

# Gain Enhancement using Pre-modulated electron beam in CFEL

Anuradha Bhasin<sup>1</sup>, Monika Kaushik<sup>2</sup>, Usha Sharma<sup>3</sup>

*Department of Electronics and Communication, Bhagwan Parshuram Institute of technology,*

*Sector 17, Rohini, GGSIPU, Delhi, India.*

**Abstract-** The growth rate and the gain of the CFEL is found to increase with the modulation index and reaches maximum when the modulation index approaching unity. The growth rate is calculated at experimentally known CFEL parameters and it is seen that beam pre-modulation on Cerenkov free electron laser (CFEL) offers considerable enhancement in gain when the phase of the pre-modulation electron beam is  $-\pi/2$ , i.e., when the pre-modulated beam is in the retarding zone.

**Index Terms** -, Cerenkov free electron laser, Pre-modulated electron beam.

## I. INTRODUCTION

A Cerenkov free electron laser generally employs two kinds of slow wave structures: (i) A dielectric whose dielectric constant is  $|\epsilon| > 1$  reduces the phase velocity of the radiation below  $c$ . A moderately relativistic electron beam can excite the electromagnetic radiation by cerenkov emission, (ii) A plasma lining have a dielectric constant  $\epsilon = 1 - \frac{\omega_p^2}{\omega^2}$  can act as a slowing down medium for  $\omega_p \gg \omega$  so that  $|\epsilon| \gg 1$  (where  $\omega_p$  is the electron plasma frequency and  $\omega$  is the radiation frequency).

Recently, a lot of research work has been carried out in studying the free electron laser [6-14] by pre-modulated electron beams.

A theoretical model for gain and efficiency enhancement in a FEL using pre-modulated electron beam has been developed and studied by Beniwal *et al.* [13]. It is seen that growth rate increases with the increase in the modulation index. Sharma and Bhasin have studied the gain and efficiency enhancement in a slow wave FEL using pre-modulated electron beam in a dielectric loaded waveguide [14]. They have found that the growth rate and gain of a slow wave FEL increase with the modulation index and is maximum when the pre-bunched beam velocity is comparable to the phase velocity of the radiation wave.

Cerenkov free electron laser (CFEL) is the widely used source of broad-band, high power microwave generation at short wavelengths.

The organization of the paper is as follows: We have calculated the increase in growth rate and efficiency with the increase in modulation index. at experimentally known CFEL parameters.

## II. INSTABILITY ANALYSIS

Consider a dielectric loaded waveguide of effective permittivity  $\epsilon_1$ . A pre-bunched relativistic electron beam of density  $n_{b0}$ , velocity  $v_b z$ , relativistic gamma factor

$\gamma = 1 + \frac{eV_b}{mc^2} (1 + \Delta \sin \omega_0 \tau) \approx \gamma_0 (1 + \Delta \sin \omega_0 \tau)$  [where  $\Delta$  is the modulation index (its value lie from 0 to 1),  $mc^2$  is the rest mass energy of the electrons,  $e$  is the electronic charge,  $\omega_0 (\approx k_{z0} v_b)$  and  $k_{z0}$  are the modulation frequency and wave number of the pre-bunched electron beam], respectively propagates through the waveguide (cf. Fig. 1).

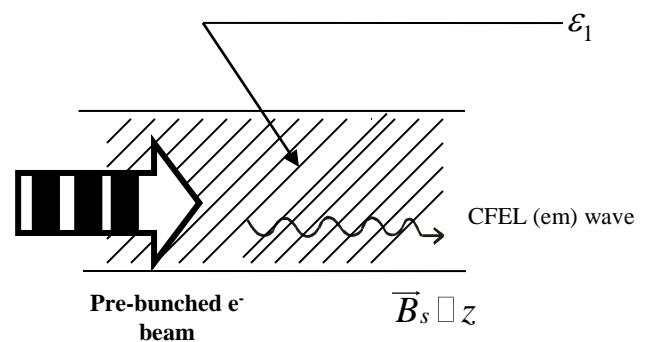


Fig.1. A schematic diagram of the Cerenkov free electron laser

An electromagnetic signal  $E_1$  is also present in the interaction region.

$$\vec{E}_1 = \vec{E}_0 e^{-i(\omega_1 t - \vec{k}_1 \cdot \vec{x})}, \quad (1)$$

$$\vec{B}_1 = \frac{c}{\omega_1} \vec{k}_1 \times \vec{E}_1, \quad (2)$$

where  $\vec{E}_0$  and  $\vec{k}_1$  lie in the x-z plane, i.e.,  $\frac{\partial}{\partial y} = ik_y = 0$ . The response of the beam electrons to the signal is governed by the relativistic equation of motion

$$\frac{\partial}{\partial t} (\gamma \vec{v}) + \vec{v} \cdot \nabla (\gamma \vec{v}) = -\frac{e}{m} \left( \vec{E} + \frac{1}{c} \vec{v} \times \vec{B} \right). \quad (3)$$

Expanding

$$\vec{v} = v_b z + \vec{v}_1, \quad \gamma' = \gamma + \gamma^3 \frac{\vec{v}_b \cdot \vec{v}_1}{c^2}$$

and linearizing equation (3), we get

$$\vec{v}_1 + \gamma^3 \frac{v_b^2}{c^2} v_{z1} z = \frac{e}{im(\omega_1 - k_z v_b)} \left[ \vec{E}_1 \left( 1 - \frac{k_z v_b}{\omega_1} \right) + k \frac{v_b E_z}{\omega_1} \right]. \quad (4)$$

Velocity components in the x and z directions are given by

$$v_{x1} = \frac{e}{im\gamma(\omega_1 - k_z v_b)} \left[ E_{x1} - \frac{k_z v_b E_{x1}}{\omega_1} + \frac{k_{x1} v_b E_{z1}}{\omega_1} \right]. \quad (5)$$

$$v_{z1} = \frac{e E_{z1}}{im(\omega_1 - k_z v_b) \gamma^3}. \quad (6)$$

On linearizing and solving equation of continuity, we obtain density perturbation

$$n_1 = n_{b0} \frac{\vec{k}_1 \cdot \vec{v}_1}{(\omega_1 - k_z v_b)}. \quad (7)$$

Using the value of  $v_{x1}$  and  $v_{z1}$  from equations (5) and (6) in equation (7), we get

$$n_1 = \frac{en_{b0}}{im(\omega_1 - k_z v_b)^2} \left[ \frac{E_{x1} k_{x1}}{\gamma} \left( 1 - \frac{k_z v_b}{\omega_1} \right) + \frac{k_{x1}^2 v_b E_{z1}}{\gamma \omega_1} + \frac{k_{z1} E_{z1}}{\gamma^3} \right]. \quad (8)$$

The perturbed current density is given by

$$\vec{J}_1 = -n_{b0} e \vec{v}_1 - n_1 e v_b \vec{b}. \quad (9)$$

Substituting the values of  $\vec{v}_1$  and  $n_1$  from equations (5), (6) and (8) in equation (9), and keeping the value in the wave equation, we obtain

$$k_1^2 \vec{E}_1 - k_1 \left( \vec{k}_1 \cdot \vec{E}_1 \right) - \frac{\omega_1^2}{c^2} \varepsilon \vec{E}_1 = \frac{4\pi i \omega_1 \vec{J}_1}{c^2} \quad (10)$$

and writing x and z components of the latter, we obtain

$$\left( k_{z1}^2 - \frac{\omega_1^2}{c^2} \varepsilon + \frac{\omega_{pb}^2}{\gamma c^2} \right) E_{x1} = \left( k_{x1} k_z - \frac{\omega_{pb}^2}{\gamma c^2} \frac{k_{x1} v_b}{(\omega_1 - k_z v_b)} \right) E_{z1}, \quad (11)$$

where  $\omega_{pb}^2 = \frac{4\pi n_{b0}}{m} e^2$ .

Equation (11) gives the dispersion relation and

can be further rearranged by taking  $\omega_{pb}^2$  terms to the right hand side and retaining only those terms which have a resonance denominator  $(\omega_1 - k_z v_b)^2$ , we get

$$\left( \omega_1^2 - \frac{k_1^2 c^2}{\varepsilon} \right) (\omega_1 - k_z v_b)^2 = \frac{\omega_{pb}^2}{\gamma^3 \varepsilon} (\omega_1^2 + k_{x1}^2 v_b^2 \gamma^2). \quad (12)$$

The two factors on the left-hand side of equation (12)

when equated to zero  $\omega_1 - \frac{k_1 c}{\sqrt{\varepsilon}} = 0, \omega_1 - k_z v_b = 0$ , give radiation and beam modes, respectively. To determine the growth rate of the CFEL instability, we use the first order perturbation techniques. In the presence of the right hand side terms (i.e.,  $n_{b0} \neq 0$ ), we assume that the eigen functions are not modified but their eigen value are. We expand  $\omega_1$  as

$$\omega_1 = \omega_{1r} + \delta = k_z v_b + \delta = k_{z0} v_b + \delta,$$

where  $\delta$  is the small frequency mismatch and  $\omega_{1r} = \frac{k_1 c}{\sqrt{\varepsilon}}$ .

On further solving equation (12) we obtain

$$\delta = \left[ \frac{\omega_{pb}^2 (\omega_{1r}^2 + k_{x1}^2 v_b^2 \gamma^2)}{2\omega_{1r} \gamma^3 \varepsilon} \right]^{1/3} e^{i \frac{2n\pi}{3}}, n = 0, 1, 2, 3, \dots \quad (13)$$

Hence the growth rate, i.e., the imaginary part of  $\delta$  is given as

$$\Gamma = \left[ \frac{\omega_{pb}^2 (\omega_{1r}^2 + k_{x1}^2 v_b^2 \gamma_0^2)}{2\omega_{1r} \gamma^3 \varepsilon} \right]^{1/3} \frac{\sqrt{3}}{2} \quad (14)$$

where  $\gamma = \gamma_0(1 + \Delta \sin \omega_0 \tau)$ .

For maximum gain it is assumed that all electrons are bunched in the decelerating zone, i.e.,  $\omega_0 \tau = -\pi/2$ . This gives  $\gamma = \gamma_0(1 - \Delta)$ , where  $\Delta$  is the modulation index, its value lies between 0 to 1 and  $\Delta \neq 1$ .

Using Equation (13) the real part of  $\delta$  is given as

$$|\delta_r| = \frac{\Gamma}{\sqrt{3}}, \quad \text{i.e., } \omega_1 = k_z v_b - \frac{\Gamma}{\sqrt{3}}$$

$$\text{or } v_b = \frac{\omega_1}{k_z} + \frac{\Gamma}{\sqrt{3} k_z} \quad \text{i.e., } v_b > \frac{\omega_1}{k_z}.$$

This is the necessary condition for electron bunching and net energy transfer from beam electrons to the radiation wave.

### III. RESULTS AND DISCUSSIONS

In the numerical calculations we have used typical parameters of Cerenkov free electron laser (CFEL). For the comparative study we have also used parameters of free electron maser experiment with a pre-bunched electron beam [1], (e.g., beam energy = 0.07 MeV and beam current  $I_b = 1.0A$ ) and other parameters are same as CFEL.

In Fig. 2, we have plotted the variation of the growth rate  $\Gamma$  (in rad /sec) as a function of modulation index  $\Delta$  when the phase of the pre-bunched beam is  $-\pi/2$ , i.e., when the electron beam is in the decelerating zone, (a) plot for CFEL parameters and (b) plot for FEL parameters. From Fig. 2, it can be seen that the growth rate increases with the modulation index (in both cases), when  $\Delta \approx 0.80$  and beyond this value of modulation index, i.e., when  $\Delta$  increases from 0.80 to 0.98, the growth rate increases by a factor of 9 for CFEL parameters and by 10 for FEL parameters. For modulation index  $\Delta=0$ , i.e., without modulated beam, the value of the growth is found to be  $2.696 \times 10^{10}$  rad/sec for CFEL parameters and  $2.438 \times 10^{10}$  rad/sec for FEL parameters.

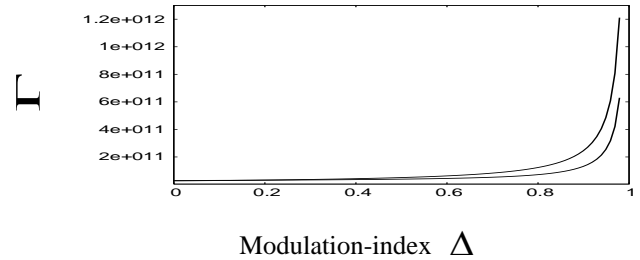


Fig.2. Growth rate  $\Gamma$  (in rad /sec) as a function of modulation index  $\Delta$  for (a) CFEL parameters with  $E_b = 1.35$  MeV,  $I_b = 1.0$  KA and for  $\sin \omega_0 \tau = -1$ , (b) FEL parameters with  $E_b = 0.07$ MeV,  $I_b = 1.0A$  and for  $\sin \omega_0 \tau = -1$ . Increase in growth rate is more for case (b) i.e., with FEL parameters.

If we introduce plasma in the interaction region of CFEL then further reducing the requirements on beam energy for generating shorter wavelength radiation.

The growth rate of the pre-bunched CFEL increases with the modulation index and consequently the gain and efficiency of the device also increases with the modulation index.

### IV. CONCLUSION

In conclusion, we can say that by increasing the modulation index the growth rate, gain, and efficiency of the pre-bunched CFEL increases.

In addition to this it is seen that by using pre-bunched electron beams, requirement for beam energy can be reduced drastically for generating high frequency radiations for CFEL.

The scheme seems to work well at millimeter and sub-millimeter wavelengths.

### REFERENCES

1. J. Walsh and B. Johnson and G. Dattoli and A. Renieri, "Undulator and Cerenkov free electron lasers: a preliminary comparison," *Phys. Rev. Lett.*, vol. 53, pp. 779-782, 1984.
2. B. Johnson and J. Walsh, "A Cerenkov infrared laser," *Nucl. Instr. Methods Phys. Res.*, vol. A237, pp. 239-243, 1985.
3. E. P. Garate, C. Shaughnessy, J. Walsh and S. Moustazis, "Cerenkov free-electron laser operation at far-infrared to sub-millimeter wavelengths," in *Proc. 8<sup>th</sup> Int. Free-Electron Laser Conf.*, Glasgow, Scotland, Sept. 1986.
4. E. P. Garate, R. Cook, P. Heim, R. Layman, and J. Walsh, "Cerenkov maser operation at lower mm

- wavelengths,” *J. Appl. Phys.*, vol. 58, pp.627-632, 1985.
5. V. K. Tripathi and C. S. Liu, “A slow wave free-electron laser,” *IEEE Trans. on Plasma Science*, vol. 17, pp. 583 –587, 1989.
  6. J. Krall and Y.Y. Lau, “Modulation of an intense beam by an external microwave source: theory and simulation,” *Appl. Phys. Lett.*, vol. 52, pp. 431-433, 1988.
  7. M. Cohen, A. Kugel, D. Chairman, M. Arbel *et al.*, “Free electron maser experiment with a pre-bunched beam,” *Nucl. Instr. and Methods in Phys. Res.*, vol. A358, pp. 82-85, 1995.
  8. Kazuyoshi Saito, Ken Takayama, Toshiyuki Ozaki *et al.*, “X-band prebunched FEL amplifier,” *Nucl. Instr. and Methods in Phys. Res.*, vol. A375, pp. 237-240, 1996.
  9. J. Gardelle, J. Labrousche, G. Marchese, J. L. Rullier and D.Villate and J. T. Donohue, “Analysis of the beam bunching produced by a free electron laser,” *Phys. Plasmas*, vol. 3, pp. 4197-4206, 1996.
  10. Yukio Shibata, Kimihiro Ishi, Shuichi Ono *et al.*, “Broadband free electron laser by the use of pre-bunched electron beam,” *Phys. Rev. Lett.*, vol. 78, pp. 2740-2743, 1997.
  11. A. Doria, R. Bartolini *et al.*, “Coherent emission and gain from a bunched electron beam,” *IEEE J. Quantum Electron*, QE-29, pp. 1428-1436, 1993.
  12. F. Ciocci, R. Bartolini, A. Doria, G. P. Gallerano *et al.*, “Operation of a compact free-electron laser in the millimeter-wave region with a bunched electron beam,” *Phys. Rev. Lett.*, vol. 70, pp. 928-931, 1993.
  13. Vivek Beniwal, Suresh C. Sharma and M. K. Sharma, “Effect of beam pre-modulation on gain and efficiency in a free electron laser,” *Phys. Plasmas*, vol. 11, pp. 5716-5722, 2004.
  14. Anuradha Bhasin and Suresh C. Sharma, “Effect of beam pre-bunching on gain and efficiency in a free electron laser: nonlocal theory,” *Phys. Plasmas*, vol. 14, pp. 73102 - 4, 2007