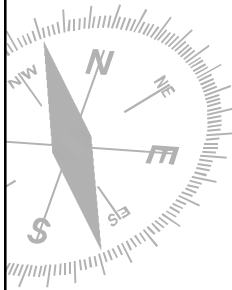


# Stakeholder Steering Committee

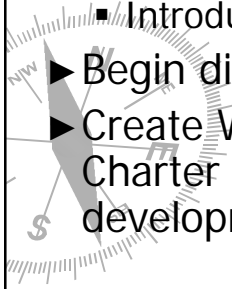


Day 1

1

## Objectives of Meeting

- ▶ Get everyone to the same starting place
  - Project purpose and objectives
  - Schedule of EIPC Deliverables to DOE
  - Role of SSC and points of input
  - Introduction to some modeling tools
- ▶ Begin discussion on SSC Governance
- ▶ Create Workgroups to continue work on Charter & Work Plan & start Futures development



2

## Proposed Ground rules for this meeting

- ▶ Q&A open to all during informational sessions
- ▶ SSC members speak first during Governance and Workplan discussions, in accordance with May 14 Decision document
- ▶ Share the floor and other customary courtesies
  - No side conversations (Take it outside the room)
  - No electronic distractions
  - Be succinct
- ▶ Listen to understand; make yourself heard

3

## Meeting Format: May 14<sup>th</sup> Guidelines

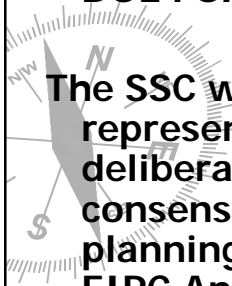
- ▶ OPEN DISCUSSION PERIOD: Each meeting will include a time-limited open comment period, during which non-SSC members may speak on the issues listed on the meeting's agenda. The non-SSC members sitting at Sector Tables will have the first opportunity to speak during the Open Discussion Period. After that, other non-SSC members will be able to speak during the open discussion period. (Details on this provision will be determined by the SSC after its formation)
- ▶ SSC MEMBER DISCUSSION: After this open comment period, the 29 members of the SSC will lead the discussion at the SSC meeting. All 29 members should have equal opportunity to speak at the meetings. Breaks will be provided throughout SSC meetings to ensure non-SSC members have the opportunity to talk with their or other sector representatives. (Details on this provision will be determined by the SSC after its formation)

4

## Role of the SSC

**The role of the SSC is to “provide strategic guidance to the Applicant’s analysts on the scenarios to be modeled, the modeling tools to be used, key assumptions for the scenarios, and other essential activities.”**

**DOE FOA**

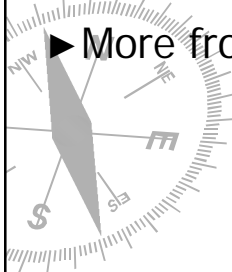


**The SSC will gather input from stakeholders, represent their constituents’ interests in deliberations, and strive to achieve consensus on aspects of the transmission planning studies to be performed by the EIPC Analysis Team.**

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## Role of EISPC

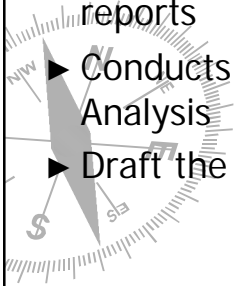
- ▶ Keep the SSC informed of their priority Futures (4) and Scenarios (1)
- ▶ Provide input on Energy Zones
- ▶ Undertake other studies
- ▶ More from EISPC later



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## Role of EIPC Analysis Team

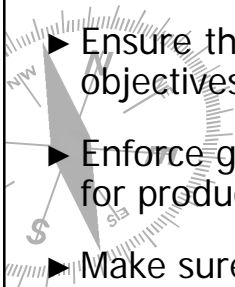
- ▶ Provide background to SSC on modeling tools and transmission analysis
- ▶ Respond to information requests from SSC
- ▶ Synthesize input from SSC on modeling & draft reports
- ▶ Conducts the Futures and Expansion Scenario Analysis
- ▶ Draft the Final report to DOE



7

## Role of the Facilitator

- ▶ To recommend process and meeting structure designed to meet the objectives
- ▶ Work with the Chair(s) and EIPC to create draft agendas
- ▶ Ensure that the discussion stays on track and objectives are met
- ▶ Enforce ground rules to create a safe environment for productive discussion
- ▶ Make sure that all interests are heard and considered

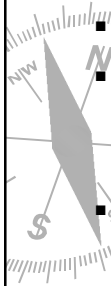


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## Possible Roles for Sector Caucus / Sector Table Representatives

### ► Suggestions from May 14<sup>th</sup> SSC Decision document:

- Serve as designated alternates to the SSC
- Serve on the Stakeholder Working Groups
- Work with the SSC to achieve consensus
- Seek input from the larger stakeholder community on key issues before the SSC (via webinars and informal outreach)
- Provide advice to the SSC based on input from the larger stakeholder community



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## Possible Roles for Work Groups

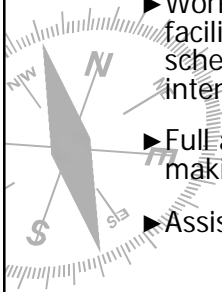
- Accept the charge of the SSC; report back to SSC including changes in scope
- Have frank and open discussion
- Collect data and research to support decision making
- Make recommendations on specific SSC decisions as requested



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## Possible Roles for the Chair(s)

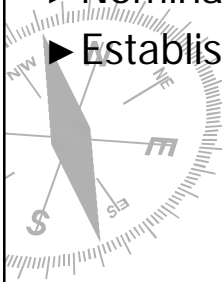
- ▶ SSC may choose to select 1 or 2 individuals to serve as Chair(s) to:
  - ▶ Serve for limited terms or rotate
  - ▶ Open and close the meetings
  - ▶ Work in collaboration with EIPC project manager and the facilitators on process issues, such as including meeting schedule, locations, objectives, draft agendas, and intended outcomes
  - ▶ Full and active SSC participants in consensus decision making
  - ▶ Assist the facilitators as needed



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## Governance – Day 2

- ▶ Highlight comments received on Draft Charter
- ▶ Discuss critical path Governance issues
- ▶ Nominate Chair(s)
- ▶ Establish Work Groups



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## May 14<sup>th</sup> SSC Agreement

### Establishes:

- The Sectors, Subsectors and # of seats
- Option for rotating seat in each sector
- Roles and responsibilities of SSC
- The Sector Tables of 10 (3 SSC members & 7 others)
- Definition of consensus
- EISPC role in selecting 4 of the 8 Futures and 1 of the 3 Transmission build-out scenarios
- Meeting participation (who can talk when)

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## Still to be Decided

- ▶ SSC leadership – Selection of Chair(s)
- ▶ Term limits of SSC members
- ▶ Terms for alternates to attend
- ▶ Ground rules for meetings
- ▶ Decision making for non-substantive issues
- ▶ Communication outside of SSC
- ▶ How to create Work groups

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## Ground rules

- ▶ Agree to work toward solutions that address the concerns of all involved to the extent possible
- ▶ Deliberations will focus on interests (not positions)
- ▶ Agree to participate in good faith and spend the time necessary to contribute meaningfully
- ▶ Share the floor according to the protocols in the May 14 agreement
- ▶ Others?

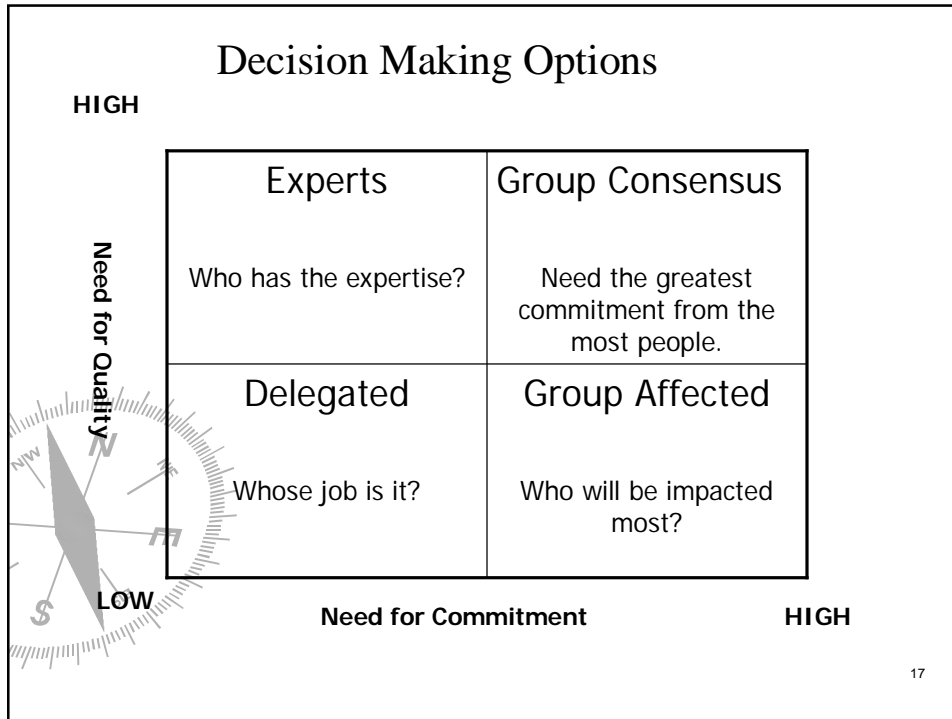
15

## Alternates

- ▶ When should alternates be allowed for SSC members?
- ▶ What procedures should be used to notify SSC members of alternates attending?
- ▶ Model Charter language (p. 3):
  - It is very important for the development of consensus that the designated members of the SSC participate consistently. Every effort will be made to provide adequate notice of SSC meetings and events so the SSC member can participate. The Sector Caucus representatives may designate one alternate to represent the SSC member at specific meetings and events if he/she is unable to attend. The SSC member must notify the Chair(s) and EIPC if he/she wishes to send the alternate. However, absence from two or more SSC meetings in a year will be cause for the SSC member to be replaced by the Sector Caucus.

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- ### Consensus-based decision making
- ▶ Consensus will be defined as none of the 29 members objecting to a proposal moving forward.
  - ▶ Consensus means that all the parties can live with a particular decision and the ultimate outcomes
  - ▶ Complete agreement is not required to achieve consensus
  - ▶ The SSC may further define consensus in the context of a particular proposal.
- 18

## Can I "live with" it?

- ▶ Has my argument / point of view been fairly heard **and considered?**
- ▶ Do I feel pressured into submission?
- ▶ Have accommodations/modifications been made to the core direction/"answer" of the group **without compromising** the essence and critical parts.

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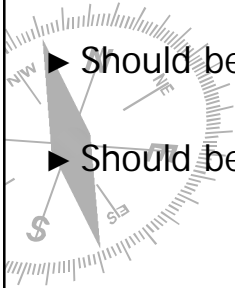
## Conditions that help to bring about consensus

- ▶ Individuals openly share their individual points of view, even when in conflict with the group.
- ▶ Everyone is willing to listen through others' arguments -- listening for understanding, **not** in preparation for rebuttal.
- ▶ Individuals only argue from strongly held beliefs/views.
- ▶ Individuals have an interest in the success of the group and in its agreement....vs. holding out and exerting power.

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## How should WG be established?

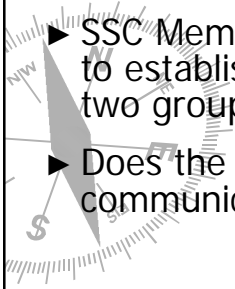
- ▶ Appoint / Seek Volunteers from Caucus or Table Representatives?
- ▶ Open to anyone who is interested?
- ▶ Should be balanced by sector/region?
- ▶ Should be limited in size?



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## SSC, Sector Caucus, EIPC Communications

- ▶ SSC members will ensure appropriate open communications between the SSC and stakeholders
- ▶ SSC members will consult with their caucus representatives regularly
- ▶ SSC Members will work with EIPC Analysis Team to establish communication protocols between the two groups
- ▶ Does the SSC want to develop a more detailed communication plan?



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## Protocols for Communication with Media

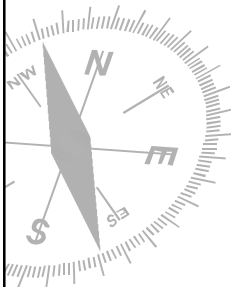
- ▶ Speak only for yourself in public forums
- ▶ Formal communication of SSC decisions must be approved by the SSC and be represented as SSC positions only
- ▶ Appoint a spokesperson for each sector?
- ▶ Other?



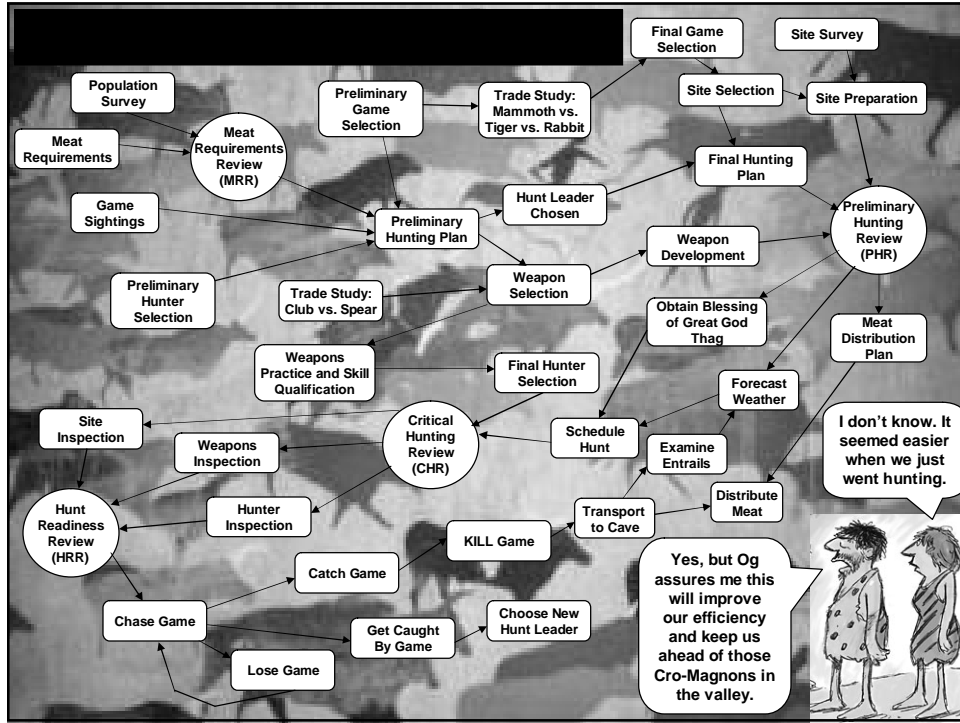
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## Roles for SSC Chair(s)

- ▶ Placeholder for prior day's outcome



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# SSC Work Plan and Meeting Schedule

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## Budgeted Activities

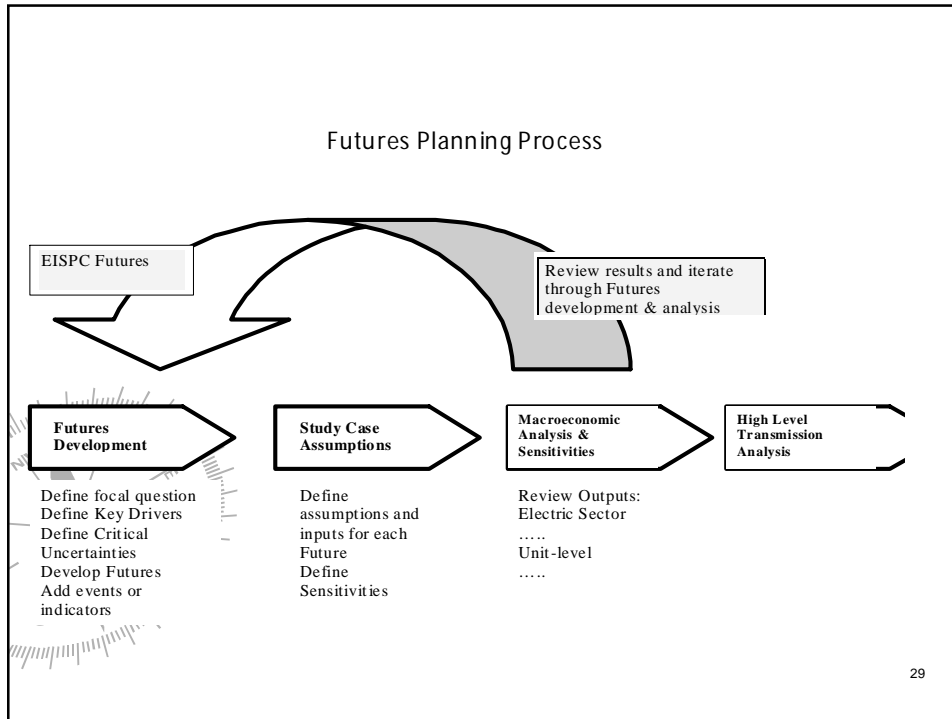
- ▶ 4 SSC Meetings in 2010 and 2011
- ▶ 3 SSC Meetings in 2012
- ▶ 5 SWG Meetings 2010-2011
- ▶ 4 Regional Stakeholder Workshops in 2010
- ▶ 8 Regional Stakeholder Workshops in 2011
- ▶ 4 Regional Stakeholder Workshops in 2012
- ▶ Facilitated conference calls and Webinars for SSC and SWG between meetings

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## Schedule – SSC Meetings and Webex

Activity	Target Date(s)	Revised Date(s)
1. Meeting	7/15-16/10	
2. Webex	7/27/10	
3. Webex	9/14/10	
4. Meeting	10/6-8/10	
5. Webex	11/16/10	
6. Meeting	12/8-9/10	
7. Webex	1/12/11	
8. Meeting	2/1-2/11	
9. Webex	3/10/11	
10. Webex	4/15/11	
11. Meeting	5/15-17/11	
12. Webex	8/2/11	
13. Meeting	9/28-30/11	

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## Near-term Draft Work Plan (1 of 5)

### Meeting #1 (July 15-16, 2010)

- ▶ Develop a common language and understanding of SSC role and tasks
- ▶ Review EIPC revise work plan and project schedule
- ▶ Introduction to Macroeconomic Analysis tools
- ▶ Begin discussion of critical path Governance decisions/ SSC Charter
- ▶ Create initial WGs, e.g. WG to continue work on Charter and SSC Workplan and begin Futures development

## Near-term Draft Work Plan (2 of 5)

### Meeting #2: (Oct. 6-8 2010)

- ▶ Finalize Charter; Select Chair(s)
- ▶ Finalize Draft Work Plan
- ▶ Review Roll-up of EIPC Transmission Plans and Assumptions; Extending to Base Case
- ▶ Report from **EISPC** on priority Futures
- ▶ Begin Development of SSC Futures
- ▶ Define the focal question for Scenario development
- ▶ Explore Key Drivers / Uncertainties / Data Needs
- ▶ Develop common criteria for Futures development
- ▶ Create Futures Work Groups
- ▶ Develop the range of possible futures; possible forcing events; description of the extremes including quantifiable indicators
- ▶ Schedule follow-up Webinars/ Conference Calls/ Regional Workshops

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## Near-term Draft Work Plan (3 of 5)

### Meeting #3: (Dec. 8-9 2010)

- ▶ Reports from Work Groups on Futures
- ▶ Begin integration of Drivers/Uncertainties into 4 Futures
- ▶ Develop Guidelines/ stories for development of Scenarios
- ▶ Establish new Work Groups
- ▶ EIPC report on Regional Integration Gap Analysis

### Meeting #4: (Feb. 1-2, 2011)

- ▶ Review EIPC Regional Integration Production Cost Analysis
- ▶ Report from Futures Work Groups
- ▶ Finalize Assumptions & Data for macroeconomic analysis of 2-4 scenarios
- ▶ Complete Futures Sensitivities
- ▶ <sup>3</sup>Report from EISPC

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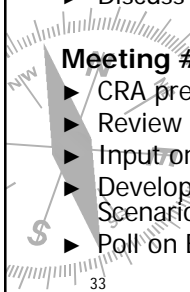
## Near-term Draft Work Plan (4 of 5)

### **Meeting #5: (Mar. 23-24, 2011)**

- ▶ Report from CRA on macroeconomic modeling outputs
- ▶ Report from PIs on high-level transmission analysis
- ▶ Discussion of Implications
- ▶ Finalize Assumptions & Data for macroeconomic analysis of 2-4 Futures
- ▶ Discuss EISPC priority Expansion Scenarios

### **Meeting #6: (May 15-17 2011)**

- ▶ CRA presentation on final macroeconomic analysis
- ▶ Review results of high-level transmission analysis & implications
- ▶ Input on outline of DOE Report
- ▶ Develop Criteria for Development & Selection of Expansion Scenarios
- ▶ Poll on Expansion Scenario Priorities



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## Near-term Draft Work Plan (5 of 5)

### **Meeting #7: (Sept. 28-30, 2011)**

- ▶ Finalize 3 Expansion Scenarios for Detailed Transmission & Cost Analysis
- ▶ Review Draft report to DOE; provide input

**Oct 31, 2011 - END OF PHASE I. Phase 1 report filed with DOE.**

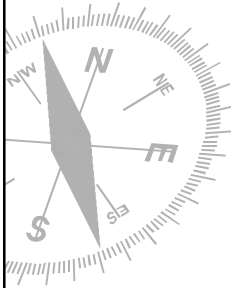


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## Appendix

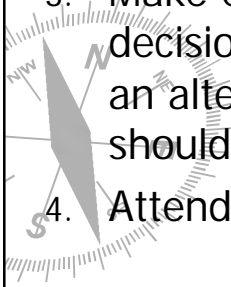
- ▶ The following slides outline the May 14<sup>th</sup> SSC agreement



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## Responsibilities of SSC

1. Approve an SSC Working Charter.
2. Represent the interests of multiple organizations within their sector
3. Make every effort to arrive at SSC decisions through consensus. Determine an alternative decision-making process should efforts to reach consensus fail.
4. Attend all SSC meetings.



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## Responsibilities of SSC

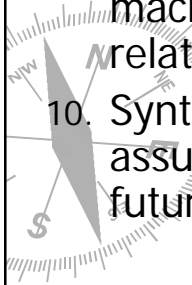
5. Conduct all meetings and activities with transparency.
6. Participate in one or more Stakeholder Regional Workshops (SRWs) each year to inform and gather input from the broader Stakeholder community. (as many as eight SRWs each year)
7. Establish and appoint individuals to the Stakeholder Work Groups (SWGs) that reflect balanced stakeholder participation.



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## Responsibilities of SSC

8. Develop roles and responsibilities and deliverables for the various Stakeholder Working Groups formed.
9. Provide information to all stakeholders on macro scenarios, resource futures, and related sensitivities.
10. Synthesize stakeholder input on the assumptions used to evaluate resource futures.



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## Responsibilities of SSC

11. Develop criteria to determine the selection of the three (3) future scenarios (as outlined in EIPC's revised DOE proposal).
12. Working with stakeholders and any established Stakeholder Working Groups, develop consensus recommendations on the eight (8) resource futures and related sensitivities to be submitted for macroeconomic analysis consistent with DOE-approved schedules.
13. Develop consensus recommendations for the three (3) future scenarios to be submitted for detailed transmission expansion and reliability analysis.

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## Responsibilities of SSC

14. Determine how the SSC will interact with Eastern Interconnection States Planning Council (EISPC).
15. Review the analyses and reports of the EIPC Analysis Team and provide consensus input.
16. Request help and information from the EIPC Analysis Team as needed to fully understand the tools and analyses.

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## EISPC Role in Scenario Planning

- ▶ EISPC reserves the right to define 4 of 8 macroeconomic analyses and 1 of the final 3 build-outs in accordance with the following:
  - EISPC will work in good faith with the SSC, through its process, to define 8 macroeconomic analyses, 4 of which will be designed to meet EISPC's requirements, and define 3 build-outs, 1 of which will be designed to meet EISPC's requirements. As the SSC process draws to conclusion, the EISPC may, at its sole discretion, modify the 4 state macro analyses, and the 1 state build-out, to better meet EISPC requirements, but shall provide advanced notice and explanation of the required changes to the SSC before final decisions on the 8 macroeconomic analyses and 3 build-outs are made.
  - However, regardless of the timing of the process, EISPC is assured of at least 4 macro analyses, and 1 build out scenario that meet EISPC requirements.

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## Meeting Format: Physical Set-up

- ▶ Initially, each sector shall have a 10-person round table at the SSC meeting ("Sector Tables"), which will be arranged in a large circle. There will be additional seating elsewhere in the room for observers.
- ▶ Each sector shall determine who sits at its Sector Table. Participation at the tables should reflect regional balance where regional differences are relevant to the SSC's deliberations.

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## Meeting Format:

- ▶ Meeting format may be reviewed after six months
- ▶ One or more sectors of SSC may offer an alternative approach that ensures regional representation and openness
- ▶ That proposal needs the approval of 19 members of the SSC to be changed, or the above procedures shall continue in effect.

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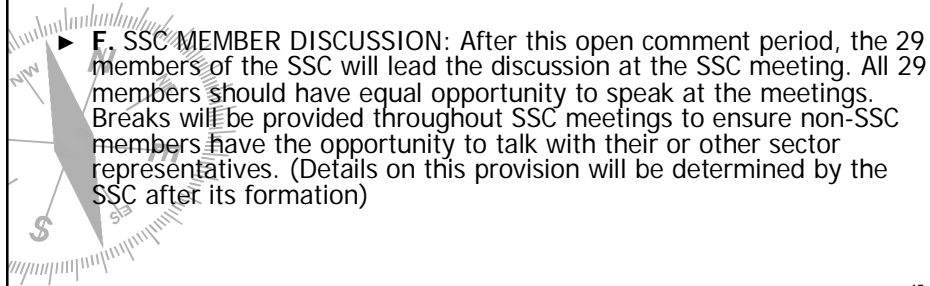
## Meeting Format: Travel and Alternates

- ▶ NGOs and state consumer advocate travel costs and expenses for its SSC members and Sector Table members will be covered (up to 6 persons for the NGOs and up to 2 persons for state consumer advocate offices)
- ▶ 2 members are primary contacts and SSC members for at least one year
  - Sector may designate different people to serve as its other SSC member *for each meeting*, or for longer periods, at its option.

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## Meeting Format: Discussion Protocols

- ▶ **E. OPEN DISCUSSION PERIOD:** Each meeting will include a time-limited open comment period, during which non-SSC members may speak on the issues listed on the meeting's agenda. The non-SSC members sitting at Sector Tables will have the first opportunity to speak during the Open Discussion Period. After that, other non-SSC members will be able to speak during the open discussion period. (Details on this provision will be determined by the SSC after its formation)



- ▶ **F. SSC MEMBER DISCUSSION:** After this open comment period, the 29 members of the SSC will lead the discussion at the SSC meeting. All 29 members should have equal opportunity to speak at the meetings. Breaks will be provided throughout SSC meetings to ensure non-SSC members have the opportunity to talk with their or other sector representatives. (Details on this provision will be determined by the SSC after its formation)

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## Meeting Format: Agendas

- ▶ Posted on the EIPC website 2 weeks in advance
- ▶ Provide adequate time for sectors to have discussions on agenda items in advance of the meeting
- ▶ While any non-member of a sector may suggest agenda items, the final agenda will be established by the SSC in consultation with EIPC



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