

Electronic transport simulations for TE power factor in nanostructures

S. Foster¹

D. Chakraborty¹, M. Thesberg², H. Kosina², N. Neophytou¹

¹School of Engineering, University of Warwick, Coventry, U.K.

²Institute for Microelectronics, TU Vienna, Austria



General description of our group's work

Theoretical investigations of:

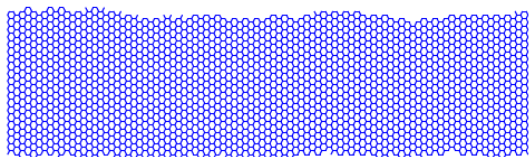
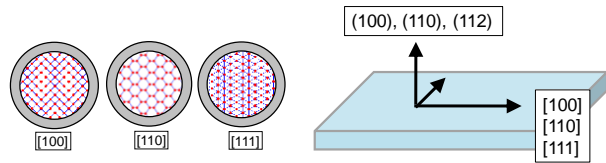
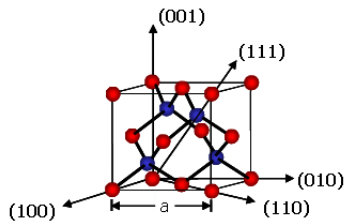
- **electronic**
- **thermal**
- **thermoelectric properties**

in nanoscale materials and devices

Approach - Tools

Electronic structure (atomistic to continuum)

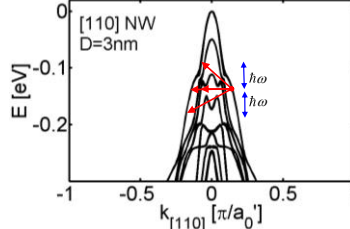
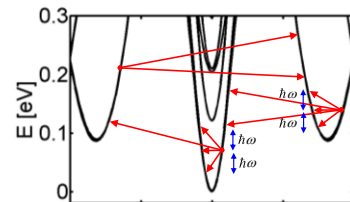
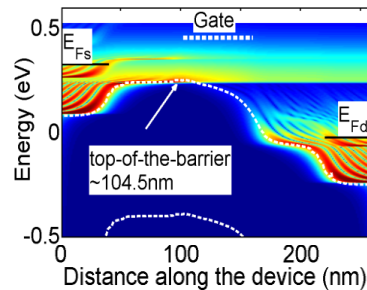
- 1) Tight-binding ($sp^3d^5s^*$)
- 2) Valence Force Fields
- 3) Force Constants
- 4) Effective mass approx.
- 5) Etc...



graphene nanoribbon

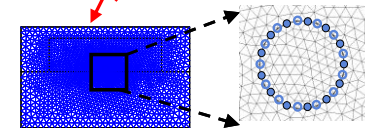
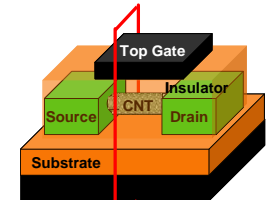
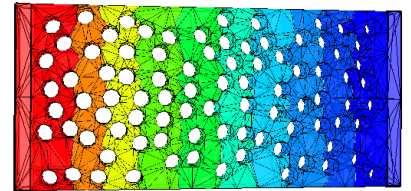
Transport (ballistic to diffusive)

- 1) Quantum mechanical (NEGF)
- 2) Semiclassical – L. Boltzmann
- 3) Monte Carlo
- 4) Landauer formalism

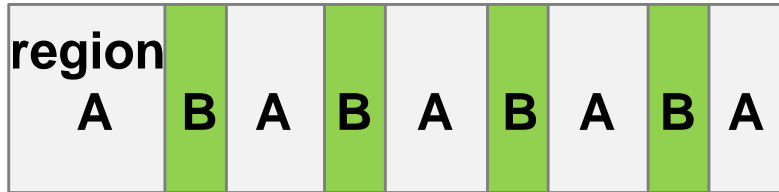


Geometries (1D-3D, non-uniform)

- 1) 3D geometry solvers
- 2) Nanocrystallines
- 3) Nanomeshes
- 4) Low-dimensional



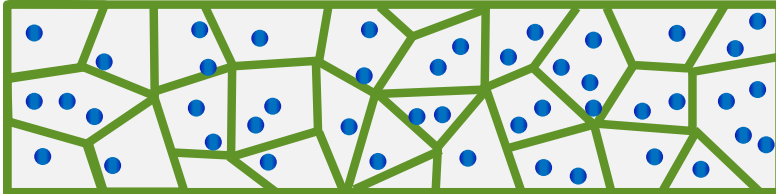
Motivation - Very high thermoelectric power factors



Superlattices



Nanocrystalline materials



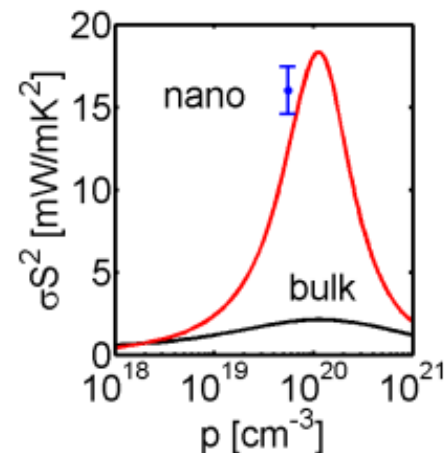
Multi-phase nanocomposites

Very high PF:

2-phase materials: **15 mW/K²m⁻¹**

3-phase materials: **22 mW/K²m⁻¹**

(~7x compared to bulk Si)



$\sigma \uparrow$ $S \uparrow$

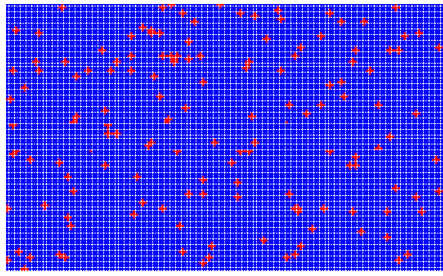
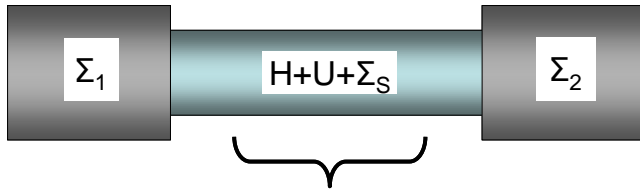
Simultaneous
improvement
in σ and S

Neophytou *et al.*, Nanotechnology 2013,
Lorenzi *et al.*, J. Electronic Materials 2014

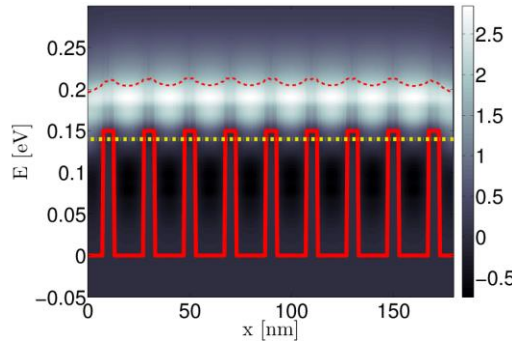
Outline

- **Non-Equilibrium Green's Function (NEGF):**
 - Method
 - Example 1: Influence of variations in SLs
 - Example 2: Filtering in 1D vs 2D
 - Example 3: Nanocomposites
- Monte Carlo semiclassical simulator development:
 - Method
 - Self-consistency
 - Scaling to large geometries
 - Inclusion of quantum effects
- Conclusions

Non-Equilibrium Green's Function (NEGF)



nano-inclusions



superlattices

- Device Green's function:

$$G(E) = [(E + i0^+)I - H - \Sigma_1 - \Sigma_2]^{-1}$$

- Transmission:

$$T(E) = \text{Trace}(\Gamma_1 G \Gamma_2 G^+)$$

- TE coefficients:

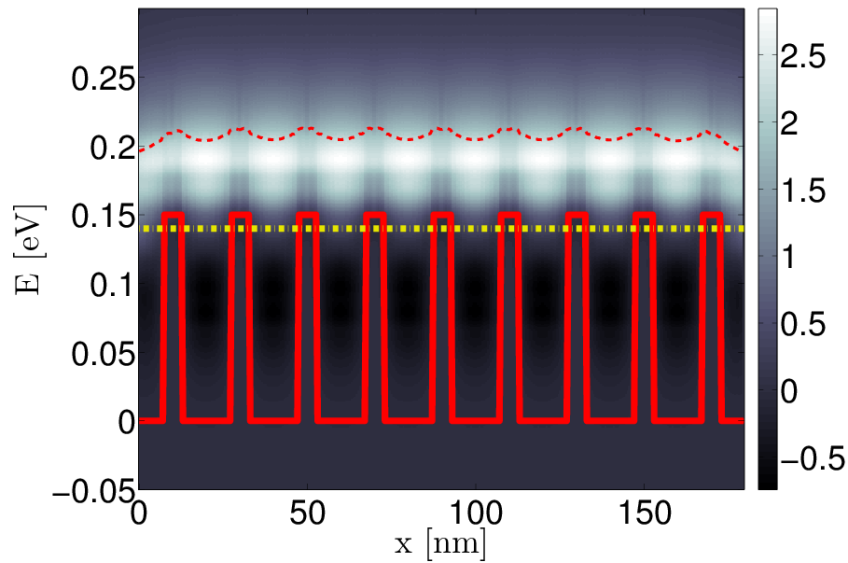
$$I^{(j)} = \int_{-\infty}^{+\infty} \left(\frac{E - E_F}{k_B T} \right)^j T(E) \left(-\frac{\partial f}{\partial E} \right) dE$$

$$G = \left(\frac{2q^2}{h} \right) I^{(0)} \quad [1 / \Omega]$$

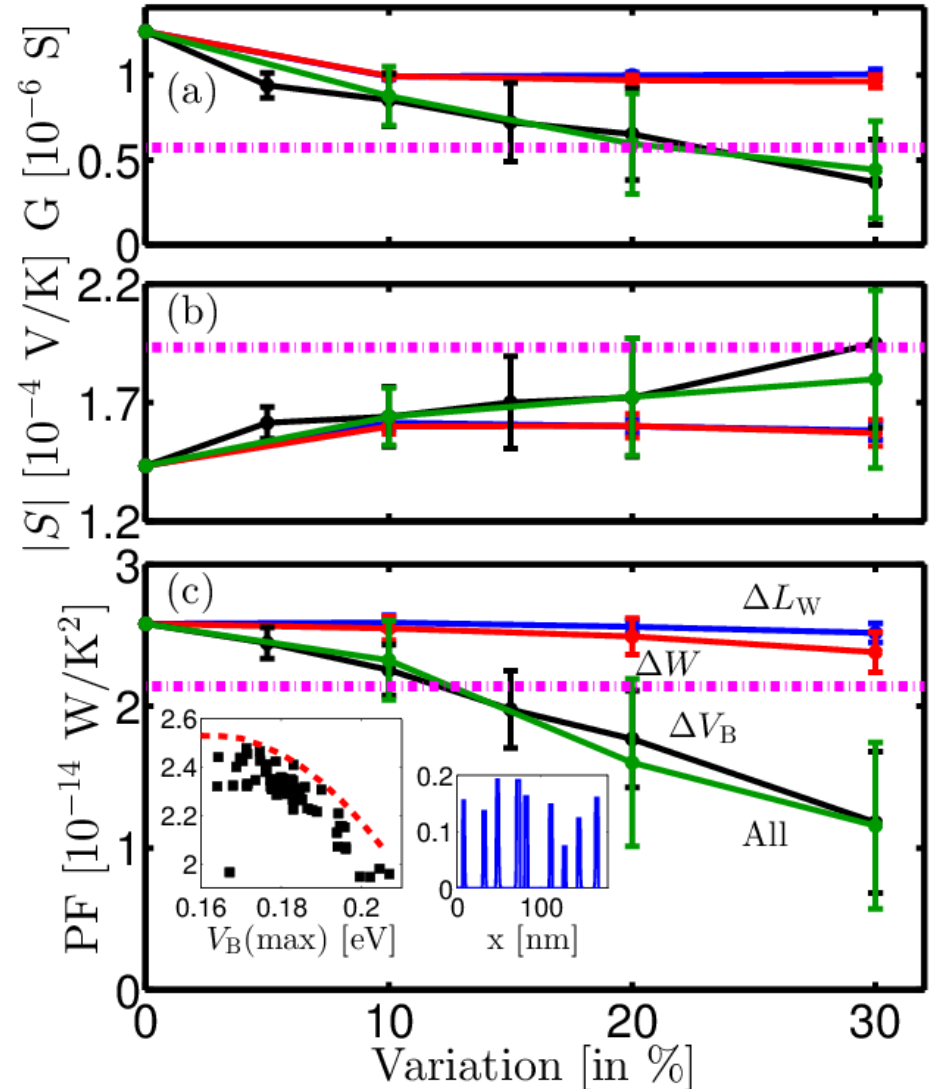
$$S = \left(-\frac{k_B}{q} \right) \frac{I^{(1)}}{I^{(0)}} \quad [V / K]$$

- Very powerful approach
- Can include scattering (decoherence)
- Can be computationally very expensive
- Captures the **exact geometry** and disorder

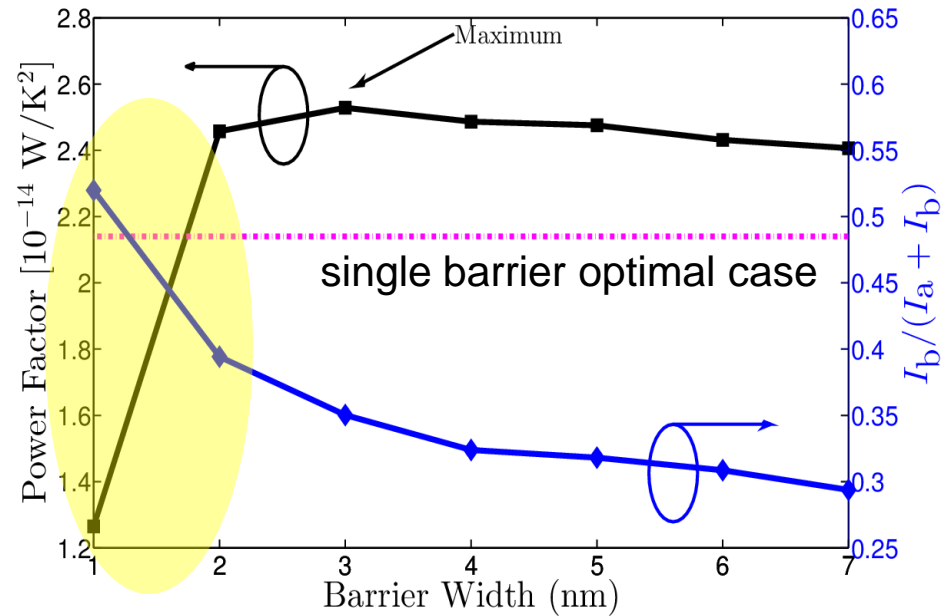
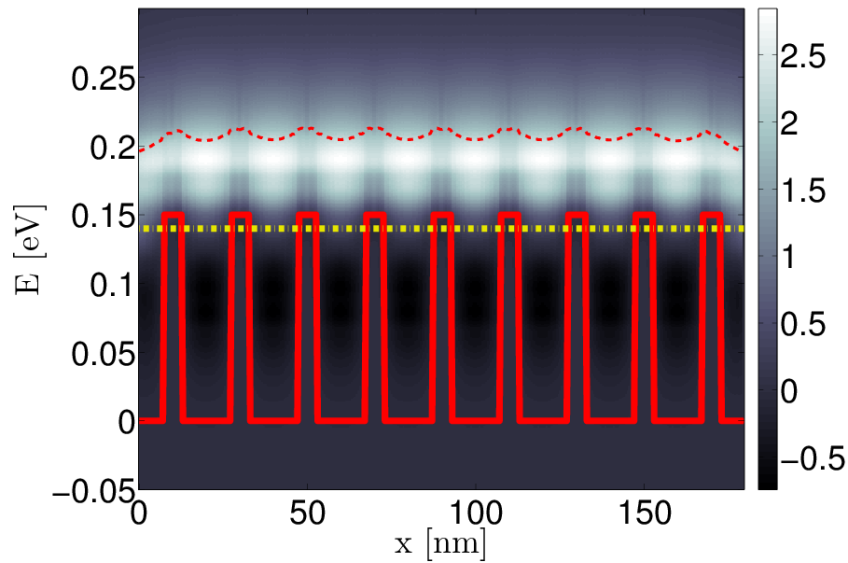
Example 1a: Variation study in superlattices



- (1) *Variation in V_B reduces PF*
- (2) Variations in wells size, barrier width do not affect the PF

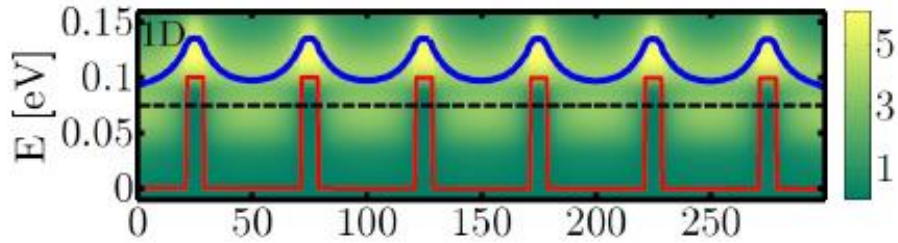


Example 1: Detrimental effect of tunneling

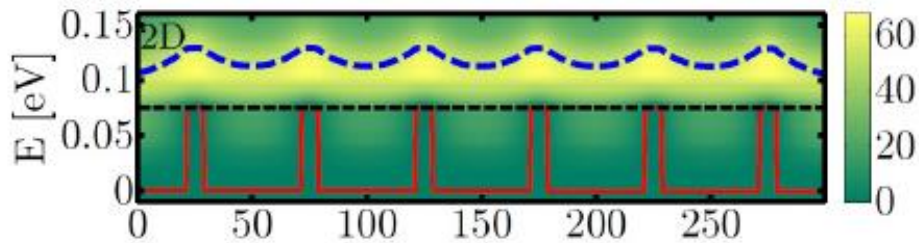


Quantum tunneling is detrimental to S and to the PF

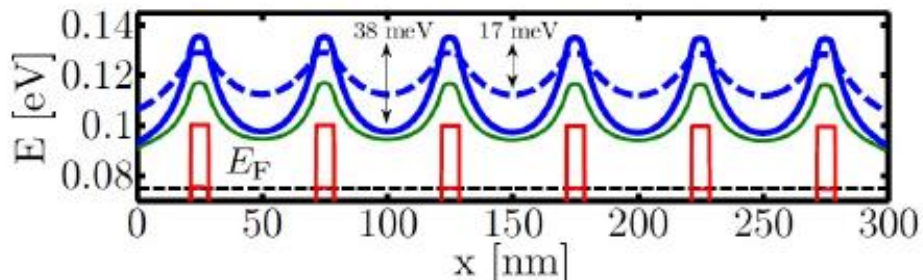
Example 2: Filtering in 1D vs 2D



1D Superlattice

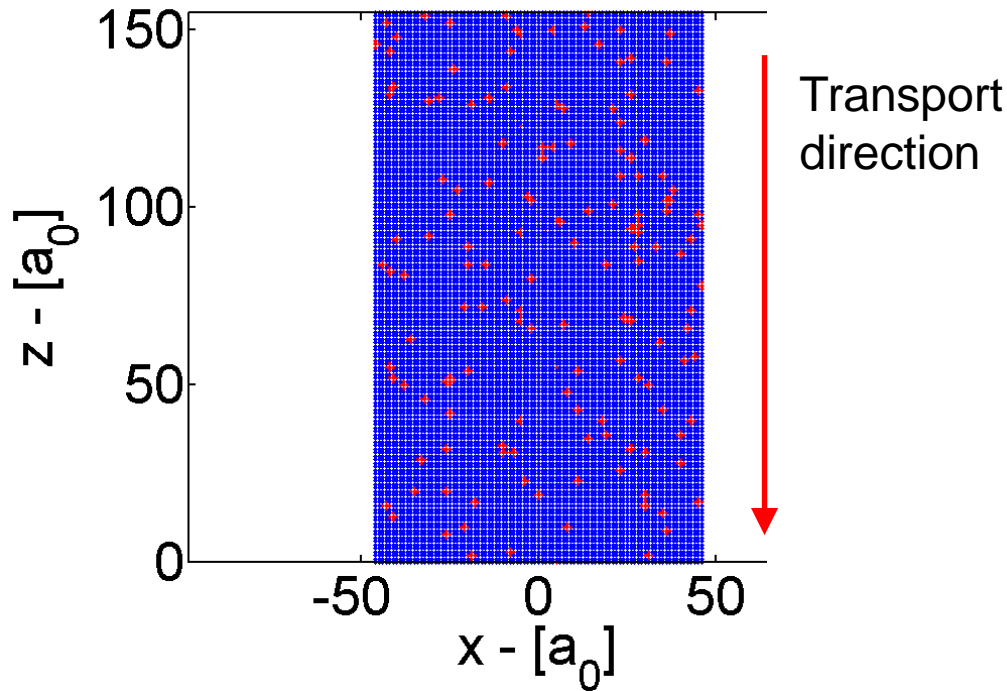


2D Superlattice

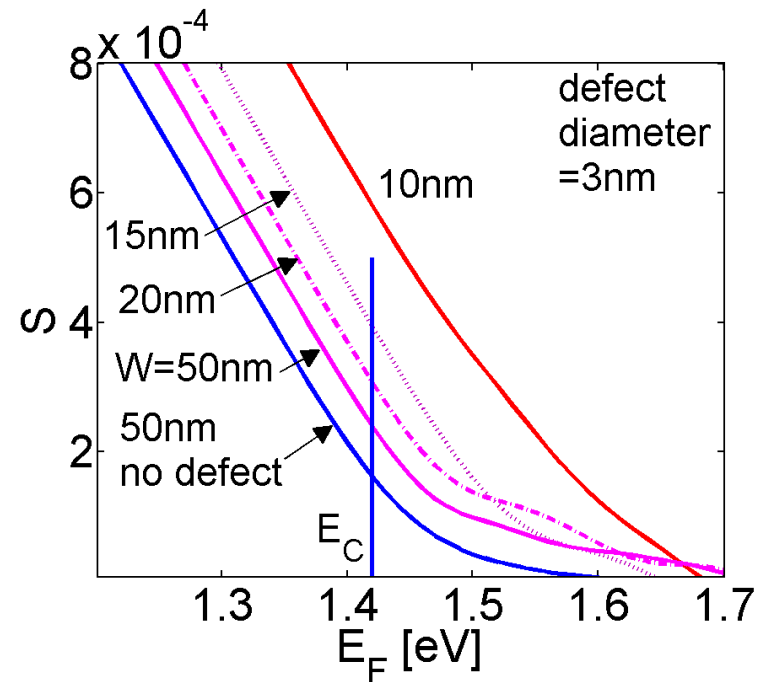


- (1) Variation in energy of current is larger in 1D
- (2) 1D Utilizes S of barriers and σ of wells better
- (3) *1D Utilizes energy filtering more effectively*

Example 3: Nanocomposites – increase in S



Red spots: nano-inclusions
(here they are barriers of $V_b=0.3\text{eV}$)
Blue region: channel

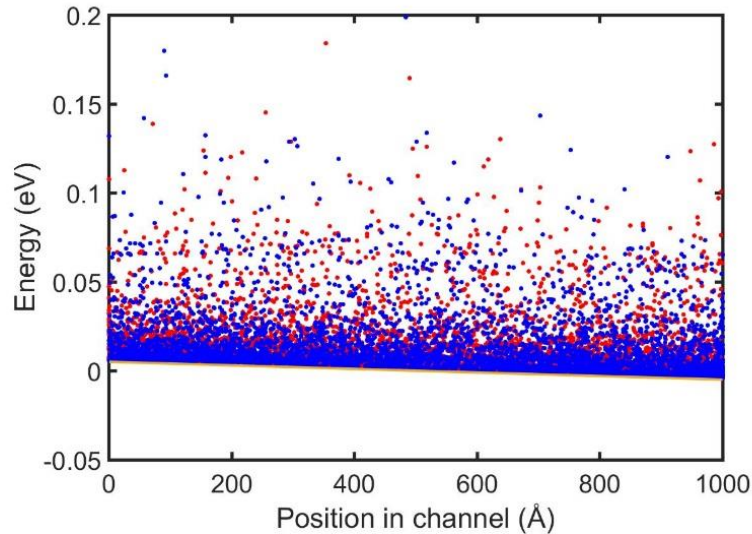


- (1) Nano-inclusions improve S
- (2) As the domain size decreases, the increase in S is larger

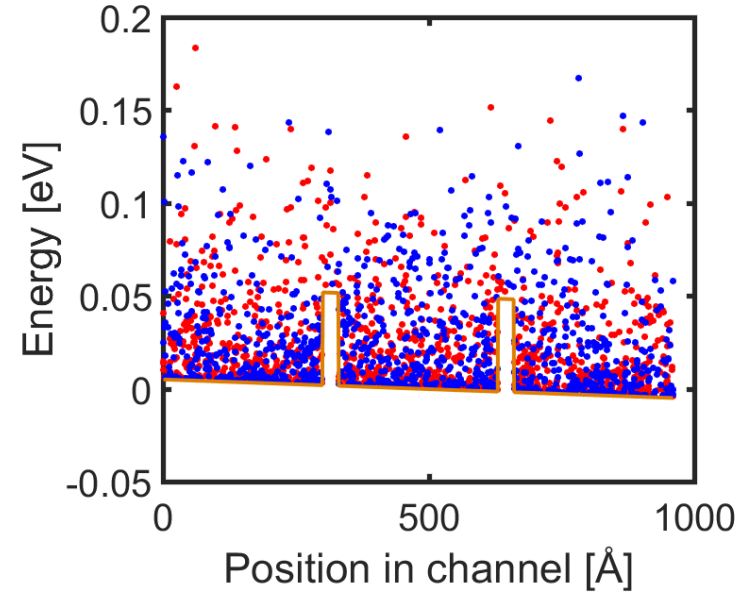
Outline

- Non-Equilibrium Green's Function (NEGF):
 - Method
 - Example 1: Influence of variations in SLs
 - Example 2: Filtering in 1D vs 2D
 - Example 3: Nanocomposites
- Monte Carlo semiclassical simulator development:
 - Method
 - Self-consistency
 - Scaling to large geometries
 - Inclusion of quantum effects
- Conclusions

Monte Carlo method



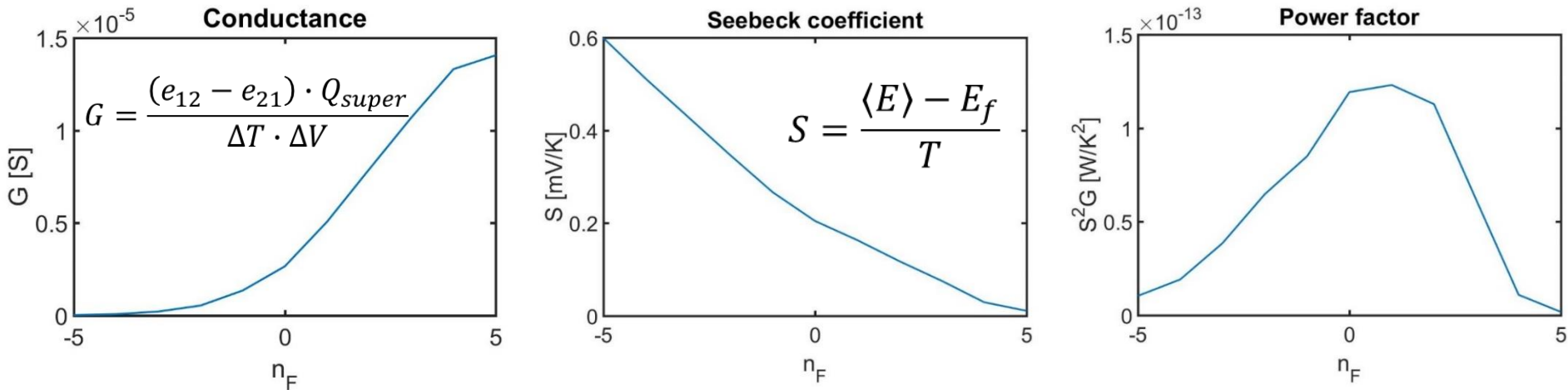
Uniform channel



Superlattice

- Electrons distributed in the channel according to the Fermi distribution and the Density of States
- Allowed to disperse under the influence of the potential
- Scattering by acoustic and optical phonons, ionized impurities, etc.

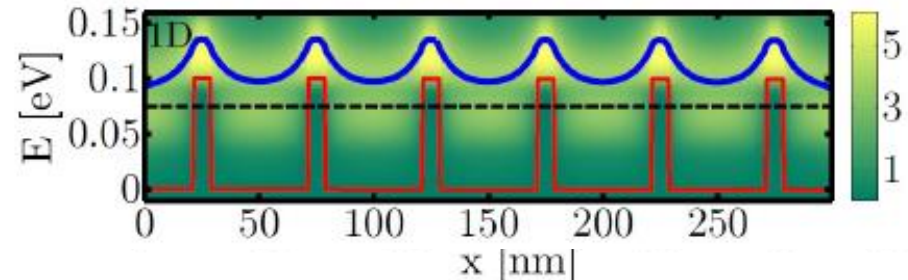
Thermoelectric coefficients from MC



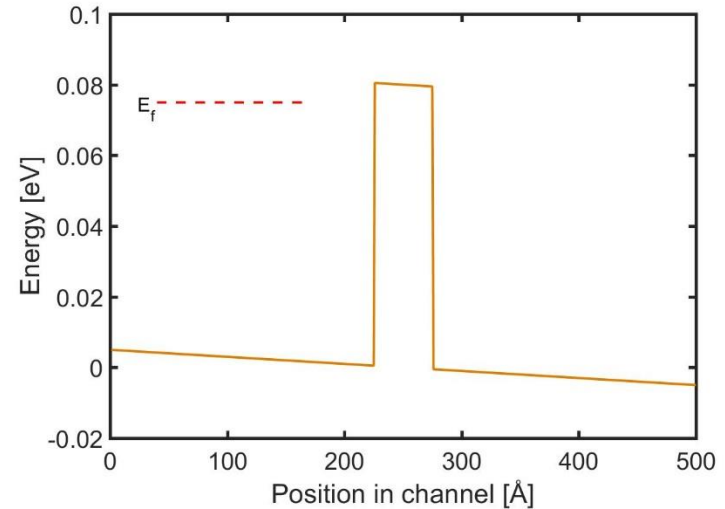
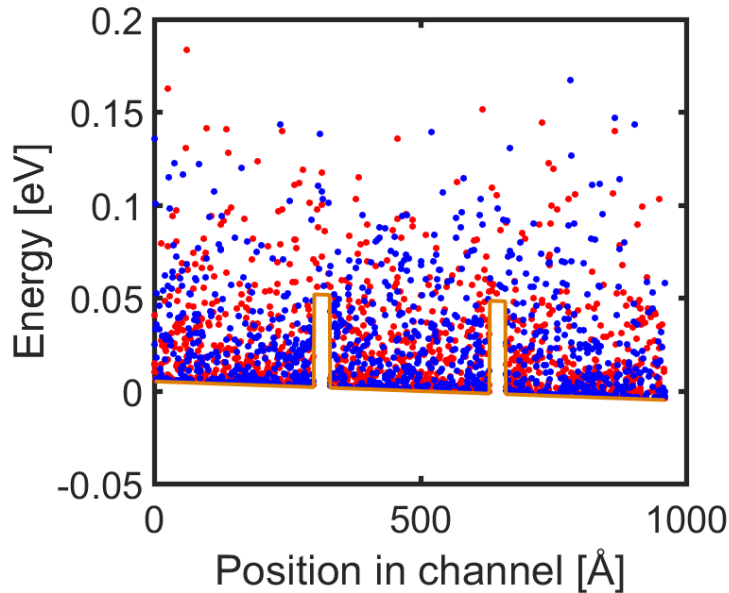
1. Calculate the average energy of the current

2. $S = \frac{-I_{\Delta T} \cdot \Delta V}{I_{\Delta V} \cdot \Delta T}$ for arbitrary ΔV and ΔT

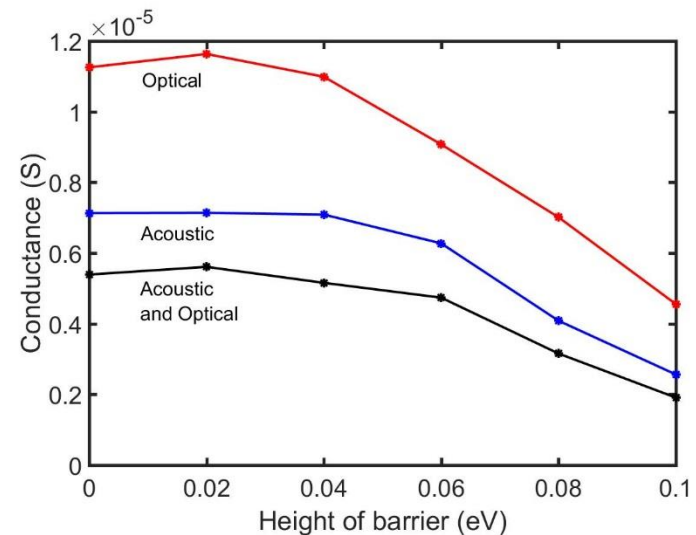
3. $S = \frac{-\Delta V}{\Delta T}$ for $I_{\Delta T} = I_{\Delta V}$



Simulations of superlattices in MC

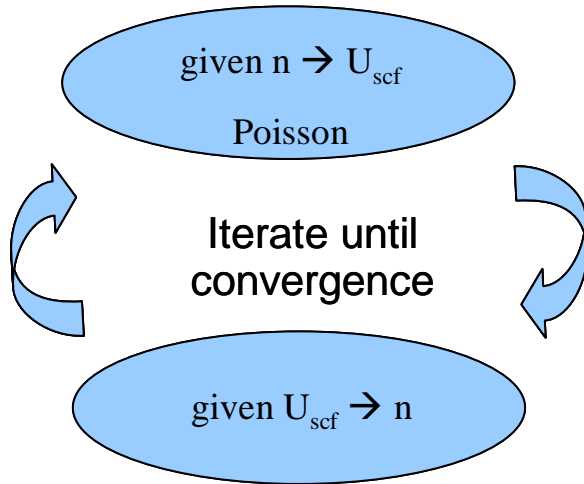


➤ Include all relevant scattering parameters (next Ionised Impurities)



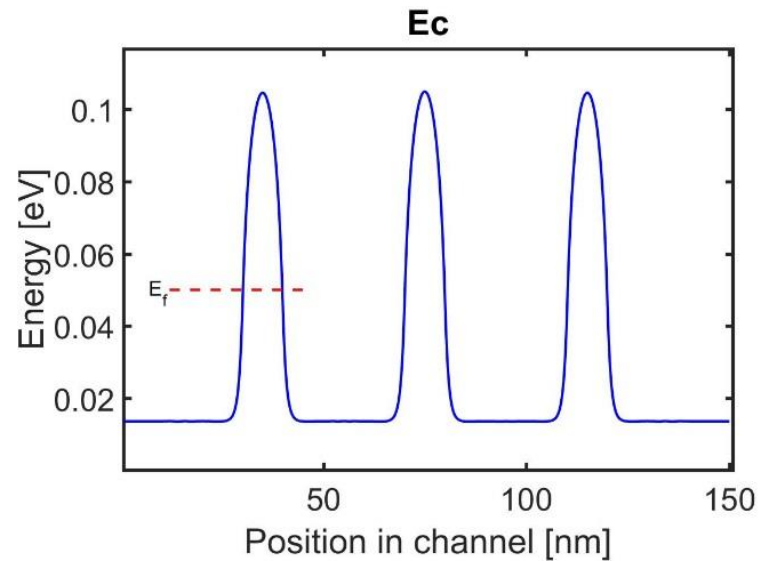
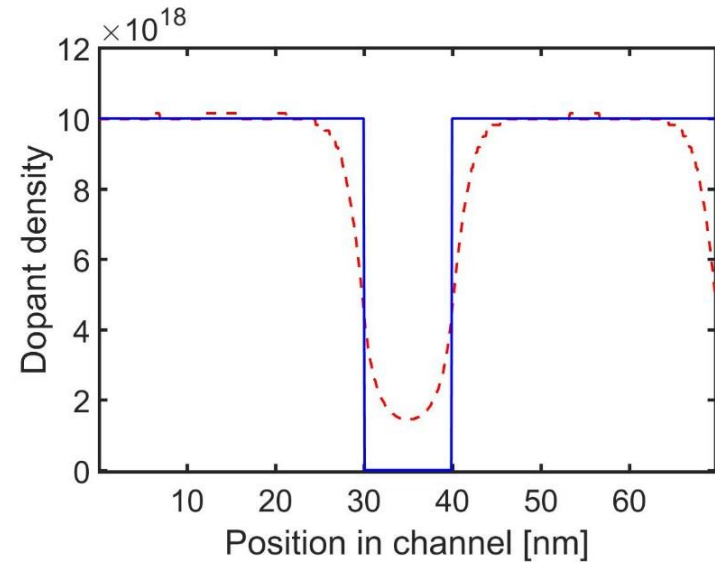
Include self-consistent electrostatics

ELECTROSTATICS

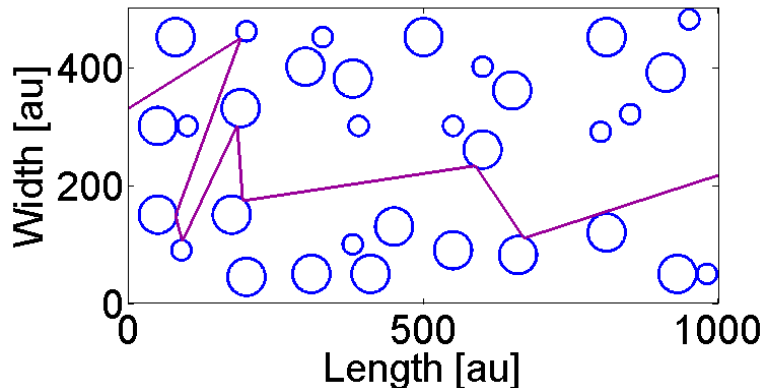
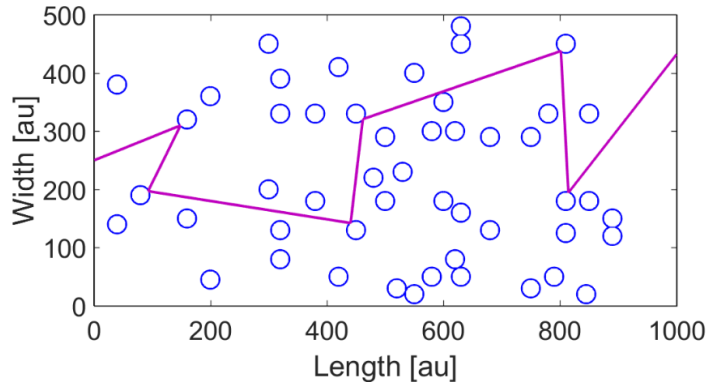
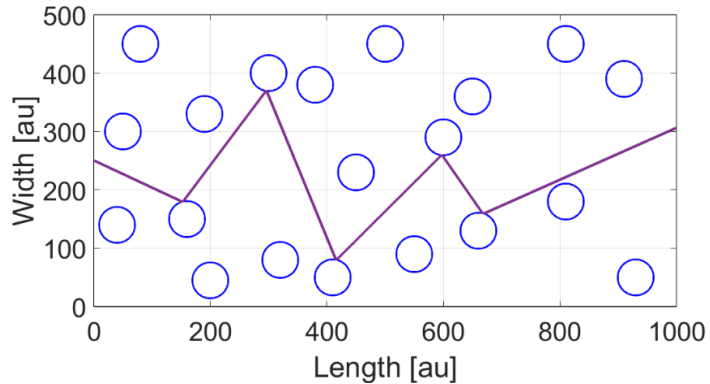


TRANSPORT

- Obtain the actual potential profile for specific doping distributions

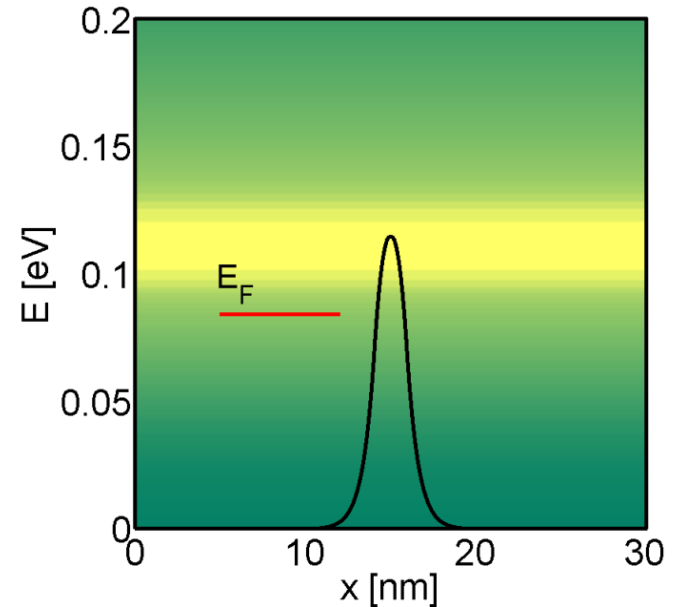
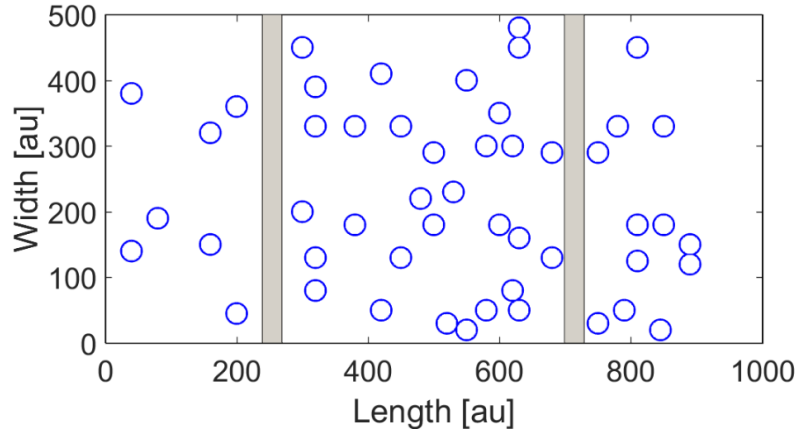


Extension to 2D



- Extend to larger geometries, where NEGF cannot reach
- Envision 100nm x 1000nm domains
- Nano-inclusions of various sizes
- Extend to nano-inclusions, grain boundaries dislocations, etc.
- *Electron AND phonon transport*

Incorporate quantum tunneling



Effect of tunnelling through a barrier

- Incorporate quantum tunneling
- Basic idea:
 - Solve 1D NEGF for simplified cases
 - Provide a probability of going through the barrier when an electron reaches a barrier in MC

Outline

- Non-Equilibrium Green's Function (NEGF):
 - Method
 - Example 1: Influence of variations in SLs
 - Example 2: Filtering in 1D vs 2D
 - Example 3: Nanocomposites
- Monte Carlo semiclassical simulator development:
 - Method
 - Self-consistency
 - Scaling to large geometries
 - Inclusion of quantum effects
- **Conclusions**

Conclusions

- Techniques for electronic transport in nanocomposites
- Quantum mechanical (NEGF)
- Semiclassical Monte Carlo
- Extend to large geometries
- Perform realistic simulations
- Incorporate all important transport effects

Acknowledgements:

Mischa Thesberg, Hans Kosina (TU Vienna group),
Dario Narducci (Univ. Milan-Bicocca)



ERC StG: NANOthermMA