**U.S. Department of Justice** Bureau of Alcohol, Tobacco, Firearms and Explosives

# OMB No. 1140-0013 (05/31/2017) Application for Tax-Exempt Transfer of Firearm and Registration to Special Occupational Taxpayer (National Firearms Act)

ATF Control Number	1 .1									
1. Transferee's Name and Address (as they appear on the Federal Firearms License, including trade name, if any)							To be submitted in duplicate by transferor of firearm			
								To: National Firearms Act Branch		
									bacco, Firearms	
							and Explosives 244 Needy Road, Martinsburg, WV 25405			
Sole Proprietor Partnership Corporation								imber and Area Code		
2a. Transferor's Name and Add		-	Firearms Li				_			
if any)										
•						•				
Sole Proprietor		tnership			orporati					
The above-named and undersigned t without payment of tax, and register									rearms Act to transfer,	
3. Description of Firearm (Com	plete items a through	h, if applicab	le)				d. Model			
a. Name and Address of Manufa	acturer and/or	b. Type of F	irearm			c. Caliber,	-			
Importer of Firearm		21	uction 1c)			Gauge or		0.05 1		
						Size	Length e. (Inches)	Of Barrel	f. Overall	
						(Specify)	· · ·			
							g. Serial Num	lber		
	· • ·		1 1			\				
h. Additional Description or Da	ta Appearing on Firea	arm ( <i>Attach ac</i>	<i>iditional she</i>	et if ne	cessary,	)				
4. Transferee's Federal Firearms	License			5	Transf	Faraa's Spacial	(Occupational)	Tax Status		
	5-digit number) (See	Instruction 2	,)	5. Transferee's Special (Occupational) Tax Status       a. Employer Identification Number       b. Class						
First 6 digits	2-digits	2-digits	5-digits		. Empre	.) ••• ••••••		0. 01455		
6. Transferor's Federal Firearms	License			7. Transferor's Special (Occupation				al) Tax Status		
(Give complete 1	5-digit number) (See	Instruction 2b	)	a. Employer Identification Num				lumber b. Class		
First 6 digits	2-digits	2-digits	5-digits							
8. Consent to Disclosure of Info		e (See instruct	ion 8). I <b>do</b>	or do 1	not (circ	cle one) author	ize ATF to prov	vide informati	on relating to this	
application to the above-nam										
I believe I am entitled to exempt								A), on the firea	arm described above	
because the transferee named he UNDER PENALTIES OF PERJU								liafit is true of	orrest and somplets	
9. Original Signature or Transfe			A A .	-		Title of Autho	· ·	liel it is true, c	11. Date	
9. Original Signature of Transfe	101 ( <i>Or authorized o</i> j	(ficiul)			rint or t		Shized Official		11. Date	
				(-		JP .				
	he Space Below is fo									
By Authority of the Director, thi Movement of that Firearm, Whe				ster an	d Regist	tration of the F	irearm Describ	ed Herein and	the Interstate	
	<u> </u>				icor	und (Easthand	llouing	a)		
Approved (with the following	ng conaitions, if any)			ЦD	usappro	vea (For the fo	ollowing reason	s)		
Authorized ATF Official								Date		

**U.S. Department of Justice** Bureau of Alcohol, Tobacco, Firearms and Explosives

# OMB No. 1140-0013 (03/31/2017) Application for Tax-Exempt Transfer of Firearm and Registration to Special Occupational Taxpayer (National Firearms Act)

ATF Control Number         I Transfered's Name and Address (as they appear on the Federal Firearms License, including trude name, "f any)       The salwnitted in duplicate by transferror of licents"         Sole Proprietor       Parmership       Corporation         2a. Transfered's Name and Address (as they appear on the Federal Firearms License, including trude name, "f any)       The Salwnitted in duplicate by transferror of licents"         2a. Transfered's Name and Address (as they appear on the Federal Firearms License, including trude name, "f any)       The Address (as they appear on the Federal Firearms License, including trude name, "g any)         •       •       •       •         Sole Proprietor       Partnership       Corporation         The Address of Manufacture and/or       Description of Firearm (Complete items a through h, f application to papel aloxy appearing transfer to the application.       A Model         3. Description of Firearm (Complete items a through h, f application to papel aloxy appearing transfer to the application.       Model         a. Name and Address of Manufacture and/or       D. Type of Firearm (Antech additional abset if necessary)       4. Transfered's Special (Occupational) Tax Status         b. Additional Description or Data Appearing on Firearm License       5. Transfered's Special (Occupational) Tax Status       6. Callest (Give complete 15-digit number) (See Instruction 20)       a. Fireford's Special (Occupational) Tax Status         6. Transfered's Special (Decupational) Tax Status										
if any       To: National Freezms Act Branch         Bale Proprietor       Partnership       Corporation         2a       Transferor's Name and Address (as they appear on the Federal Firearms License, including trade name, if any)       2b         2a       Transferor's Same and Address (as they appear on the Federal Firearms License, including trade name, if any)       2b         2a       Transferor's Telephone Number and Area Code       2b         2a       Transferor's Telephone Number and Area Code       2d         2b       Transferor's Telephone Number and Area Code       2d         2c       Description of Tirearm Complete the tirearn descripted (acceptational) targaper thereby makes application as required by Section 38.12 of the Natural Fincarms Act to Iransfer, if any)       4         3c       Description of Tirearm Complete therean descripted hereby to speed (acceptational) targaper identified as the transfere in this application.       3c         3c       Description of Tirearm Complete the tirearn descripted hereby to get as a transfer (Specifi)       4         4. Transferer's Federal Firearms License       5. Transferer's Special (Occupational) Tax Status       6         (Give complete 15-digit number) (See Instruction 2b)       a. Employer Identification Number       b. Class         • Transferer's Special (Occupational) Tax Status       6       Class       7         (Give complete 15-digit number) (See Instr										
Bureau of Alcohol, Tobaco, Firarms     and Explosives     24 Needy Road, Marinaburg, WV 25405     25. Transferor's Telephone Number and Arce Code     3. Transferor's Telephone Number and Arce Code     4. Newn and Address of Manifestiment a through h, if application is required by Section 5812 of the National Firearms Act to transfer,     video a greater the titem described between telephone Number and Arce Code     3. Description of Firearm (Complete Liens, a through h, if application)     3. Newn and Address of Manufacturer and/or     Newneen to Address of Manufacturer and/or     10. Additional Description or Data Appearing on Firearm (Attach additional sheet if necessary)     4. Transferer's Federal Firearms Liense     17. Transferrer's Special (Occupational) Tax Status     17. Transferrer's Special (Occupation								To be submitted in duplicate by transferor of firearm		
Sole Proprietor     Partnership     Corporation     Corpo							Bureau of Alcohol, Tobacco, Firearms			
Consent to Disclosure of Langener on the Federal Firearns License, including trade name, if any     Curporation     Sole Proprietor     Partnership     Curporation     C										insburg, WV 25405
(f arey)	Sole Proprietor Partnership Corporation						n			
Sole Proprietor       Partnership       Corporation         The above-named and undersigned transferor and special (occupational) targayer hereby makes application as required by Section 5812 of the National Finarms Act to transfer, without symewic alter. and register the fineam described below to special (occupational) targayer hereby redutified as the transferer in this application.         3. Description of Finearm (Complete items a through h, if applicable)       G. Model         a. Name and Address of Manufacturer and/or       D. Type of Finearm         [See instruction 1c)       C. Barrel         Gauge or       See instruction 1c)         B. Additional Description or Data Appearing on Finearm (Attach additional sheet if necessary)         4. Transferee's Federal Finearms License       5. Transferee's Special (Occupational) Tax Status         (Give complete 15-digit number) (See Instruction 2b)       a. Employer Identification Number         6. Transferee's Federal Finearms License       7. Transferee's Special (Occupational) Tax Status         (Give complete 15-digit number) (See Instruction 2b)       a. Employer Identification Number       b. Class         First 6 digits       2-digits       2-digits       5-digits         (Give complete 15-digit number) (See Instruction 2b)       a. Employer Identification Number       b. Class         First 6 digits       2-digits       2-digits       5-digits         8. Consent to Disclosure of Information to Tra		ress (as they appear	on the Federal	Firearms Li	icense,	including	g trade name,			
The above-named and undersigned transferor and special (occupational) taxpayer hereby makes application as required by Section \$812 of the National Firearms Act to transfer, without payment of Tax, and register the firearm described below to special (occupational) taxpayer identified as the transfere in this application.         3. Description of Firearm (Omplete items at horough, hi gapplicable)       a. Model         a. Name and Address of Manufacturer and/or Importer of Firearm (See instruction lc)       b. Type of Firearm (Gauge or Gize (Specifyi))       c. Caliber, (Specifyi)         b. Additional Description or Data Appearing on Firearm (Attach additional sheet if necessary)       c. Caliber, (Specifyi)       c. Of Barrel (from transfere)         4. Transferee's Federal Firearms License       5. Transferee's Special (Occupational) Tax Status       c. Class         (Give complete 15-digit number) (See Instruction 2b)       a. Employer Identification Number       b. Class         6. Transferee's Federal Firearms License       7. Transferee's Special (Occupational) Tax Status       c. Class         (Give complete 15-digit number) (See Instruction 2b)       a. Employer Identification Number       b. Class         6. Transferey's Federal Firearms License       7. Transferey's Special (Occupational) Tax Status       c. Class         (Give complete 15-digit number) (See Instruction 2b)       a. Employer Identification Number       b. Class         8. Consent to Disclosure of Information to Transferee (See instruction 8). 1 do or do not (circle one) authorize ACTFA), on the fir	if any)									
The above-named and undersigned transferor and special (accapational) taxpayer hereby makes application as required by Section 5812 of the National Firearms Act to transfer, without payment of Tax, and register the firearm described below to special (accapational) taxpayer identified as the transfere in this application.         3. Description of Firearm (Complete items at horough, hi gapplicable)       a. Model         a. Name and Address of Manufacturer and/or Importer of Firearm       b. Type of Firearm       c. Caliber, Gauge or Gize (Coperational) taxpayer identified as the transfere in this application.         b. Additional Description or Data Appearing on Firearm (Attach additional sheet if necessary)       c. Caliber, Gauge or Gize (Coperational) Tax Status       c. Orb Barrel (Construction 20)         4. Transferee's Federal Firearms License       5. Transferee's Special (Occupational) Tax Status       a. Employer Identification Number       b. Class         6. Transferee's Federal Firearms License       7. Transferee's Special (Occupational) Tax Status       a. Employer Identification Number       b. Class         6. Transferee's Federal Firearms License       7. Transferor's Special (Occupational) Tax Status       a. Employer Identification Number       b. Class         6. Transfere's Federal Firearms License       7. Transferor's Special (Occupational) Tax Status       a. Employer Identification Number       b. Class         6. Transfere's Federal Firearms License       7. Transferor's Special (Occupational) Tax Status       c. Class         6. Transfere's Federal Firear	•						•			
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without payment of tax, and register the fream described below to special <i>loccupational</i> ) taxpayer identified as the transferee in this application.          3. Description of Firearm (Complete items a through h, if applicable)       d. Model         a. Name and Address of Manufacturer and/or Importer of Firearm       [b. Type of Firearm (See instruction 1c)       c. Caliber, Gauge or Size (Specify)       d. Model         h. Additional Description or Data Appearing on Firearm (Attach additional sheet if necessary)       e. Of Barrel       f. Overall         4. Transferee's Federal Firearms License       5. Transferee's Special (Occupational) Tax Status       a. Employer Identification Number       b. Class         6. Transferee's Federal Firearms License       5. Transferee's Special (Occupational) Tax Status       a. Employer Identification Number       b. Class         6. Transferee's Federal Firearms License       7. Transferor's Special (Occupational) Tax Status       a. Employer Identification Number       b. Class         6. Transferor's Federal Firearms License       7. Transferor's Special (Occupational) Tax Status       a. Employer Identification Number       b. Class         6. Transferor's Federal Firearms License       7. Transferor's Special (Occupational) Tax Status       a. Employer Identification Number       b. Class         6. Status       2-digits       5-digits       1. do or do not (circle one) authorize ATF to provide information relating to this application to the above-named transferce       b. Class <td< td=""><td></td><td></td><td>-</td><td></td><td></td><td>•</td><td></td><td></td><td>1</td><td></td></td<>			-			•			1	
a. Name and Address of Manufacturer and/or Importer of Firearm Importer of Data Appearing on Firearm (Attach additional sheet if necessary)  4. Transferee's Federal Firearms License Importer of Give complete 15-digit number) (See Instruction 2b) Importer of See Instruction 2b) Importer of Firearms License Importer of Give complete 15-digit number) (See Instruction 2b) Importer of See Instruction 2b) Importer of See Instruction 2b) Importer of See Instruction 2b) Importer of Give complete 15-digit number) (See Instruction 2b) Importer of See Instruction 2b) Importer of See Instruction 2b) Importer of Information to Transferee (See Instruction 8). 1 do or do not (circle one) authorize ATF to provide information relating to this application to the above-named transferee. Importer of Information to Transferee (See Instruction 8). 1 do or do not (circle one) authorize ATF to provide information relating to this application to the above-named transferee. Importer of Transferee (See Instruction 8). 1 do or do not (circle one) authorize ATF to provide information relating to this application to the above-named transferee. Importer of the transferee to the NFA to manufacture, import or deal in that type of firearm. INDER PENALTIES OF PERVURY, 1 DECLARE that I have examined this application, and to the best of my knowledge and belief it is true, correct and complete. Inter the Application has been Examined, and the Transfer and Registration of the Firearm Bescribed Herein and the Interstate Movement of that Firearm, Mathen Applicable, to the Transfere Are: Importer of Authorized Official Importer of Authorized Official Importer Are Interstate Movement of the Firearm Importer Are Interstate Movement of the Interstate Movement of the Interaster Are Are Interstate Movement of the Interst										rearms Act to transfer,
Importer of Firearm       (See instruction Ic)       Gauge or Size (Specify)       Length (Inches)       c. Of Barrel       f. Overall         h. Additional Description or Data Appearing on Firearm (Attach additional sheet if necessary)       g. Serial Number       g. Serial Number         4. Transferce's Federal Firearms License       5. Transferce's Special (Occupational) Tax Status         (Give complete 15-digit number) (See Instruction 2b)       a. Employer Identification Number       b. Class         6. Transferor's Federal Firearms License       7. Transferor's Special (Occupational) Tax Status         (Give complete 15-digit number) (See Instruction 2b)       a. Employer Identification Number       b. Class         (Give complete 15-digit number) (See Instruction 2b)       a. Employer Identification Number       b. Class         (Give complete 15-digit number) (See Instruction 2b)       a. Employer Identification Number       b. Class         (Give complete 15-digit number) (See Instruction 8). 1 do or do not (circle one) authorize ATF to provide information relating to this application to the above-named transferee.         1 Delivee I am entitled to exemption from payment of the transfer tax imposed by Section 5811, National Firearms Act (NFA), on the firearm described above-because the transferee named herein is qualified under the NFA to manufacture, import or deal in that type of firearm.         UNDER PENALTIES OF PERJURY, I DECLARE that I have examined this application, and to the best of my knowledge and belief it is true, correct and complete.	3. Description of Firearm (Com	plete items a throug	h h, if applicab	le)				d. Model		
Importer of Firearm       (See instruction Ic)       Gauge or Size (Specify)       Length (Inches)       e. Of Barrel       f. Overall         h. Additional Description or Data Appearing on Firearm (Attach additional sheet if necessary)       g. Serial Number       g. Serial Number         4. Transferee's Federal Firearms License       5. Transferee's Special (Occupational) Tax Status         (Give complete 15-digit number) (See Instruction 2b)       a. Employer Identification Number       b. Class         6. Transferer's Federal Firearms License       7. Transferer's Special (Occupational) Tax Status       d.         6. Transferor's Federal Firearms License       7. Transferor's Special (Occupational) Tax Status       d.         6. Transferor's Federal Firearms License       7. Transferor's Special (Occupational) Tax Status       d.         6. Transferor's Federal Firearms License       7. Transferor's Special (Occupational) Tax Status       d.         6. Transferor's Federal Firearms License       7. Transferor's Special (Occupational) Tax Status       d.         8. Consent to Disclosure of Information to Transferee (See instruction 8). 1 do or do not (circle one) authorize ATF to provide information relating to this application to the above-named transferee.       D. Class         1 believe I an entitled to exemption from payment of the transfer tax imposed by Section 5811, National Firearm.       Nume and Title of Authorized Official       11. Date         9. Original Signature or Transferor (	a. Name and Address of Manuf	acturer and/or	b. Type of I	Firearm		C	c. Caliber,	-		
interministic (inches)       interministic (inches)         g. Serial Number         h. Additional Description or Data Appearing on Firearm (Attach additional sheet if necessary)         4. Transferce's Federal Firearms License         (Give complete 15-digit number) (See Instruction 2b)         a. Employer Identification Number         b. Class         (Give complete 15-digit number) (See Instruction 2b)         a. Employer Identification Number         b. Class         (Give complete 15-digit number) (See Instruction 2b)         a. Employer Identification Number         b. Class         (Give complete 15-digit number) (See Instruction 2b)         a. Employer Identification Number         b. Class         (Give complete 15-digit number) (See Instruction 2b)         a. Employer Identification Number         b. Class         (Give complete 15-digit number) (See Instruction 8).         1 do or do not (circle one) authorize ATF to provide information relating to this application to the above-named transferce.         1 believe I an entitled to exemption from payment of the transfer tax imposed by Section 5811, National Firearms Act (NFA), on the firearm described above because the transfere name dherein is qualified under the NFA to manufacture, import or deal in that type of firearm.         UNDER PENALTIES OF PERUURY, I DECLARE that I have examined this application, and to the best of my knowledge and belief it								<b>T</b>	0.000 1	
Image: construction         Constructis         Construction         Construc								U U U	Of Barrel	f. Overall
h. Additional Description or Data Appearing on Firearm (Attach additional sheet if necessary)         4. Transferee's Federal Firearms License       5. Transferee's Special (Occupational) Tax Status         (Give complete 15-digit number) (See Instruction 2b)       a. Employer Identification Number       b. Class         6. Transfereo's Federal Firearms License       7. Transferor's Special (Occupational) Tax Status       class         (Give complete 15-digit number) (See Instruction 2b)       a. Employer Identification Number       b. Class         (Give complete 15-digit number) (See Instruction 2b)       a. Employer Identification Number       b. Class         (Give complete 15-digit number) (See Instruction 2b)       a. Employer Identification Number       b. Class         8. Consent to Disclosure of Information to Transferee (See instruction 8). 1 do or do not (circle one) authorize ATF to provide information relating to this application to the above-named transferee.       10. National Firearms Act (NFA), on the firearm described above because the transferee named herein is qualified under the NFA to manufacture, import or deal in that type of firearm.       11. Date (Print or type)         9. Original Signature or Transferor (Or authorized official)       10. Name and Title of Authorized Official       11. Date         (Print or type)       11. Date       Querter to type)       11. Date         (Approved (with the following conditions, if any)       Disapproved (For the following reasons)       11. Disapproved (For the following reasons)							(Specify)	· · · ·		
4. Transferee's Federal Firearms License       5. Transferee's Special (Occupational) Tax Status         (Give complete 15-digit number) (See Instruction 2b)       a. Employer Identification Number       b. Class         First 6 digits       2-digits       2-digits       5-digits         a. Employer Identification Number       b. Class         6. Transferor's Federal Firearms License       7. Transferor's Special (Occupational) Tax Status         (Give complete 15-digit number) (See Instruction 2b)       a. Employer Identification Number       b. Class         First 6 digits       2-digits       2-digits       5-digits         Seconsent to Disclosure of Information to Transferee (See instruction 8). 1 do or do not (circle one) authorize ATF to provide information relating to this application to the above-named transferee.       b. Class         1 believe I am entitled to exemption from payment of the transfer tax imposed by Section 5811, National Firearms Act (NFA), on the firearm described above because the transfere and herein is qualified under the NFA to manufacture, import or deal in that type of firearm.         UNDER PENALTIES OF PERJURY, I DECLARE that I have examined this application, and to the best of my knowledge and belief it is true, correct and complete.         9. Original Signature or Transferor (Or authorized official)       10. Name and Title of Authorized Official       11. Date         (Print or type)       11. Date       (Print or type)       11. Date         Movement of that Firearm,								g. Serial Num	ber	
4. Transferee's Federal Firearms License       5. Transferee's Special (Occupational) Tax Status         (Give complete 15-digit number) (See Instruction 2b)       a. Employer Identification Number       b. Class         First 6 digits       2-digits       2-digits       5-digits         a. Employer Identification Number       b. Class         6. Transferor's Federal Firearms License       7. Transferor's Special (Occupational) Tax Status         (Give complete 15-digit number) (See Instruction 2b)       a. Employer Identification Number       b. Class         First 6 digits       2-digits       2-digits       5-digits         Seconsent to Disclosure of Information to Transferee (See instruction 8). 1 do or do not (circle one) authorize ATF to provide information relating to this application to the above-named transferee.       b. Class         1 believe I am entitled to exemption from payment of the transfer tax imposed by Section 5811, National Firearms Act (NFA), on the firearm described above because the transfere and herein is qualified under the NFA to manufacture, import or deal in that type of firearm.         UNDER PENALTIES OF PERJURY, I DECLARE that I have examined this application, and to the best of my knowledge and belief it is true, correct and complete.         9. Original Signature or Transferor (Or authorized official)       10. Name and Title of Authorized Official       11. Date         (Print or type)       11. Date       (Print or type)       11. Date         Movement of that Firearm,		(. <b>A</b>		11:4: 1.1	. · C					
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### 1. Definitions

- a. National Firearms Act (NFA). Title 26, United States Code, Chapter 53. The implementing regulations are found in Title 27, CFR, Part 479.
- b. **Gun Control Act (GCA).** Title 18, United States Code, Chapter 44. The implementing regulations are found in Title 27, CFR, Part 478.
- c. **Firearm.** The term "firearm" means: (1) a shotgun having a barrel or barrels of less than 18 inches in length; (2) a weapon made from a shotgun if such weapon as modified has an overall length of less than 26 inches or a barrel or barrels of less than 18 inches in length; (3) a rifle having a barrel or barrels of less than 16 inches in length; (4) a weapon made from a rifle if such weapon as modified has an overall length of less than 26 inches or a barrel or barrels of less than 16 inches in length; (5) an other weapon as defined in 18 U.S.C. § 5845(e); (6) a machine gun; (7) a muffler or silencer for any firearm whether or not such firearm is included within this definition; and (8) a destructive device.
- d. **Person.** The term "person" means a partnership, company, association, trust, estate, or corporation, as well as a natural person.
- e. **Employer Identification Number (EIN).** Required of taxpayer filing special (occupational) tax returns under 27 CFR § 479.35.
- f. **Special (Occupational) Tax.** Required by the NFA to be paid by a Federal firearms licensee engaged in the business of manufacturing (Class 2), importing (Class 1), or dealing (Class 3) in NFA firearms.
- g. Federal Firearms License. A license issued under the provisions of the GCA to manufacture, import or deal in firearms.
- h. **ATF Officer.** An officer or employee of the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) authorized to perform any function relating to the administration of the NFA
- i. **Transfer.** Selling, assigning, pledging, leasing, loaning, giving away, or otherwise disposing of a firearm.
- j. **Transferor.** The registered owner of a firearm who is applying to transfer it.
- k. Transferee. The person acquiring the firearm.

## 2. Preparation of Application

- a. **Authority.** As provided by 26 U.S.C. §§ 5812 and 5852, any person seeking to transfer a firearm exempt from payment of tax must complete, in duplicate, a separate application on this form. The transferor must furnish all the information called for on this application form.
- b. The entity identified in item 4 must be the same as the entity identified in item 5. For example, if item 4 identifies a sole proprietor, item 5 cannot identify a corporation. This also applies for the completion of items 6 and 7.
- c. **Signatures.** All signatures required on Form 3 must be original in ink on both copies.
- d. **Photocopies Or Computer Generated Versions.** The ATF Form 3 may be photocopied or a computer-generated version *(as long as it is in the same format and contains all required information)* may be used. This form may also be downloaded from the ATF Internet website: **www.atf.gov**
- e. Serial Numbers. When more than one firearm of the same description is being transferred and the serial numbers are in a consecutive series, the transferor may enter the beginning and ending numbers of the range in item 3g. If more than one firearm of the same description is being transferred, but the serial numbers are non-consecutive, the registrant may note item 3g to "see attached list of xxx serial numbers." Any attachment must be referenced to ATF Form 3.
- f. Estates. If the firearm is being transferred from an estate, as provided in

27 CFR §§ 478.56 and 479.42, of a Federal firearms licensee who has paid the special (occupational) tax under the NFA, item 2a shall reflect: the executor's name, title (executor *(or executrix, administrator, administratrix)* of the estate of *(name)*), and the executor's address. *(See the ATF Internet website at www.atf.gov* for additional information).

- g. **Submission.** All requested information must be entered in blue or black ink and must be legible. Send both copies of the ATF Form 3 and attachments to the address located in the upper right hand corner on the face side of the ATF Form 3. The return of the application or sending it to any other address will only delay the processing.
- h. **Submission By Facsimile Transmission.** ATF Form 3 may be submitted for approval via facsimile transmission ((304) 616-4501) provided the transferor filed an affidavit with the NFA Branch in accordance with ATF Industry Circular 89-6.
- i. **State Or Local Permit.** If a State or local permit or license is required for the transferee prior to acquisition of the firearm, a copy of the permit must be included with the application.
- 3. **Approval of Application.** Upon approval of an application, the NFA Branch will return an approved copy to the transferor for delivery with the firearm to the transferee. Since the approval of the application effectuates registration of the firearm to the transferee, the physical transfer of the firearm must be completed immediately; however, the transferor must not transfer the firearm until the application has been approved and received. If the physical transfer of the firearm cannot be completed immediately, the transferor must contact the NFA Branch with the specifics.
- 4. Withdrawal of Application. The transferor may withdraw an application prior to approval subsequent to a written request.
- 5. **Cancellation of Approved Application.** The transferor may cancel an approved application only if the physical transfer of the firearm has not been completed. The transferor must return the approved application with a written request of cancellation, citing the need and that the physical transfer of the firearm did not take place.
- 6. **Disapproval of Application.** If the application is disapproved, the NFA Branch will note the reason for disapproval on the application and return one copy of the ATF Form 3 to the transferor.
- Reasons for Disapproval. 26 U.S.C. § 5812 provides that application shall be denied if the transfer, receipt, or possession of the firearm would place the transferee in violation of law. If State or local law prohibits the receipt or possession of the firearm being transferred, the application will be disapproved.
- 8. **Status Inquiries and Questions.** Information relating to the NFA and other firearms laws is available at the ATF Internet website at **www.atf.gov**. Any inquiry relating to the status of an application to transfer an NFA firearm or about procedures in general should be directed to the NFA Branch at (304) 616-4500. Please be aware that any dissemination by ATF of information relating to the application to register an NFA firearm must conform with the restrictions in 26 U.S.C. § 6103. The opportunity provided in item 8 to authorize ATF to disclose information is intended to enable ATF to respond to inquiries by the transferee regarding the application. The failure to complete item 8 will be considered a declination of authorization to release the information.
- 9. Penalties. Any person who violates or fails to comply with any of the requirements of the NFA shall, upon conviction, be fined not more than \$10,000 or be imprisoned for not more than 10 years, or both. Any firearm involved in a violation of the NFA shall be subject to seizure and forfeiture. It is unlawful for any person to make or cause the making of a false entry on any application or record required by the NFA knowing such entry to be false.
- 10. **Compliance With The Gun Control Act.** All provisions of the GCA must also be complied with, including the recordkeeping requirements for licensees.

### Important Information for Currently Registered Firearms

If this registration document evidences the current registration of the firearm(s) described on it, please note the following information.

Estate Procedures: For procedures regarding the transfer of firearms in an estate resulting from the death of the registrant identified in item 1, the executor should contact the NFA Branch, Bureau of Alcohol, Tobacco, Firearms and Explosives, 244 Needy Road, Martinsburg, WV 25405

Change of Address: The registrant, if no longer a Federal firearms licensee, shall notify the NFA Branch, Bureau of Alcohol, Tobacco, Firearms and Explosives, 244 Needy Road, Martinsburg, WV 25405, in writing, of any change to the address in item 1.

Interstate Movement: If the registrant is no longer a Federal firearms licensee and the firearm identified in item 3 is a machinegun, short-barreled rifle, short-barreled shotgun, or destructive device, the registrant is required by 18 U.S.C. § 922(a)(4) to obtain permission from ATF prior to any transportation in interstate or foreign commerce.

Change of Description: The registrant shall notify the NFA Branch, Bureau of Alcohol, Tobacco, Firearms and Explosives, 244 Needy Road, Martinsburg, WV 25405, in writing, of any change to the description of the firearm(s) in item 3.

**Restrictions on Possession**: Any restriction *(see approval block on face of form)* on the possession of the firearm(s) identified in item 3 continues with the further transfer of the firearm(s). Any machinegun manufactured or imported on or after May 19, 1986, may not be retained when the registrant is no longer qualified to deal in NFA firearms.

Persons Prohibited from Possessing Firearms: If the registrant becomes prohibited by 18 U.S.C. § 922 from possessing a firearm, the registrant shall notify the NFA Branch, Bureau of Alcohol, Tobacco, Firearms and Explosives, 244 Needy Road, Martinsburg, WV, in writing, immediately upon becoming prohibited for guidance on the disposal of the firearm.

Proof of Registration: This approved application is the registrant's proof of registration and it shall be made available to any ATF officer upon request.

### **Paperwork Reduction Act Notice**

This form is in accordance with the Paperwork Reduction Act of 1995. The information you provide will be used to apply to transfer firearms tax exempt from one Federal firearms licensee and special (*occupational*) taxpayer qualified to deal in NFA firearms to another qualified special taxpayer. The data is used to verify lawful transfer and registration of firearms. The information being furnished is mandatory (26 U.S.C. § 5812).

The estimated average burden associated with this collection of information is 30 minutes per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be addressed to the Reports Management Officer, Document Services Branch, Bureau of Alcohol, Tobacco, Firearms and Explosives, Washington DC 20226.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

## **Privacy Act Information**

- 1. Authority. Solicitation of this information is made pursuant to the National Firearms Act (26 U.S.C. §§§ 5812 and 5851 and 5852). Disclosure of this information by the applicant is mandatory for any person (other than a manufacturer qualified under the National Firearms Act) making a firearm as defined in the National Firearms Act.
- 2. Purpose. To verify the tax exemption imposed by 26 U.S.C. § 5851; to determine that the transfer would be in violation of law; and effect registration of the firearm.
- 3. **Routine Uses**. The information will be used by ATF to make the determinations set forth in paragraph 2. In addition, to effect registration of the firearm, information as to the identification of the firearm, date of registration, and the identification and address of person entitled to possess the firearm will be entered into the National Firearms Registration and Transfer Record. No information obtained from an application, registration, or records required to be submitted by a natural person in order to comply with any provision of the National Firearms Act or regulations issued thereunder, shall, except in connection with prosecution or other action for furnishing false information, be used, directly or indirectly, as evidence against that person in any criminal proceeding with respect to a violation of law occurring prior to or concurrently with the filing of the application. The information from this application may only be disclosed to Federal authorities for purpose of prosecution for violation of the National Firearms Act.

3. Effects of not Supplying Information Requested. Failure to supply complete information will delay processing and may cause denial of the application