

## Screening for lung cancer

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**INTRODUCTION** — Lung cancer is the leading cause of cancer-related death among men and women, and the third leading cause of cancer in the United States [1]. Worldwide, lung cancer and lung cancer-related deaths have been increasing in epidemic proportions, largely reflecting increased rates of smoking [2]. Some [3,4], but not all [5], studies suggest that for any level of smoking, women are at higher risk of developing cancer than men. In the year 2013, the American Cancer Society predicts that there will be approximately 224,230 new cases of lung cancer diagnosed, and approximately 159,260 lung cancer-associated deaths in the US [6]. Worldwide, it is estimated that there were 1.4 million deaths in the year 2008 [7].

Unfortunately, 75 percent of patients with lung cancer present with symptoms due to advanced local or metastatic disease that is not amenable to cure [8]. Despite advances in therapy, five-year survival rates average approximately 16 percent for all individuals with lung cancer [9].

Prevention, rather than screening, is the most effective strategy for reducing the burden of lung cancer in the long term. Most lung cancer is attributed to smoking, including lung cancer in nonsmokers in whom a significant proportion of cancer is attributed to environmental smoke exposure [10]. The promotion of smoking cessation is essential, as cigarette smoking is thought to be causal in 85 to 90 percent of all lung cancer [2]. Progress in smoking cessation is now reflected in declining lung cancer rates and mortality in men in the US. However, the smoking rate in the US remains high, at 19 percent in 2011 [11], and is increasing in many parts of the world. A high percentage of lung cancer occurs in former smokers since the risk for lung cancer does not decline for many years following smoking cessation [12-15].

Screening for lung cancer will be reviewed here. General principles of screening, risk factors associated with the development of lung cancer, and techniques for smoking cessation are discussed separately. (See "Evidence-based approach to prevention" and "Overview of smoking cessation management in adults" and "Cigarette smoking and other risk factors for lung cancer".)

#### POTENTIAL SCREENING OUTCOMES

Potential benefits — Many characteristics of lung cancer suggest that screening should be effective: high morbidity and mortality; significant prevalence (0.5 to 2.2 percent); identified risk factors allowing targeted screening for high-risk individuals; a lengthy preclinical phase for some types of lung cancer; and evidence that therapy is more effective in early stage disease [16,17]. Clinical outcome for non-small cell lung cancer is directly related to stage at the time of diagnosis, ranging from over 60 percent five-year survival for stage I disease, to less than 5 percent for stage IV disease (table 1 and figure 1) [18]. In addition, within early lung cancers (stage I), there is a relationship between tumor size and survival [19]. Available data are more limited for patients with small cell lung cancer, but also support an improved outcome when disease is diagnosed at an early stage.

The potential of screening to detect early cancers may both increase the overall cure rate and allow more limited surgical resection to achieve cure. However, screening may not accomplish these goals unless it takes place in the context of a multidisciplinary program to ensure that screening results are properly performed, interpreted, and followed-up, and that disease, when detected, is managed appropriately.

The success of lung cancer screening can be assessed using various outcome measures, including cancer detection rates, stage at detection, survival, disease-specific mortality, and overall mortality. For a lethal disease such as lung cancer, which requires invasive procedures for detection and treatment, the most important outcomes to assess are disease-specific and overall mortality.

Potential harms — While screening for lung cancer has the potential benefits of decreased morbidity and mortality from lung cancer, it also has potential harms, which include:

- Detection of abnormalities that require further evaluation, most of which are benign nodules. Evaluation may involve needle biopsy and/or surgery, with associated morbidity and mortality [20,21]. In the National Lung Screening Trial, as an example, over 53,000 high-risk individuals were randomly assigned to low-dose CT (LDCT) scan or chest radiograph screening [22]. Among abnormal results (24.2 percent of LDCT scans and 6.9 percent of radiographs), 96 percent were false positive (that is, did not lead to a diagnosis of lung cancer) and 11 percent of the positive results led to an invasive study. Most positive studies are resolved with imaging and prove to be false-positive exams.

- Radiation from serial imaging in a screening program may add independently to the risk of developing cancers, including lung cancer [23]. The increased radiation exposure associated with spiral LDCT scanning, compared with plain radiographs, may further add to cancer risk [24]. Radiation exposure has been poorly reported in studies, but in the studies that do report this parameter, it ranges from 0.61 to 1.50 mSv. Since screening typically occurs over several rounds and positive studies require further evaluation, the cumulative radiation dose is also important. However, there are limited data on this, with one study reporting cumulative exposure in a screening program of 6 to 7 mSv [25,26]. For comparison, most mammograms entail radiation doses on the order of 0.7 mSv. (See "Radiation-related risks of imaging studies".)

- Prolonged follow-up of nodules, often lasting several years, may cause anxiety related to fear of having lung cancer. Studies of this topic suggest that short-term increased anxiety among individuals with positive-low dose CT scans and decreased anxiety in those with negative LDCTs [1,27].

- Some cancers identified at screening, if never found, would not have affected morbidity or mortality during the patient's lifetime. Identification of such cancers is referred to as "overdiagnosis." (See 'Overdiagnosis with x-ray screening' below and 'Overdiagnosis' below.)

SCREENING WITH CHEST X-RAY/SPUTUM CYTOLOGY — Screening for lung cancer by chest x-ray is not recommended.

No randomized trial has demonstrated a mortality benefit for chest x-ray screening [28]. There have been at least seven large scale controlled clinical trials of chest x-ray screening for lung cancer: six randomized controlled trials [29-43] and one non-randomized controlled trial [44]. These studies began as early as 1960, and a 20-year follow-up analysis has been published for one randomized trial [40,45,46].

PLCO Cancer Screening Trial — The Prostate, Lung, Colorectal, and Ovarian (PLCO) Cancer Screening Trial is a large randomized trial (n = 154,942) evaluating the impact of screening individuals aged 55 through 74 for several cancers, including lung cancer [47]. Screening for lung cancer consisted of a single posterior-anterior chest-x-ray performed at baseline and annually for three years, while the control group received usual care. This study differs from prior chest x-ray screening trials in several important aspects: the cohort includes men and women in equal numbers; participants are not specifically high-risk (51.6 percent current or former smokers); and prevalence screening results are included in the trial and analysis, allowing a true comparison of screening with no screening.

At the initial screening, 5991 (8.9 percent) of all chest-x-rays were abnormal, ranging from 11.0 percent in current smokers to 8.0 percent in never smokers [48]. After up to three rounds of annual screening (nonsmokers did not participate in the third screening round), participants were followed through 13 years, with a screening adherence of 86.6 percent at baseline and 79.0 to 84.0 percent years one through three [49]. After 13 years of follow-up, there was no significant difference in lung cancer incidence rates between the screening and usual care groups (20.1 and 19.2 per 10,000 person-years, RR 1.05, 95% CI 0.98-1.12), and no difference in lung cancer mortality rates (RR 0.99, 95% CI 0.87-1.22) or stage of disease. Lung cancer incidence was higher in those with prior or current smoking exposure than in nonsmokers, but there was no difference in incidence or mortality between smokers who were in the screening or control groups (RR 0.94; 95% CI 0.18-1.10 after 6 years and RR 0.99; 95% CI 0.87-1.22 after 13 years of follow-up). Only approximately 20 percent of the cancers in the screening group were detected by screening. Thus, annual screening with chest x-ray, compared with usual care, did not reduce lung cancer mortality.

The lung cancer arm of the PLCO trial was designed to be completed in 2015. However, the monitoring board thought that results would be unlikely to change with longer follow-up and that the current findings had public health significance because of the recent report of the National Lung Screening Trial (NLST) that compared LDCT screening with chest x-ray screening in a high-risk population. Data from the PLCO trial were also analyzed for a subset of patients who would meet the criteria for the NLST. (See 'National Lung Screening Trial' below.)

Mayo Lung Project — The Mayo Lung Project was the first North American trial to evaluate the value of intense screening with chest x-ray and sputum cytology in male smokers (n = 10,993) and reported initial results in 1984 [34,40]. All participants underwent prevalence screening (baseline) evaluation with chest x-ray and sputum cytology. Subjects were then randomly assigned to a six-year program of chest x-ray and sputum cytology every four months (incidence screening), or to the control group receiving "usual care" and advised to have an annual chest x-ray.

Prevalence screening identified 91 cancers (0.8 percent). After six years, 206 new cancers were identified in the screening group, and 160 in the control group. After 20 years of follow-up, lung cancer death rates were significantly higher in the screened group (4.4 versus 3.9 deaths per 1000 patient years). Significantly more early cancers were detected in the screened cohort, but there was no reduction in late-stage cancers. Several issues confound these findings: nearly half of the control group had a "screening" chest x-ray during the course of the study; compliance in the intervention group was only 75 percent; and there was no completely unscreened group (baseline prevalence screening detected 91 cases of lung cancer).

Overdiagnosis with x-ray screening — While it is believed that most lung cancers progress to late stage cancer, it has not been proven that all lung malignancies progress [50]. Overdiagnosis occurs when screening detects cancers that would not otherwise have become clinically apparent. In the absence of overdiagnosis and with successful randomization, the number of cases of lung cancer (identified either by screening or clinical presentation) in both control and intervention groups should equalize over time, as cancers in the control group become clinically apparent.

Data from the Mayo Lung Project suggest significant overdiagnosis (or unsuccessful randomization) since a persistent excess of lung cancer in the screened group compared with controls (585 versus 500) was found 16 years after the trial had ended [46]. The PLCO trial, conducted in the general population, identified 76 extra lung cancers after 13 years of follow-up with a cumulative incidence ratio of 1.05 (95% CI 0.98-1.12), suggesting less overdiagnosis than reported in the Mayo Lung Project [49]. Among high-risk (smoking-exposed) PLCO trial participants, the cumulative incidence of lung cancer after six years of follow-up was the same in both the chest x-ray and no chest x-ray groups. Thus, the magnitude of overdiagnosis resulting from chest x-ray screening is currently uncertain.

Case-control studies — Six case-control studies have evaluated the role of chest x-ray screening for lung cancer [51-57]. Five fair-quality Japanese case-control studies suggest benefit with chest x-ray screening among men with smoking exposure and women without direct smoking exposure or of mixed risk. Interpretation of these studies is limited by the potential screening biases associated with non-randomized studies.

SCREENING WITH LOW-DOSE CHEST CT — The lack of a mortality benefit from chest x-ray screening and the refinement of CT scanning techniques led to the evaluation of low-dose helical CT (LDCT) for lung cancer screening [58]. On the basis of randomized trial results, many professional guidelines, as well as UpToDate recommendations, endorse LDCT screening for lung cancer in selected populations at high risk. The National Lung Screening Trial (NLST) was a large, high-quality randomized trial, in which high-risk individuals were screened with LDCT [22]. Results published in 2011 indicate a 20 percent reduction in lung cancer mortality with screening. Additional randomized trials are ongoing. (See 'Recommendations for screening by expert groups' below.)

A 2012 systematic review of the benefits and harms of screening with low-dose CT scan identified the NLST as the only trial from which a mortality benefit could be concluded, with other trials either too small, still preliminary, or with study design flaws precluding meaningful interpretation [59]. Similarly, a 2013 systematic review also found the NLST to provide the best quality available data regarding screening [1].

Low-dose helical CT scanning — New multidetector CT scanners generate high-resolution imaging with radiation exposure significantly less than for diagnostic chest CT scanning. Low-dose CT (LDCT) refers to a noncontrast study obtained with a multidetector CT scanner during a single maximal inspiratory breath-hold with a scanning time under 25 seconds. High-resolution (1.0 to 2.5 mm interval) images are reconstructed using a soft tissue or thin-section algorithm. The overall average effective dose of low-dose CT used in the National Lung Screening Trial was 2 mSv, compared with 7 mSv for a standard-dose diagnostic chest CT examination [60]. (See 'National Lung Screening Trial' below.)

#### Randomized trials

National Lung Screening Trial — The National Lung Screening Trial (NLST), a randomized trial conducted under the auspices of the National Cancer Institute, compared annual screening by low-dose chest CT scanning with chest x-ray for three years in 53,454 high-risk persons at 33 US medical centers [22,61-63]. Participants were men and women 55 to 74 years of age with a history of at least 30 pack-years of

smoking, and included current smokers and those who had discontinued smoking within 15 years of enrollment.

The trial was stopped in November 2010 after an interim analysis found a statistically-significant benefit for LDCT scanning [22]. At a median follow-up of 6.5 years, there were 645 cases of lung cancer per 100,000 person years (1060 cancers) in the LDCT group, and 572 cases per 100,000 person years (941 cancers) in the chest x-ray group, resulting in an incidence rate ratio of 1.13 (95% CI 1.03-1.23). Per 100,000 person years, there were 247 lung cancer deaths in the CT group and 309 in the x-ray group, yielding a relative mortality reduction of 20 percent (CI 3.8-26.7) and an absolute reduction of 62 lung cancer deaths per 100,000 person years. Importantly, there was also a 6.7 percent (CI 1.2-13.6) relative reduction in all-cause mortality in the LDCT group and an absolute reduction of 74 deaths per 100,000 person years.

Positive findings were defined as a noncalcified nodule  $\geq 4$  mm on LDCT scan or any noncalcified nodule on x-ray. Over all three screening rounds, 24.2 and 6.9 percent of participants in the LDCT and x-ray groups respectively had a positive screen. The cumulative rate of false-positive findings was high: 96.4 and 94.5 percent for LDCT and x-ray screening respectively. Follow-up for false-positive findings was at the discretion of the institution, 90.4 and 92.7 percent of false-positive screens led to at least one diagnostic procedure, mostly imaging, but including surgery in 297 patients who had LDCT scan and 121 who had x-ray screening [63]. The rate of adverse events related to complications from the diagnostic work-up was low: among participants with a positive finding, at least one complication occurred in 1.4 percent of the LDCT group and 1.6 percent of the x-ray group (table 2).

The rate of detection of lung cancer did not diminish between screening years, suggesting that ongoing screening would be necessary. However, fewer stage IV cancers were observed in the LDCT group than the chest x-ray group with the second and third screening rounds. Lung cancers detected by screening were mostly stage I or II (70 percent of CT-detected and 56.7 percent of x-ray detected), except for small cell cancers that accounted for less than 10 percent of detected cancers. Chest LDCT identified a preponderance of adenocarcinomas.

More detailed results of the first round of screening (T0) in the NLST show that stage I cancer was detected in 158 participants in the LDCT group and 70 participants in the x-ray group; stage IIB to IV cancers were found in 120 versus 112 participants [63]. Thus, the difference in cancer detection between groups was in the increased identification of early-stage cancers with LDCT scan. Based on data collected for three years following the screening rounds, sensitivity and specificity for LDCT were 93.8 and 73.4 percent and for x-ray were 73.5 and 91.3 percent, respectively. Additional details about screening rounds two and three (T1 and T2) and incident lung cancers indicate that 27.9 and 16.8 percent of T1 and T2 low-dose CT scans, respectively, were positive [64]. The positive predictive value for cancer was 2.4 and 5.2 percent at T1 and T2, respectively. The higher predictive value at T2 was likely related to classification of a nodule that had been stable over three screenings as "negative." Consistent with results from T0, lung cancers detected by LDCT scan were more likely to be stage 1A (at T1, 47.5 percent of cancers identified by LDCT compared with 23.5 percent of those identified by x-ray). The two

annual screenings with LDCT resulted in a decrease in the number of advanced stage cancers and an increase in the number of early stage lung cancers diagnosed.

Generalizability of these findings may be affected by the following factors: trial participants had a higher education level and were younger than tobacco users identified in US census data; a low complication rate of follow-up procedures may reflect the expertise at the participating academic centers; radiologic performance and interpretation may not be representative of community-based radiology [65].

Since the control group in the NLST had screening with chest x-ray rather than usual care, findings of the Prostate, Lung, Colorectal, and Ovarian (PLCO) trial, in which participants were randomly assigned to usual care or annual chest radiography, are pertinent [66]. Results of the PLCO trial were analyzed for the subset of patients who would meet criteria for participation in the NLST. There was no significant difference in mortality at six-year follow-up for the PLCO trial high-risk subset that was assigned to chest x-ray screening or usual care (RR 0.94, 95% CI 0.81-1.10).

In summary, the NLST demonstrated that LDCT screening reduced mortality in a high-risk population, compared with screening by x-ray and, by inference from the PLCO trial data, compared with usual care. For those undergoing at least one screen, the number needed to screen with low-dose CT to prevent one lung cancer death was 320 in the NLST. However, the cost of screening per life saved is unknown but likely to be high, given the high (approximately 95 percent) false-positive rate leading to the need for additional studies, the need for ongoing screening, and the relatively low absolute number of deaths prevented (73 per 100,000 person years). Modeling studies will be needed to determine actual cost effectiveness.

Ongoing trials — Seven randomized trials of low-dose CT screening remain in progress in Europe [67]. These include the NELSON trial, the DANTE trial, the Danish Lung Cancer Screening Trial (DLCST), the Multi-centric Italian Lung Detection Trial (MILD), the Italian Lung cancer Computed Tomography screening trial (ITALUNG), the German Lung Cancer Screening Intervention Study (LUSI), and the United Kingdom Lung Cancer Screening trial (UKLS). The trials differ in recruitment strategies and number of screening rounds, though all include only past or current heavy smokers, and all control groups had no screening (in contrast to the NLST where the control arm had chest x-ray screening). The only individual trial of large enough size to possibly show a mortality reduction is the NELSON trial. However, analysis of pooled data from the seven trials is planned for 2015 to 2016.

- The NELSON trial is a randomized LDCT-based lung cancer trial being conducted in the Netherlands and Belgium; LDCT screening is being compared with no screening in 7557 current or former smokers [68]. The study is powered to detect a 25 percent decrease in lung cancer mortality after 10 years, as well as the effects of screening on quality of life, smoking cessation, and an estimate of cost effectiveness. Unlike other screening studies, five-year lung cancer survivors, a group at very high risk of developing a new lung cancer, are also eligible for enrollment. This is the only large-scale randomized trial to compare LDCT-screening with no screening. Information is available at [www.trialregister.nl/trialreg/admin/rctview.asp?TC=636](http://www.trialregister.nl/trialreg/admin/rctview.asp?TC=636).

A total of 90 subjects (1.2 percent) were found to have lung cancer after two rounds of screening [69]. The proportion of stage I cancers in round one was 64 percent, which is similar to the 59.3 percent from the first round of the NLST, and lower than the 86 percent reported in the I-ELCAP study, an observational study of low-dose CT screening (see 'Observational studies' below). Follow-up for identified nodules was based on initial nodule volume and subsequent change in size to limit invasive testing for false-positive studies; using this protocol, 20 lung cancers were found after two years of follow-up in the 7361 subjects who had initial negative screening.

LDCT screening results (indeterminate versus negative) did not significantly impact the smoking abstinence rate among male smokers in this trial [70]. However, smokers with an indeterminate result on LDCT scanning reported more quit attempts than those with a negative scan.

- The DANTE trial, a randomized trial in Italy that enrolled 2472 male smokers age 60 to 74 years, is designed to assess lung cancer-specific mortality over 10 years, comparing five years of annual screening by single slice spiral LDCT scan or annual clinical follow-up; the control group received baseline screening with chest x-ray and sputum cytology [71]. At initial evaluation, lung cancer was found in 2.2 percent of the LDCT group (71 percent stage I) and 0.67 percent of the controls (50 percent stage I) [72]. Fifteen percent of subjects had an abnormal LDCT, and 4 percent underwent an invasive procedure. Benign pulmonary lesions were found in 19 percent (6 of 32) of the patients who underwent thoracotomy.

Follow-up at an average of 33.7 months from enrollment and after completion of the baseline and annual screens has been reported [73]. Lung cancer was found in 4.7 percent of patients who received LDCT screening and in 2.8 percent of controls. Although there were more stage I cancers in the screened group (54 versus 34 percent), the numbers of advanced lung cancer cases and lung cancer mortality were the same for both screened and control patients. The authors caution that these interim findings should not be considered as definitive evidence that screening is ineffective, but suggest that any effect may be smaller than hoped. It is likely that longer follow-up will be required to detect differences in disease-specific mortality. Additionally, the trial size is small, compared with the NLST, and may not be of sufficient power to detect a mortality difference.

- The Danish Randomized Lung Cancer CT Screening Trial is another randomized trial of 4104 smokers (at least 20 pack-years) aged 50 to 70 years [74]. The trial is coordinated with the NELSON trial so that final results of both studies can be pooled to achieve an 80 percent power to detect a reduction in lung cancer mortality of at least 25 percent. Baseline data from the Danish trial found a prevalence of lung cancer of 0.83 percent (17 cases in 2052 participants), employing the algorithm from the I-ELCAP study for follow-up of abnormal initial findings on LDCT scan, 9 of the 17 cases were stage I. Of the 11 cases considered to be surgically resectable, 8 were amenable to minimally invasive (VATS) technique. The results of this trial after five annual screening rounds show an increase in the number of stage I-II non-small cell lung cancers in the screened group, compared with the non-screened group, with no difference in high-stage lung cancer between the groups [75]. The risk of lung cancer comparing LDCT



with no LDCT was RR 2.88 (95% CI 1.85-4.49), but there was no significant difference in lung cancer mortality (RR 1.37, 95% CI 0.63-2.97) or all cause mortality (1.46, 95% CI 0.99-2.15).

- The Multicentric Italian Lung Detection (MILD) study compared annual or biennial LDCT screening with no screening in 4099 smokers (>20 pack-years, current or quit within 10 years) aged 49 years or older [76]. Compared with the control group, all-cause mortality was increased for the annual screening group (RR 1.80, 95% CI 1.56-2.07). However, this trial was judged to be of low quality due to inadequate randomization and differential time to follow-up for control and intervention groups [1].

Observational studies — Several observational studies of low-dose CT scanning have been published and demonstrate that screening with low-dose chest CT can identify early stage asymptomatic lung cancer. The larger observational studies include the Early Lung Cancer Project (ELCAP) [77,78], the International ELCAP [79], the Mayo Clinic CT study [80-83] and the Continuous Observation of Smoking (COSMOS) study [84]. However, results from randomized trials are more pertinent to decisions about screening.

#### Adverse effects of screening

Overdiagnosis — Overdiagnosis, the detection of lung cancer with screening that would not be clinically apparent in the patient's lifetime, could be expected to have greater impact in screening programs where subjects are at increased risk for other potentially life-threatening comorbidities, as is the case for smokers [85]. The risk for unnecessary invasive studies and therapy for "overdiagnosed" lung cancer might be greatest in this population.

Observational studies of screening for lung cancer with low-dose CT that preceded the NLST trial have estimated the extent of overdiagnosis to range between 13 and 27 percent [86,87].

Although randomized trials demonstrate that screening with low-dose CT scan can reduce lung cancer and all-cause mortality, some cancers detected by screening may still represent overdiagnosis and lead to unnecessarily aggressive treatment. After 6.5 years of follow-up in the NLST, there were 119 more lung cancers identified in the LDCT group compared with the chest x-ray group (1060 versus 941) [22]. One study has used the NLST data to estimate an upper limit of overdiagnosis [88] but this model has been criticized for not taking into account lead or length time bias [89,90]. Only long-term follow up can provide a true estimate of overdiagnosis.

Patient distress — Few trials have evaluated patient distress with low-dose CT screening. A 2014 systematic review of five randomized trials and one cohort study found that low-dose CT screening may be associated with short-term psychologic discomfort but did not affect distress, worry, or health-related quality of life [91]. False-positive results were associated with short-term increases in distress.

RISK PREDICTION MODELS FOR SCREENING — Although trials to date have selected participants who are considered to be at high risk for lung cancer on the basis of current or past smoking history, the relative benefit (with reduction in harm) from screening could be improved if it were possible to more precisely identify a high-risk population for screening. To this end, risk models have been proposed that incorporate factors in addition to smoking [92-96].

- A model derived from data from the Prostate, Lung, Colorectal, and Ovarian (PLCO) Cancer Screening Trial incorporates age, education, body mass index, family history, history of chronic lung disease, and smoking status, and it performed well with external validation [96,97].
- Another model, the Liverpool Lung Project (LLP) risk model, incorporates smoking duration, history of pneumonia, history of cancer, family history of lung cancer, and asbestos exposure into a risk score [92]. The model was validated in three independent populations and found to have better discrimination than smoking history or family history alone in identifying high-risk patients.
- In a retrospective study, applying a risk prediction model to divide the participants in the NLST into five quintiles, 88 percent of the screen-prevented lung cancer deaths occurred among the 60 percent of study participants in the three highest risk quintiles, and only 1 percent of prevented deaths occurred in the lowest-risk quintile [98], suggesting that targeting screening to higher-risk individuals could result in greater benefits with lower risks.

Prospective studies are needed, however, to determine whether a population can be readily identified using risk models in which screening would have greater benefit than the 20 percent lung cancer mortality benefit identified in the NLST.

Another parameter that affects the risk of cancer and the performance characteristics of a screening program is the criteria used to define an "abnormal" CT result requiring further investigation. The NLST identified a noncalcified nodule size of >4 mm as an abnormality, but retrospective interpretation of data from the I-ELCAP study cohort suggest that setting a more conservative threshold (>6 mm) might decrease the false-positive rate (resulting in fewer unnecessary procedures or follow-up studies) with minimal impact on the detection of cancers [99]. It is possible that a range of nodule sizes should be considered "abnormal," determined by an individual's specific risks for cancer [100]. A predictive tool has been developed and validated to estimate the probability that a nodule is malignant, based on characteristics of the patient and the nodule in the Pan-Canadian screening study [101]. The investigation of a solitary pulmonary nodule is discussed in greater detail separately. (See "Diagnostic evaluation and management of the solitary pulmonary nodule".)

**SYNTHESIZING THE AVAILABLE EVIDENCE** — In summary, randomized controlled trials and cohort studies of screening with chest x-ray or low-dose CT (LDCT) demonstrate:

- LDCT screening is significantly more sensitive than chest x-ray for identifying small, asymptomatic lung cancers. Chest x-ray screening does not reduce mortality from lung cancer, although there are limited data in women.
- Chest x-ray and LDCT screening have high rates of "false-positive" (non-cancer) findings leading to additional testing that usually includes serial imaging, but may include invasive procedures. The most common incidental findings are emphysema and coronary artery calcifications.
- The NLST, a large randomized trial of screening LDCT in high-risk individuals, demonstrated a lung cancer mortality benefit of 20 percent, with all cause mortality reduced by 6.7 percent [22]. For the

"typical" NLST participant, screening would prevent 3.9 deaths over six years per 1000 persons, which equates to screening 256 persons annually for three years to prevent one lung cancer death over six years [102]. In one model, estimating that 8.6 million people in the US would have met NLST criteria for screening (based on 2010 data) and assuming full screening implementation, screening could potentially avert 12,000 deaths from lung cancer per year in the US [103].

- The question of cost-effectiveness is a major issue because of the significant costs associated with screening and, especially, follow-up of the many false-positive tests identified with LDCT screening in this trial. Additionally, relatively-low procedural complication rates in the NLST trial may not be reproducible in other settings and, thus, harms may be greater than reported.

Issues remaining to be addressed include cost-effectiveness analysis, screening frequency and duration if screening is undertaken, appropriate population targets, defining criteria for a "positive" finding, and identifying diagnostic follow-up protocols that minimize evaluations of false-positive findings [104]. Finally, more data on potential "overdiagnosis" of lung cancer from screening trials need to be reviewed. Developing a registry of individuals who have been screened, with the potential for tracking long-term follow-up findings and outcomes, will be helpful to inform modifications to current screening recommendations.

Cost-effectiveness — Decisions regarding implementation of a lung cancer screening program based upon the results of the NLST should in part be based upon a cost-effectiveness analysis of a screening program. One analysis, based upon a model designed prior to completion of the NLST, modeled the potential cost-effectiveness of screening by LDCT scan for six different patient cohorts (differing ages and smoking histories) [105]. The modelers also varied whether patients who underwent screening were more likely to quit smoking because of the opportunity for smoking cessation intervention (nicotine replacement plus bupropion) or less likely to quit smoking because of reassurance from a negative test result. Projections from this analysis were that LDCT screening might decrease lung cancer mortality at 10 years by 18 to 25 percent, at a cost ranging from \$126,000 to \$269,000 per quality adjusted life year (QALY). In comparison, the cost-effective ratios for colorectal and breast cancer screening are \$47,700 and \$13,000 to \$32,000 per QALY, respectively. Additionally, the model found that a smoking cessation program was more cost-effective than LDCT screening alone or LDCT screening combined with smoking cessation.

Recommendations for screening by expert groups — A 2012 systematic review of available evidence was commissioned by the American Cancer Society (ACS), American College of Chest Physicians (ACCP), American Society of Clinical Oncology (ASCO), and the National Comprehensive Cancer Network (NCCN) to serve as a basis for screening guidelines for these societies [59]. Screening guidelines supporting low-dose CT scans for identified high-risk groups, based upon this review, were issued by the NCCN and by the ACCP/ASCO. A 2013 systematic review for the US Preventive Services Task Force (USPSTF) [1] serves as the basis for revised guidelines for the USPSTF [106]. Many expert screening groups have incorporated results from the NLST in their recommendations (table 3). The recommended age cut-off for screening varies between groups, with modeling studies suggesting that extending screening beyond the 74 years of the NLST cohort will provide further benefit [107].

The National Comprehensive Cancer Network (NCCN) issued guidelines for lung cancer screening in October 2011 [108]. These guidelines recommend annual low-dose CT scan screening for those at high risk; they recommend no routine screening for moderate- or low-risk individuals. High risk was defined by the NCCN as age 55 to 74 years with a 30 pack-year history of smoking and, if no longer smoking, smoking cessation within 15 years, or a 20 pack-year history of smoking with one additional risk factor (other than secondhand smoke exposure). Although the guidelines note that the duration of screening is uncertain, they advise a minimum of three scans so that individuals initiating screening at age 74 would stop screening at age 76. The guidelines emphasize that lung cancer screening should be done within the context of a multidisciplinary program (that may include radiology, pulmonary medicine, internal medicine, thoracic oncology, and/or thoracic surgery) to manage downstream testing.

Similar guidelines, incorporating high-risk criteria from the NLST, were issued in 2012 from the American College of Chest Physicians and the American Society of Clinical Oncology [59,109] and from the American Cancer Society in 2013 [110]. These guidelines advise patient counseling on the risks and benefits of screening; the development of a registry to collect data on follow-up testing, smoking behavior, radiation exposure, and patient experience; the development of quality metrics for CT interpretation, similar to quality control for mammography; and also emphasize the importance of smoking cessation. The American Lung Association and the American Association for Thoracic Surgery (AATS) also released guidelines in 2012 that recommend low-dose CT screening for high-risk individuals who meet the NLST criteria. The AATS guidelines extend the age for screening, advising screening for high-risk individuals from age 55 to 79 years and advise initiating screening at age 50 for those with a cumulative risk of 5 percent or greater over the next five years [111]. The US Preventive Services Task Force (USPSTF) revised its guidelines in 2013 based on a systematic review and an analysis of the benefits and harms of lung cancer screening [1,107]. The USPSTF recommends annual low-dose CT scan for high-risk adults 55 to 80 years old (30 pack-year smoking history and current smoker or quit within the past 15 years), with discontinuation of screening once the individual has not smoked for 15 years or has a limited life expectancy [106].

Similar to the US guidelines, a multidisciplinary expert group from France, representing the intergroup for thoracic oncology and French-speaking oncology (the French Intergroup [IFCT] and the Groupe d'Oncologie de Langue Française [GOLF]), advised screening a target population (age 55 to 74 years who have a 30-pack-year smoking history) with low-dose CT scan, after informing individuals about the risks and benefits of screening [112]. The Cancer Care Ontario Programme (CCOP) issued guidelines in 2013 targeting the same group of patients, but suggesting biennial screening after two consecutive years of negative scanning [113].

The International Association for the Study of Lung Cancer (IASLC) chartered an advisory committee in 2011 to work with professional societies who are developing guidelines for screening [114]. The IASLC identified several issues that need to be addressed in guideline development and implementation: defining the optimal population for screening; determining the cost-effectiveness of screening; developing consistent CT screening protocols; defining the optimal work-up for abnormal findings; defining optimal management of screen-detected nodules; determining the optimal screening interval and number of screening rounds; and encouraging data collection and further research to improve

screening outcomes and limit complications. There was consensus that smoking cessation programs need to be integrated into screening programs and that a lung cancer screening program should involve a multidisciplinary team experienced in evaluation and management of early lung cancer.

Counseling for screening — Any program of lung cancer screening requires more than low-dose CT capability. Screening should only be performed when the clinician and patient are committed to pursuing follow-up investigations, including serial imaging and possible surgical lung biopsy and where there is expertise in chest radiography and lung cancer management [115].

The National Cancer Institute has developed a guide for patients and clinicians to review the data from the NLST to facilitate communication about the benefits and harms of screening [116].

Providers need to be experienced in the principles of screening and the management of small lung nodules. If these components are in place and at-risk individuals (mostly through smoking and occupational exposure) are highly motivated to be screened for lung cancer, the following points should be discussed with the patient before beginning screening. Some have advocated formal informed consent including these points:

- Smoking cessation is a more proven and powerful intervention for preventing death and complications from lung cancer and other diseases than screening. (See "Cigarette smoking and other risk factors for lung cancer".)
- Lung cancer screening requires an ongoing commitment; cancers are detected on initial and annual studies, and a single baseline study is insufficient.
- The most likely "positive" result of screening is detection of benign nodules requiring further evaluation, and this evaluation may require invasive studies, possibly even surgery.

For patients who would opt to be screened after appropriate counseling, and pending results of cost-effectiveness analyses and ongoing randomized trials, we suggest screening with low-dose helical CT scanning only for those who meet all of the following criteria:

- Are in general good health.
- Are at increased risk for lung cancer (similar to the risk of the group participating in the NLST trial). High-risk criteria for participation in the NLST were age 55 to 74 years, a history of smoking at least 30 pack-years and, if former smoker, had quit within the previous 15 years.
- Have access to centers whose radiologic, pathologic, surgical, and other treatment capabilities in the management of indeterminate lung lesions are equivalent to those in the NLST trial.
- Understand the possible need for subsequent evaluation of abnormal findings.
- Are able to manage the cost of annual screening. The role of insurance coverage in screening has not been determined following the NLST results and insurance may not cover the cost of screening [117-119].

## FUTURE DIRECTIONS

Positron emission tomography — At least two studies evaluated annual low-dose computed tomography (CT) followed by positron emission tomography (PET) with fluorodeoxyglucose (FDG) for evaluating patients with noncalcified lesions  $\geq 7$  mm in diameter, each with similar results [120,121]. In one study, FDG-PET correctly diagnosed 19 of 25 indeterminate nodules [120]. The sensitivity, specificity, positive predictive value, and negative predictive value of FDG-PET for the diagnosis of malignancy were 69, 91, 90, and 71 percent, respectively. When a negative FDG-PET was followed three months later with a repeat CT, the negative predictive value was 100 percent. If these results are validated by future studies, the simple algorithm employed could have substantial implications for incorporation of PET imaging into large-scale screening programs. (See "Thoracic positron emission tomography" and "Computed tomographic and positron emission tomographic scanning of pulmonary nodules".)

Non-radiographic technologies — Non-radiographic technologies, including identification of molecular and protein-based tumor biomarkers, may also contribute to the early detection of lung cancer. Detection and treatment of small lung tumors (prior to radiographic visualization) may produce superior outcomes, though the possibility of lead-time and other types of bias influencing the assessment of these technologies is great. Outcome benefits must be thoroughly investigated prior to their widespread use [122].

These techniques may also help identify people with significantly higher lung-cancer risk, in whom the likelihood that radiographic studies would detect early-stage lung cancer is increased.

Potential biosamples for biomarker analysis include airway epithelium (including buccal mucosa), sputum, exhaled breath, and blood [123]. The NLST has established a biospecimen repository of blood, sputum, and urine samples serially collected from over 10,000 NLST participants, for future investigation.

Technologies under investigation include:

- Immunostaining or molecular analysis of sputum for tumor markers. As examples, p16 ink4a promoter hypermethylation and p53 mutations have been shown to occur in chronic smokers before there is clinical evidence of neoplasia [124-128].
- Automated image cytometry of sputum [129].
- Fluorescence bronchoscopy [130,131]. (See "Fluorescence bronchoscopy".)
- Exhaled breath analysis of volatile organic compounds, which appear to be more common in patients with lung cancer [132-134].
- Genomic and proteomic analysis of bronchoscopic samples [135,136].
- Serum protein microarrays for detecting molecular markers [137].

Assessing tumor growth patterns — The COSMOS study investigated whether estimation of the volume doubling time (VDT) or growth rate of tumors detected by low-dose CT scans could be used to determine which tumors may represent indolent cancers and thus potential overdiagnosis [138]. VDT was estimated on the basis of change in tumor size with serial scans; a tumor with a VDT <400 days was considered to be fast-growing, 400 to 599 days as slow growing, and >600 days as indolent. VDT correlated with lung cancer mortality rates (9.2 percent per year for fast-growing and 0.9 percent per year for slow-growing or indolent cancers). Ten percent of the cancers identified in the COSMOS cohort had a VDT of 600 days or more, and 25 percent had a VDT of 400 or more days and thus might represent overdiagnosis; such tumors might reasonably be managed with less aggressive intervention.

INFORMATION FOR PATIENTS — UpToDate offers two types of patient education materials, "The Basics" and "Beyond the Basics." The Basics patient education pieces are written in plain language, at the 5th to 6th grade reading level, and they answer the four or five key questions a patient might have about a given condition. These articles are best for patients who want a general overview and who prefer short, easy-to-read materials. Beyond the Basics patient education pieces are longer, more sophisticated, and more detailed. These articles are written at the 10th to 12th grade reading level and are best for patients who want in-depth information and are comfortable with some medical jargon.

Here are the patient education articles that are relevant to this topic. We encourage you to print or e-mail these topics to your patients. (You can also locate patient education articles on a variety of subjects by searching on "patient info" and the keyword(s) of interest.)

- Basics topic (see "Patient information: Lung cancer screening (The Basics)")
- Beyond the Basics topics (see "Patient information: Lung cancer prevention and screening (Beyond the Basics)")

#### SUMMARY AND RECOMMENDATIONS

- Lung cancer is the leading cause of cancer-related death. Prevention (promoting smoking cessation) is likely to have far greater impact on lung cancer mortality than is screening. Nonetheless, lung cancer screening has the potential to significantly reduce the burden of lung cancer. (See 'Introduction' above.)
- Early trials of chest x-ray screening in males at high risk for lung cancer found no mortality benefit for x-ray alone or x-ray plus sputum cytology. A large randomized trial (the PLCO trial) of single view chest x-ray in men and women found no decrease in lung cancer incidence or mortality with screening. (See 'Screening with chest x-ray/sputum cytology' above.)
- Low-dose CT (LDCT) refers to a noncontrast study obtained with a multidetector CT scanner during a single maximal inspiratory breath-hold with a scanning time under 25 seconds. Radiation dose exposure is less than a third of a standard-dose diagnostic chest CT examination. (See 'Low-dose helical CT scanning' above.)
- A large randomized trial (NLST) of annual low-dose CT screening in patients with a 30 pack-year history of smoking, including those who quit smoking in the preceding 15 years, demonstrated a decrease in

lung cancer and all-cause mortality. These results have led to revised guidelines from multiple professional organizations. (See 'Randomized trials' above and 'Recommendations for screening by expert groups' above.)

- All patients who smoke should be strongly counselled to quit smoking as the most-effective intervention to reduce the risk of lung cancer. Patients who currently smoke or have a history of smoking should be advised of the risks and benefits of screening for lung cancer (see 'Counseling for screening' above):

- For patients in good health who are thought to have a risk for lung cancer at least as great as those in the NLST and who have access to centers with radiologic, diagnostic, and treatment capabilities similar to those in the NLST, and for whom the cost of screening is not an issue, we suggest annual screening with low-dose helical CT (Grade 2A). High-risk criteria for participation in the NLST were age 55 to 74 years, a history of smoking at least 30 pack-years and, if a former smoker, had quit within the previous 15 years. Consistent with modeling studies subsequent to the NLST and with recommendations from the USPSTF, we also suggest screening for high-risk patients in good health to age 80 (Grade 2C).

- Plain chest x-ray screening has been shown to be ineffective for lung cancer screening. We recommend not screening for lung cancer with chest x-ray (Grade 1A).

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