



FOUNDATION

# Guide to Understanding Portfolios

## ENTERING A TRADE



Click the Enter a Trade link on the blue Trade tab to get started.

The Stock Market Game - New York City  
2011-10-10 / 2011-12-16

Advisor  
Team ID:NY4\_61\_ZZ230

School:  
Region: High School

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## The Stock Market Game - New York City SIFMA Foundation

10/10/2011 - 12/16/2011

Trading Dates: 10/10/2011 - 12/15/2011

Game trading is over for this game - NO Trades will be executed

Account Information	
Cash Balance:	\$41,473.92
Total Equity:	\$93,099.92
Region Rank:	299 out of 396
Region Trade Type:	END OF DAY
Game:	Fall - 10 week

The Stock Market Game™ program is brought to you in New York through a partnership of



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The Stock Market Game - New York City  
2011-10-10 / 2011-12-16

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Select Below

[Stock Trade](#)  
[Mutual Fund Trade](#)  
[Bond Trade](#)

Enter a Stock Trade.



Need Assistance? Each page of the team portfolio has its own [Help](#) section.

[Blocked Symbols](#) are stocks that are not traded on the SMG system.

Select the type of transaction you would like to make: [Buy](#), [Sell](#), [Short Sell](#), or [Short Cover](#).

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Don't know the company's ticker symbol? Enter its name in the box and click [Search for Ticker Symbol](#).

The minimum [Number of Shares](#) in a Buy order is 100. You can sell as few shares of stock as you wish.

[Validate Ticker](#) for the company's current price and to confirm that it is the company you wish to trade.

[Enter a Stock Trade](#)

[Blocked Symbols](#)

Buy  Sell  Short Sell  Short Cover

[Search for Ticker Symbol:](#)    
[Validate Ticker/Get Details:](#)

Order Type:

**Clear Values to re-enter your transaction. Preview Trade to proceed with your transaction.**

Select your [Order Type](#). *Market* means you will get the day's (or current) closing price. *Limit* means you will not proceed with the transaction unless your price (Limit Price) is met.

In a Buy or Short Sell transaction, the [Limit Price](#) is how much you are willing to pay. In a Sell or Short Cover, it is the lowest price you will accept. Your *Limit* order expires at the market's close, if its conditions aren't met.

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**Trade Order Preview**

<b>Action:</b> Buy
<b>Ticker:</b> NYX
<b>Company name:</b> NYSE EURONEXT
<b># of Shares:</b> 100
<b>Order Type:</b> Market Order
<b>Limit Price:</b> -----

Re-enter your password to place this trade.

Confirm Trade

Edit Trade

Confirm Trade  
to proceed  
with your  
transaction.

Edit Trade  
to change your  
transaction.

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Select Below

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[Mutual Fund Trade](#)  
[Bond Trade](#)

Enter a Mutual Fund Trade.



Each page of the team portfolio has its own [Help](#) section.

[Blocked Symbols](#) are stocks that are not traded on the SMG system.

Do you want to [Buy](#) or [Sell](#) mutual funds?

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2011-10-10 / 2011-12-16

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Don't know the mutual fund's ticker symbol? Enter its name in the box and click [Search for Ticker Symbol](#).

[Enter a Mutual Fund Trade](#)

[Blocked Symbols](#)

Buy  Sell

[Ticker Symbol:](#)  [Validate Ticker:](#)

Number of Shares:

The minimum Number of Shares in a Buy order is 100. You can sell as few shares of stock as you wish.



[Validate Ticker](#) for the mutual fund's current price and to confirm that it is the company you wish to trade.

**Clear Values to re-enter your transaction. Preview Trade to proceed with your transaction.**

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Select Below

[Stock Trade](#)  
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Enter a Bond Trade.



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Enter a Bond Trade

[Blocked Symbols](#)

Click [Bond Symbol](#) to begin your search for a bond investment.

Bond Symbol:  
[Validate Bond:](#)

Dollar Amt:

Buy  Sell

Clear Values

Preview Bond Trade



Select the type of bond you wish to trade: Corporate, Municipal, or Treasury. In this example, Municipal is used.

Enter a Bond Trade

Blocked Symbols

In this example, we will buy Municipal bonds. Enter the state abbreviation and click Search Municipal bonds.



Click the bonds SMG CUSIP number for a bond quote.

Click Trade to buy the bond.

To determine the desired Bond ticker choose one of the following options:

Enter Search Text:  
[Search Corporate Bonds](#) (select first character: )  
[Search Municipal bonds](#) Enter 2 Letter State Abbreviation:   
[Search Treasuries](#) Select/Enter Search Text: (please select)

STANDARD & POOR'S		
<a href="#">M002R.173.75</a>	Trade	034198BV2 ANDES N Y CENT SCH DIST 03.750 SP :A+
<a href="#">M002T.184.00</a>	Trade	034198BW0 ANDES N Y CENT SCH DIST 04.000 SP :A+
<a href="#">M002U.194.00</a>	Trade	034198BX8 ANDES N Y CENT SCH DIST 04.000 SP :A+
<a href="#">M0031.173.12</a>	Trade	034664DS8 ANGELICA N Y 03.125 SP :A
<a href="#">M0032.183.25</a>	Trade	034664DT6 ANGELICA N Y 03.250 SP :A
<a href="#">M0033.174.30</a>	Trade	034664EA6 ANGELICA N Y 04.300 SP :A
<a href="#">M0034.184.30</a>	Trade	034664EB4 ANGELICA N Y 04.300 SP :A
<a href="#">M003Y.162.75</a>	Trade	048254CT1 ATLANTIC BEACH N Y 02.750 SP :AA-
<a href="#">M0040.173.00</a>	Trade	048254CU8 ATLANTIC BEACH N Y 03.000 SP :AA-
<a href="#">M0041.183.12</a>	Trade	048254CV6 ATLANTIC BEACH N Y 03.125 SP :AA-
<a href="#">M0042.193.25</a>	Trade	048254CW4 ATLANTIC BEACH N Y 03.250 SP :AA-
<a href="#">M0046.174.00</a>	Trade	054195EF5 AVON N Y 04.000 SP :A-
<a href="#">M0047.184.25</a>	Trade	054195EG3 AVON N Y 04.250 SP :A-
<a href="#">M0048.194.25</a>	Trade	054195EH1 AVON N Y 04.250 SP :A-
<a href="#">M004Y.173.12</a>	Trade	076125AP8 BEDFORD HILLS FIRE DIST N Y 03.125 SP :AA
<a href="#">M007P.174.12</a>	Trade	111691DW8 BROCKPORT N Y 04.125 SP :A
<a href="#">M007R.184.12</a>	Trade	111691DX6 BROCKPORT N Y 04.125 SP :A
<a href="#">M007T.194.12</a>	Trade	111691DY4 BROCKPORT N Y 04.125 SP :A
<a href="#">M007U.204.12</a>	Trade	111691DZ1 BROCKPORT N Y 04.125 SP :A
<a href="#">M007V.214.12</a>	Trade	111691EA5 BROCKPORT N Y 04.125 SP :A
<a href="#">M0115.164.25</a>	Trade	148593CB0 CASTLETON-ON-HUDSON N Y 04.250 SP :A
<a href="#">M015V.174.25</a>	Trade	148593CC8 CASTLETON-ON-HUDSON N Y 04.250 SP :A
<a href="#">M032E.162.50</a>	Trade	281776CB8 EDWARDS-KNOX N Y CENT SCH DIST 02.500 SP :A
<a href="#">M032F.172.50</a>	Trade	281776CC6 EDWARDS-KNOX N Y CENT SCH DIST 02.500 SP :A
<a href="#">M0330.164.75</a>	Trade	288479BE7 ELLICOTT N Y 04.750 SP :BBB+
<a href="#">M0331.174.75</a>	Trade	288479BF4 ELLICOTT N Y 04.750 SP :BBB+
<a href="#">M033G.173.75</a>	Trade	306117BZ4 FALCONER N Y CENT SCH DIST 03.750 SP :A+

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Search

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Enter a Bond Trade

[Blocked Symbols](#)

Bond Symbol: M0046.174.00  
[Validate Bond:](#)

Dollar Amt:

Buy  Sell

Clear Values

Preview Bond Trade



Validate Bond for the bond's current price and to confirm that it is the bond you wish to trade.

Unlike stocks and mutual funds, bonds trade in Dollar Amounts not shares.

Do you want to Buy or Sell bonds?

**Clear Values** to re-enter your transaction. **Preview Trade** to proceed with your transaction.

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The Stock Market Game - New York City  
2011-10-10 / 2011-12-16

Advisor:  
Team ID:NY4\_61\_ZZ230

Each time you enter a stock, mutual fund, or bond trade you are taken to a Trade Order Confirmation message. It acknowledges that you entered a trade but does not confirm that the trade was valid. Check your Transaction Notes the following day to make sure your trades went through.

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<a href="#">Rules of the Game</a>	<a href="#">Enter a Trade</a>   <a href="#">Pending Orders</a>   <a href="#">Transaction Notes</a>	

Trade Order Confirmation: 20744382FXG300220120822 -

Your order has been successfully submitted and is subject to final approval.  
You can check the status of orders by checking the [Pending Transactions](#) screen or you can [enter another trade](#).

During regular business days prior to 4pm ET, trades will be processed about 5:30pm ET. When entered during weekends and holidays trades will be processed the next business day again by 5:30pm ET.

Once processed, trades are moved from pending to [transaction notes](#).

Trades entered appear immediately in [Pending Transactions](#). Teams in an end-of-day pricing game may cancel their trades up until 4:00pm ET. Teams in real-time pricing games cannot cancel trades.

[Enter another trade](#) to buy and/or sell more securities (stocks, mutual funds, bonds).



**Transaction Notes** lists all of the trades you have made. Check it the day after you have entered trades to make sure they went through without errors.

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SIFMA Stock Market Game  
2012-07-01 / 2013-07-01

Advisor  
Team ID: SIA\_53\_A225

School:  
Region: End of Day

Log Off

Portfolio Confirmation Research

Toggle Print Mode Currently: complex

Confirmation displays the same ID you saw your Trade Order Confirmation page.

Transaction Notes for Team ID: SIA\_53\_A225 As Of: 08/27/2012

Trade Type	TICKER	Shares or \$Value	Limit Price	Trade Entered	Confirmation	Description
Buy	DIS	200	\$49.9500	2012-08-24 14:07:56	10437026FXD500320120824	Executed
Short Sell	FB	100	\$19.2500	2012-08-22 13:29:18	20815210FXH300120120822	Executed
Buy	M0046.174.00	\$5000	\$MARKET	2012-08-22 13:14:34	20966829FXI300220120822	Executed
Buy	VEIVX	100	\$MARKET	2012-08-22 13:12:45	20966828FXI300120120822	Executed
			\$MARKET	2012-08-22 12:07:03	20744382FXG300220120822	Executed
			\$MARKET			Executed

Limit Price will display the limit price set on a trade. If no limit price is set \$Market will appear.

If your Description says "Executed" it means your trade went through. If it displays Order Rejected or another message, it means there was a problem with your trade.

**This is a list of possible error messages that teams may encounter on their Transaction Notes page.**

- **Account Margin Exceeded by: \$** - You did not have enough money for the trade, even if you borrowed the maximum.
- **Failed: Could not talk to portfolio system** - System was unavailable for trading. Try again later.
- **Invalid Symbol or Price Feed System Down** - If you get this message, you may have entered the ticker symbol incorrectly or part of the system may be down. Check the ticker symbol and re-enter the trade.
- **Not enough position for transaction** - You are trying to enter a transaction to sell or short cover more of a security than you currently hold in your portfolio.
- **OMS control file error-INVREQ** - The SMG system is down and could not process your order. Please try again later.
- **Order Price is Away From Market** - You entered a limit price that was not met.
- **Order Rejected** - You attempted to sell a stock that you did not own.
- **Order Canceled** - You canceled the trade before the close of market, while it was still in *Pending Transactions*.
- **Possible duplicate order** - You have just entered a duplicate order that would put your portfolio in an over sold long or over bought short position. The trade has been rejected.
- **Portfolio system unavailable, please try again later** - The SMG system is unavailable for trading. Please try again later
- **Symbol is not defined in portfolio system** - You have attempted to place a trade with a ticker symbol that is not in the portfolio database. Ask your teacher to contact your SMG Coordinator about it.
- **Security Not Found on Sec Mast Xref File and OSS Sec Mast** - You attempted to trade a stock that was not in the system. Usually this means the stock is not listed on either the NASDAQ or the NYSE Euronext. If you receive this message and are sure the stock is traded, please have your teacher contact your local SMG Coordinator.
- **Symbol price is zero** - You have either entered an incorrect ticker symbol or the system price data feed is not pricing the security.
- **This is a Possible Error** - You will get this message if a system error occurred during processing. If you get this message, check *Account Holdings* the day after you entered the trade to see if it has been processed. If not, re-enter the trade.
- **Trade exceeds maximum percentage for equity** - Your SMG Coordinator has implemented a *Maximum Percent Equity* rule. Please ask your teacher for the maximum amount of equity you are allowed to invest in a single company or fund.

Have more questions?  
Contact your local SMG  
Coordinator for further  
assistance...

