there were fewer possible gains to accrue from these alternative democratization forces. Appendix Table A.25 supports this claim. The unit of analysis is country and the dependent variable is average democracy score since 1986 (the first year in which an upward trend begins in Panel A of Fig. 1). Column 1 includes all countries from the Table 1 sample and recovers the visual intuition from Fig. 1: ex-British colonies gained less during the Third Wave than other colonies. Column 2 shows a similar finding when adding covariates. However, the remaining columns include only countries whose average Polity score between 1980 and 1985 was less than 0, which includes every non-British colony in the sample but only a subset of the British colonies. Column 3 shows that the estimated difference in Third Wave gains between British and non-British colonies drops by 79% when excluding the 17 British colonies with higher baseline democracy levels than any non-British colonies in the sample, and loses statistical significance. Overall, these considerations help to explain the evidence from Columns 5 and 6 of Table 4 that ex-British colonies experienced less pronounced gains during the Third Wave, accounting for the near-convergence in democracy levels shown in Fig. 1 and across the regression tables.

Did decolonization mechanisms matter after the Cold War? A final implication from focusing on changes in the international system is that the decolonization mechanisms assessed in Appendix Table A.21 should correlate weakly with post-1991 democracy levels. Appendix Table A.24 supports this implication. In contrast to existing arguments that revolutionary takeovers tend to engender highly durable authoritarian regimes, the coefficient estimate for guerrilla takeover at independence is small in magnitude and null in the post-1991 period (Panel A). Metropole democracy score exhibits a similar pattern (Panel B). The coefficient estimate for colonizer manifesto diminishes by 58% in Column 1 between the independence and post-1991 samples (Panel C). Although the estimate remains statistically significant, unreported results show that a handful of colonies in the small empires (United States, Dutch, Belgian) drive the correlation.

<sup>&</sup>lt;sup>20</sup> Somalia, Botswana, and Zimbabwe also exhibit similar patterns.

<sup>&</sup>lt;sup>21</sup> The low maximum Polity score among non-British colonies eliminates the common support needed to estimate an interaction effect, which is why we truncate the sample instead.

#### 6. Conclusion

This article established a time-varying pattern between British colonialism and post-colonial democracy. Ex-British colonies enjoyed a democratic advantage at independence because of more concerted British efforts to promote democracy leading up to independence. However, these countries became democratic amid inauspicious international conditions—Cold War superpower competition—for consolidating democracy. Later shifts in the international system corresponding with Third Wave democratic forces exerted larger democratizing effects for non-British colonies (because of their lower starting point), which combined with earlier democratic reversals in ex-British colonies to generate convergence between British and other ex-colonies since 1991.

The most direct contribution of these findings is to advance debates about British colonialism and democracy. Simply put, the British democratic legacy cannot be understood without examining changes in outcomes over time. Expounding the time-varying pattern is crucial not only to reconcile mixed existing statistical findings, but also to assess theoretical explanations for the Britain effect—yielding our focus on decolonization-era effects rather than on the traditional explanations from British colonialism studies regarding longer-term cultural and institutional effects. Additionally, although our supplemental results that examine heterogeneity within the British empire complement some accounts by showing evidence that directly ruled British colonies may have enjoyed more durable democratic legacies (Lange, 2004; Olsson, 2009; Owolabi, 2015), we also show that even indirectly ruled British colonies governed for relatively short periods of time enjoyed a democratic advantage at independence. Our decolonization-based explanation accounts for important democratic similarities that existed across much of the British empire. Overall, rather than extolling or condemning British rule, our findings support a more nuanced interpretation: despite facilitating short-term democratic gains relative to other European empires, in general British rule failed to engender conditions for consolidating democracy.

More broadly, the analysis also raises important questions regarding for how long historical legacies persist and why they end. Influential accounts of colonial legacies emphasize mechanisms that generate long-term path dependence between colonial-era events and contemporary outcomes (e.g., Putnam, 1993; Acemoglu et al., 2001; Acemoglu et al., 2002; Lee, 2017; Lee, 2019). Research on economic development posits questions such as "Was the Wealth of Nations Determined in 1000 B.C.?" (Comin et al., 2010). Highlighting the discrepancy between the democracy pattern analyzed here and studies of economic development suggests that frameworks based on long-lasting path dependence may not apply to explaining democracy, which appears to be more strongly affected by factors such as changes in the international environment that have proven unable to narrow global income differences.

However, rather than call into question results from the development literature, our findings may instead provide one component to explaining durable differences in income across countries. As noted, among post-1945 independence cases, Britain ruled nearly every colony that was democratic at independence. Furthermore, many of these countries suffered democratic reversals shortly after independence. Given evidence that democracy contributes to economic growth (Acemoglu et al., 2019), the inability of ex-British colonies to consolidate democracy should have hindered their economic performance. Therefore, the inability of differences in colonial institutions to effect durable *democratic* legacies among most of the post-colonial world may in part explain why ex-colonies did not systematically improve their aggregate economic performance in the decades following independence (Lee and Paine, 2019). A sustained period of economic stagnation in the 1970s and 1980s in many ex-colonies helps to account for large, durable *income* gaps relative to Europe (Acemoglu et al., 2001; 2002).

These findings also contrast with prior research on colonialism and democracy, which yields less precise expectations than the development literature for how long colonial legacies should persist. For example, Hariri's (2012) study on state antiquity and colonial European settlers examines post-colonial democracy levels between 1991 and 2012, and Woodberry's (2012) article on colonial Protestant missionaries examines post-colonial democracy levels between 1950 and 1994. However, our theoretical and statistical approach suggests the importance of analyzing various time periods, which Table 2 confirms for these specific factors. The coefficient estimate for Protestant missionaries follows a similar temporal pattern as documented for British colonies: strong at independence and weak since 1991. Our dual theoretical focus on decolonization reforms and shifts in the international system may prove useful to better understand Protestant missionary legacies in future research. By contrast, the coefficient estimates for state antiquity and European settlers do not follow a temporal pattern anticipated by any existing theories—weak at independence, and strong only since 1991 and only in the expanded sample of all non-European countries. Additional theorizing is needed to explain this pattern, which appears to be driven by Spanish American countries democratizing in the 1980s and 1990s, more than a century after independence for nearly all these colonies.

Overall, for the broader literature, our approach to studying British colonial legacies offers an important step for understanding how long historical legacies persist. Other colonial-era events contained largely in the post-1945 decolonization period likely also exhibit divergent inheritances and diminishing legacies. Decolonization was a critical juncture that shaped subsequent decades, and for some effects may have been as important as the longer period of colonial rule. However, although critical junctures can shape outcomes over centuries or millennia, this is not always true: historical events, even important ones, can be reversed by subsequent ones. To explain democratic trends, shifts in the international environment appear particularly relevant. Further synthesizing different democracy literatures in future research may produce theories with greater explanatory power.

## Supplementary material

Supplementary material associated with this article can be found, in the online version, at 10.1016/j.jce.2019.02.001.

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