## Chapter 13



## Congress

## The Representatives and Senators

## The Job

- Salary of $\$ 168,500$ with retirement benefits
- Office space in D.C. and at home with staff
- Travel allowances and franking privileges
- Requires long hours, a lot of time away from family, and pressure from others to support their policies


## The Representatives and Senators

## A Portrait of the 111th Congress: Some Statistics

| CHARACTERISTIC | HOUSE (435 TOTAL) | SENATE (100 TOTAL) |
| :---: | :---: | :---: |
| Party Democrat Republican Independent | $\begin{gathered} 257 \\ 178 \\ -\quad \end{gathered}$ | $\begin{array}{r} 55 \\ 43 \\ 2 \end{array}$ |
| Gender <br> Men Women | $\begin{array}{r} 363 \\ 72 \end{array}$ | $\begin{aligned} & 83 \\ & 17 \end{aligned}$ |
| Race <br> Asian <br> African American <br> Hispanic <br> White and other | $\begin{array}{r} 4 \\ 40 \\ 24 \\ 367 \end{array}$ | $\begin{array}{r} 2 \\ 1 \\ 3 \\ 94 \end{array}$ |
| Religion ${ }^{+}$ <br> Protestant <br> Roman Catholic <br> Jewish <br> Other and unspecified | $\begin{array}{r} 260 \\ 128 \\ 30 \\ 14 \end{array}$ | $\begin{array}{r} 63 \\ 25 \\ 13 \\ 1 \end{array}$ |
| Prior oceupation*+ <br> Law <br> Business <br> Education <br> Public service/politics <br> Agriculture <br> Journalism <br> Real estate <br> Medicine <br> Other | $\begin{array}{r} 161 \\ 168 \\ 87 \\ 172 \\ 23 \\ 7 \\ 36 \\ 13 \\ 61 \end{array}$ | $\begin{array}{r} 61 \\ 27 \\ 14 \\ 31 \\ 6 \\ 7 \\ 3 \\ 3 \\ 9 \end{array}$ |

## -Data for 110 th Congress

*Some members specify more than one cccupation.
Source: Congessional Quarterly

## Congressional Elections

## Who Wins Elections?

- Incumbents: Those already holding office.


## FIGURE 12.1

The Incumbency Factor in Congressional Elections


Source: Norman J. Ornstein, Thomas E. Mann, and Michael J. Malbin, Vital Statistics on Congress, 1997-1998 (Washington, DC: Congessional Quarterly Press, 1998). Data for 1998-2008 compiled by the authors. Figures reflect incumbents running in both primary and general elections.

## Congressional Elections

## - The Advantages of Incumbents

- Advertising:
- The goal is to be visible to your constituents
- Frequent trips home, use of newsletter, and technology
- Credit Claiming:
- Service to constituents through:
- Casework: specifically helping constituents get what they think they have a right to
- Pork Barrel: federal projects, grants, etc. made available in a congressional district or state


## Congressional Elections

- The Advantages of Incumbents
- Position Taking:
- Portray themselves as hard working, dedicated individuals
- Occasionally take a partisan stand on an issue
- Weak Opponents:
- Inexperienced in politics, unorganized, and underfunded
- Campaign Spending:
- Challengers need to raise large sums to defeat an incumbent
- PACs give most of their money to incumbents
- Does PAC money "buy" votes in Congress?


## Congressional Elections

- The Role of Party Identification
- Most members represent the majority party in their district, and most who identify with a party reliably vote for its candidates
- Defeating Incumbents
- One tarnished by scandal or corruption becomes vulnerable to a challenger
- Redistricting may weaken the incumbency advantage
- Major political tidal wave may defeat incumbents


## Congressional Elections

Open Seats

- Greater likelihood of competition
- Most turnover occurs in open seats

Stability and Change

- Incumbents provide stability in Congress
- Change in Congress occurs less frequently through elections
- Are term limits an answer?


## - How Congress is Organized to Make-Policy

## - Committees and Subcommittees

- Standing committees: subject matter committees that handle bills in different policy areas
- Joint committees: a few subject-matter areasmembership drawn from House and Senate
- Conference committees: resolve differences in House and Senate bills
- Select committees: created for a specific purpose, such as the Watergate investigation


## How Congress is Organized to Make Policy

## American Bicameralism

## The House

- 435 members, 2year terms of office
- Initiates all revenue bills, more influential on budget
- House Rules Committee
- Limited debates
- The Senate
- 100 members, 6 year terms of office
- Gives "advice \& consent," more influential on foreign affairs
- Unlimited debates (filibuster)


## - How Congress is Organized to Make Policy

## Congressional Leadership

## - The House

- Led by Speaker of the House-elected by House members
- Presides over House
- Major role in committee assignments and legislation
- Assisted by majority leader and whips
- The Senate
- Formally lead by Vice President
- Really lead by Majority Leader-chosen by party members
- Assisted by whips
- Must work with Minority leader


## - How Congress is Organized to Make Policy

## TABLE 12.2

## House Versus Senate: Some Key Differences

| CHARACTERISTIC | HOUSE OF REPRESENTATIVES | SENATE |
| :---: | :---: | :---: |
| Constitutional powers | Must initiate all revenue bills; must pass all articles of impeachment | Must give "advice and consent" to many presidential nominations; must approve treaties; tries impeached officials |
| Membership | 435 members | 100 members |
| Term of office | 2 years | 6 years |
| Constituencies | Usually smaller | Usually larger |
| Centralization of power | More centralized; stronger leadership | Less centralized; weaker leadership |
| Political prestige | Less prestige | More prestige |
| Role in policymaking | More influential on budget; more specialized | More influential on foreign affairs; less specialized |
| Turnover | Small | Moderate |
| Role of seniority | More important in determining power | Less important in determining power |
| Procedures | Limited debate; limits on floor amendments allowed | Unlimited debate |

## - How Congress is Organized to Make Policy

## TABLE 12.3

## Standing Committees in the Senate and in the House

SENATE COMMITTEES

| Agriculture, Nutrition, and Forestry | Energy and Natural Resources | Judiciary |
| :--- | :--- | :--- |
| Appropriations | Environment and Public Works | Rules and Administration |
| Armed Services | Finance | Small Business and Entrepreneurship |
| Banking, Housing, and Urban Affairs | Foreign Relations | Veterans' Affairs |
| Budget | Health, Education, Labor, and Pensions |  |
| Commerce, Science, and Transportation | Homeland Security and Governmental Affairs |  |
| H0usE commITTEES | Foreign Affairs |  |
| Agriculture | Homeland Security | Science and Technology |
| Appropriations | House Administration | Small Business |
| Armed Services | Judiciary | Standards of Official Conduct |
| Budget | Natural Resources | Veterans' Affairs |
| Education and Labor | Oversight and Government Reform | Ways and Means |
| Energy and Commerce | Rules |  |
| Financial Services |  |  |

## How Congress its Organized to Make Policy

## - The Committees at Work: Legislation and Oversight

- Legislation
- Committees work on the 11,000 bills every session
- Some hold hearings and "mark up" meetings
- Legislative oversight
- Monitoring of the bureaucracy and its administration of policy through committee hearings
- As publicity value of receiving credit for controlling spending has increase, so too has oversight grown
- Oversight usually takes place after a catastrophe


## - How Congress is Organized to Make Policy

- Getting on a Committee
- Members want committee assignments that will help them get reelected, gain influence, and make policy.
- New members express their committee preferences to the party leaders.
- Those who have supported their party's leadership are favored in the selection process.
- Parties try to grant committee preferences.


## How Congress ils Organized to Make Policy

## - Getting Ahead on the Committee

- Committee chair: the most important influencer of congressional agenda
- Dominant role in scheduling hearings, hiring staff, appointing subcommittees, and managing committee bills when they are brought before the full house
- Most chairs selected according to seniority system.
- Members who have served on the committee the longest and whose party controlled Congress become chair


## How Congress is Organized to Make Policy

## - Caucuses: The Informal Organization of Congress

- Caucus: a group of members of Congress sharing some interest or characteristic
- About 300 caucuses
- Caucuses pressure for committee meetings and hearings and for votes on bills.
- Caucuses can be more effective than lobbyists.


## How Congress ils Organized to Make Policy

## - Congressional Staff

- Personal staff: They work for the member, mainly providing constituent service, but help with legislation too.
- Committee staff: organize hearings, research and write legislation, target of lobbyists
- Staff Agencies: CRS, GAO, CBO provide specific information to Congress


## The Congressional Process

## Legislation:

- Bill: a proposed law
- Anyone can draft a bill, but only members of Congress can introduce them.
- More rules in the House than in the Senate
- Party leaders play a vital role in steering bills through both houses, but less in the Senate
- Countless influences on the legislative process


## The Congressional Process

## FIGURE 12.2

How a Bill Becomes a Law


Presidential decision

## The Congressional Process

- Presidents and Congress: Partners and Protagonists
- Presidents attempt to persuade Congress that what they want is what Congress wants.
- Presidents have many resources to influence Congress.
- But to succeed, the president must win at least 10 times.
- Ultimately, residential leadership of Congress is at the margins.


## The Congressional Process

- Party, Constituency, and Ideology
- Party Influence:
- Party leaders cannot force party members to vote a particular way, but many do vote along party lines.
- Constituency versus Ideology
- Prime determinant of member's vote on most issues is ideology
- On most issues that are not salient, legislators may ignore constituency opinion.
- But on controversial issues, members are wise to heed constituent opinion.


## The Congressional Process

Lobbyists and Interest Groups

- There are 35,000 registered lobbyists trying to influence Congress-the bigger the issue, the more lobbyists will be working on it.
- Lobbyists try to influence legislators' votes.
- Lobbyists can be ignored, shunned and even regulated by Congress.
- Ultimately, it is a combination of lobbyists and others that influence legislators' votes.


## Understanding Congress

- Congress and Democracy
- Leadership and committee assignments are not representative
- Congress does try to respond to what the people want, but some argue it could do a better job.
- Members of Congress are responsive to the people, if the people make clear what they want.


## Understanding Congress

## - Congress and Democracy <br> - Representation versus Effectiveness

- Supporters claim that Congress:
- is a forum in which many interests compete for policy
- is decentralized, so there is no oligarchy to prevent comprehensive action
- Critics argue that Congress:
- is responsive to so many interests that policy is uncoordinated, fragmented, and decentralized
- is so representative that it is incapable of taking decisive action to deal with difficult problems


## Understanding Congress

## - Congress and the Scope of

 Government- The more policies Congress works on, the more ways it can serve their constituencies.
- The more programs that get created, the bigger the government gets.
- Contradiction in public opinion: everybody wants government programs cut, just not their programs


## Summary

- Members of Congress make policy.
- They have a sizeable incumbency advantage.
- Congress is structurally complex.
- Presidents, parties, constituencies, and interest groups all affect legislators' vote choices.

