

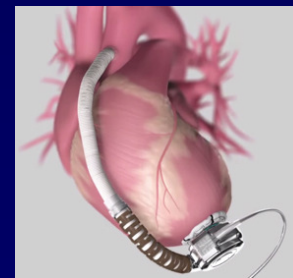
Current Ventricular Assist Device Outcomes and Future Technology

Allen Cheng, M.D.*

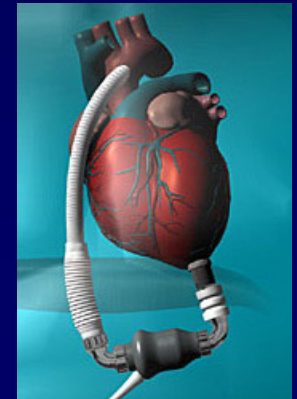
*Surgical Director of Heart Failure and Mechanical Circulatory Support
Division of Cardiothoracic Surgery
Oklahoma Heart Institution



***Oklahoma Heart Institute 28th
Annual Oklahoma Heart Update in
Cardiology: Improving Outcomes
for Cardiovascular Patients. May
5th 2017. Tulsa, OK.***



**CARDIOVASCULAR
INNOVATION • INSTITUTE**



Presenter Disclosure Information

Current Ventricular Assist Device Outcomes and Future Technology

DISCLOSURE INFORMATION:

The following relationships exist related to this presentation:

Allen Cheng

- **Presenter for Thoratec and Heartware**
- **No financial relationship disclosure**

Background

Heart failure remains to be a major global problem with over 26 million people suffering from heart failure around the world and approximate 6 million patients in the US alone.

Along with the aging population worldwide, the AHA estimate growth rate of heart failure patients will exceed greater than 30% in the next 10 years*.

*Forecasting the future of cardiovascular disease in the US: [A policy statement from the American Heart Association](#)
Circulation. 2011 Mar 1;123[8]:933-44

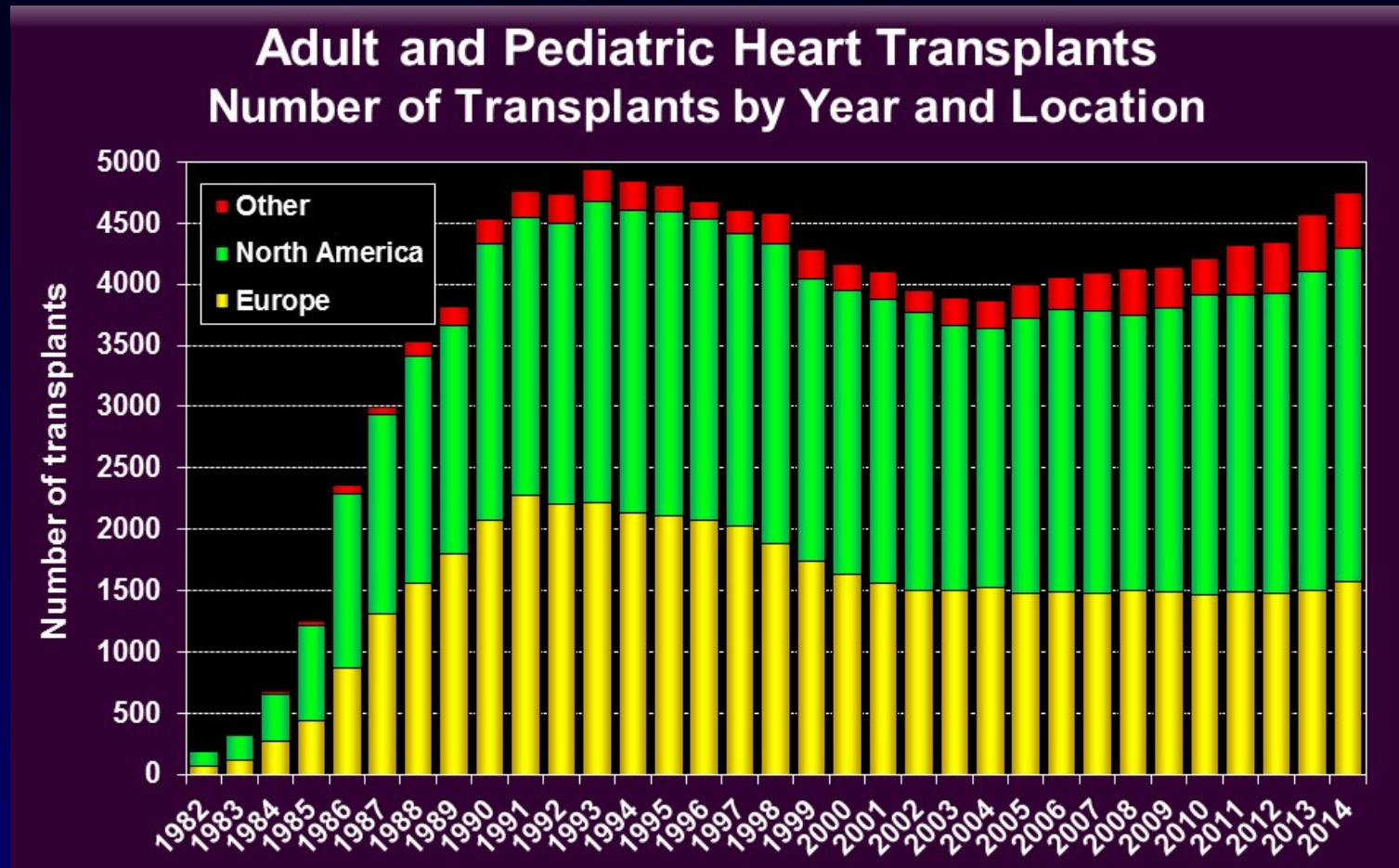
Background

Medical therapies have not been sufficient for late-stage heart failure.

Heart transplantation has been the gold standard therapy and has a 1-year survival average around 90%.

But the number of heart transplantation has been significantly limited by the number of available organ donor.

26 millions heart failure patients, 600,000 pts qualify for advance HF therapy (AHA estimate)



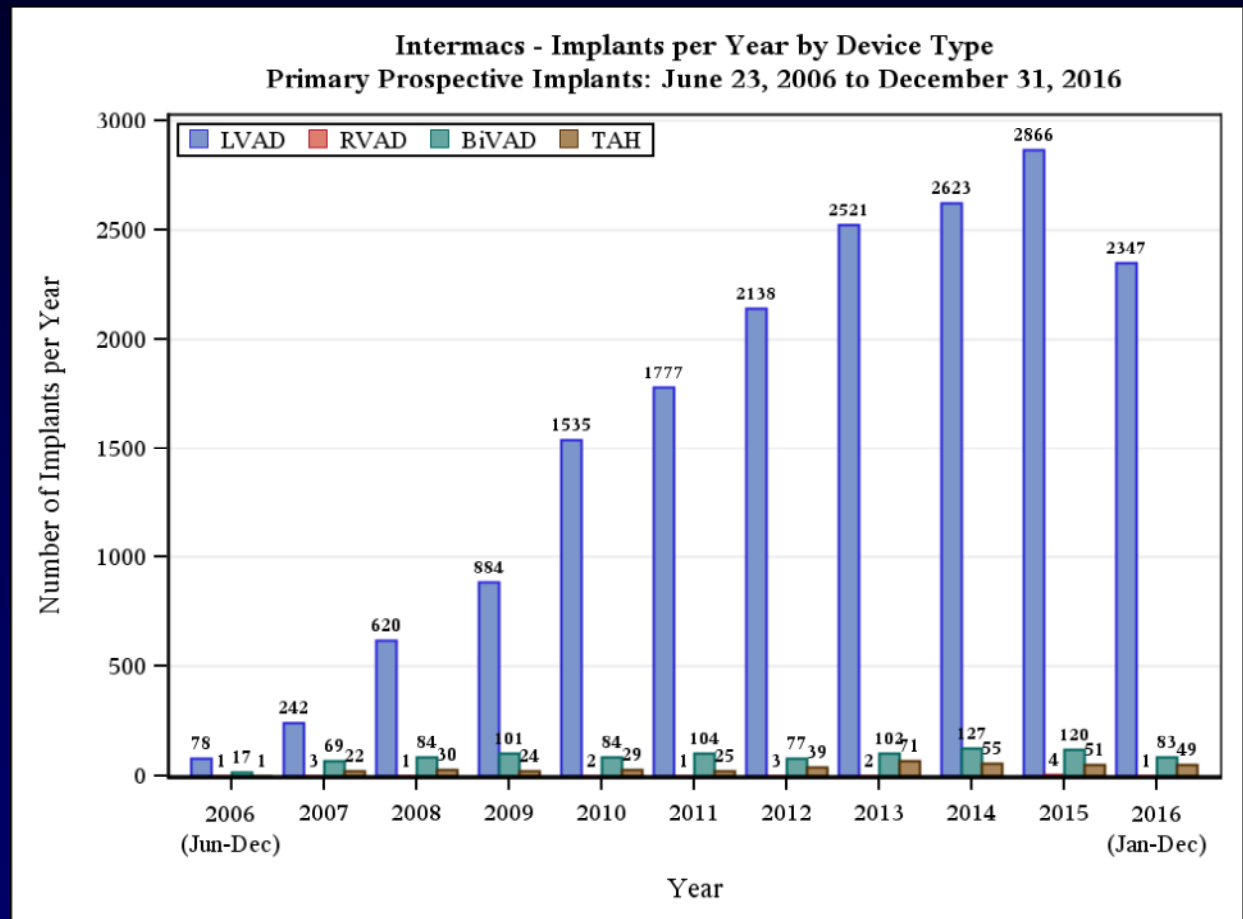
With the **limitation of available donor organ**, the number of annual heart transplantation in the US and Europe, has remained unchanged in the last two decade with only **about 2500 cases** a year nation-wide in the **US** and **Europe**.

Background

Interagency Registry for Mechanical Assisted Circulatory Support INTERMACS Annual Report 2016

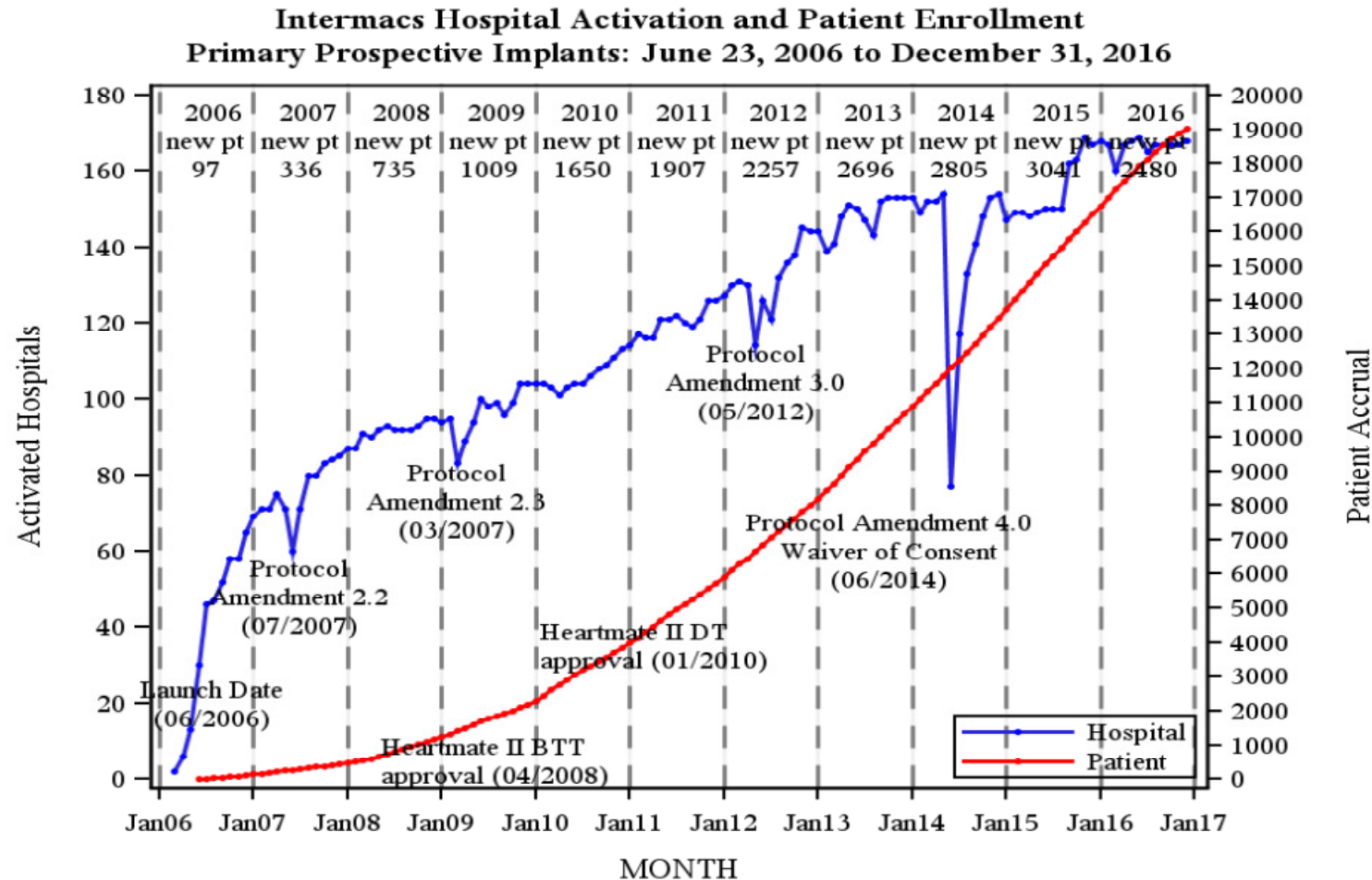
With the technological advancement in left ventricular assist device (LVAD), the use of LVAD in the US has increased significantly.

The 1-year survival rate of LVAD therapy has been shown to be comparable to heart transplantation.



<https://www.uab.edu/medicine/intermacs/reports/public-statistical-reports>

INTERMACS Annual Report 2016



> 19000
implants
> 170
centers

<https://www.uab.edu/medicine/intermacs/reports/public-statistical-reports>

Benefits of Mechanical Circulatory Support

Heart transplantation vs. mechanical circulatory support

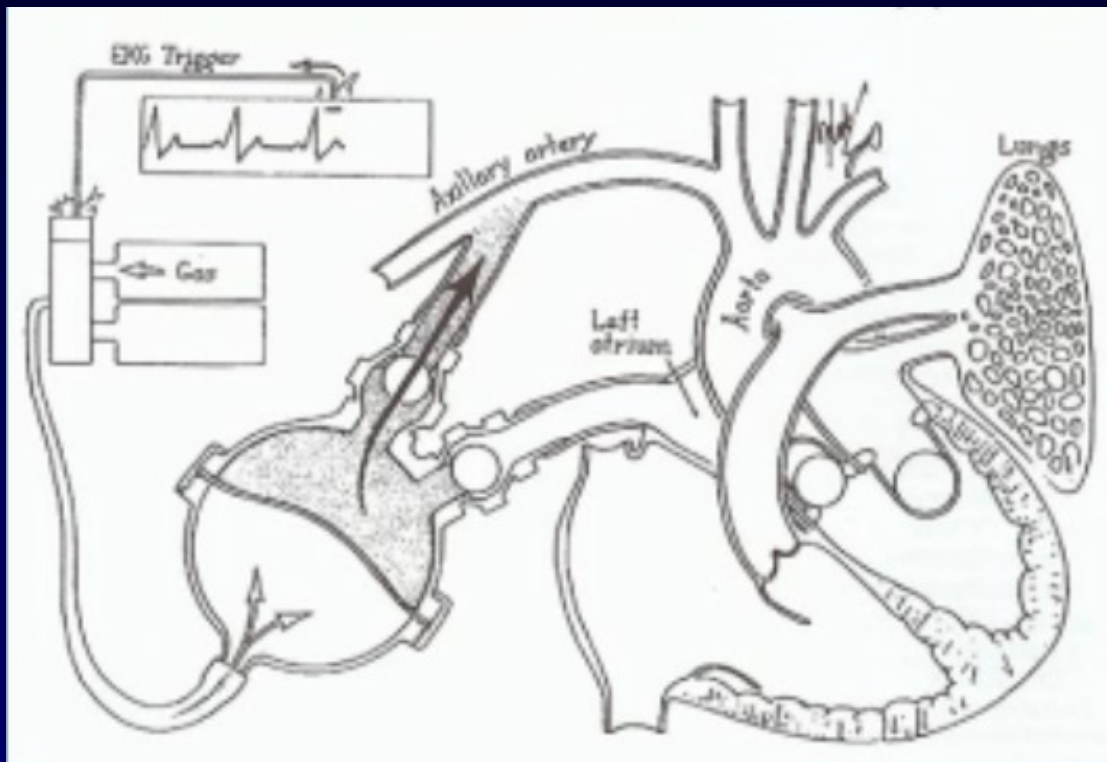
	Transplant	MCS
Wait list	Yes	No
Elective procedure	No	Yes
Risk of transmission of infectious agents	Yes	No
Need for immunosuppressive therapy	Yes	No
Monitoring for allograft vasculopathy	Yes	No
Increased malignancy risk	Yes	No
Need for surveillance biopsies	Yes	No
Rejection risk	Yes	No
Minimally invasive implantation	No	Yes
Readily replaceable	No	Yes
Amenable to technologic advancement	No	Yes
Partial support option	No	Yes
Recovery option	No	Yes
Heart transplant option	No	Yes
Lifelong anticoagulation	No	Yes
Driveline required	No	Yes

Frontline Medical News

Source: Joseph Woo, M.D.

LVAD Bridge to Recovery – 1966

Michael DeBakey

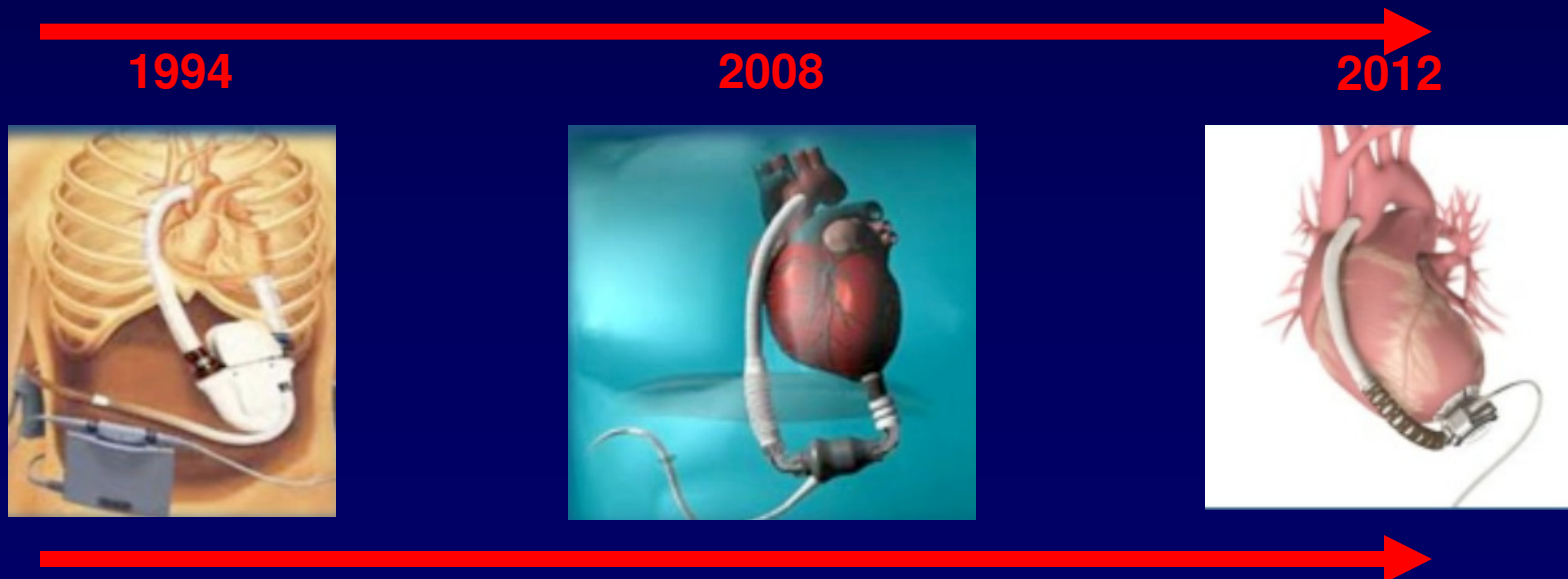


	1994	1998	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
European Market (CE Mark)	Novacor 				INCOR  HeartMate XVE 		Jarvik 2000 FlowMaker  HeartMate II  HeartAssist 5  VentrAssist 		DuraHeart 		HVAD 					
U.S. Market (FDA Approval)		Novacor  BTT		HeartMate XVE  BTT	HeartMate XVE  DT					HeartMate II  BTT		HeartMate II  DT		HVAD  BTT		

Overview

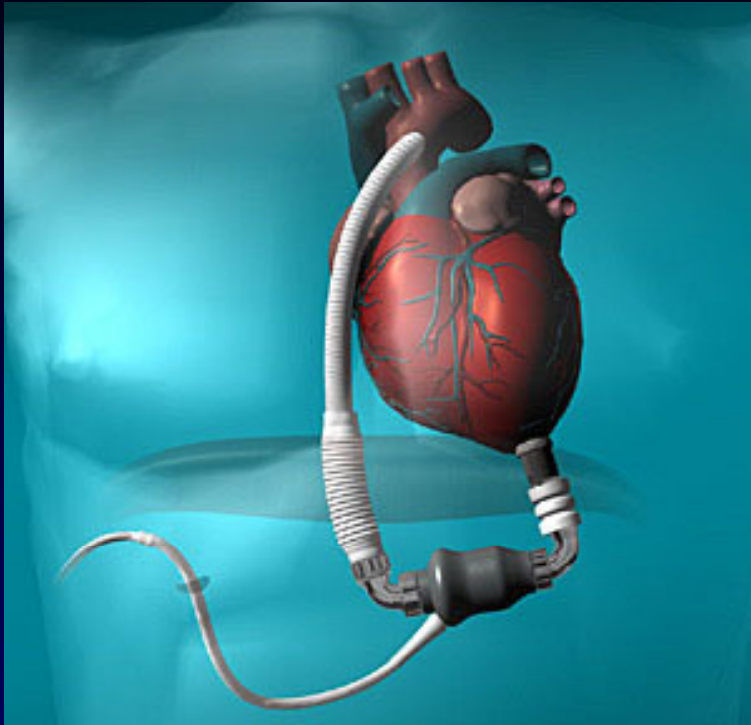
Significant progress has been made over the past decade

- Use of continuous flow devices
- Pericardial devices
- simple implant procedures (minimally invasive implants)
- Improvement in patient selection
- Shared experiences – improved outcomes
- Increased duration of successful support has resulted in alteration in transplants allocations



Heartmate II

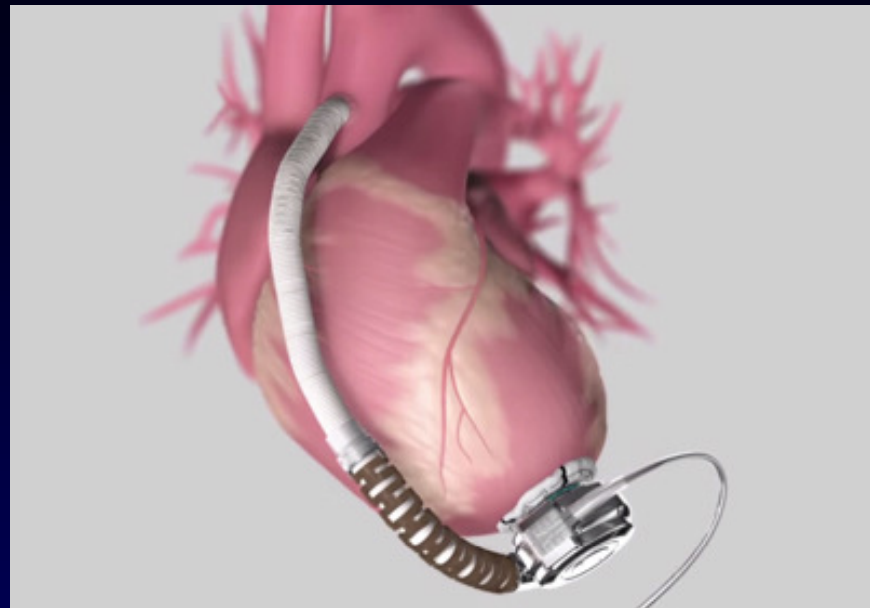
Abbott
Axial-flow pump



Heartmate XVE



Heartware HVAD



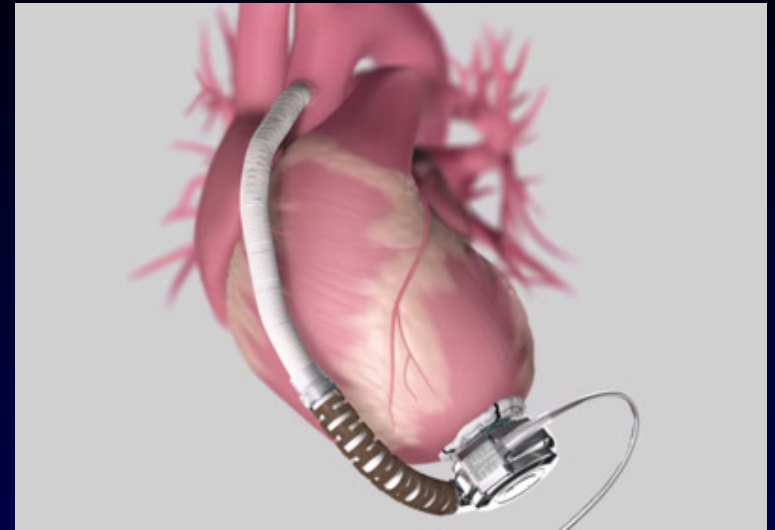
Medtronic
Centrifugal –
flow pump

- Smaller
- No pump pocket needed

Multiple studies have shown HMII and HVAD are not only smaller but more reliable and durable with much less adverse events..

Uses of LVAD Support

- Provides **ventricular unloading** of the failing heart while **supporting circulation**
- Used as a bridge to heart transplant (**BTT**) until a donor heart is identified
- Permanent support as destination therapy (**DT**)
- In some patients, supports the heart as a bridge to recovery (**BTR**)



Comparison of Axial vs. Centrifugal flow pumps: Nov 2012 – Dec 2014, n=5429

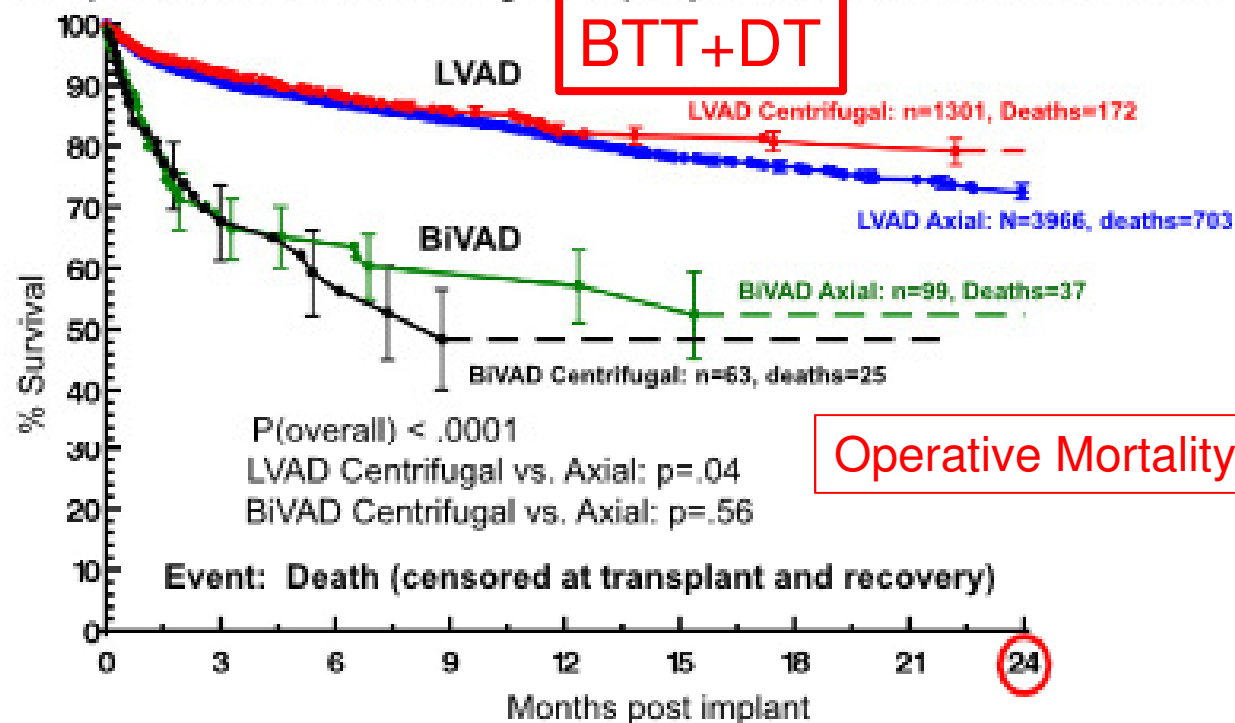
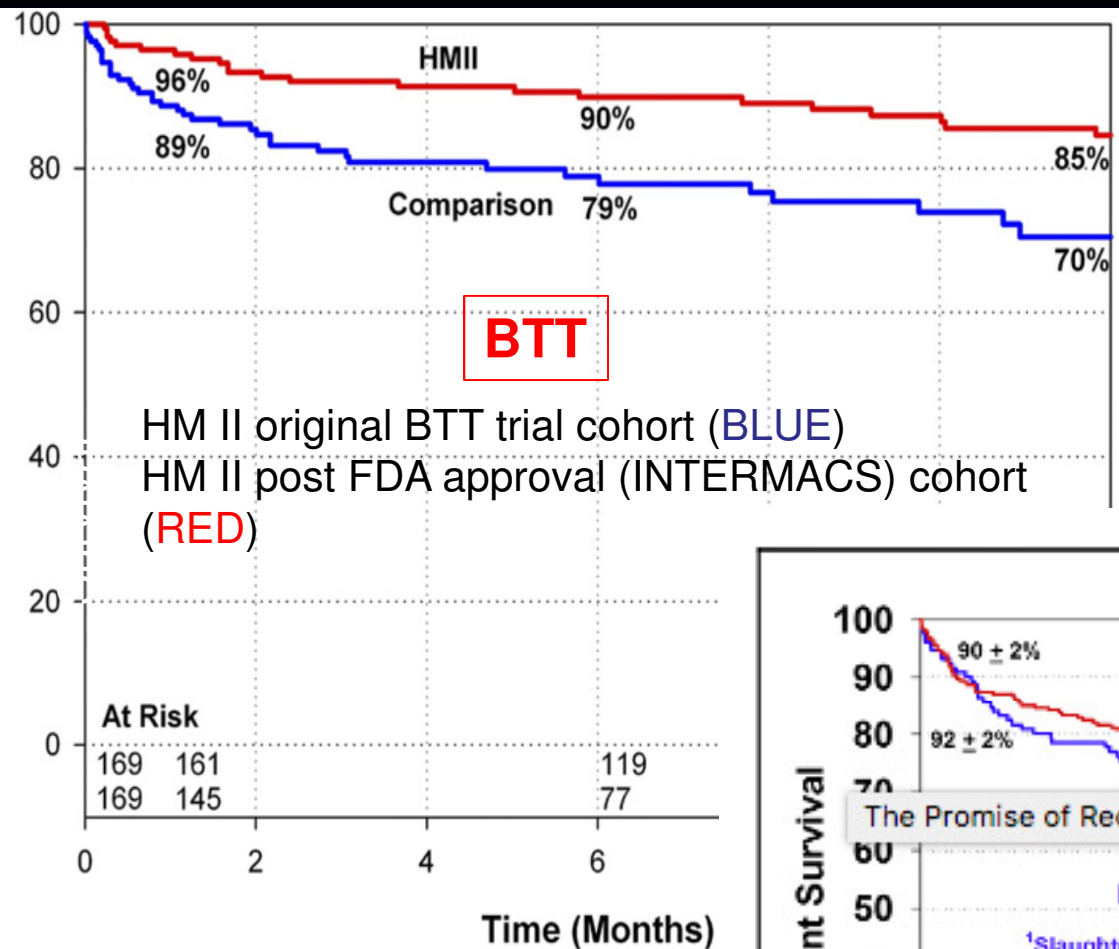
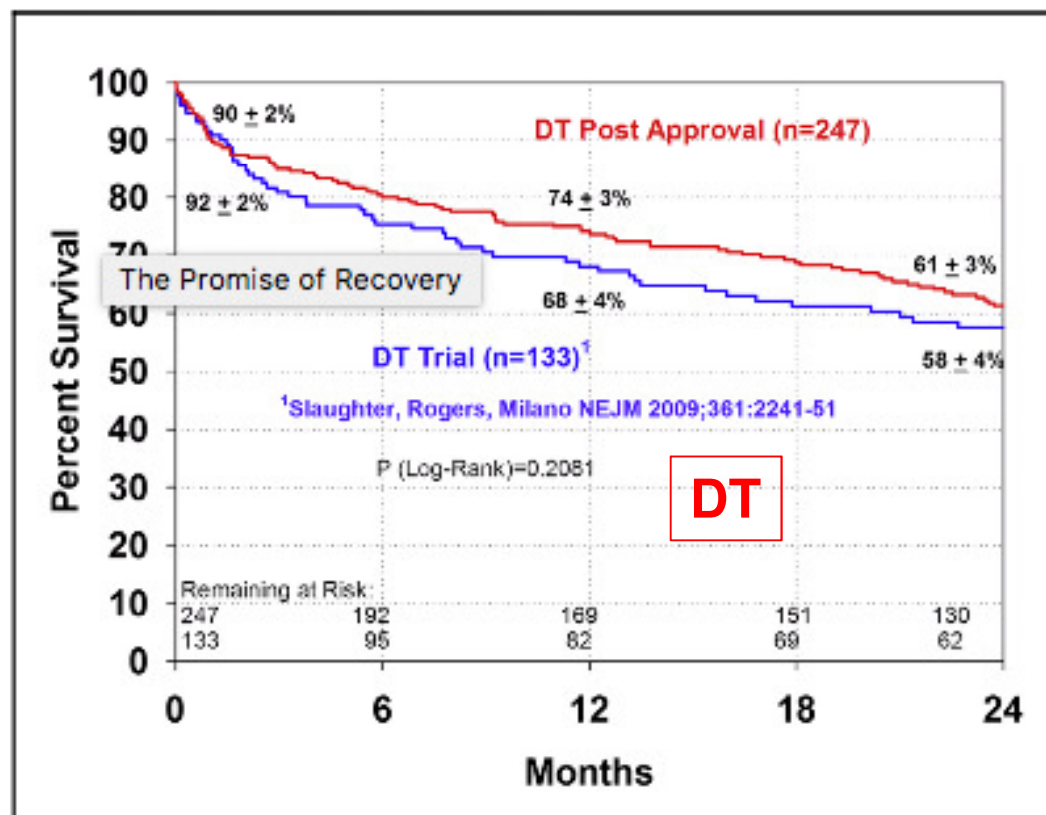


Figure 7 Actuarial survival curve for continuous-flow LVAD and BiVAD patients, stratified by pump type. The depiction is as shown in Figure 6.

Kirklin et al. [Seventh INTERMACS annual report](#). *JHLT*. 2016;34:1495-1504



Starling RC et al. JACC 2014



Jorde UP et al. JACC 2014:1751-7

ACQUIRED CARDIOVASCULAR DISEASE

J Thorac Cardiovasc Surg
2013;144:584-603)

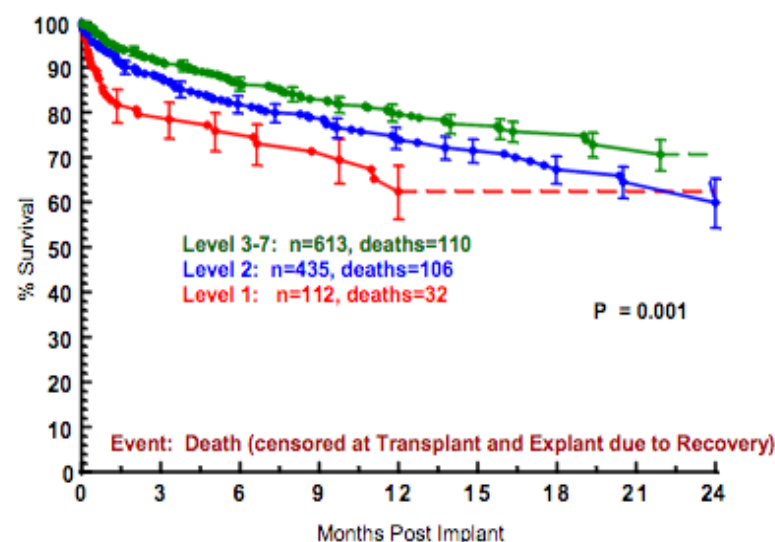
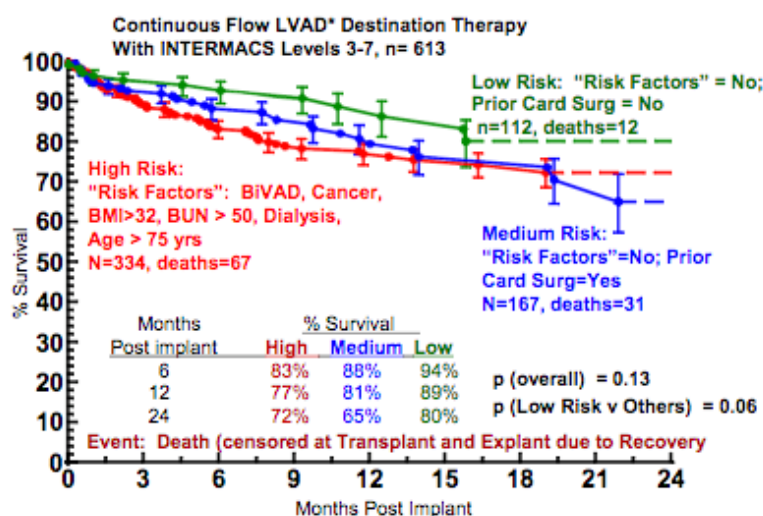
Long-term mechanical circulatory support (destination therapy): On track to compete with heart transplantation?

INTERMACS
database

James K. Kirklin, MD,^a David C. Naftel, PhD,^a Francis D. Pagani, MD, PhD,^b Robert L. Kormos, MD,^c
Lynne Stevenson, MD,^d Marissa Miller, DVM, MPH,^e and James B. Young, MD^f

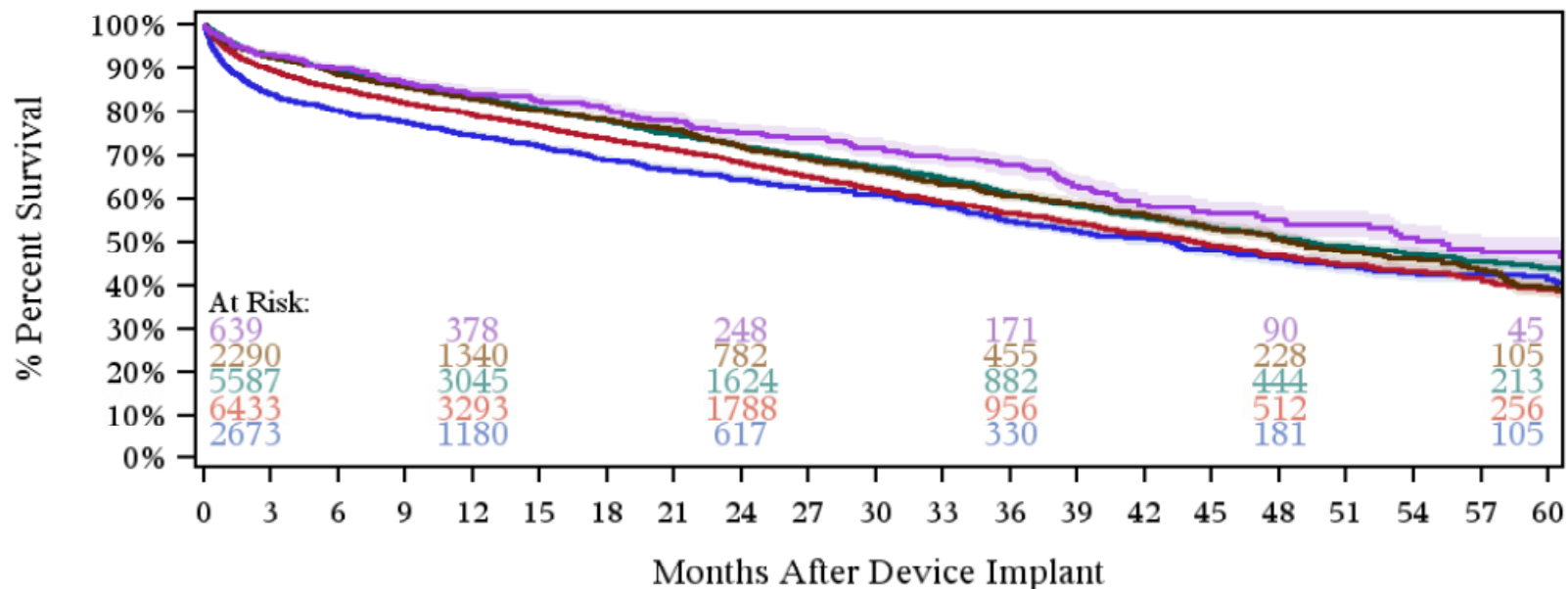
Results: By multivariable analysis, risk factors ($P < .05$) for mortality after DT included older age, larger body mass index, history of cancer, history of cardiac surgery, INTERMACS level I (cardiogenic shock), dialysis, increased blood urea nitrogen, use of a pulsatile flow device, and use of a right ventricular assist device (RVAD). Among patients with a continuous flow LVAD who were not in cardiogenic shock, a particularly favorable survival was associated with no cancer, patients not in cardiogenic shock, and blood urea nitrogen less than 50 mg/dL, resulting in 1- and 2-year survivals of 88% and 80%.

Conclusions: (1) Evolution from pulsatile to continuous flow technology has dramatically improved 1- and 2-year survivals; (2) DT is not appropriate for patients with rapid hemodynamic deterioration or severe right ventricular failure; (3) important subsets of patients with continuous flow DT now enjoy survival that is competitive with heart transplantation out to about 2 years. (J Thorac Cardiovasc Surg 2012;144:584-603)



Early Referral

Intermacs - Kaplan-Meier Survival for Continuous Flow LVADs (with or without RVAD implant at time of LVAD operation) by Pre-Implant Patient Profile
Primary Prospective Implants: June 23, 2006 to December 31, 2016



Pre-Implant Patient Profile	
Level 1 - Critical Cardiogenic (n = 2673, Deaths = 891)	
Level 2 - Progressive Decline (n = 6433, Deaths = 2054)	
Level 3 - Stable but Inotrope (n = 5587, Deaths = 1539)	
Level 4 - Resting Symptoms (n = 2290, Deaths = 719)	
Levels 5,6,7 - All Others (n = 639, Deaths = 192)	

Shaded areas indicate 70% confidence limits

p (log-rank) = <.0001

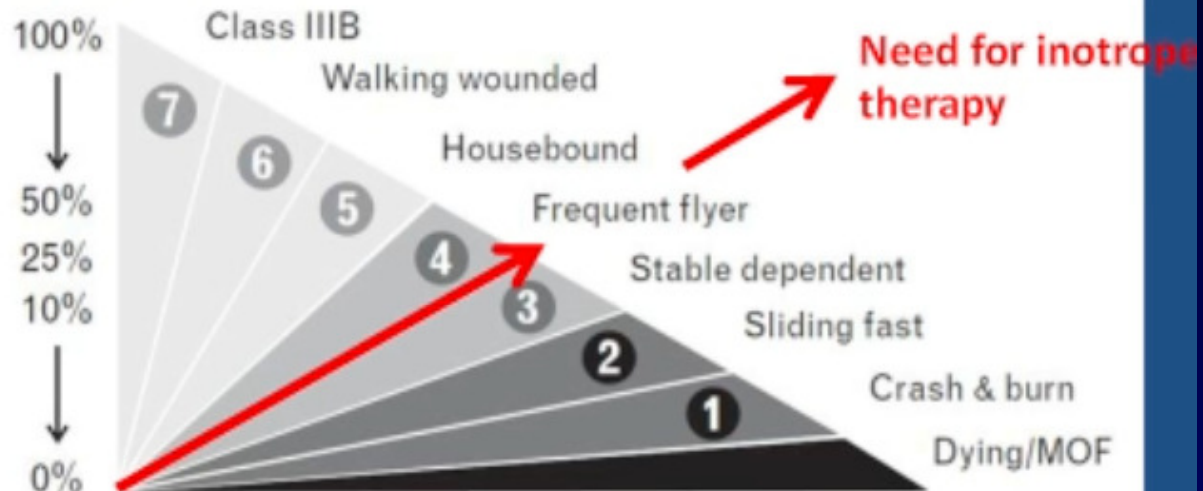
Event: Death (censored at transplant or recovery)

Intermacs

Early Referral

INTERMACS Patient Profiles

% 1-year survival



Intermacs level	Survival	VAD benefit
5-7	Months to years	Not established
3-4	Weeks to months	Yes
1-2	Hours to weeks	Yes
MOF	Hours to days	Bridge to decision in selected cases

Survival on the Heart Transplant Waiting List: Impact of Continuous Flow Left Ventricular Assist Device as Bridge to Transplant

Jaimin R. Trivedi, MD, MPH, Allen Cheng, MD, Ramesh Singh, MD,
Matthew L. Williams, MD, and Mark S. Slaughter, MD

Division of Thoracic and Cardiovascular Surgery, University of Louisville, Louisville, Kentucky

(Ann Thorac Surg 2014;98:830–4)
© 2014 by The Society of Thoracic Surgeons

UNOS Database
Propensity Match Study
Impact of LVAD on wait list survival

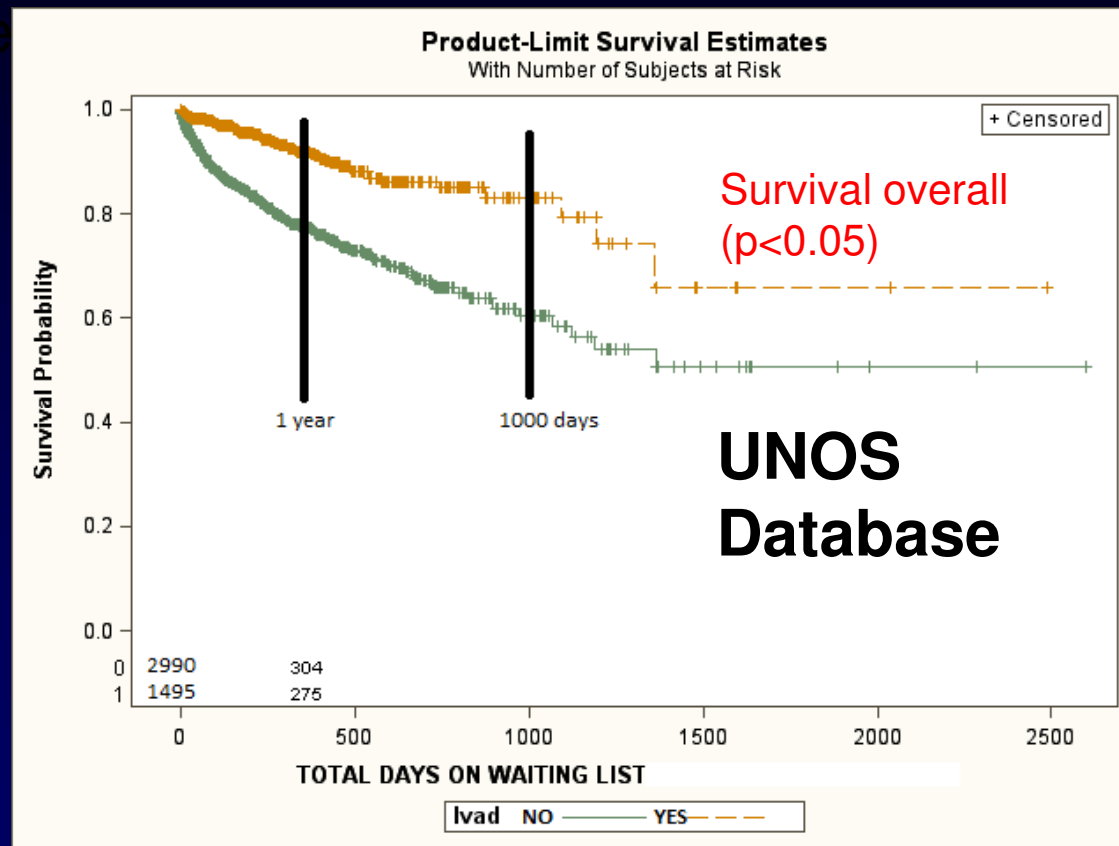
Does Left Ventricular Assist Device Support Improve Survival on the Heart Transplant Waiting List?

UNOS database Analysis:

Survival in Properly Selected Patients supported with HM II LVAD for BTT demonstrated an improved survival in patients on waiting list

Potential additional benefits

- Improved survival with LVAD could allow improved allocation of donor organs
- Improved quality of life while on waiting list compared non-LVAD patients



Trivedi J., Cheng A, Slaughter MS et al . Presented at STSA Annual Meeting 2013. Annal of Thoracic Surgery 2014;98:830-4.

The Association of Pretransplant HeartMate II Left Ventricular Assist Device Placement and Heart Transplantation Mortality

Cheng A, Slaughter MS et al ASAIO J 2014 May-Jun;60(3):294-9.

Table 2. Association between pre-heart transplant implantation with Heart Mate II LVAD and post-heart-transplant all-cause mortality, UNOS (2004 – 2010)

Time after transplantation (days)	Time-dependent Cox proportional hazard regression models			
	Unadjusted		Multivariable-adjusted	
	HR (95% CI)	P-value	HR (95% CI)	P-value
30	1.29 (0.83 – 2.01)	0.26	1.23 (0.79 – 1.95)	0.36
30 – 365	1.40 (0.93 – 2.13)	0.11	1.31 (0.85 – 2.01)	0.22
≥ 365	0.39 (0.18 – 0.84)	0.02	0.36 (0.16 – 0.77)	0.01

UNOS Database

Conclusion:

Continuous-flow LVAD pre-transplant placement is associated with improved long term (> 1year) survival after heart transplantation, possibly due to better organ functions before transplant secondary to the LVAD support.

Early Outcomes With Marginal Donor Hearts Compared With Left Ventricular Assist Device Support in Patients With Advanced Heart Failure

Erin M. Schumer, MD,* Mickey S. Ising, MEng,* Jaimin R. Trivedi, MD, MPH, Mark S. Slaughter, MD, and Allen Cheng, MD

Department of Cardiovascular and Thoracic Surgery, University of Louisville, Louisville, Kentucky

Annals of Thoracic Surgery 2015;100:522-7

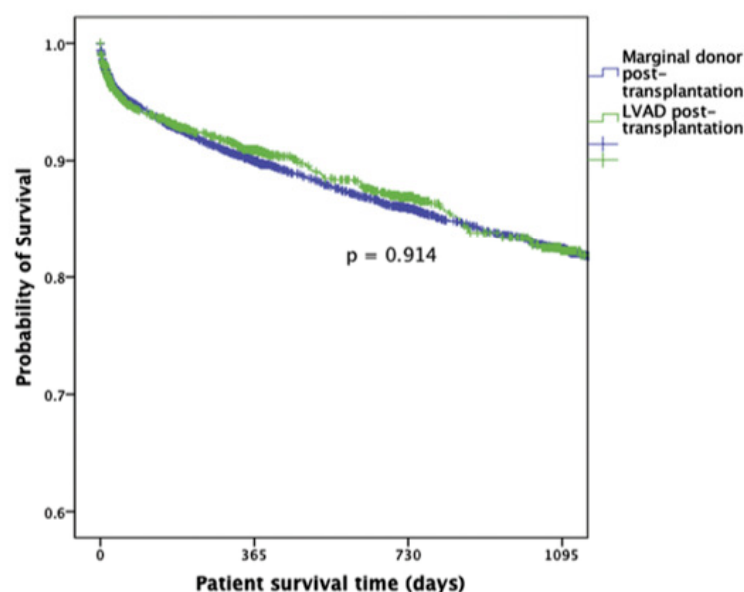


Fig 4. Kaplan-Meier survival analysis comparing all-cause mortality for post-transplantation survival for patients implanted with a left ventricular assist device (LVAD) and recipients of marginal donor hearts.

LVAD post-transplant vs. Marginal Donor

LVAD wait-list and post-transplant survival vs. Transplant with Marginal Heart

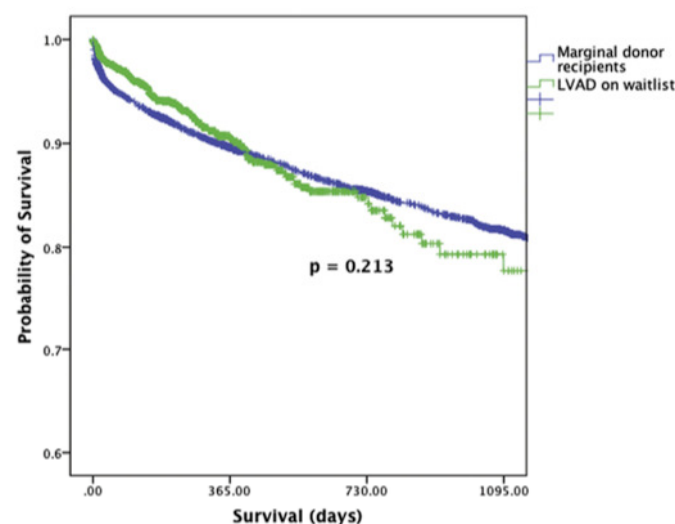


Fig 3. Kaplan-Meier survival analysis comparing all-cause mortality for continuous flow left ventricular assist device (LVAD) pre-transplant recipients and recipients of marginal donor hearts post-transplantation.

LVAD on waitlist vs. Marginal Donor

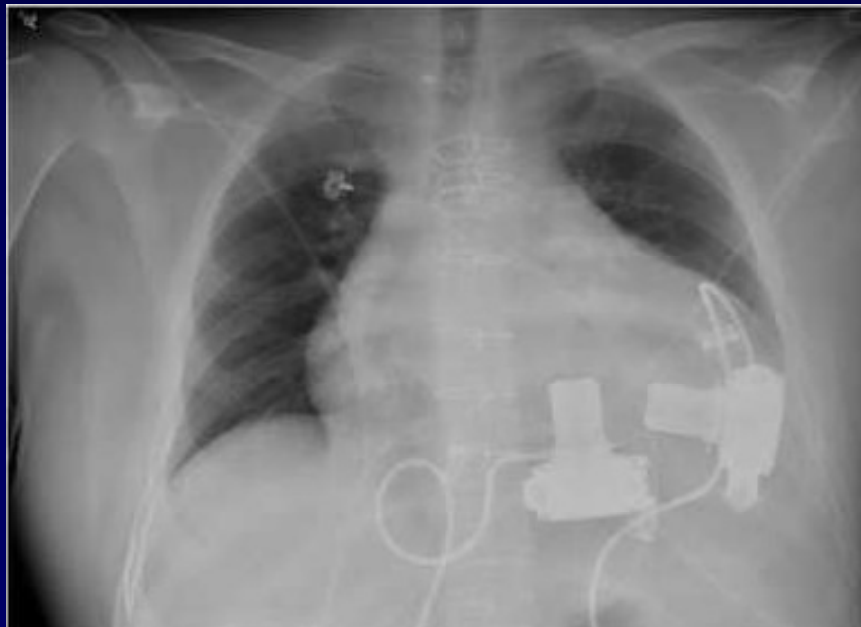
Conclusions. There was no significant difference between waiting list survival of patients with LVAD support as BTT and post-transplant survival of recipients with marginal donor hearts. There could be clinical benefits for using LVAD support as BTT to allow time for better allocation of optimal donor hearts as opposed to transplantation with a marginal donor heart.

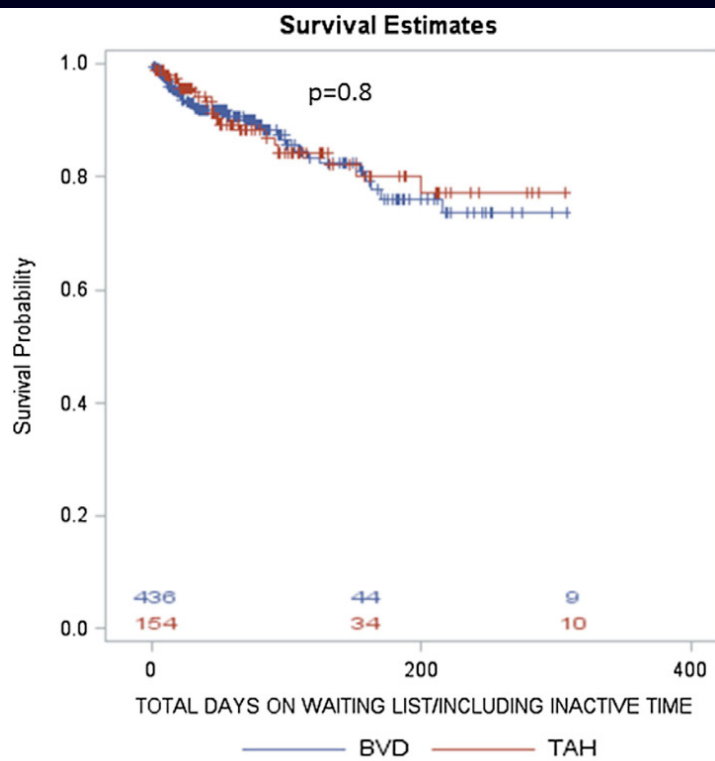
Comparison of total artificial heart and biventricular assist device support as bridge-to-transplantation

Allen Cheng, M.D.* | Jaimin R. Trivedi, M.D., M.P.H. |
Victor H. Van Berkel, M.D., Ph.D. | H. Todd Massey, M.D. | Mark S. Slaughter, M.D.

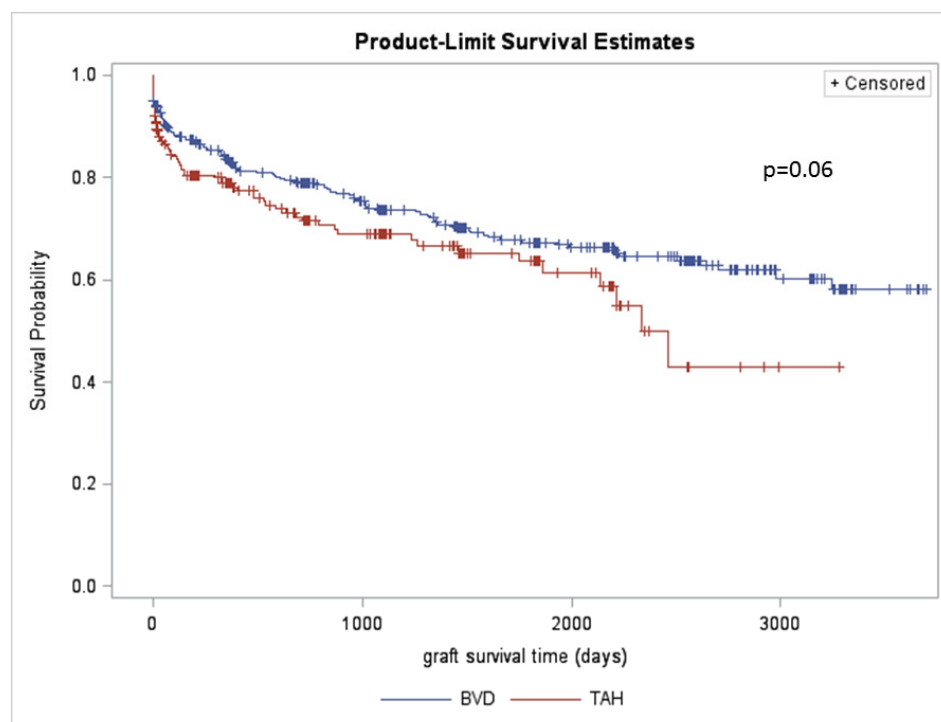
Cheng et al. *J Card Surg.* 2016 Oct;31(10):648-653

Biventricular Heart failure - BiVAD





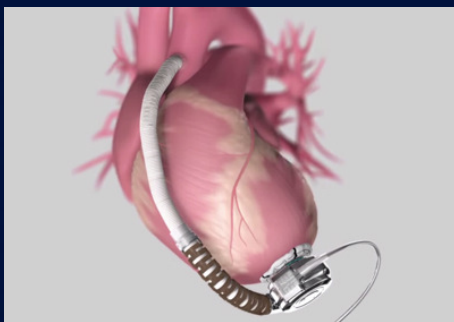
Wait-list Survival



Post-transplant Survival

ENDURANCE Supplemental Trial: HeartWare HVAD for the Treatment of Patients with Advanced Heart Failure Ineligible for Cardiac Transplantation

Carmelo Milano, Joseph Rogers, Antone Tatooles, Geetha Bhat,
Mark Slaughter, Emma Birks, Nahush A Mokadam, Claudius
Mahr, Jeffrey Miller, Valluvan Jeevanandam,
Keith Aaronson, and Francis Pagani

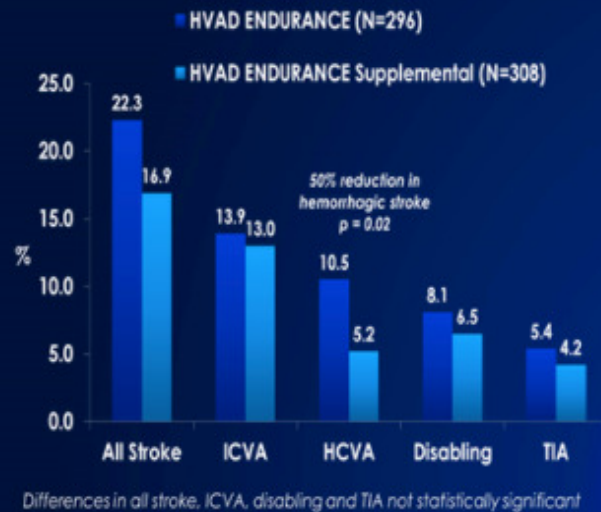


ISHLT 2017 San Diego CA

Endurance Supplemental Trial

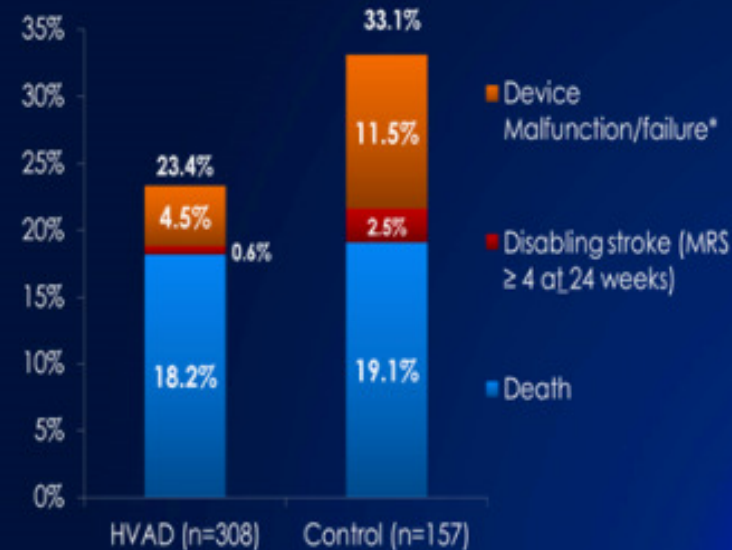
Incidence of all neurologic events at 12 months

HVAD ENDURANCE VS. HVAD ENDURANCE SUPPLEMENTAL



ENDURANCE Supplemental Trial

Death, Disabling Stroke, Device Malfunction/Failure at 12 months



Patients included only in worst outcome

*Leading to explant, exchange, or urgent transplant

16

Conclusion:

HVAD proved to be statistically superior (by an absolute difference of 9.2%) to HMII with respect to freedom from death, disabling stroke, device exchange at 12 months.

LVAD Bridge to Recovery

- Effects of chronic mechanical unloading with LVAD
 - Decrease myocardial cytokines
 - Decreased neurohormonal activation
 - Up-regulation B-receptor density
 - Normalization Ca transport
 - Decrease wall stress & LVEDP
 - Decrease MR & PCWP
 - Decrease LVEDD
 - Reverse remodeling

ORIGINAL ARTICLE

Left Ventricular Assist Device and Drug Therapy for the Reversal of Heart Failure

Emma J. Birks, M.R.C.P., Ph.D., Patrick D. Tansley, F.R.C.S.,
James Hardy, M.B., B.S., B.Sc., Robert S. George, M.R.C.S., B.Sc.,
Christopher T. Bowles, Ph.D., Margaret Burke, F.R.C.Path.,
Nicholas R. Banner, F.R.C.P., Asghar Khaghani, F.R.C.S.,
and Magdi H. Yacoub, F.R.S.

Bridge
To
Recovery

Birks EJ et al. N Engl J Med. 2006 Nov 2;355(18):1873-84.

- 15 HF patients identified and support with LVAD along with a specific drug regimens.
- 11/15 patients experienced myocardial recovery with LVAD explanted; of 9 surviving patients, 89% were free of recurring HF 4 years after LVAD explant
- LVAD plus specific pharmacologic therapy resulted in sustained reversal of HF in select patients

Left Ventricular Assist Device as a Bridge to Recovery for Patients With Advanced Heart Failure



Djordje G. Jakovljevic, PhD,^a Magdi H. Yacoub, MD, PhD,^b Stephan Schueler, MD, PhD,^c Guy A. MacGowan, MD,^c Lazar Velicki, MD, PhD,^d Petar M. Seferovic, MD, PhD,^e Sandeep Hothi, PhD,^f Bing-Hsien Tzeng, MD, PhD,^g David A. Brodie, DSc,^h Emma Birks, MD, PhD,ⁱ Lip-Bun Tan, DPhil^j

ABSTRACT

BACKGROUND Left ventricular assist devices (LVADs) have been used as an effective therapeutic option in patients with advanced heart failure, either as a bridge to transplantation, as destination therapy, or in some patients, as a bridge to recovery.

OBJECTIVES This study evaluated whether patients undergoing an LVAD bridge-to-recovery protocol can achieve cardiac and physical functional capacities equivalent to those of healthy controls.

METHODS Fifty-eight male patients—18 implanted with a continuous-flow LVAD, 16 patients with LVAD explanted (recovered patients), and 24 heart transplant candidates (HTx)—and 97 healthy controls performed a maximal graded cardiopulmonary exercise test with continuous measurements of respiratory gas exchange and noninvasive (rebreathing) hemodynamic data. Cardiac function was represented by peak exercise cardiac power output (mean arterial blood pressure $\times$ cardiac output) and functional capacity by peak exercise O_2 consumption.

RESULTS All patients demonstrated a significant exertional effort as demonstrated with the mean peak exercise respiratory exchange ratio >1.10 . Peak exercise cardiac power output was significantly higher in healthy controls and explanted LVAD patients compared with other patients (healthy 5.35 ± 0.95 W; explanted 3.45 ± 0.72 W; LVAD implanted 2.37 ± 0.68 W; and HTx 1.31 ± 0.31 W; $p < 0.05$), as was peak O_2 consumption (healthy 36.4 ± 10.3 ml/kg/min; explanted 29.8 ± 5.9 ml/kg/min; implanted 20.5 ± 4.3 ml/kg/min; and HTx 12.0 ± 2.2 ml/kg/min; $p < 0.05$). In the LVAD explanted group, 38% of the patients achieved peak cardiac power output and 69% achieved peak O_2 consumption within the ranges of healthy controls.

CONCLUSIONS The authors have shown that a substantial number of patients who recovered sufficiently to allow explantation of their LVAD can even achieve cardiac and physical functional capacities nearly equivalent to those of healthy controls. (J Am Coll Cardiol 2017;69:1924–33) © 2017 The Authors. Published by Elsevier on behalf of the American College of Cardiology Foundation. This is an open access article under the CC BY-NC-ND license (<http://creativecommons.org/licenses/by-nc-nd/4.0/>).

JACC 2017;69:1924-33

Conclusion:

With specific bridge-to-recovery protocol, recovered patients with LVAD explanted can achieve the same cardiac and physical function capacities when compared to the healthy subjects.

RESTAGE-HF

REmission from STAGE D Heart Failure



Sponsored by Thoratec

Remission From Stage D Heart Failure (RESTAGE-HF) Trial: A Prospective Multi-Center Study of Myocardial Recovery

Design: prospective, multi-center (40 subjects from 6 sites), observational study

Primary Endpoint: % of subjects (hypothesis > 10%), treated with a standardized LVAD and a pharmacologic recovery protocol, is free from MCS or heart transplant at one year post-LVAD explant when achieving the following minimum explanting criteria within 18 months post-implant:

1. LVEDD < 60mm, LVESD < 50 mm, LVEF > 45%
2. LVEDP or PCWP $\leq$ 15 mmHg
3. Resting cardiac index (CI) > 2.4L/min/m²
4. $\pm$ Maximal oxygen consumption with exercise (mVO₂) > 16 ml/kg/min

Site	Investigator	Study Coordinator
University of Louisville	Emma Birks, Allen Cheng, Mark Slaughter	Terry Blanton
University of Utah	Stavros Drakos, Josef Stehlik, Craig Selzman	Ashley McCormick, Jennifer Strege
Cleveland Clinic Foundation	Randall Starling	Barbara Gus
University of Penn	Eddie Rame	Judy Marble
Montefiore Medical Center	Snehal Patel	Johanna Oviedo
University of Nebraska	Brian Lowes, John Um	Stacy Fickbohm, Tamara Bernard

Louisville Recovery Protocol

Standardized LVAD unloading and drug therapy protocols attempting to increase the “remission” rate and to enhance LV remodeling

- Aggressive attempt to **optimize pump speed** in all LVAD patients for maximal LV unloading starting earlier on.
- **Close and routine followup with Echo**. Echo obtained at 6 weeks, 4 months, 6 months and 1 year.
- **Low speed echo** (HMII 6000rpm, Heartware 1800rpm) for 5 mins and 5 mins to evaluate underlying cardiac function.
- Combined with **standardized regime of optimal targeted drug therapy** to enhance reverse remodeling and to reduce fibrosis
- Pt with improve LV function, **LVAD explantation** will be perform.

Drug Name	Maximum Dose	Frequency
<u>Lisinopril</u> (ACE)	40mg	daily
<u>Carvedilol</u> (BB)	25mg	three times daily
Spirolactone (ARB)	25mg	daily
Digoxin	125 micrograms	daily
Losartan (Angiotensin)	150mg	daily

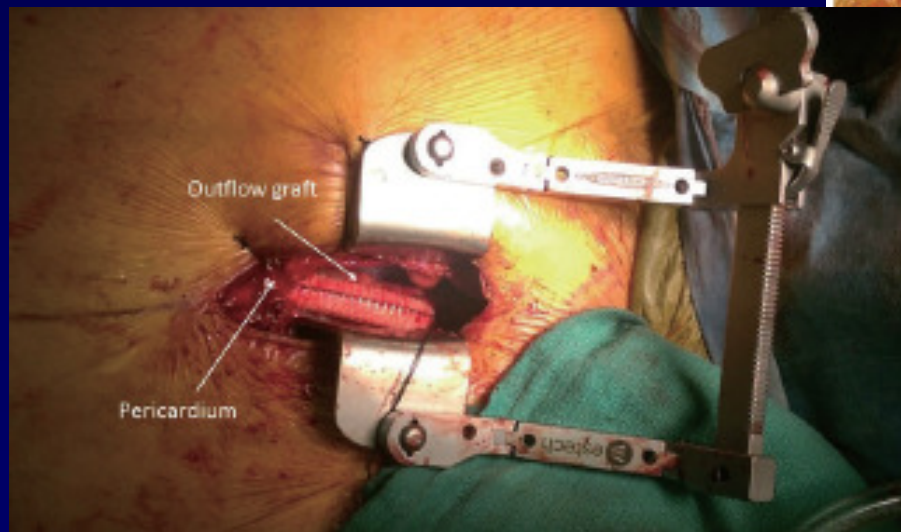
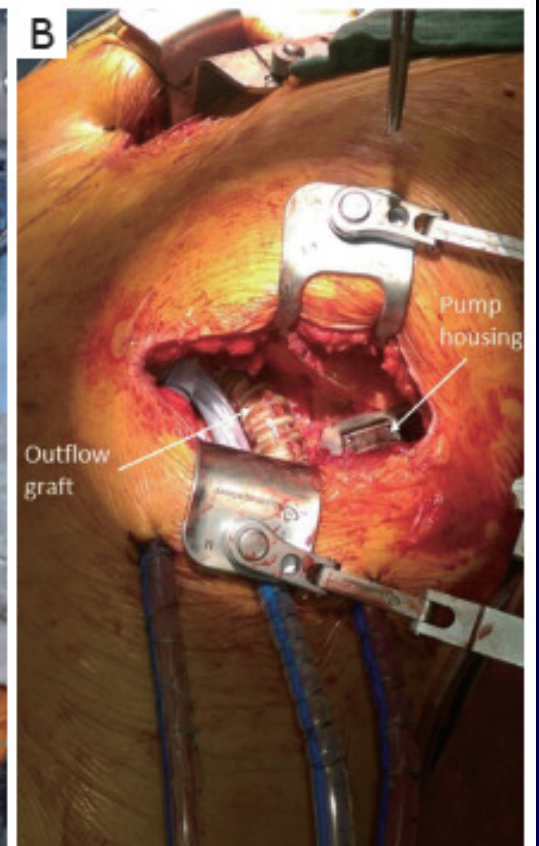
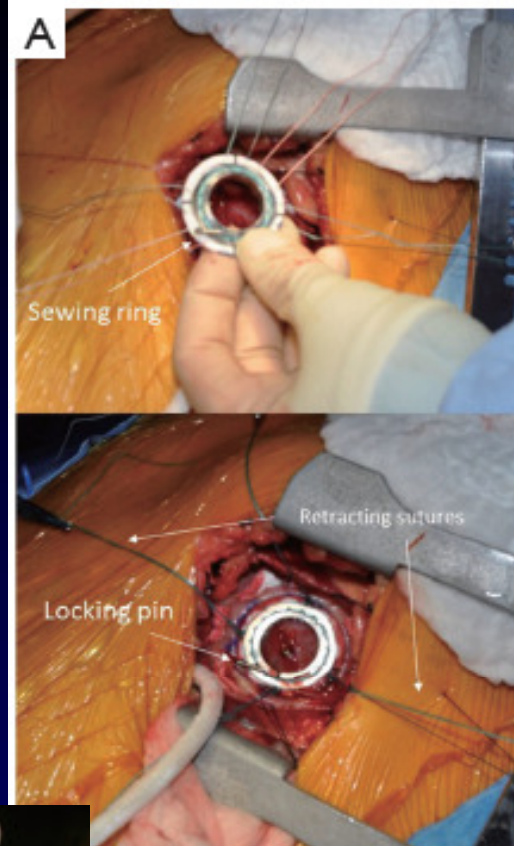
Original Article on Cardiac Surgery

Minimally invasive left ventricular assist device placement

Allen Cheng

Department of Cardiovascular and Thoracic Surgery, University of Louisville, Louisville, USA

Correspondence to: Allen Cheng, MD. Department of Cardiovascular and Thoracic Surgery, University of Louisville, 201 Abraham Flexner Way, Suite 1200, Louisville, KY 40202, USA. Email: allenchengcs@gmail.com.

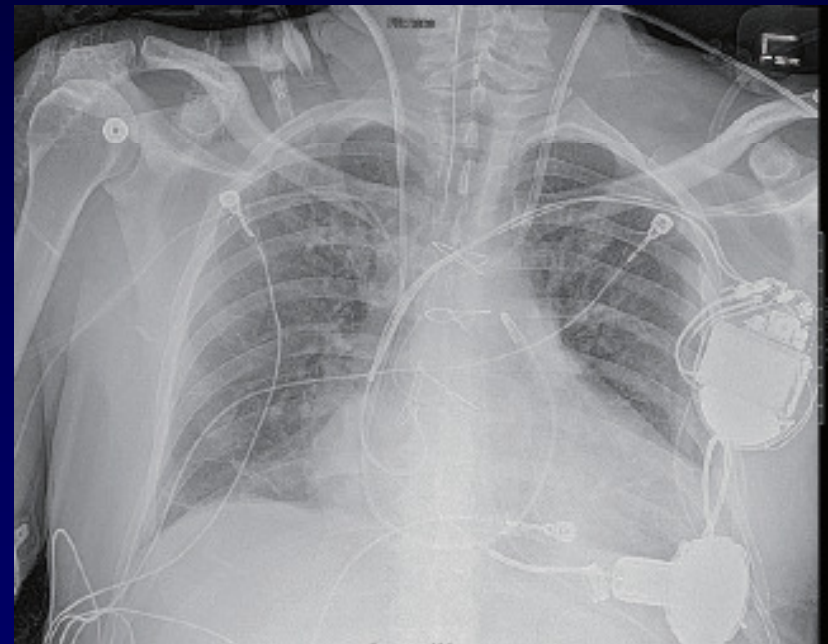


Minimally invasive left ventricular assist device placement

Allen Cheng

Department of Cardiovascular and Thoracic Surgery, University of Louisville, Louisville, USA

Correspondence to: Allen Cheng, MD. Department of Cardiovascular and Thoracic Surgery, University of Louisville, 201 Abraham Flexner Way, Suite 1200, Louisville, KY 40202, USA. Email: allenchengcs@gmail.com.



With the smaller incision, the bleeding and wound infection risk is lower

A Prospective, Controlled, Un-blinded, Multi-Center Clinical Trial to Evaluate the Thoracotomy Implant Technique of the HeartWare HVAD® System in Patients with Advanced Heart Failure: Results of the LATERAL Trial

M.R. Danter, E.C. McGee, M. Strueber,
Simon Maltais, N.A. Mokadam, G.M. Wieselthaler,
K. Leadley, S.W. Boyce, and A. Cheung

ISHLT 37th Annual Meeting and Scientific Sessions
April 5-8th 2017, San Diego, CA



HVAD LATERAL Thoracotomy Trial
Prospective, single-arm, multi-center study
N= 145 patients
30 sites



Kaplan Meier Survival



Key Adverse Events at 30 Days

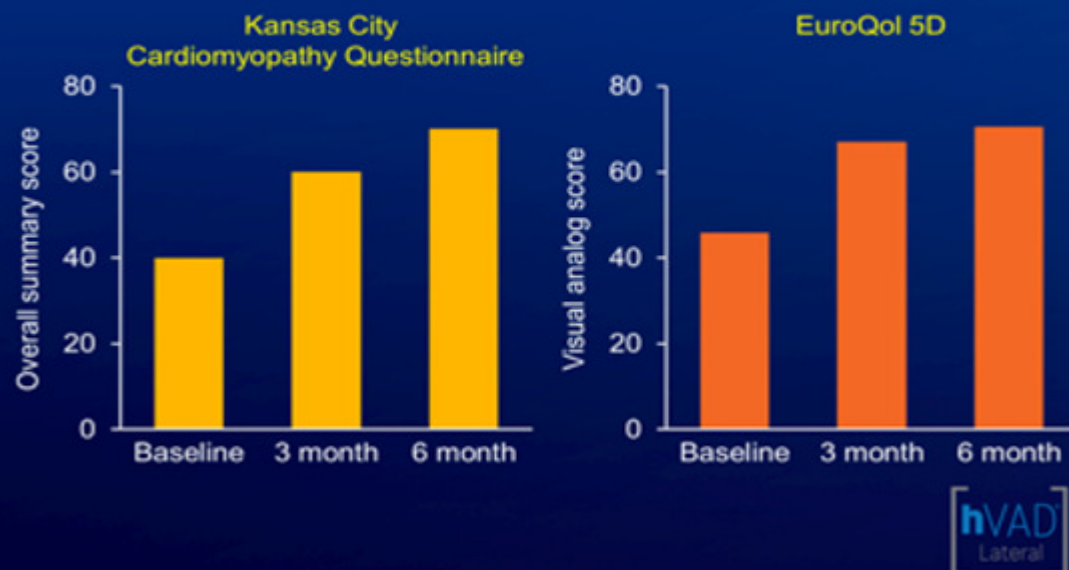
	Lateral (n=145)	hVAD BTT+CAP (n=382)
Bleeding:		
Requiring re-operation*	3.4%*	11.5%
Requiring transfusion	9.0%	13.9%
Gastrointestinal	4.1%	3.9%
Device malfunction/failure	6.2%	5.2%
Driveline infection	1.4%	2.6%
Line Sepsis	0.0%	(3.9%)
Myocardial Infarction	0.0%	0.3%
Stroke		
HCVA	2.1%	2.9%
ICVA	2.1%	1.8%
TIA	0.7%	1.6%
Right Heart Failure	22.1%	23.3%
Requiring RVAD	0.7%	2.6%
Cardiac Arrhythmia	22.1%	23.3%
Ventricular Arrhythmia	13.8%	9.2%

BTT+CAP data is historical, not a study control. The data are presented for perspective only.

* Statistically significant reduction, $P < 0.05$.

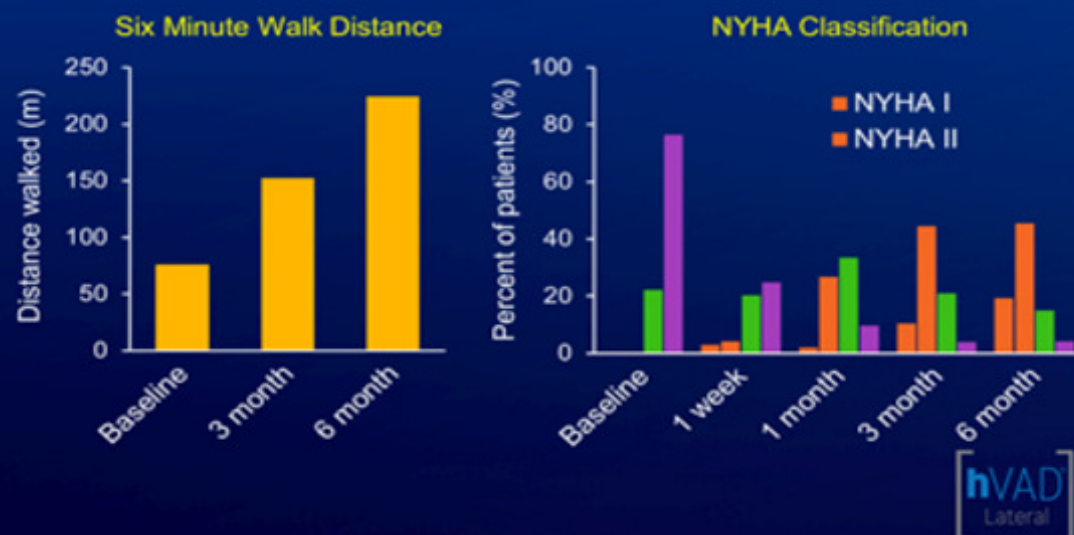


Quality of Life Improvements



Sustained
Improvement in
Quality of Life And
Functional Capacity

Functional Capacity Improvements



What's coming?

What are the upcoming new
LVAD devices?

What is the next best
thing.....



HeartMate III

*Designed to be Hematologically-Compatible
Leverages Fully Magnetically Levitated Technology*

Features



- Approved in Europe. Latest Trial is completed in the US.
- Expected US approval end of 2017
- Fully Magnetically Levitated Centrifugal-flow pump.
 - Large pump gaps designed to reduce blood trauma
 - Artificial pulse
- Textured blood contacting surfaces
- Wide range of operation
 - Full support (2 – 10 L/min)
- Advanced Design for Surgical Ease
 - Engineered apical attachment
 - Modular Driveline
- Designed for an Active Lifestyle
 - Pocket Controller

*In development. Not approved for clinical use.

ORIGINAL ARTICLE

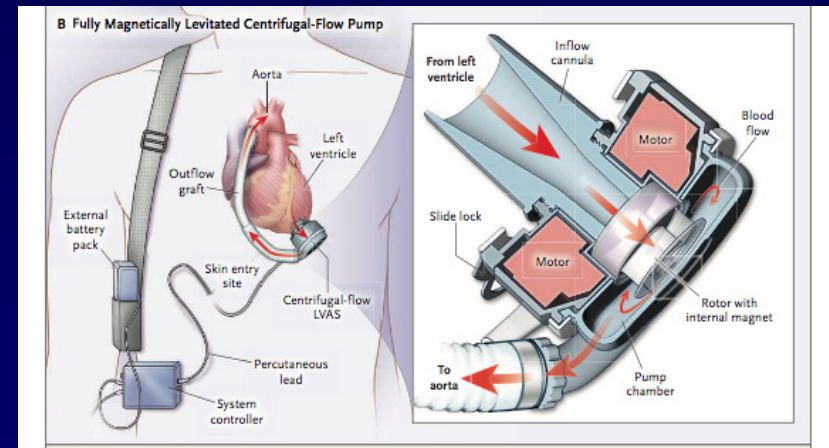
A Fully Magnetically Levitated Circulatory Pump for Advanced Heart Failure

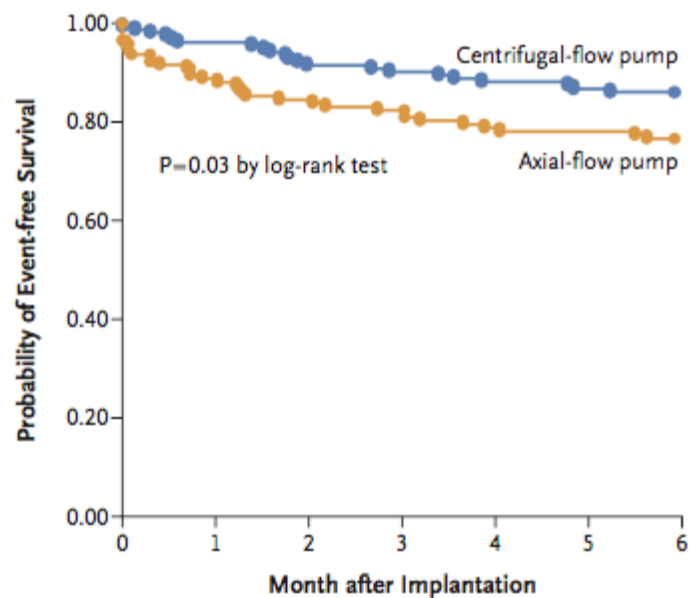
Mandeep R. Mehra, M.D., Yoshifumi Naka, M.D., Nir Uriel, M.D., Daniel J. Goldstein, M.D., Joseph C. Cleveland, Jr., M.D., Paolo C. Colombo, M.D., Mary N. Walsh, M.D., Carmelo A. Milano, M.D., Chetan B. Patel, M.D., Ulrich P. Jorde, M.D., Francis D. Pagani, M.D., Keith D. Aaronson, M.D., David A. Dean, M.D., Kelly McCants, M.D., Akinobu Itoh, M.D., Gregory A. Ewald, M.D., Douglas Horstmanshof, M.D., James W. Long, M.D., and Christopher Salerno, M.D., for the MOMENTUM 3 Investigators*

N Eng J Med 2017;376:440.50

Multi-center Randomized Trial
1:1 HM3 vs HM2
294 patients

HeartMate III





No. at Risk

Centrifugal-flow pump	152	146	138	135	130	128	127
Axial-flow pump	142	125	119	116	110		

N Eng J Med 2017;376:440.50

Table 3. Major Adverse Events in the Per-Protocol Population.*

Event	Centrifugal-Flow Pump Group (N=151)		Axial-Flow Pump Group (N=138)		Relative Risk (95% CI)	P Value
	no. of patients with events (%)	no. of events	no. of patients with events (%)	no. of events		
Suspected or confirmed pump thrombosis	0	0	14 (10.1)	18	NA	<0.001
Stroke						
Any stroke	12 (7.9)	12	15 (10.9)	17	0.73 (0.35–1.51)	0.39
Hemorrhagic stroke	4 (2.6)	4	8 (5.8)	8	0.46 (0.14–1.48)	0.18
Ischemic stroke	8 (5.3)	8	9 (6.5)	9	0.81 (0.32–2.05)	0.66
Disabling stroke	9 (6.0)	9	5 (3.6)	5	1.65 (0.57–4.79)	0.36
Other neurologic event†	9 (6.0)	9	8 (5.8)	8	1.03 (0.41–2.59)	0.95
Bleeding						
Any bleeding	50 (33.1)	100	54 (39.1)	98	0.85 (0.62–1.15)	0.29
Bleeding requiring surgery	15 (9.9)	15	19 (13.8)	21	0.72 (0.38–1.36)	0.31
Gastrointestinal bleeding	24 (15.9)	47	21 (15.2)	36	1.04 (0.61–1.79)	0.87
Sepsis	14 (9.3)	19	9 (6.5)	10	1.42 (0.64–3.18)	0.39
LVAS drive-line infection	18 (11.9)	21	9 (6.5)	11	1.83 (0.85–3.93)	0.12
Local infection not associated with LVAS	46 (30.5)	57	36 (26.1)	58	1.17 (0.81–1.69)	0.41
Right heart failure						

HeartMate X

Ultra-Compact, Highly Versatile VAD = New patient populations



Features

- Utilizes proven HeartMate II bearing technology
- Partial to Full support (8 L/min) in ultra-compact size
- Highly energy efficient
- Miniaturized patient peripherals
- Left and/or right side assistance

Expected Benefits

- Potential to meet the needs of earlier stage patients
- Potential for minimally invasive implantation
- Potential for multiple configurations (LVAD, RVAD, BiVAD)
- Very small, full support pump, can be use in various configuration, including as a RVAD and BiVAD, and will allow minimally invasive approaches.

*In development. Not approved for clinical use.

Heartware HVAD® and MVAD® Pump: Side-by-Side Comparison



- Significantly smaller in size (Half the size of HVAD)
- Able to provide Full support
- Trial started in Europe – (On hold) Increase in thromboembolic events, but sources found per Medtronic
- Trial in US soon

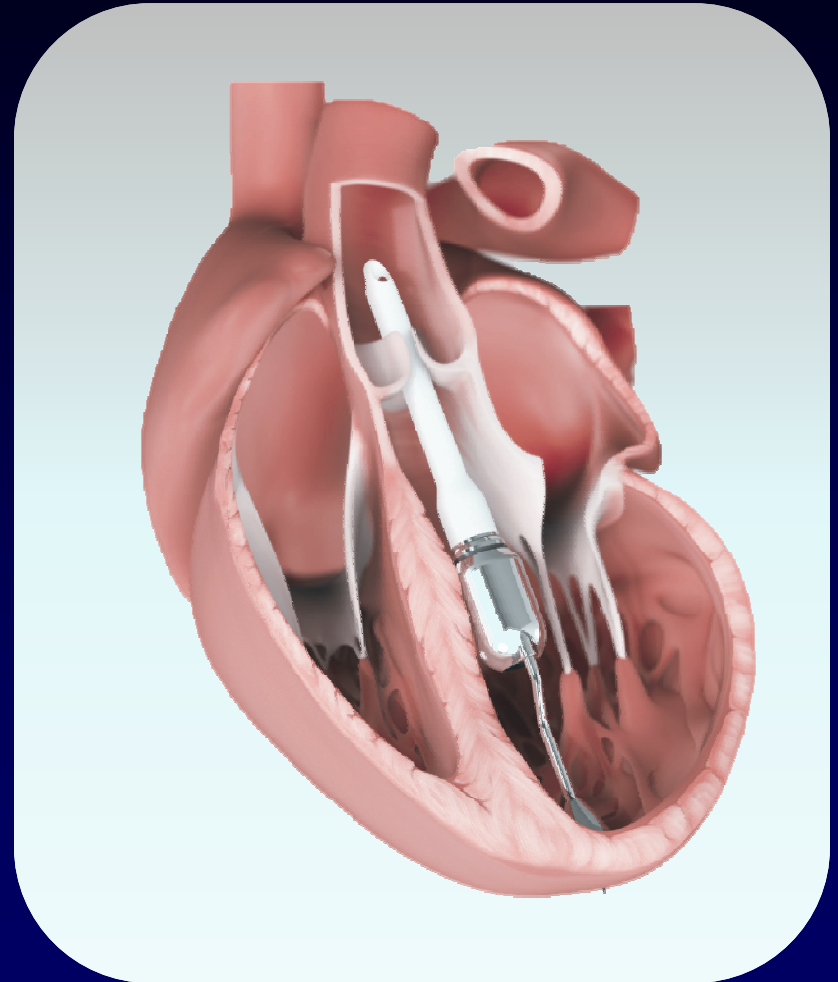
Parameter	HVAD	MVAD
Pump Type	Centrifugal	Axial
Weight	160 g	58 g
Pericardial Volume	50 cc	15 cc
Priming Volume	15 cc	5 cc
Inflow OD	Same	Same



CAUTION – Investigational Device. Limited by United States law to investigational use.
Exclusively for Clinical Investigations.

Transapical Cardiac Assist (Longhorn)

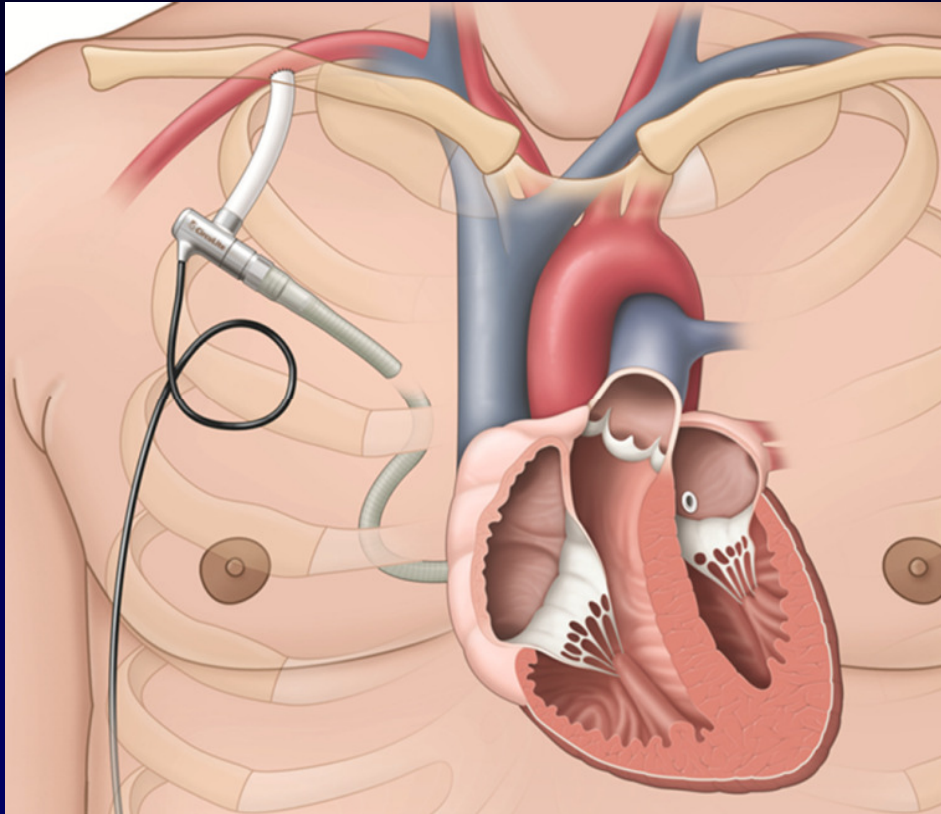
- Design based on MVAD Pump platform
- Transapical placement, pump cannula sits across aortic valve
- Axial design, continuous flow
- In vitro studies demonstrate no intraventricular or aortic valve thrombus
- Aortic valve seals around cannula with minimal to no regurgitation
- A variation of MVAD. Implant through the LV apex, pump rest in the left ventricle.
- No outflow anastomosis needed.
- Small Thoracotomy and off-pump.



CAUTION — Investigational Device. Limited by United States law to investigational use.
Exclusively for Clinical Investigations.

CircuLite Surgical System

Partial support pump



Pump in **subcutaneous pacemaker-like pocket**

Inflow cannula done via **small right sided mini-thoracotomy** into the PV

ideal for **less sick pts.**

- Reduce Left Atrial and ventricular pressure - offloading
- Reverse end-organ dysfunction, BTT.
- Off-pump procedure
- Extubation in OR possible

CAUTION – Investigational Device. Limited by United States law to investigational use.
Exclusively for Clinical Investigations.

Energy Source

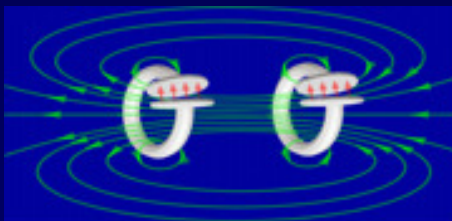
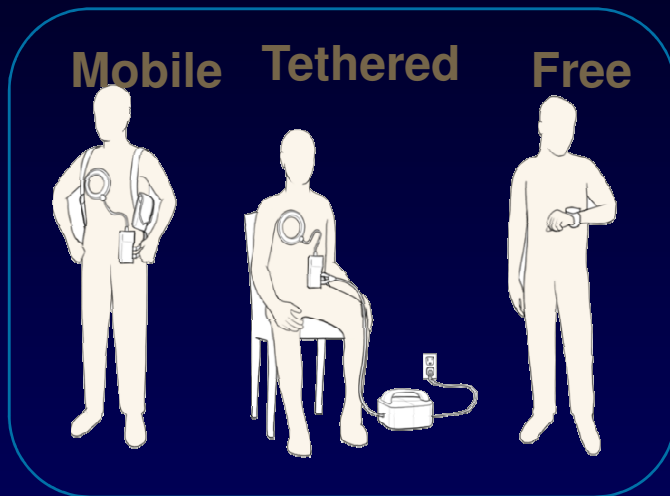
Current:

- Lithium ion batteries
- External driveline
- System controller
- “tethered” at all times
- Affect quality of life and not ideal

Fully Implantable System*

Breakthrough technology to advance mechanical circulatory support.

HeartMate



Forging Energy Transfer

- High-efficiency, user-friendly **wireless energy transfer across a distance.**
- Eliminates the driveline and “around the clock” worn equipment.

HeartWare



- Partnership with Dualis MedTech GmbH, a spin-off of the German Aerospace Centre (DLR)
- Competency in coil designs, biocompatible materials, and RF telemetry systems complement internal development effort
- Configuring technology for HVAD/MVAD

Pt happier without external battery, system controller, risk of driveline infection, fracture driveline, frequent visit to hospital.

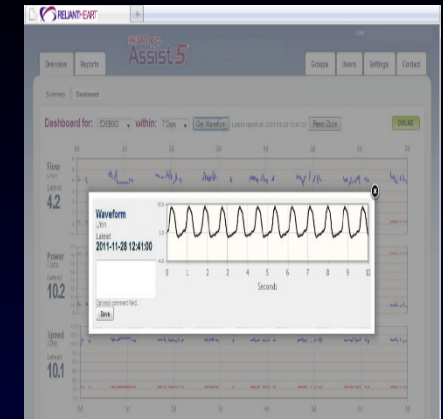
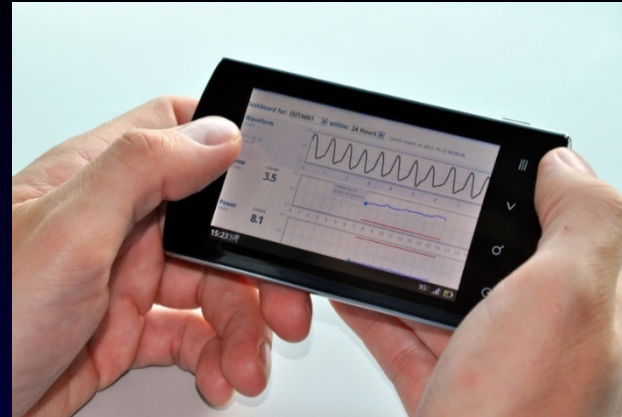
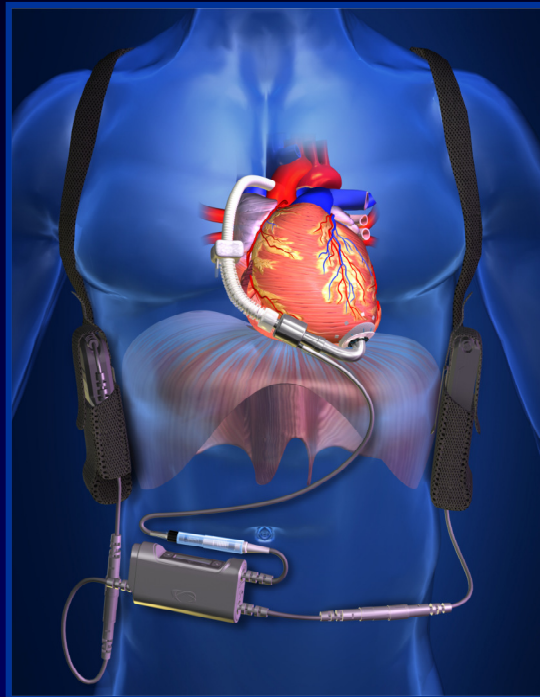
- **WREL (Wireless Resonant Energy Link)**



Other option. A home system. Energy transmit at home. At least untether at home. Do daily activity freely at home.

HEART Assist 5

VAD System

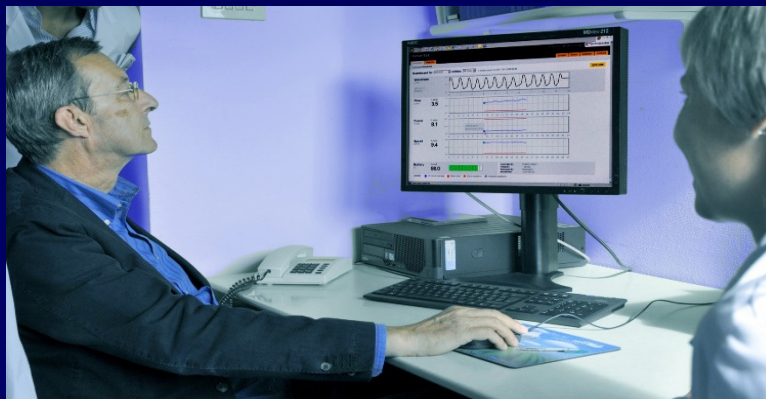


Often time, we have to get data from pts.

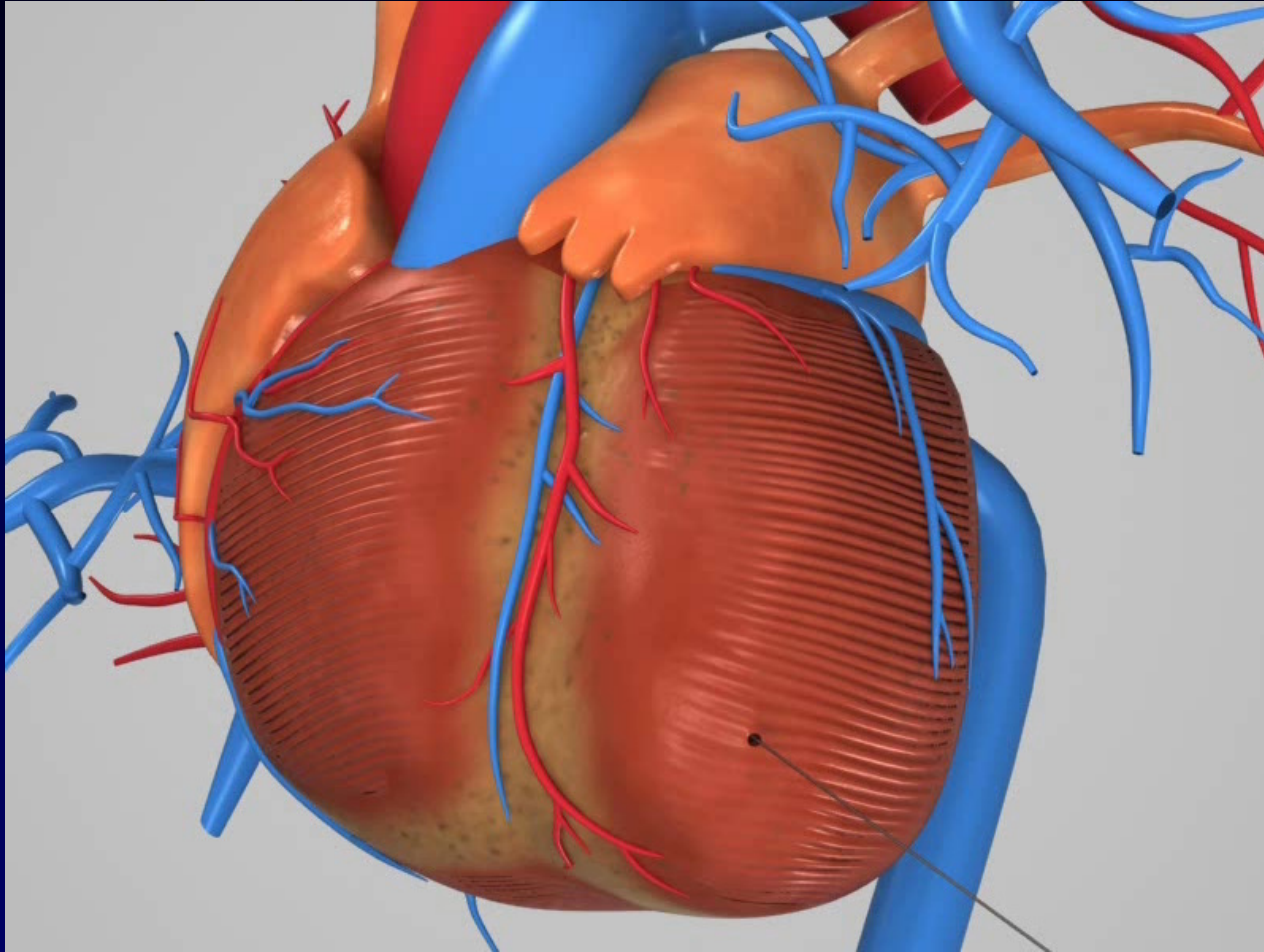
Hospital visit

Ability to transmit information remotely to nurse coordination or physician

Allow long distant monitoring, identify trends and make intervention without having pt travel back to the hospital and before major problem happens.



LV Apex Access:



A single tool. LV apex and ventricle access. Sealed valve. No suture needed. Skin to skin in less than 30 mins.

Video 11: Ventricular Septal Defect and

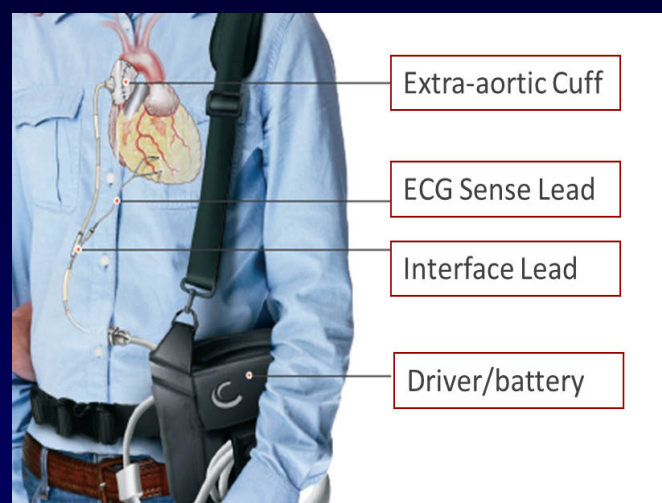
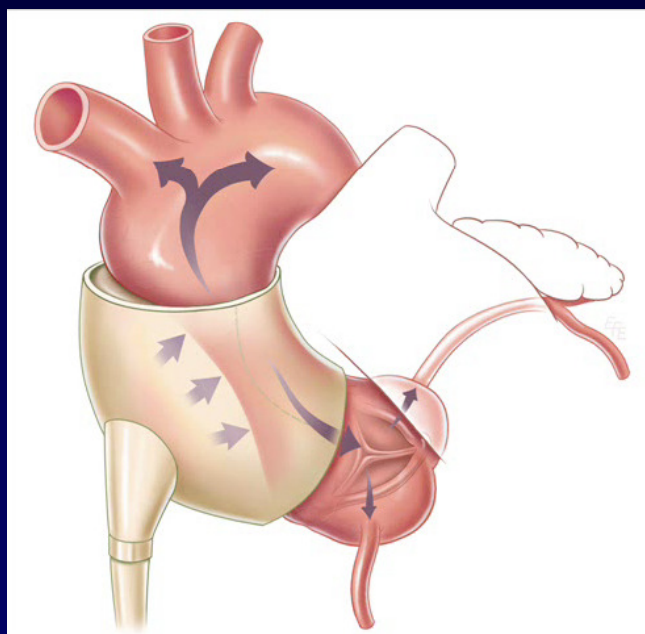


Real time. Screw in. Core ventricle. Complete hemostasis. Off-pump. No need to fibrillate heart or give adenosine. Pump is in in less than 10 mins.

Extended Extra-Aortic Counterpulsation With the C-Pulse Device Does Not Alter Aortic Wall Structure

ALLEN CHENG, GRETEL MONREAL, MATTHEW L. WILLIAM, MICHAEL SOBIESKI II, AND MARK S. SLAUGHTER

C-PULSE Sunshine Heart



Implantable, Extraaortic, nonblood contact, counter-pulsation device. Works like an IABP with the ECG sensing lead. Pt can go home with it. Can be place minimally invasively and off-pump.

On-Off ability to disconnect (patient comfort and convenience)

- earlier hospital discharge (4-5 days)
- Extra-vascular: no need for anticoagulation

Conclusions

Heart failure remains to be a global problem with increasing number of patients every year.

The shortage of donor organ has and will limit the number of heart transplantations worldwide.

Conclusions

The current ventricular assist device outcomes are good and are continuing to improve.

The use of ventricular assist device is increasing at a rapid rate in the US and Europe due to its demand, excellent outcomes and advancement in technology.

Conclusions

Emerging new technology is smaller and lighter (potentially better performance)

Implantation technique is improving with “minimally invasive” approaches and off-pump implantation.

External components are smaller and wireless energy transfer is in development (improve patient quality of life and reduce adverse event)

Conclusions

Current and future developments of LVADs will continue to improve survival, reduce adverse events and improve overall patient quality of life.



Thank you

