

## Research Article

# Characterization of Spectra of Posinormal Operators

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### Abstract

Let  $H$  be a complex Hilbert space equipped with the inner product and let  $B(H)$  be the algebra of bounded linear operators acting on  $H$ . In this paper we have investigated the spectrum of an operator acting on a complex Hilbert space. In particular, we characterized the spectrum of a posinormal operator on an infinite dimensional complex Hilbert space. We also considered the point spectrum, the approximate point spectrum of a posinormal operator  $A$  and doubly commuting  $n$ -tuples of posinormal operators acting on a complex Hilbert space  $H$ . We have shown that Xia's property holds for a posinormal operator  $A$ . Finally, we have proved that doubly commuting  $n$ -tuples of posinormal operators are jointly normaloid.

**Keywords:** Spectrum; Posinormal operator; Hilbert Space; Spectral radius.

### Introduction

Let  $H$  be a complex Hilbert space equipped with the inner product  $\langle \cdot, \cdot \rangle$ ; and let  $B(H)$  be the algebra of bounded linear operators acting on  $H$ . The Spectrum of a bounded linear operator  $A$  on a complex Hilbert space  $H$  is the set  $\sigma(A) = \{\lambda: A - \lambda I \text{ is not invertible}\}$  [1-3]. The spectral radius of  $A \in B(H)$  (denoted by  $r(A)$ ) is given by  $r(A) = \sup\{|\lambda|: \lambda \in \sigma(A)\}$  [4,5]. Spectral theory of linear operators on a Hilbert space was founded by Hilbert. In [6] they introduced the concept of a posinormal operator and gave a definition of it. He characterized an operator  $A \in B(H)$ , which is both positive ( $\langle Ax, x \rangle \geq 0$ ) and normal ( $AA^* = A^*A$ ). If  $A \in B(H)$ , is to be normal and positive, there must exist an interrupter  $P \in B(H)$ , such that ( $AA^* = A^*PA$ ), moreover  $A$  must be self adjoint. This result defines posinormality and their numerical solutions in cases where they are operator equations [7].

In the present study we shall concentrate in characterizing the spectrum of a posinormal operator particularly the point spectrum and the approximate point spectrum of posinormal operators and commuting  $n$ -tuples of posinormal operators acting on a complex Hilbert space  $H$ .

The general problem being considered is, given a posinormal operator  $A \in B(H)$  what can be said about its spectrum  $\sigma(A)$ ?

### Preliminaries

In this section we give some of the basic properties of the spectrum of operators which are to be used in later discussions.

Theorem 2.1: ([10], Theorem 3.2). Let  $H$  be a Hilbert space. Then there exists a Hilbert space  $\mathfrak{K} \supset H$  and a map  $\tau: B(H) \rightarrow B(\mathfrak{K})$  such that

(1)  $\tau$  is an isometric algebraic  $*$ -isomorphism preserving the order; i.e.,

$$\tau(A^*) = \tau(A)^*, \tau(\alpha A + \beta B) = \alpha \tau(A) + \beta \tau(B),$$

$$\tau(AB) = \tau(A)\tau(B), \|\tau(A)\| = \|A\| \text{ and}$$

$\tau(A) \leq \tau(B)$  whenever  $A \leq B$ , for all  $A, B \in B(H)$  and  $\alpha, \beta \in \mathbb{C}$ ;

(2)  $\sigma(\tau(A)) = \sigma(A)$  and  $\sigma_\pi(A) = \sigma_\pi(\tau(A))$  for all  $A \in B(H)$ , where  $\sigma(A)$ ,  $\sigma_p(A)$  and  $\sigma_\pi(A)$  are the spectrum, the point spectrum and the approximate point spectrum of  $A$ , respectively.

Theorem 2.2: ([1], Theorem 2.1). For  $A \in B(H)$  the following statements are equivalent:

- (1)  $A$  is posinormal
- (2)  $\text{Ran } A \subseteq \text{Ran } A^*$
- (3)  $AA^* \leq \lambda^2 A^*A$  for some  $\lambda \geq 0$ ; and

(4) There exists a  $B \in B(H)$  such that  $A = A^*B$ . Moreover if (1), (2), (3) and (4) hold, there is a unique operator  $B$  such that:

(a)  $\|B\|^2 = \inf\{\mu: AA^* \leq \mu A^*A\};$

(b)  $KerA = KerB$  and

(c)  $Ran B \subseteq (Ran A)^-$

Theorem 2.3: ([1], Theorem 3.1). Every invertible operator is posinormal.

Theorem 2.4: (6, Theorem 2). An operator  $T$  is posinormal if, and only if, there exists  $\lambda > 0$  such that

$$|A(A|x, y)| \leq \lambda \| |A|x \| \| |A|y \| \text{ for all } x, y \in H.$$

Theorem 2.5: ([11], Theorem 1.5). Let  $A \in U|A|$  be a semi-hyponormal operator on  $H$ . If  $Ax = r \cdot e^{i\theta}x$  for a non-zero vector  $x \in H$ , then;  $|A|x = rx$ ,  $Ux = e^{i\theta}x$  and  $A^*x = r \cdot e^{-i\theta}x$ .

Lemma 2.6: ([15], Theorem 4.2). Let  $A = UP \in B(H)$ ,  $U$  be unitary,  $P \geq 0$  and  $A^*A = P^2$ . Let  $r > 0$ ,  $|e^{i\theta}| = 1$ . Then  $r \cdot e^{i\theta} \in \sigma_{\pi}(A)$  if and only if there exists a sequence  $\{x_k\}$  of unit vectors in  $H$  such that

$$\lim_{k \rightarrow \infty} \|(P - r)x_k\| = 0 \quad \text{and} \quad \lim_{k \rightarrow \infty} \|(U - e^{i\theta})x_k\| = 0.$$

Theorem 2.7: ([12], Theorem 1.2). Let  $\mathbb{A} = (A_1, \dots, A_n)$  be an n-tuple of operators on  $H$ . Let  $\tau$  be the mapping  $\tau: B(H) \rightarrow B(\mathfrak{K})$  such that  $\mathfrak{K}$  and  $H$  are Hilbert spaces and  $\mathfrak{K} \supset H$ . Then

$$\sigma_{\pi}(\mathbb{A}) = \sigma_{\pi}(\tau(\mathbb{A})) = \sigma_p(\tau(\mathbb{A})),$$

where  $\tau(\mathbb{A}) = (\tau(A_1), \dots, \tau(A_n))$ .

**Methodology**

The methodology involved the use of known inequalities and techniques like the Cauchy-Schwarz inequality and the polarization identity [5]. To characterize the spectrum of posinormal operators we employed the technical approach of tensor products [12].

**Results and discussion**

Lemma 4.1: Let  $A$  be a posinormal operator. If  $z \in \sigma_p(A)$  for  $0 < p < \frac{1}{2}$  then  $\bar{z} = \sigma_p(A^*)$

*Proof.* Suppose  $0 \in \sigma_p(A)$ .

Then there exists a non-zero vector  $x \in H$  such that  $Ax = 0$ .

Since  $|A|^2x = A^*Ax = 0$  and  $|A| \geq 0$ ,

we have  $(A^*A)^{\frac{1}{2}k}x = 0$  ( $k = 1, 2, \dots$ ).

For  $m \in \mathbb{N}$  such that  $\frac{1}{m} < p$ ,

we have  $(A^*A)^{\frac{1}{2}m}x = 0$ .

It follows that  $(A^*A)^{\frac{1}{2}p}x = 0$ . Clearly  $(AA^*)^p x = 0$  since  $A$  is posinormal. Therefore  $A^*x = 0$ . Next assume that  $z \in \sigma_p(A)$  for non-zero  $z \in \mathbb{C}$ . Then there exists a non-zero vector  $y \in H$  such that  $Ay = zy$ . Let  $A = U|A|$  be a polar decomposition of  $A$  with unitary operator  $U$ . Since  $U|A|y = zy$ , it follows that  $|A|^{\frac{1}{2}}U|A|^{\frac{1}{2}}|A|^{\frac{1}{2}}y = z|A|^{\frac{1}{2}}y$ .

We know that  $\tilde{A} = |A|^{\frac{1}{2}}U|A|^{\frac{1}{2}}$ .

Hence we have

$$\tilde{A}^* = |A|^{\frac{1}{2}}U^*|A|^{\frac{1}{2}}y = \bar{z} \cdot |A|^{\frac{1}{2}}y.$$

Thus  $A^*(|A|y) = \bar{z} \cdot |A|y$ . Since  $|A|y \neq 0$ , we have  $\bar{z} = \sigma_p(A^*)$

Theorem 4.2: Let  $A \in B(H)$  be a posinormal operator. Then  $\sigma(A) = \{z: \bar{z} \in \sigma_{\pi}(A^*)\}$ .

*Proof.*

Since we have  $\sigma(A) = \sigma_{\pi}(A) \cup \{z: \bar{z} \in \sigma_{\pi}(A^*)\}$ . It suffices to show that  $\sigma(A) = \{z: \bar{z} \in \sigma_{\pi}(A^*)\}$ . Assume that  $z \in \sigma_{\pi}(A)$ . Then we have  $z \in \pi_p(\tau(A))$  where  $\tau$  is a mapping. Suppose that  $\tau(A)$  is posinormal, we have  $\bar{z} \in \sigma_p(\tau(A^*))$ . Also since  $\bar{z} \in \sigma_p(\tau(A^*)) = \sigma_{\pi}(A^*)$ , it follows that  $\bar{z} \in \sigma_{\pi}(A^*)$ .

Lemma 4.3: Let  $\mathbb{A} = (A_1, \dots, A_n)$  be doubly commuting n-tuple of posinormal operators on  $H$ . If  $z = (z_1, \dots, z_n) \in \sigma_p(\mathbb{A})$ , then  $\bar{z} = (\bar{z}_1, \dots, \bar{z}_n) \in \sigma_p(\mathbb{A}^*)$ ,

where  $A^* = (A_1^*, \dots, A_n^*)$ .

*Proof.* There exists a non-zero vector  $x \in H$  such that  $A_i x = z_i x$  ( $i = 1, \dots, n$ ). We assume that  $z_1, \dots, z_k$  are non-zero and  $z_{k+1} = \dots = z_n = 0$ . Therefore we obtain

$$A_{k+1}^*x = \dots = A_n^*x = 0.$$

Also  $A_i^*(A_i|x) = \bar{z}_i \cdot |A_i|x$ , where  $A_{A_i}$  is the positive operator in a polar decomposition  $A_i = U_i|A_i|$  ( $i = 1, \dots, k$ ).

Suppose  $|A_1| \dots |A_k|x = 0$ . Since then  $(A_1 \dots A_k)$  is doubly commuting k-tuple of a posinormal operator,  $U_i$  and  $|A_i|$  commute with  $U_j$  and  $|A_j|$  for every  $i \neq j$ . Thus we have  $A_1 \cdot A_2 \dots A_k x = 0$ . It follows that  $z_1, \dots, z_k = 0$ .

Since every  $z_i \neq 0$  ( $i = 1, \dots, k$ ).

Therefore we have

$$|A_i^*|(|A_1| \dots |A_k|x) = |A_1| \dots |A_{i-1}| \cdot |A_{i+1}| \dots |A_k| \cdot A_i^*|A_i|x = \bar{z}_i(|A_1| \dots |A_k|x).$$

Since also  $A_i$  commutes with  $|A_1| \dots |A_k|$ , we have  $|A_i^*|(|A_1| \dots |A_k|x) = 0$  ( $i = k + 1, \dots, n$ )

Therefore it follows that  $\bar{z}_i(\bar{z}_1, \dots, \bar{z}_n) \in \sigma_p(\mathbb{A}^*)$ .

Theorem 4.4: Let  $\mathbb{A} = (A_1, \dots, A_n)$  be doubly commuting n-tuple of posinormal operators on  $H$ . Then  $\sigma(A) = \{(z_1, \dots, z_n) \in \sigma_\pi(A^*)\}$ .

*Proof.* Since  $\mathbb{A}$  is doubly commuting n-tuple it follows that  $(z_1, \dots, z_n) \in \sigma(A)$ , there exists some partition  $\{i_1, \dots, i_m\} \cup \{j_1, \dots, j_s\} = \{1, \dots, n\}$  and a sequence  $x_k$  of unit vectors in  $H$  such that  $\{A_{i_\mu} - z_{i_\mu}\}x_k \rightarrow 0$  and  $\{A_{j_\nu} - z_{j_\nu}\}^*x_k \rightarrow 0$  as  $k \rightarrow \infty$ , for  $\mu = 1, \dots, m$  and  $\nu = 1, \dots, s$ .

Consider the mapping  $\tau$  such that  $(z_{i_1}, \dots, z_{i_m}, \bar{z}_{j_1}, \dots, \bar{z}_{j_s}) \in \sigma_\pi(\tau(B))$  where  $\tau(B) = (\tau(A_{i_1}), \dots, \tau(A_{i_m}), \tau(A_{j_s}^*))$ .

Since  $\tau(A_i)$  is a posinormal operator for every  $i$  ( $i = 1, \dots, n$ ) we have  $(\bar{z}_1, \dots, \bar{z}_n) \in \sigma_p(\tau(A^*))$ . Therefore it follows that  $(\bar{z}_1, \dots, \bar{z}_n) \in \sigma_\pi(A^*)$ . Clearly  $\sigma_\pi(A^*) \subset \sigma(A)$  and so the proof is complete.

Theorem 4.5: Let  $\mathbb{A} = (A_1, \dots, A_n)$  be a doubly commuting n-tuple of posinormal operators on  $H$ . If  $(r_1, \dots, r_n) \in \sigma(\mathbb{A}^*\mathbb{A}) \cup \sigma(\mathbb{A}\mathbb{A}^*)$ , then there exists  $(z_1, \dots, z_n) \in \sigma(A)$  such that  $|z_i|^2 \geq r_i$  ( $i = 1, \dots, n$ ), where  $\mathbb{A}^*\mathbb{A} = (A_1^*A_1, \dots, A_n^*A_n)$  and  $\mathbb{A}\mathbb{A}^* = (A_1A_1^*, \dots, A_nA_n^*)$ .

*Proof.* We shall prove the theorem by induction. The theorem holds when  $n = 1$ .

We assume that the theorem holds for all doubly commuting  $(n - 1)$ - tuple of posinormal operators. Assume that  $(r_1, \dots, r_n) \in \sigma(\mathbb{A}^*\mathbb{A})$ . Now since  $\sigma(\mathbb{A}^*\mathbb{A}) = \sigma_\pi(\mathbb{A}^*\mathbb{A})$ , we have by [13] and [14] that

$$(\sqrt{r_1}, \dots, \sqrt{r_n}) \in \sigma_\pi(|A|),$$

where  $|A| = (|A_1|, \dots, |A_n|)$ .

Consider the mapping  $T : B(H) \rightarrow B(H)$  such that  $\sigma(T(A)) = \sigma(A)$  and  $\sigma_\pi(A) = \sigma_\pi(T(A)) = \sigma_p(T(A))$  where  $\sigma_\pi(A)$  and  $\sigma_p(A)$  are the approximate point spectrum and the point spectrum of  $A$ , respectively.

Let  $\mathbb{R} = \ker(|T(A_n)| - \sqrt{r_n}) \neq \{0\}$ . Then  $\mathbb{R}$  is a reducing subspace of  $T(A_1), \dots, T(A_{n-1})$  and  $(T(A_1)|_{\mathbb{R}}, \dots, T(A_{n-1})|_{\mathbb{R}})$  is a doubly commuting  $n - 1$ -tuple of posinormal operators on  $\mathbb{R}$ . Since  $\sum_{i=1}^n (|T(A_i)| - \sqrt{r_i})^2$  is not invertible, then

$$\ker(\sum_{i=1}^n (|T(A_i)| - \sqrt{r_i})^2) = \{\cap_{i=1}^{n-1} \ker(|A_i| - \sqrt{r_i})\} \cap \mathbb{R} \neq \{0\}.$$

Hence it follows that

$$(\sqrt{r_1}, \dots, \sqrt{r_{n-1}}) \in \sigma(R),$$

where  $R = (T(A_i)|_{\mathbb{R}}, \dots, T(A_{n-1})|_{\mathbb{R}})$ .

Therefore by the induction hypothesis, there exists  $(z_1, \dots, z_{n-1}) \in \sigma(S)$  such that

$$|z_i| \geq \sqrt{r_i} : i = 1, \dots, n - 1,$$

where  $S = (T(A_i)|_{\mathbb{R}}, \dots, T(A_{n-1})|_{\mathbb{R}})$ . It thus follows that  $(\bar{z}_1, \dots, \bar{z}_{n-1}) \in \sigma_p(S^*)$  there exists a non-zero vector  $x_0$  in  $\mathbb{R}$  such that  $T(A^*)x_0 = \bar{z}_i x_0 : i = 1, \dots, n - 1$ .

Therefore

$$\sum_{i=1}^{n-1} (T(A_i) - z_i)(T(A_i) - z_i)^* + (|T(A_n) - \sqrt{r_n}|)^2$$

is not invertible.

Hence

$$\sum_{i=1}^{n-1} (T(A_i) - z_i)(T(A_i) - z_i)^* + (|T(A_n) - \sqrt{r_n}|)^2 \neq 0.$$

Let  $\mathbb{P} = \ker(T(A_i) - z_i)(T(A_i) - z_i)^*$ .

Then  $\mathbb{P}$  reduces  $T(A_n)$ . Also since  $\mathbb{R} \cap \mathbb{P} \neq \{0\}$ ,  $\sqrt{r_n} \in \sigma(|T(A_n)|_{\mathbb{R}})$ .

Since  $T(A_n)|_{\mathbb{R}}$  is a posinormal operator then there is a  $z_n \in \mathbb{C}$  such that  $(T(A_n)|_{\mathbb{R}} - z_n)(T(A_n)|_{\mathbb{R}} - z_n)^*$  is not invertible and  $|z_n|^2 \geq r_n$ . Since  $\sum_{i=1}^n (T(A_i) - z_i)(T(A_i) - z_i)^*$  is not invertible, this point  $z_1, \dots, z_n$  is in  $\sigma(A)$  and satisfies  $|z_i|^2 \geq r_i$  ( $i = 1, \dots, n$ ).

Thus the proof is complete.

### Conclusions

The spectrum of a bounded posinormal operator  $A$  acting on a complex Hilbert space  $H$  satisfies Xia's property, i.e  $\sigma(A) = \{z : \bar{z} \in \sigma_\pi(A^*)\}$ . For a posinormal operator  $A$  if  $z$  is a member of the point spectrum of  $A$  the the closure of  $z$  is a member of the point spectrum of the adjoint of  $A$ . Lastly, doubly commuting n-tuples of posinormal operators are jointly normaloid.

### Conflicts of Interest

Authors declare no conflict of interest.

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