

#### STATE OF CONNECTICUT

# DEPARTMENT OF TRANSPORTATION BUREAU OF PUBLIC TRANSPORTATION REGULATORY AND COMPLIANCE UNIT 2800 BERLIN TURNPIKE NEWINGTON, CT 06111



This Section is for Office Use Only			
Date:	App. Rec'd By:	Payment Amt.	
Circle One: Cash, Check, Mor	ney Order	Check or MO Number:	
Application/Docket Number:		Permit Number:	
Company's Legal Name:			
Payment Received by UE: Initials		Date:	
Deposit Date:		Deposit Number:	

## APPLICATION FOR REGISTRATION OF A FEDERAL HIGHWAY ADMINISTRATION MOTOR PASSENGER CARRIER AUTHORITY

#### **Application Fee:**

This application must be accompanied by a fee of **TWO HUNDRED DOLLARS** (\$200.00) in cash, check or money order payable to "**Treasurer**, **State of Connecticut**".

- Do not mail cash.
- Application fee is non-refundable.
- Failure to complete all applicable sections may result in delayed processing or a returned application.
- The Application Number assigned to this submittal is also the Docket Number for the submittal.
- If additional space is required for any item, please attach a separate sheet. Write the applicant's name and the section of the application to which it refers on each separate sheet.
- Administrative Withdrawal and Loss of Fee: Applicants are required to file documents requested by the department within ten (10) business days from the date of the request. Failure to comply with the filing deadline may result in your application being administratively withdrawn by the department. When an application is administratively withdrawn, your fee cannot be refunded or be used for any subsequent application.

Submit to:
Connecticut Department of Transportation
Regulatory and Compliance Unit
2800 Berlin Turnpike
Newington, CT 06111
(860) 594-2865

Attorney Information:
• Are you represented by an attorney, $\square$ Yes $\square$ No $\square$ If yes, please complete the following:
Attorney's Name:
Address:
Phone Number:
Email Address:
Nature and Extent of Service This application is solely to REGISTER A FEDERAL HIGHWAY ADMINISTRATION MOTOR PASSENGER CARRIER AUTHORITY – Each person, limited liability company or corporation operating a motor vehicle by virtue of authorization issued by the Federal Highway Administration for charter and special operation shall register such authorization for interstate operation with the Department of Transportation if such person, association, limited liability company or corporation maintains a domicile or principal office in the state. CGS 13b-102(b)
<u>Please provide the information requested below.</u> In accordance with and under the provisions of Connecticut General Statutes Section 13b-102(b), the following hereby makes application for authority to register an FHA authority for charter and special operation from a headquarters located in:
City, State, Zip
Business Information Company's Legal Name
(Name of individual, Partnership, Corporation, or Limited Liability Company) The legal name on this application must match the name on the FMCSA Certificate and in the Secretary of the State's Concord System
Trade Name (or d/b/a, if applicable)
Federal Highway Administration Authorization (MC#)
DOT Livery Permit Number, if issued previously
Mailing Address
City/State/Zip
Physical Address (if different)
Contact Name (name of person to contact if there are questions about this application)
Contact Phone Number with area code
Contact Email Address

#### **Authorized Vehicles**

Specify the motor vehicles you propose be authorized to operate under your Permit.

		Vehicle		V	ehicle Registra	ntion
Vehicle Year	Vehicle Make	Type (Sedan, SUV, Van, etc.)	Seating Capacity	State	Number	Expiration Date

#### Reminder

- You **need an inspection** if your vehicle has a <u>seating capacity of 8 to 10</u>, regardless of body type.
- All Van-type vehicles must be inspected, as well as all SUVs, Crossovers and Station Wagons.

#### **Insurance**

- If you already own the vehicle(s) noted above, submit a copy of the portion of your insurance policy that lists coverage and effective dates.
- If you do not currently own the vehicle(s) noted above, submit a letter from your insurance company on their letterhead detailing the proposed limits and estimated cost of coverage.

#### **Organization of Applicant** (Documentation Required)

Please provide a copy of the applicable organizational documents filed with the Office of the Secretary of the State and/or Town Clerk's Office.

- Corporations must provide a copy of their Articles of Incorporation
- LLCs must provide a copy of their Articles of Organization
- Partnerships must provide a copy of their Partnership Agreement
- Sole Proprietors must provide a copy of their Trade Name Filing
- Corporations, Partnerships and Limited Liability Companies (LLC) operating under a d/b/a must provide a copy of their Trade Name or d/b/a registration as well as their organizational documents noted above.

List the names, titles and residential addresses of individuals seeking authority, including all owners, partners (if Partnership), principal officers (if Corporation) and members (if Limited Liability Company)

TITLE

Would service be performed in connection with any other business? ☐ Yes ☐ No If yes, what business?				
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<u>.</u>				
<u> </u>				
	<u> =</u>	ned in connection with	h any other business? ☐ Yes ☐ No	

**NAME** 

RESIDENTIAL ADDRESS

#### **Criminal Convictions**

A Criminal Conviction History Report (based on fingerprints and provided by the Connecticut State Police) is required for each individual listed in the application.

Please note: The Criminal Conviction History Report is required to be updated every two years.

•	Has the owner or have any of the partners, officers, or members of the applicant ever been convicted of any crime or offense other than motor vehicle violation in the past ten (10) years? $\square$ Yes $\square$ No
•	If yes, provide approximate dates and give details including any resulting police, court, or criminal process. (Attach separate sheet if more space is required.)
	se Revocation or Suspension question applies to and must be answered for every owner, partner, officer or member.
•	Has the owner or have any of the partners, officers, or members of the applicant ever had their operator's license revoked or suspended? $\square$ Yes $\square$ No
•	If yes, by what state, give reason, approximate date and length of suspension.
Feder • •	Please provide a copy of your FMCSA certificate (FMCSA)  Important Note: The name on the FMCSA Certificate should be the legal name of the company and must match the legal name on file with the office of the Secretary of the State's Office and/or on file with the Town Clerks Office and the legal name listed on the DOT application
Agent	for Service
•	If you operate as a Corporation or Limited Liability Company, please provide the name, address and phone number of your agent for service of legal process or notice.
	Name:
	Address:
	Phone Number:

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REGISTRATION FHA MOTOR PASSENGER CARRIER A	AUTHORITY	REV 10-24-2014		Page 5 of 8

#### NOTICE OF SOCIAL SECURITY OR FEDERAL EMPLOYER IDENTIFICATION

Pursuant to Connecticut General Statue 4a-79, applicants must file their applicable Social Security Identification Number or Federal Employer Identification Number or both is available with every application for a license from the State of Connecticut.

Please note that this information is forwarded annually to the Connecticut Department of Revenue Service. However, it is kept in a confidential file and is not offered as public information. Failure to file this information with an application may cause the application to be delayed and/or withdrawn as incomplete.

Please fill out the following information completely:
APPLICANT NAME:
FEDERAL EMPLOYER IDENTIFICATION NUMBER:
AND/OR
INDIVIDUAL SOCIAL SECURITY NUMBER:

# NOTARIZATION: TO BE EXECUTED BY THE SOLE PROPRIETOR, AN AUTHORIZED PARTNER, AN AUTHORIZED OFFICER OF THE CORPORATION, OR AN AUTHORIZED MEMBER OF THE LIMITED LIABILITY COMPANY

#### State of Connecticut

County of _				
I (We), the undersigned under oath, say that that I have carefully examined the same, and belief, under the penalties of perjury.				
(Print – name)	(Title)			(Telephone)
Signature				
(Print – name)	(Title)			(Telephone)
Signature				
(Print – name)	(Title)			(Telephone)
Signature				
Subscribed and sworn to before me this	(Day)	day of	(Month)	, (Year)
Notary Public/Commissioner of Superior Co	ourt	-		
My Commission Expires				

#### **CHECKLIST**

#### Registration of Federal Highway Administration Authority Application (Z-Plate)

### FAILURE TO COMPLETE ALL APPLICABLE SECTIONS OF THE APPLICATION MAY RESULT IN DELAYED PROCESSING OR A RETURNED APPLICATION.

(Pr	rint – name) (Title) (Signature)
	ertify that I have read the Information Sheet and Checklist provided with this application and I have used both to ensure t the application is complete and the information provided is accurate.
	Application Signatures Notarized – remember to have signatures notarized
	Federal Employer's Identification Number or Social Security Number – provide information requested
	Agent for Service– provide information requested
	Federal Motor Carrier Safety Administration Certificate – provide a copy of this certificate
	License Revocation and Suspension Information– provide information requested
	Criminal Conviction Information - submit your application to State Police for a Criminal Conviction History Report unless a current Criminal Conviction History Report is on file with DOT
	Organization of Applicant – submit copies of documents showing your type of organization, trade name filing, if applicable, and provide the other information requested
	Insurance – provide information requested
	Authorized Vehicles
	Business Information - provide information requested
	Nature and Extent of Service Proposed – provide information requested
	Attorney's Information – provide information requested
	Application Fee - cash, check, or money order payable to "Treasurer, State of Connecticut"