Unnecessary
Deaths:
The Human
and Financial
Costs of
Hospital
Infections

2nd Edition

Committee to reduce infection **d**eaths www.hospitalinfection.org

By Betsy McCaughey, Ph.D.

### Essential Facts You Need to Know About Hospital Infections

#### Keep in mind:

- We have the knowledge to prevent hospital infection deaths.
- We don't have to wait for a scientific breakthrough.
- · Yet most hospitals have failed to act.
- The situation is growing more dangerous because, increasingly, hospital infections cannot be cured with commonly-used antibiotics

#### **Essential facts:**

- 1. Infections contracted in hospitals are the fourth largest killer in America. Every year in this country, two million patients¹ contract infections in hospitals, and an estimated 103,000 die as a result,² as many deaths as from AIDS, breast cancer, and auto accidents combined.
- 2. A few hospitals in the U.S. too few are proving that infections are almost entirely preventable. How are they doing it? Through rigorous hand hygiene, meticulous cleaning of equipment and rooms in between patient use, testing incoming patients to identify those carrying dangerous bacteria, and taking precautions to prevent these bacteria from spreading to other patients.
- 3. In 2003, the Society for Healthcare Epidemiologists of America (SHEA) announced the precautions that research proves can eradicate most hospital infections. Yet only a few hospitals have taken these precautions, and the CDC still has not called on *all* hospitals to implement them.
- 4. Hospital infections add an estimated \$30.5 billion to the nation's hospital costs each year. Patients, insurers and taxpayers pay part of that cost, but hospitals have to absorb much of the cost. As a result, infections erode hospital profits. Preventing infections can turn a financially failing hospital profitable.
- 5. Better infection prevention in hospitals is essential to prepare the nation for avian flu or bioterrorism. If avian flu were to wing its way to the U.S., the death toll would depend largely on what American hospitals did when the first avian flu patients were admitted. If hospitals have effective infection controls in place, they can prevent bird flu from infecting other patients who did not come in with it. If not, bird flu could sweep through hospitals. Right now, most hospitals are woefully under prepared. Hospitals have failed to stop the spread of ordinary infections spread by touch and would not be able to contain flu viruses, which are communicated bv droplets coughing and sneezing as well as touch. Even more challenging would be small pox, plague, and other bioterrorism weapons that can travel through the air. Shoddy infection control is poor preparation for a flu epidemic and poor homeland security as well.
- 6. Hospital infection is a far deadlier problem than the number of uninsured. The Institute of Medicine estimates that as many as 18,000 people a year die prematurely because they don't have health insurance. That's tragic. But five times as many people die each year from hospital infections, and most of them are insured <sup>3</sup>



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### Dear Reader,

This book has been written to help you and to enlist your help in correcting a deadly situation that kills an estimated 103,000 people in this country each year—as many deaths as from AIDS, breast cancer, and auto accidents combined.

Where does it kill? In our hospitals. What is it? Hospital infection.

The death toll is staggering. So is the economic cost. Hospital infections add over \$30 billion a year to what the nation spends on hospital care, enough to pay for the Medicare Part D drug program.<sup>4</sup>

These infections are almost all preventable. A few hospitals in the U.S. are proving it, reducing some of the deadliest types of infections by 90 percent. Their achievements prove that we have the knowledge to solve this problem. No major scientific breakthrough is needed. What is lacking is leadership.

That is why I founded the Committee to Reduce Infection Deaths (RID): to motivate hospitals to make infection prevention a top priority; to inform patients about the steps they can take to reduce their risk of infection; and to ensure that no matter where you live, you can find out which hospitals in your area have the worst infection problems.

- RID holds forums for hospital administrators, public health officials, lawmakers, medical educators, insurers, and patient advocates, showing them *how* infections can be eradicated and *how much money can be saved*. The humanistic reasons to stop hospital infections are obvious. RID forums also make a compelling economic case for infection prevention, showing that for some hospitals, preventing infection can actually make the difference between profitability and loss.
- RID educates the public through television, radio, popular publications, and our website. One of our most important educational tools is the "15 Steps You Can Take to Reduce Your Risk of a Hospital Infection," which is included in this study.
- RID works with state lawmakers and other policy makers to develop hospital infection report cards, because if you need to be hospitalized, you should be able to choose a hospital with a low infection rate.

- RID partners with health insurers to develop incentives for hospitals to improve infection prevention and to deliver life-saving information to patients.
- RID is encouraging medical schools and nursing schools to educate their students about how bacteria are spread from patient to patient in hospitals and the precautions that should be taken to protect their patients—a subject that is almost entirely neglected in most schools.

RID has a distinguished advisory committee, including: Dr. Carlene Muto, Director of Infection Control and Hospital Epidemiology, University of Pittsburgh-Presbyterian Medical Center; Dr. Richard Shannon, Professor of Medicine, Hospital of the University of Pennsylvania; Dr. Jeffrey Borer, Chairman of the Division of Cardiovascular Pathophysiology at New York-Presbyterian Medical Center; Dr. Steve O'Brien, Vice Chairman of the Sports Medicine Department at Hospital for Special Surgery; Dr. Allen Hyman, Former Chief of Staff and Medical Director of New York-Presbyterian Medical Center; Dr. Bart Pasternack, a cardiovascular surgeon at Norwalk Hospital and Yale-New Haven Hospital in Connecticut; Dr. Alan Jasper, Chairman of the Department of Critical Care Medicine and Former Chief of Staff at St. Vincent's Medical Center in Los Angeles; Jane Barnsteiner RN, PhD, FAAN, Professor of Pediatric Nursing at UPENN School of Nursing; Dr. Sherwin Nuland, author of A Doctor's Plague; Dr. Elizabeth Whelan, founder of the American Council on Science and Health: and Nobel Laureate Dr. Joshua Lederberg. Other members include philanthropists and civic and corporate leaders.

Everyday you hear about health care problems such as providing for the uninsured. The Institute of Medicine estimated that as many as 18,000 people a year may die prematurely because they don't have health insurance.<sup>5</sup> But consider this even more tragic fact. Five times that many people die each year from hospital infection, and most of them *are insured*. Having insurance is no guarantee that you will be safe in the hospital. The only way to ensure that is to clean up this deadly problem.

Betsy McCaughey, Ph.D. Founder and Chairman

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## Third World Hygiene in Our First Class Medical System

Every day in hospitals across the United States wondrous medical procedures rescue patients from the brink of death. But there's a catch. In these same hospitals, hygiene is so inadequate that one out of every twenty patients contracts an infection.<sup>6</sup>

Infections that have been nearly eradicated in some countries are raging through American hospitals. In 2003, the Society for Healthcare Epidemiologists of America warned that although hospitals have infection prevention programs, "there is little evidence of control in most facilities."<sup>7</sup>

The danger is worsening because infections, hospital increasingly, cannot be tamed commonly-used antibiotics. One of the deadliest germs is methicillinresistant Staphylococcus aureus (or MRSA). Patients who do survive MRSA often spend months in the hospital and endure repeated surgeries to cut out infected tissue. In 1974, 2 percent of Staph infections were MRSA. By 1995, the number had climbed to 22%, in 2003 an alarming 57%, and now over 60%.8

Denmark, Holland, and Finland once faced similar rates, but brought them down below 1 percent.9 How? Through rigorous hand hygiene, meticulous cleaning of equipment and rooms in between patient use, testing incoming patients for MRSA and other drug resistant bacteria, and taking precautions to prevent transmission to other patients. Wheelchairs and other equipment used to transport patients who test positive for MRSA are not used for other patients, and hospital staff have to change their uniforms and footwear after entering the rooms of MRSA patients, before they are permitted in other areas of the hospital.

A few hospitals—too few—in the United States are proving these precautions work here too. The University of Virginia Hospital eradicated MRSA. The Veterans Hospital in Pittsburgh reduced MRSA by 85 percent in a pilot program. The University of Pittsburgh-Presbyterian Medical Center slashed MRSA by 90 percent in the medical intensive care units in a pilot program, 2 and a Yale-affiliated hospital in New Haven, Connecticut, cut MRSA

infections by two thirds in a surgical intensive care unit. <sup>13</sup> Brigham and Women's Hospital in Boston reduced MRSA bacteremia 77% in intensive care and 67% hospital-wide. <sup>14</sup> Twenty-nine healthcare institutions in Iowa eliminated another drug-resistant germ, *vancomycin-resistant Enterococcus* (or VRE). <sup>15</sup> Unfortunately, most U.S. hospitals have not implemented these precautions. Here's what you'll find in the great majority of hospitals.

### $\prod$

# The Major Problem: Poor Hygiene

stoundingly, over half the time  $\Pi$ physicians and other caregivers break the most fundamental rule of hygiene by failing to clean their hands before treating patients.16 Programs to encourage better compliance have been disappointing. Brigham and Women's Hospital in Boston assessed the impact of installing dispensers for alcohol based hand cleaners in every patient's room and conveniently in the hallways, and conducting a year long campaign on hand hygiene. The results? Hand cleaning temporarily improved from 40% to 80%, but quickly dropped back to 60%.<sup>17</sup>

Unfortunately, caregivers often think putting on gloves—without cleaning their hands first—is sufficient, but pulling on gloves with unclean hands simply contaminates the gloves.

Cleaning hands is essential, but it's only the first step. Caregivers also need to learn how to prevent their hands or gloves from becoming re-contaminated before touching the patient. Stand in the emergency room, and watch caregivers clean their hands, put on gloves, and then reach up and pull open the privacy curtain to see the next patient. That curtain is seldom changed, and it is frequently full of bacteria. The result? Caregivers' gloves are soiled again.

Research shows that nearly three quarters of patients' room are contaminated with MRSA and VRE. 18 These bacteria are on cabinets, counter tops, bedrails, bedside tables, and other surfaces. Once patients and caregivers touch these surfaces, their hands become vectors for disease. One study showed that when a nurse walks into a room occupied by a patient with MRSA and has no patient contact, but touches objects in the room, the nurse's gloves are contaminated 42% of the time when leaving the room. 19

Environmental surfaces are vectors for drug-resistant bacteria, but the most important sources of these bacteria are the patients coming into the hospital. Amazingly, most hospitals in the U.S. don't test incoming patients for MRSA. Seventy to ninety percent of patients carrying MRSA are unknown. They are the silent reservoir in the hospital. Knowing which patients are sources of bacteria is the key to stopping the spread.<sup>20</sup>

Clothing is frequently a conveyor belt for infections. When doctors and nurses lean over a patient with MRSA, the white coats and uniforms pick up bacteria 65% of the time, allowing it to be carried on to other patients.21 Hospitals that are conquering infections require their staff to put on fresh gowns or disposable aprons every time they approach the bedside of patients carrying MRSA. Not just infected patients, but all patients carrying the bacteria. (The disposable aprons cost a nickel and are ripped off rolls like clear, plastic dry-cleaning bags.)

Stethoscopes, blood pressure cuffs, pulse oximeters, wheelchairs, and other equipment are frequently carrying live bacteria. Do doctors clean the stethoscope before listening to a patient's chest? Not usually, though the American Medical Association recommends it.<sup>22</sup>

Recent research highlights the danger of MRSA lingering on surfaces long after the patient who carried it has been discharged. In one nine-bed ICU, more than half the patients who picked up MRSA after entering the ICU acquired a strain of the bacteria not present on other patients in the ICU at the time. In other words, the bacteria had been left behind on floors, bedrails, tables, and other surfaces, by patients already discharged. These findings demonstrate 1) how essential it is to know which patients entering the ICU are carrying the bacteria and 2) the importance of housekeeping.23

We have the knowledge to prevent infection. What has been lacking is the will. In 2003, a committee of the Society for Healthcare Epidemiologists of America codified the precautions that have worked well in Denmark, Holland, and Finland and in the hospitals here in the U.S. that have tried them. These SHEA guidelines work. One study shows that MRSA bacteria spread from patient to patient 15 times as fast under current Centers for Disease Control and Prevention (CDC) standard guidelines as under the more rigorous precautions advocated by SHEA. What a shame that most hospitals are not implementing these lifesaving precautions.<sup>24</sup>



### MRSA Screening Is Essential

What kills more than five times as many Americans as AIDS each year? Hospital infections. Yet federal officials at the Centers for Disease Control and Prevention, who are calling for voluntary blood testing of all patients to stem the spread of AIDS, are not recommending a test that is essential to stop the rapid spread of another killer sweeping through our nation's hospitals: MRSA

On September 19, 2006 the Centers for Disease Control and Prevention recommended universal testing for HIV. One month later, a Centers for Disease Control and Prevention committee issued new guidelines to prevent hospital infections but chose not to recommend that hospitals begin screening all patients for MRSA.<sup>25</sup>

Is the MRSA test more invasive than the HIV test? No, it's less invasive—a simple skin or nasal swab to determine which patients carry the bacteria.

Is the MRSA test more expensive? No. The rapid MRSA test costs about the same as the rapid HIV test, \$20 or so.

Is MRSA testing needed? Yes,

because MRSA is transmitted easily from patient to patient on clothing, medical equipment, hands, and gloves.

Research shows that you cannot prevent MRSA infections until you identify which patients bring these bacteria into the hospital. Patients who unknowingly carry MRSA shed it in tiny particles on bedrails, wheelchairs, blood pressure cuffs, stethoscopes, and the floor under their beds. They don't realize they have it, because the germ doesn't make you sick (infected) unless it gets inside your body via a catheter, a surgical incision or other open wound, or a ventilator.

MRSA can live for many hours on surfaces and fabrics. When a nurse wraps an inflatable blood pressure cuff around your bare arm, the cuff frequently contains live bacteria, including MRSA. In a September 2006 study, 77% of blood pressure cuffs that are rolled from room to room in the hospital were contaminated.<sup>26</sup>

Among developed nations, Japan and the U.S. have the worst records of failing to control the rapid rise of drug-resistant hospital infections.<sup>27</sup> Data from the Centers for Disease

#### **BP Cuffs As Vectors of Disease**

In 2003, a university hospital in Tours, France, examined 203 BP cuffs used in medical, surgical, ICU, and emergency units.

Type of BP Cuff	Total Number	% Contaminated
On Nurses' Trolleys	35	77%
Individual	41	63%
Wall Model	57	53%
Stored	52	17%
Newly Cleaned (with disinfecting detergent)	18	0%

Extensive contamination of BP cuffs (30% of contaminated cuffs carried MRSA).

Source: C de Gialluly et al., "Blood Pressure Cuff as a Potential Vector of Pathogenic Microorganisms: a prospective study in a teaching hospital," *Infection Control and Hospital Epidemiology* 27.9 (2006): 940-3.

Control and Prevention indicate that MRSA hospital infections increased 32 fold from 1976 to 2003.<sup>28</sup>

For a decade, the Centers for Disease Control and Prevention have rebuffed calls for screening. In 1996, in the *Journal of the American Medical Association*, a panel of experts warned that hospitals faced an "unprecedented crisis" due to drug-resistant infections.<sup>29</sup> In 2003, a committee of the Society for Healthcare Epidemiologists of America warned again that screening patients at risk for carrying MRSA was urgently needed.<sup>30</sup>

In 2004, Dr. John Boyce announced that screening had reduced MRSA infections by two thirds in an intensive care unit at a Yale-affiliated Connecticut hospital. Based on this study and others, Boyce and coresearchers concluded that patients

will not be protected from MRSA until hospitals start screening.<sup>31</sup>

That is the compelling conclusion of a 9 year study done at the Brigham and Women's Hospital in Boston and published in the fall of 2006 in Clinical Infectious Diseases.32 Researchers found that installing dispensers of alcoholbased hand cleaners in each patient's room and outside each patient's room had no significant impact on MRSA bacteremia rates. Similarly, a subsequent year-long hand hygiene education campaign achieved no statistically significant reduction in MRSA bacteremia. But initiating routine surveillance cultures for all ICU patients and contact precautions for patients testing positive for MRSA resulted in an impressive 75% drop in MRSA bacteremia in intensive care units and a 67% drop hospital wide.

Researchers called the infection reduction at Brigham and Women's "profound." They explained that the reduction could have been even greater had Brigham either used a rapid MRSA test, instead of a culture that took two days, or preemptively isolated patients until their cultures came back from the laboratory. The two day delay permitted some spread of bacteria from patients who unknowingly carry MRSA to other patients who did not come in with it.

Despite these and many other studies, the CDC continue to equivocate, rather than urging hospitals to screen incoming patients for drug-resistant bacteria and take contact precautions to prevent the bacteria from spreading to other patients. "There are at least fifty studies demonstrating the effectiveness of these precautions,"

explains Dr. Carlene Muto, and "not one study suggesting it's possible to control MRSA without them."<sup>33</sup>

Fortunately, some hospitals are leading the way, including Evanston Northwestern, the seventeen Veterans Affairs medical centers, New England Baptist Hospital in Boston, and Johns Hopkins in Baltimore.<sup>34</sup> Even the cash-strapped British National Health Service has launched MRSA testing. Hospitals that don't screen are putting their patients at greater risk of an MRSA infection.

Now that the Centers for Disease Control and Prevention are telling hospitals to test for HIV, they should call for MRSA screening as well. Lax guidelines encourage hospitals to do too little. Every year of delay is costing thousands of lives and billions of dollars.

#### **New British Recommendations**

- 1. Screen all patients admitted to "high risk" units, such as the ICU, cardiothoracic, orthopedic, and burn units.
- 2. Minimize movement of MRSA-positive patients.
- 3. Use gowns and disposable aprons when treating MRSA-positive patients.
- 4. Launder privacy curtains or use disposable curtains.
- 5. Decontaminate trolleys and wheelchairs after patient use.
- 6. Before surgery, attempt to decolonize MRSA positive patients.
- 7. In the recovery area, segregate MRSA positive patients.

Source: Specialist Advisory Committee on Antimicrobial Resistance (established to advise the UK government), "Guidelines for the control and prevention of methicillin-resistant Staphylococcus aureus (MRSA) in healthcare facilities," *Journal of Hospital Infection* 635 (April 2006).

### IV

## Preventing Infections Makes Hospitals More Profitable

Many hospital administrators worry that they can't afford to implement these precautions. The truth is, they can't afford not to. Infections erode hospital profits, because rarely are hospitals paid fully for the added weeks or months of care when a patient gets an infection.

For example, Allegheny General

Hospital in Pittsburgh would have made a profit treating a 37-year-old video programmer and father of four who was admitted with acute pancreatitis, but the economics changed when the patient developed an MRSA bloodstream infection. He had to stay in the hospital 86 days, and the hospital lost \$41,813, according to research by

### Estimated Hospital Costs of Hospital-Acquired Infection in the United States

2,000,000

Estimated infections per year

 $\mathbf{X}$ 

\$15,27540

(Average additional hospital costs when a patient contracts an infection)

= \$30.5 Billion

Per year spent treating hospital infections

Note: This figure does not include doctors' bills, home nursing bills, home nursing care, lost time at work, and other non-hospital costs.

Richard Shannon, former chairman of the Department of Medicine at Allegheny.<sup>35</sup>

Similarly, a woman came into the hospital for stomach-reduction surgery, a procedure that should have produced a \$5,900 gross profit for the hospital. But when she developed a central line-associated bloodstream infection and had to spend 47 days in the hospital, that profit turned into a \$16,000 loss.<sup>36</sup>

At Allegheny General Hospital, the average payment for a patient who developed a central line-associated bloodstream infection (CLAB) was \$68,894, but the actual average cost of treating the patient was \$91,733, leading to a gross loss of \$26,839 per case. The hospital had 54 such cases in the medical intensive care unit and coronary care unit between July 2002 and June 2005. The infections resulted in a total economic loss to the hospital of \$1,449,306.<sup>37</sup>

Hospital infections add more than \$30 billion annually to the nation's health tab in hospital costs alone.<sup>38</sup> The tab will increase rapidly, as more infections become drug-resistant <sup>39</sup>

A new study based on all the hospital infections reported in Pennsylvania in 2005 dramatizes this enormous economic burden. The average charge for patients who developed an infection (\$173,206) was nearly four times as high as for patients admitted with the same diagnosis and severity of illness who did not contract an infection (\$44,367). The 11,688 infections reported added over two billion

dollars in hospital charges that year. That's in one state alone!<sup>41</sup>

Other studies on the cost of infections found that:

- Post surgical wound infections more than double a patient's hospital costs. When a patient develops an infection after surgery, the cost of care increases 119 percent, on average, at a teaching hospital, and 101 percent at a community hospital.<sup>42</sup>
- Urinary tract infections increase a patient's hospital costs by 47 percent at a teaching hospital and 35 percent at a community hospital.<sup>43</sup>
- The average ventilator-associated pneumonia infection (a type of infection contracted when a patient is on a respirator) adds \$40,000 to a patient's hospital costs.<sup>44</sup>
- Staphylococcus aureus infections are especially costly. According to a recent nationwide study, patients with Staph infections incur hospital costs that amount to more than triple the average hospital costs of other patients. 45

Not worried because your hospital's infecton rate is well below the national average? Even hospitals with a below-average infection rate lose money on infections. A recent survey of 55 hospitals, where the infection rate averaged only 4.09% —well below the national average —showed that treating these infec-

tions wiped out inpatient operating profits.<sup>46</sup>

The fact that hospitals lose money on infections doesn't necessarily prove that spending *more* on prevention will increase profits. Fortunately, there is compelling evidence that testing patients for drug-resistant bacteria and treating those who test positive with contact precautions yields a high return immediately and requires no capital outlays.

For example, Dr. Carlene Muto at the University of Pittsburgh-Presbyterian, where MRSA infections were slashed 90% in a pilot program, found that implementing these precautions in one medical intensive care unit cost \$35,000 in additional labor and materials, but prevented infections that would have cost over \$801,000 to treat. That's a 20 to 1 financial return the first year, not to mention lives saved.

Two community hospitals Charleston, South Carolina, demonstrated that targeted surveillance -testing only patients deemed at high risk, such as patients recently hospitalized, living in a nursing home, or with kidney problemsproduces more modest reductions in infection and lower financial returns. This is not surprising, because a significant number of patients carrying MRSA go undetected. The costs of targeted surveillance, including laboratory tests and supplies such as gowns and gloves, cost \$113,955 and yielded just over a 10 to 1 return, saving the hospitals \$1,548,740 in avoided treatment costs.47

A recent review in *Lancet* concludes:

"Virtually all published analyses that have compared the costs of screening of patients on admission and using contact precautions with colonised patients with the cost savings made by preventing health-care associated MRSA infections have concluded that the combination of S11rveillance cultures and barrier precautions results in cost savings for hospitals."

"THE COSTS
OF CARING
FOR PATIENTS
WHO BECOME
INFECTED WITH
MRSA ARE MUCH
GREATER THAN
THE COSTS OF
SCREENING
PROGRAMMES."48

### A Model for Conducting Your Own Study

(From the U. of Pittsburgh-Presbyterian Medical Center)

Components of the Cost of Implementing Active Surveillance Culturing and Barrier Protections in One Medical Intensive Care Unit:

■ Laboratory: **\$8,275** 

■ Personnel Time to Collect Samples: \$8,400

■ Supply Costs for Barrier Protections: \$16,337

■ Personnel Cost in Time to Don Protections: \$2,069

■ One Time Cost for Isolation Boxes: \$600

#### Results

Presumes 22% Annual Increase of Infections Without Intervention:

\$2,015,919

Cost of Expected HA-MRSA w/o Intervention

\$35,281

Cost of Interventions

=

\$1,980,638

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#### Presumes a Stable Infection Rate Without Intervention:

\$801,652

Cost of Expected HA-MRSA w/o Intervention

\$35,281

Cost of Interventions

=

\$766,371

SAVINGS

Source: CA Muto et al., "Cost Avoidance Associated with Control of MRSA (University of Pittsburgh-Presbyterian Medical Center) – Presented at SHEA's 16th Annual Scientific Meeting (March 2006).

### V

# Hospital Infection Is the Next Asbestos

Until recently, infection was considered the inevitable risk you faced if you were hospitalized. That is changing. Now there is compelling evidence that nearly all hospital infections are preventable when doctors and staff clean their hands and adhere to other low-cost infection prevention measures. These findings put hospitals in a new legal situation. The assumption that infections are unavoidable shielded hospitals from liability for decades. But not in the future. Hospital infections could be the next asbestos.

The Society for Healthcare Epidemiology of America and the Committee to Reduce Infection Deaths (RID) have urged hospitals everywhere to implement the precautions that have nearly eradicated drug-resistant infections in Holland, Finland, Denmark, and in the few hospitals in the U.S. Hospitals that continue to ignore this call will face embarrassing public comparisons and numerous lawsuits as well.

Most victims who sue will not be able to prove precisely how the bacteria entered their body while they were hospitalized. Soon, it may not matter. Jurors will be told that the hospital failed to enforce hand hygiene rules and implement necessary infection prevention practices and, consequently, should be deemed negligent and held liable, even strictly liable in some cases, for patients' infections.

Many questions will be raised by these lawsuits. According to the CDC, at least half of hospital infections could be prevented if caregivers clean their hands immediately before touching patients. Most hospitals tell doctors and nurses to clean their hands, yet doctors break this fundamental rule 52% of the time, on average.<sup>49</sup> When hand hygiene rules are not enforced, infections are foreseeable. A few hospitals are devising sanctions, such as suspending admitting privileges or curtailing operating room time to discipline chronic offenders.50 Will hospitals that fail to do this be deemed negligent and held liable for the infections their patients contract?

Astoundingly, most U.S. hospitals don't routinely test incoming patients for MRSA. Seventy to ninety percent of patients carrying MRSA are never identified.<sup>51</sup> Knowing

which patients are sources of infection is key to stopping the spread. If you're placed in a semi-private room with a patient carrying MRSA, vou're at increased risk of infection. Also, as a new study in Infection Control and Hospital Epidemiology documents, if you're placed in a room previously occupied by a patient with MRSA, your risk of infection increases, because the bacteria linger on floors and furniture long after the patient carrying these bacteria is discharged.<sup>52</sup> Will hospitals that fail to test incoming patients and isolate those testing positive be deemed negligent and held liable when a patient contracts a deadly MRSA infection?

Surgery patients can reduce their risk of infection by bathing or showering with chlorhexidine soap daily before their operation. Will a hospital that fails to advise patients to take this precaution be deemed negligent and held liable when a patient develops a surgical site infection?

Will a hospital be deemed negligent and held liable if the staff forgets to administer a prophylactic antibiotic within an hour of the incision, the standard of care in most cases, and the patient subsequently contracts a surgical site infection?<sup>53</sup> What if the staff shaves a patient before surgery, contrary to best practices, and the patient comes down with an infection?<sup>54</sup>

Even where there is no evidence that a hospital overlooked infection prevention measures, the plaintiff's attorney could argue that infection is evidence enough that the hospital breached its duty. Every law student learns about the barrel that fell out of a merchant's second story window, injuring a customer below. The merchant is held liable because the accident was itself definitive evidence of negligence, a textbook example of *res ipsa loquitur*. Similarly, trial lawyers will claim that an infection "speaks for itself," and shifts the burden onto the hospital to offer evidence that it was not negligent.

Res ipsa loquitur already has played a prominent role in medical malpractice cases in New York state and elsewhere. What will be new is its applicability to hospital infection. For example, in 1997, the New York State Court of Appeals granted a new trial for a plaintiff who had undergone a hysterectomy and subsequently found an 18" by 18" laparotomy pad left in her abdomen. The Court of Appeals ruled that the jury should have been told that the error speaks for itself: once the plaintiff proves that "the event was of the kind that ordinarilv does not occur in the absence of someone's negligence, that it was caused by an agency or instrumentality within the exclusive control of the defendant, and that it was not due to any voluntary action or contribution on the part of the plaintiff, a prima facie case of negligence exists." The Court of Appeals also explained—and this is key to future litigation based on infection—that "to rely on res ipsa loquitur a plaintiff need not conclusively eliminate

the possibility of all other causes of injury. It is enough that it is more likely than not that the injury was caused by the defendant's negligence."55

A rapidly growing body of new evidence shows that almost all hospital infections are preventable if hospital staff are trained in the correct procedures and required to follow them. Had the plaintiff in Hoffman v. Pelletier et al (6 A.D. 889, 775 N.Y.S. 2d. 397, 2004 N.Y. App. Div) presented such evidence, the trial court probably would not have granted summary judgment for the defendants. The plaintiff had developed a Staph infection following cervical surgery, and sued her surgeon and the hospital. The trial court granted summary judgment for the defendants. "Since plaintiff offered no proof that such infections do not occur in absence of negligence, res ipsa loquitur was inapplicable," reasoned the court. Though such evidence was already available in 2004, it is far more plentiful and well documented in medical journals now.

What must hospitals do to avoid liability for infections? That's still unknown. Courts will decide, "probably moving from common law negligence to the eventual establishment of strict liability," according to Sanford Young, Esq., a New York lawyer. In the early cases, plaintiffs may have to point to specific departures from best infection prevention practices, such as shaving patients before surgery, to prevail. Exactly how the legal precedents

will develop is unknown.

Lawsuits are not the best way to improve patient care. They often result in unfair verdicts, and few truly injured patients have access to legal remedies (as few as 2%, according to the Harvard Medical Practice Study). Nevertheless, hospitals that act decisively will have the best insurance against costly damage awards: clean, safe care.

### VI

## Shouldn't Medical Students Be Taught Hygiene?

//hat else needs to be done? Medical schools should be teaching future doctors the precautions they must take to protect their patients from infection. It's hard to believe, but most medical schools devote virtually no time, not even one full class, to showing students how bacteria are transmitted from patient to patient on clothing, equipment, and gloves, and what specifically they should be doing to prevent it. Dr. Frank Lowey, a professor at the New York-Presbyterian Hospital at the Columbia University Medical Center says, "it's something we should have done guite a while ago." Lowey says it's ironic that "there are curriculum committees devoted to making sure that bioterrorism is covered, and the risk of nosocomial infections far outweighs that."56

Some medical schools are stressing the importance of curbing the use of antibiotics.<sup>57</sup> That's good, because overuse of antibiotics wastes money and causes bacteria to morph into new, drug-resistant strains. But limiting the use of antibiotics won't stop hospital infections. Patients who contract MRSA get it from unclean

hands or contaminated equipment or clothing, not from taking antibiotics. No hospital has ever eradicated infection merely by controlling the use of these drugs.

When medical students put on their white coats and swear the Hippocratic Oath, they should be taught *bow* to do no harm. Preventing the spread of bacteria is an essential part of that lesson. They should learn it before they go out on the hospital floors and touch their first patient.



### Success Stories: Infections Can Be Eradicated

## A. Dr. Carlene Muto Describes Victory Over MSRA at the University of Pittsburgh-Presbyterian Medical Center<sup>58</sup>

t's a fabulous feeling," says Dr. Carlene Muto, reflecting on the team effort that has resulted in a 90 percent reduction in *methicillin-resistant Staphylococcus aureus* (MRSA) in the medical intensive care unit at the hospital where she is director of infection control. How long did it take? Three years. Ask her how it was done. She explains that it required total commitment from the top leadership at the hospital and caregivers.

When Muto came to UPMC-Presbyterian, the flagship hospital in the University of Pittsburgh system, in the 1990s, drug-resistant Staphylococcus aureus was a rapidly growing problem. In 2000, Muto launched a campaign to eradicate the "superbug" in the hospital's medical intensive care unit. Critical to the strategy was active surveillance culturing-meaning that every patient coming into the intensive care unit who might be carrying MRSA was cultured. Muto, one of the co-authors of The Society for Healthcare Epidemiologists of America's guideline, emphasizes that you can't eliminate infection until you know which patients are the sources of the bacteria. Every patient who tested positive was isolated, and doctors and nurses treating them wore gowns and masks, and kept equipment used on these patients away from others. By 2003, MRSA was almost eliminated. The strategy has worked so well that it has now been expanded to all 15 intensive care units in the hospital system.

The key, explains Muto, was to identify every patient carrying the dangerous bacteria. "We had total compliance, 98 percent to 100 percent, with culturing patients," she said, adding that she was astonished. After all, asking nurses to culture every new patient in the ICU meant adding one more thing to an already long list of tasks they have to do. The staff reaction, says Muto, "has been overwhelmingly positive." "That's essential," she adds. "You can come up with an idea, but no matter how great it is, you have

to have the buy in from the staff at the point of care."

Getting caregivers to clean their hands has been a tougher challenge, in part because at the beginning, Muto explains, some "nurses didn't realize that if they went into a room of a patient in isolation and didn't touch the patient or the bed linen but did touch other surfaces such as countertops, their hands were contaminated."

Now that the education process is well under way, hand cleaning compliance is about 69 percent, well above the national average but not good enough for Muto and her team. The top leadership

at UPMC-Presbyterian is taking an uncompromising position on the failure of staff and doctors to clean their hands. The hospital is getting set to impose stiff penalties, including firing staff members who chronically ignore hand cleaning rules and denying doctors the privilege of practicing at the hospital.

The goal? "Our goal is 100 percent compliance with hand cleaning, 100 percent compliance with gowning, 100 percent compliance with surveillance culturing," says Muto, adding excitedly that she can only imagine what can be achieved when they reach perfection.

### B. Dr. Richard Shannon Aims for Zero Infections

When Dr. Richard Shannon told the top executives at Allegheny Hospital that he wanted to do something about central line-associated blood stream infections (CLABs), the hospital leadership expected him to suggest reducing them by 10 or 20 percent over several years. To their surprise, Shannon said he wanted to totally eradicate these deadly infections in ninety days. And he did it! Even more amazing, he and his staff kept these infections near zero in the medical intensive care unit and coronary care unit during the entire next year, achieving a 95 percent reduction in CLAB-related deaths.<sup>59</sup>

Why strive for merely minor improvement when lives are at stake? Shannon's pet peeve is benchmarking—the thinking all too

common in hospitals today that it's okay to have infections and medical errors so long as they don't exceed the national average. "Who volunteers to have a family member get one of the infections we plan on having this year?" The goal has to be zero infections and perfect care, says Shannon, who is Chairman of the Department of Medicine at Allegheny.<sup>60</sup>

How was that goal reached? By ensuring that all caregivers meticulously follow a regimen for inserting and removing central lines that includes masks, gowns, gloves, and drapes; inserting lines in the neck area rather than in the groin area, which is more difficult to keep clean; rearranging supply closets to ensure that the supplies needed

to carry out this regimen are easily accessible, even when staff are rushed; and empowering all staff members to enforce hand cleaning and other rules of hygiene. If a doctor doesn't clean his hands, the nurse working alongside can call a halt to the procedure until the doctor complies.

Shannon oversees some 800 employees and a \$150 million budget. Nevertheless, he makes time to speak across the country, with PowerPoint in tow, showing his audiences that preventing infections is possible and profitable. Doing the right thing costs less, he says, using Allegheny's financial records to prove the point. A typical example is the tragic case of a woman who came into the hospital for stomach reduction surgery, a procedure that should have produced a \$9,900 gross profit for the hospital. But when she developed a central line-associated bloodstream infection and had to spend 47 days in the hospital, that profit turned into a \$16,000 loss. Preventing CLABs saved Allegheny \$1.4 million the first year.61

The best news of all is that the success at Allegheny is being duplicated by at least a few other institutions. At Johns Hopkins, catheter-related bloodstream infections in the intensive care unit have been virtually eliminated. How? ICU staff

were educated about the seriousness of catheter-related infections: a catheter-insertion cart was created to ensure that necessary equipment was readily at hand; doctors were asked daily whether catheters should be removed; bedside nurses were given a safety checklist to follow during insertion; and nurses were empowered to stop procedures if safety rules were not being followed. Peter Provonost. the intensive care physician at Johns Hopkins who developed the safety checklist, sees the success as proof that infections are not inevitable.62

That is Richard Shannon's mantra as well. Shannon is amazed that so little is being done nationwide to curb bloodstream infections and to halt the alarming rise in MRSA. Shannon asks why the procedures that reduced Staph infections by 85 percent in a pilot program at the V.A. Hospital in Pittsburgh are not being duplicated everywhere. "What if you had a patient with TB or SARS? Wouldn't you pull out all the stops, gloving and gowning and washing up all the time? Well, we haven't seen TB in years, and we've never seen SARS, but we have MRSA silently stalking us every day." The magnitude of the problem, he says, is "a call to action for all health-care providers to step up and get serious about all hospital-acquired infections."63

## C. Dr. Barry Farr Recalls Early Victories at the University of Virginia Hospital<sup>64</sup>

Barry Farr remembers the first outbreak of MRSA at the University of Virginia Hospital. It was 1978, and Farr and his wife had recently come to the hospital to train, having just finished medical school. "MRSA was wildly out of control," he recalls, and the hospital was doing "what most American healthcare facilities are still doing today." As a result, the hospital "failed miserably to control the MRSA."

For nearly three years, as the outbreak raged on, the hospital followed a business-as-usual approach: no routine cultures were being taken to identify the patients silently carrying the bacteria. The result, recalls Farr, was that doctors were touching patients who had MRSA, or allowing their white coats to brush up against them, and then passing the bacteria on to other patients without knowing it. At the hospital infection control meetings, the mood was pessimistic and apathetic. Staff members were saying "no one has ever controlled this."

Finally, after three years of failure, the hospital took a radical step, inspired by the success of several European countries that had brought MRSA under control. The hospital began regularly testing patients for the bacteria and isolating those who tested positive. The results were stunning. Soon after the testing began, in December 1980, MRSA declined rapidly, and by the summer of 1982,

the hospital was MRSA free. "It was beautiful," Farr recalls.

Surveillance culturing—identifying every patient carrying the bacteria—was the key to thwarting the outbreak and eradicating MRSA, says Farr. It was to work again a decade later.

The University of Virginia hospital was struck with MRSA a second time in the early 1990s, when a surgeon apparently walked into the neonatal intensive care unit with MRSA on his hands or clothing and transmitted it to one of the babies. Quickly it was spread to babies in the neighboring bassinettes, and then to another neonatal intensive care unit when one of the babies carrying the bacteria was moved there. The hospital immediately put into place the same precautions that had worked a decade earlier, and the outbreak was curtailed. Culturing every baby, and isolating every one who tested positive, was once again the key.

Would this method conquer other deadly bacteria as well? Soon afterward, the hospital faced an outbreak of *vancomycin-resistant Enterococci* (VRE), which spread rapidly to 30 percent of patients on eight separate wards. After several months, the hospital brought the outbreak under control once again by testing patients, isolating the carriers, and making sure that all staff put on gowns and gloves when treating them.

Are the University of Virginia's successes atypical? "No," says Farr. "There are over ninety studies, probably 100 by now," demonstrating that this method works. Yet antibiotic-resistant infections are "clearly out of control in the American health care setting." Why? Farr suggests that faulty cost-cutting is partly to blame.

Hospital administrators complain about the cost of these rigorous precautions, but the data proves these precautions save money. Farr compared the University of Virginia hospital with several other university hospitals of similar size. These other hospitals "are spending between \$1 million and \$3 million a year extra to treat antibiotic-resistant infections, far more than what UVA has had to spend on gowns, cultures, and gloves. We're taking the ounce of prevention approach. Many other hospitals are taking the pound of cure approach."

Another reason few hospitals are adopting rigorous infection control is that the public has not demanded it. "In Britain there is a public outcry over the failure to control MRSA infections in hospitals, and the British government is reportedly now considering firing hospital directors that fail to take effective measures to control MRSA," says Farr. "In this country there has been comparatively little outcry from the public and no urgent demands from the government that the spread of infections be stopped."

## 15 Steps You Can Take to Reduce Your Risk of a Hospital Infection

- 1. Ask that hospital staff clean their hands before treating you, and ask visitors to clean their hands too. This is the single most important way to protect yourself in the hospital. If you're worried about being too aggressive, just remember your life could be at stake. All caregivers should clean their hands before treating you. Alcohol-based hand cleaners are more effective at removing most bacteria than soap and water.65 Do not hesitate to say the following to your doctor or caregiver: "Excuse me, but there's an alcohol dispenser right there. Would you mind using that before you touch me, so I can see it?" Don't be falsely assured by gloves. Gloves more often protect staff than patients. If caregivers have pulled on gloves without cleaning their hands first, the gloves are already contaminated before they touch you.66
- 2. Before your doctor uses a stethoscope to listen to your chest, ask that the diaphragm (or flat surface of the stethoscope) be wiped with alcohol. Numerous studies show that stethoscopes are often

- contaminated with *Staphylococcus aureus* and other dangerous bacteria, because caregivers seldom take the time to clean them in between patient use.<sup>67</sup> The American Medical Association recommends that stethoscopes routinely be cleaned for each patient. The same precautions should be taken for many other commonly used pieces of equipment too.
- 3. If you need a "central line" catheter, ask your doctor about the benefits of one that is antibiotic-impregnated or silver-chlorhexidine coated to reduce infections.<sup>68</sup>
- 4. If you need surgery, choose a surgeon with a low infection rate. Surgeons know their rate of infection for various procedures. Ask for it. If they won't tell you, consider choosing another surgeon. You should be able to compare hospital infection rates too, but that information is almost impossible to get. That is why RID is working hard for hospital infection report cards in every state.

- **5.** Beginning three to five days before surgery, shower daily with chlorhexidine soap. Drug stores that don't stock chlorhexidine soap are generally happy to order it for you. You don't need a prescription. One of the easiest brands to find is Hibiclens. Using this soap will help remove any dangerous bacteria you may be carrying on your own skin that could enter your surgical incision and cause an infection. <sup>69</sup> Keep the soap away from your eyes and ears.
- **6.** Ask your surgeon to have you tested for *Staphylococcus aureus* at least one week before you come into the hospital. The test is simple, usually just a nasal swab. About one third of people carry *Staphylococcus aureus* on their skin, and if you are one of them, extra precautions can be taken to protect you from infection, to give you the correct antibiotic during surgery, and to prevent you from transmitting bacteria to others.<sup>70</sup>
- 7. Stop smoking well in advance of your surgery. Patients who smoke are three times as likely to develop a surgical site infection as nonsmokers, and have significantly slower recoveries and longer hospital stays.<sup>71</sup>
- 8. On the day of your operation, remind your doctor that you may need an antibiotic one hour before the first incision. For many types of surgery, a pre-surgical antibiotic is the standard of care, but it is often overlooked by busy hospital staff.<sup>72</sup>

- 9. Ask your doctor about keeping you warm during surgery. Operating rooms are often kept cold for the comfort of the staff, but research shows that for many types of surgery, patients who are kept warm resist infection better.<sup>73</sup> There are many ways to keep patients warm, including special blankets, hats and booties, and warmed IV liquids.
- **10. Do not shave the surgical site.** Razors can create small nicks in the skin, through which bacteria can enter. If hair must be removed before surgery, ask that clippers be used instead of a razor.<sup>74</sup>
- 11. Ask that your surgeon limit the number of personnel in the operating room. Every increase in the number of people adds to your risk of infection.<sup>75</sup>
- 12. Ask your doctor about monitoring your glucose (sugar) levels continuously during and after surgery, especially if you are having cardiac surgery. The stress of surgery often makes glucose levels spike erratically. New research shows that when blood glucose levels are tightly controlled to stay between 80–110 mg/unit, heart patients resist infection better. Continue monitoring even when you are discharged from the hospital, because you are not fully healed yet.<sup>76</sup>
- 13. Avoid a urinary tract catheter if possible. It is a common cause of infection. The tube allows urine

to flow from your bladder out of your body. Sometimes catheters are used when busy hospital staff don't have time to walk patients to the bathroom. Ask for a diaper or bed pan instead. They're safer.<sup>77</sup>

14. If you must have an IV in your arm, make sure that it is inserted and removed under clean conditions and changed every 3 to 4 days. Intravenous catheters, or IVs, are a common source of infection and are not always necessary. If you need one, insist that it be inserted and removed under clean conditions, which means that your skin is cleaned at the site of insertion, and the person treating you is wearing clean gloves. Alert hospital staff immediately if any redness appears.

15. If you are planning to have your baby by Cesarean, follow the steps listed above as if you were having any other type of surgery. Most mothers-to-be probably aren't worried about hospital infections, but if you're having a cesarean, you are ten times more at risk of infection than if you are giving birth vaginally.<sup>78</sup>

## VIII

# The Importance of Hospital Infection Report Cards

Maureen Daly wishes she had known more when she took her 63-year old mother to the hospital. Johanna had slipped and broken her shoulder at a restaurant, and no one expected that she would be in the hospital for more than a day or two. But a *Staph* infection ravaged her body for four months and killed her. "What happened to my mother shouldn't happen to anyone," says Daly. "If only I had had enough information to choose a hospital with a better infection record."

If you need to be hospitalized, wouldn't you want to know which hospital in your area has the lowest infection rate? Good luck getting that information!

Most states don't even collect data on hospital infections. Twenty-one states require hospitals to report infections serious enough to cause severe injury or death, 79 but the requirement is seldom enforced, and worse still, states go along with the hospital industry's demands to keep the data secret. 80 The federal Centers for Disease Control and Prevention collect infection data from several hundred hospitals around the nation, but the CDC also

promises hospitals to keep infection rates secret. Government, for the most part, is not helping you choose a safe hospital.

The irony is that it's easy to get information for the less important decisions you make in life, such as where to have lunch. Most states will help you find out which restaurants and delicatessens have been cited for health violations. But you can't find out which hospital has the worst infection rate. You can go home to make your own sandwich, but you can't perform surgery on yourself.

The good news is that Colorado, Connecticut, Florida, Illinois, Maryland, Missouri, New Hampshire, Ohio, Pennsylvania, Rhode Island, South Carolina, Tennessee, Virginia, Vermont, and New York recently passed laws to provide the public with hospital infection report cards. Publicly comparing hospital performance will motivate hospitals to improve.

New York's experience with another type of hospital report card proves this. In 1989, New York became the first state to publish each hospital's risk-adjusted mortal-

ity rate for cardiac bypass surgery. The results? Deaths from bypass surgery dropped 40 percent, giving New York the lowest mortality rate in the nation for that procedure.81 Critics of hospital report cards speculate that deaths went down in New York because hospitals avoided treating the sickest patients, fearing that high-risk operations would bring down the hospital's grade. However, the evidence proves that's untrue. Deaths declined for a different reason: hospitals forced their worst-performing surgeons—generally, those with low volume—to stop doing the procedure. Patients of the 27 barred surgeons were more than three times as likely to die during surgery. In technical jargon, the 27 surgeons had an average risk-adjusted mortality rate of 11.9 percent, compared with a statewide average of 3.1 percent.82 Wisconsin also found that report cards motivate poorly performing hospitals to improve, according to a 2001 study of 24 hospitals there.83

Is there a reason *not* to have infection report cards? The hospital industry argues that publicly comparing hospital infection rates would be unfair to hospitals that treat AIDS, cancer, and organ transplant patients who are especially vulnerable to infection. Fair enough, but reports can be risk-adjusted to reflect these differences. What is unfair is keeping the public uninformed.

Fortunately, several other states are considering legislation to provide the public with the information they need. These states should use the model bill suggested here (Appendix A), because it improves upon the laws already passed in three ways: First, it specifies the method of risk-adjustment for surgical site infections used by the CDC, rather than leaving the risk-adjustment method to be determined by committee. This should assure hospitals that comparisons will be fair and take into account which hospitals treat especially sick and infection-prone patients.<sup>84</sup>

Secondly, the bill imposes civil penalties on hospitals that fail to report or flagrantly underreport their infections. These penalties are needed. For many years, some hospitals have openly ignored data collection laws with impunity. For example, in one recent year, hospitals in New York reported only 16.5 percent of the post-surgical deaths that the law required them to report.85 In 2005, the first vear of Pennsylvania's hospital infection reporting program, hospitals reported only one tenth as many infections to the new program as they billed. Some Pennsylvania hospitals implausibly claimed they had no infections at all.86

Thirdly, the model bill ensures that hospital infection reporting will benefit the public, not enrich trial lawyers. The bill provides that "none of the data collected and reported under this law can be used in litigation against an individual hospital."

Next time you hear an ad on the radio urging you to use a particular

hospital because it has the best doctors or the latest equipment, keep in mind what you're *not* being told: how many patients get infections while in that hospital. Hospitals are doing their best to keep that information secret. In contrast, in England hospital infection rates are posted conspicuously on the front door of the hospital. Americans deserve the same information. The legislation proposed here won't help hospitals save face, but it will help you choose a safe hospital. Let hospitals vie for your business by improving their infection rates.

## Appendix A

## RID's Model Hospital Infection Report Card Bill

Infection Report Card Bill

The following outline is intended to help state lawmakers as they draft legislation to provide the public with hospital infection rates:

AN ACT to provide the public with information on infection rates at hospitals in the state of \_\_\_\_\_\_.

#### Section 1. Definitions.

- (a) The public health law is amended to add a new section (lawmakers here should include the specific title of the public health or health department law to be amended).
- (b) "Hospital" shall mean (lawmakers here should consider whether to include only acute care hospitals or also free-standing outpatient surgical centers).
- (c) "Hospital-acquired infection" shall mean, as defined by the federal Centers for Disease Control and Prevention (CDC), "any localized or systemic condition resulting from an adverse reaction to the presence of an infectious agent(s) or its toxin(s) that (a) occurs in a patient in a hospital, (b) and was found not to be present or incubating at the time of admission to the hospital, unless (c) the infection was related to a previous admission to the same hospital."
- (d) "Risk adjustment" shall mean a statistical procedure for comparing patient outcomes, taking into account the differences in patient populations, including risk factors such as the number of patients on central line catheters, or the number of patients undergoing specific types of surgery, as a percentage of the overall number of patients treated. For purposes of this bill, risk adjustment shall duplicate the CDC's NNIS System surgical wound infection risk index or use the number of central-catheter days as a risk-adjustment factor for central line infections.

### Appendix A

#### Section 2.

- (a) Using established public health surveillance methods, each hospital shall maintain a program of identifying and tracking the following types of hospital-acquired infections for the purpose of reporting such data semi-annually to the state health department (lawmakers insert the appropriate state department here): central line-associated, laboratory confirmed primary bloodstream infections contracted by intensive care unit patients, and surgical site infections.<sup>87</sup>
- (b) The state health department (lawmakers insert the appropriate department name here) shall establish an advisory committee that includes recognized experts in the field of hospital-acquired infection, public reporting of hospital data, and health care quality management to establish data collection and analysis methodologies and risk adjustment procedures.
- (c) The state health department (lawmakers insert the appropriate department name here) shall establish a state-wide data base of all risk-adjusted, hospital-specific infection rates and make it available to the public on a website and in printed materials that can be used by consumers, purchasers of healthcare and advocacy groups to compare the performance of individual hospitals, and the aggregate performance of hospitals in the state with those in other states and nationwide.
- (d) The first year of data submission under this section shall be considered the "pilot phase" of the reporting system. The pilot phase is to ensure the completeness and accuracy of hospital reporting and the fairness and completeness of the state health department's report to the public. During this pilot phase, hospital identifiers shall be encrypted, the state health department (lawmakers insert proper department name here) shall provide each hospital with an encryption key for that hospital only, and no public hospital comparisons will be available. Sixty days after the end of the second year of data submission, the state health department (appropriate department name here) will provide its first report to the public with hospital-specific infection rates included.
- (e) To ensure compliance with this law and the accuracy of self-reporting by the hospitals, the department shall establish an audit process. A civil penalty of \$\_\_\_\_\_\_ shall be imposed on any hospital that fails to report on time, or is shown to substantially underreport infections, for each semi-annual reporting period.
- (f) None of the data collected and reported under this law can be used in litigation against an individual hospital.

## Appendix B

Society for Healthcare Epidemiologists of America Guideline for Preventing Nosocomial Transmission of Multidrug-Resistant Strains of *Staphylococcus Aureus* and *Entrococcus* 

Strength of Recommendations			
Category Type	Category Subtype	Recommendation	
I	A	Strongly recommended for implementation and strongly supported by well-designed experimental, clinical, or epidemiologic studies.	
	В	Strongly recommended for implementation and supported by some experimental, clinical, or epidemiologic studies and a strong theoretical rationale.	
	С	Required for implementation, as mandated by federal regulation, state regulation, or both or standard.	
II		Suggested for implementation and supported by suggestive clinical or epidemiologic studies or a theoretical rationale.	
No recommendation		Unresolved issue. Practices for which insufficient evidence or no consensus regarding efficacy exists.	

#### Recommendations

#### I. Active Surveillance Cultures to Identify the Reservoir for Spread

- 1. Implement a program of active surveillance cultures and contact precautions to control the spread of epidemiologically significant antibiotic-resistant pathogens known to be spreading in the healthcare system via direct and indirect contact.(IA)29,30,43,45-47,49,57,96,99,102,106,119,138-147,149,171-173,176
- 2. Surveillance cultures are indicated at the time of hospital admission for patients at high risk for carriage of MRSA, VRE, or both. (IB)71,76,177,320,321
- **3.** Periodic (eg, weekly) surveillance cultures are indicated for patients remaining in the hospital at high risk for carriage of MRSA, VRE, or both because of ward location, antibiotic therapy, underlying disease, duration of stay, or all four. (IA)30,57,102, 137,141,147-149,174,181

### Appendix B

- **4.** In facilities found to have a high prevalence on initial sampling, a facility-wide culture survey is indicated to identify all colonized patients and allow implementation of contact precautions. (IB)102,145,322
- **5.** Because transmission occurs throughout the healthcare system, these measures should be implemented in all types of healthcare facilities throughout the system. (IB)119,161,176,182,323
- **6.** The frequency of active surveillance cultures should be based on the prevalence of the pathogen and risk factors for colonization. For example, more frequent cultures are needed in a facility where 50% of all S. aureus isolates are MRSA than in one where less than 1% of all S. aureus isolates are MRSA. (IB)29,30,43,45-47,49,57,96,99, 102,106,119,138-147,149,171-173,176
- **7.** The goal of this program should be to identify every colonized patient, so that all colonized patients are cared for in contact (or cohort) isolation to minimize spread to other patients. (IB)29,30,43,45-47,49,57,96,99,102,106,119, 138-147,149,171-173,176
- **8.** Surveillance cultures for VRE should use stool samples or swab samples from the rectum or perirectal area. Polymerase chain reaction, culture with broth enhancement, and quantitative stool culture have each been more sensitive than directly plated rectal or perirectal swab cultures, but the latter have been associated with control of infections and can be recommended as effective and cost-effective until less costly methods of using the other procedures become available. (IB)99,102,106,137,149,181
- 9. VRE patients can be routinely cohorted with other VRE patients. (II)102,106,145
- 10. Surveillance cultures for MRSA should always include samples from the anterior vestibule of the nose. (IB)78,315,324
- 11. If present, areas of skin breakdown should also be sampled for MRSA. (IB)315,324
- 12. Throat cultures have been shown to detect S. aureus and MRSA with sensitivity equal to or greater than that of nasal cultures in multiple patient populations. If used, the throat swab can be plated onto the same agar as the nasal swab. This would enhance sensitivity without adding the cost of an extra culture. (IB)67,74
- 13. Perirectal—perineal cultures have been shown to detect MRSA with high sensitivity in certain patient populations, but the perirectal—perineal area should not be selected as the only site for culture. (IB)315,324,325

- 14. Patients colonized or infected with MRSA isolates can be cohorted with other MRSA patients. (II) 30,43,45
- 15. Patients with MRSA isolates that are eradicable because of known susceptibility to multiple drugs useful for eradication (eg, mupirocin, rifampin, minocycline, trimethoprim-sulfamethoxazole, or all four) should not be cohorted with those with isolates resistant to these drugs, if eradication will be used as an adjunctive measure. (II)272
- **16.** In certain settings, such as nursing homes and psychiatric wards, identification of colonized patients is important, but contact precautions may require modification allowing for social contact while limiting physical contact. (II)119,182,323

#### II. Hand Hygiene

- **1.** HCWs should be encouraged to decontaminate (clean) their hands with an antiseptic-containing preparation before and after all patient contacts. (IA)121,326-330
- 2. Soap and water hand washing is required when hands are visibly dirty or visibly contaminated with blood, body fluids, or body substances. (IA)331
- **3.** When hands are not visibly contaminated with blood, body fluids, or body substances, use of an alcohol hand rub containing an emollient should be encouraged. (IB)215,332-338
- **4.** Lotion compatible with (ie, that does not inactivate) the antiseptic being used should be provided for use by HCWs. (II)339-343
- **5.** Monitoring of hand hygiene compliance and feedback to HCWs should be done to motivate greater compliance. (IB)215,344

#### III. Barrier Precautions for Patients Known or Suspected to Be Colonized or Infected With Epidemiologically Important Antibiotic-Resistant Pathogens Such as MRSA or VRE

- **1.** Gloves should always be worn to enter the room of a patient on contact precautions for colonization or infection with antibiotic-resistant pathogens such as MRSA, VRE, VISA, or VRSA. (IA)122,132,212,225-230
- **2.** Gowns always should be worn as part of contact precautions for all patient and environmental contact with patients known to be colonized by antibiotic-resistant pathogens such as MRSA, VRE, VISA, or VRSA, except when there is no direct contact with patient or environmental surfaces. (IA)29,30,43,4547,49,57,59,96, 99,102,106,119,122,132,135,136,138-147,149,171-173,176,345

- **3.** Universal gown and glove use or universal gloving alone also can be considered for adjunctive control on high-risk wards among patients with surveillance cultures pending. (IB)37,44,105,316-318,346
- **4.** Masks should be worn as part of isolation precautions when entering the room of a patient colonized or infected with MRSA, VISA, or VRSA to decrease nasal acquisition by HCWs. (II)30,123,124,129,231,232

#### IV. Antibiotic Stewardship

- **1.** Avoid inappropriate or excessive antibiotic prophylaxis and therapy. (IB)194,251,347
- 2. Ensure correct dosage and duration of antibiotic therapy. (IB)348-350
- **3.** Restrict the use of vancomycin (if possible and appropriate for care of the individual patient being treated) to decrease the selective pressure favoring vancomycin resistance. (IB)115,269
- То prevent the establishment of VRE intestinal colonization, decrease the use of agents with little or no activity against enterococci, such as third-generation and fourth-generation cephalosporins, in patients not known to be VRE colonized (if possible and appropriate for care of the individual patient being (IB)115,267,268,351,352
- **5.** To prevent persistent high-density VRE colonization, decrease the use of antianaerobic agents in patients with known VRE intestinal colonization (if possible and appropriate for care of the individual patient being treated). (II)102,113,159,270
- **6.** To help prevent persistent carriage of MRSA, reduce the use of antibiotics and particularly fluoroquinolones to the minimum necessary in institutions where MRSA is endemic. (IB)251-258
- **7.** Avoid therapy for colonization except when suppression or eradication of colonization is being attempted using an evidence-based approach for infection prevention, for psychological benefit of the patient, or for cost benefit (ie, by reducing the need for long-term isolation). (IB)5,272,285,286

#### V. Decolonization or Suppression of Colonized Patients

- 1. Consider MRSA decolonization therapy for both patients and HCWs as an adjunctive measure for controlling spread of MRSA in selected populations when appropriate. (IB) 30,176,271,272,275-277
- 2. Any program of decolonization therapy should incorporate routine susceptibility testing, as selection of inactive agents is less likely to achieve eradication. (II)272,353

**3.** Widespread use, prolonged use, or both of decolonization therapy should be avoided, because this has been associated with the evolution and spread of antibiotic- resistant strains, undermining the effectiveness of the control effort. (IB)285,286

#### VI. Other

- 1. Educational programs should be conducted to ensure that HCWs understand why antibiotic-resistant pathogens are epidemiologically important, why prevention of spread is critically necessary for control, and which measures for preventing spread have proven effective. (IB)215,220
- **2.** Ensure that the hospital method of disinfecting hospital surfaces for antibiotic-resistant organisms (especially VRE) has been shown to be adequate based on the results of studies of such methods in the healthcare setting or perform cultures in the room of discharged patients to confirm the adequacy of terminal cleaning. This requires review of the disinfectant agent, method and meticulousness of cleaning, dilutions, and contact time. (IB)102,161,169,294
- **3.** Use the hospital computer system to record longterm isolation indicators for patients colonized with MRSA, VRE, VISA, or VRSA so that on return the computer will provide an alert regarding the need for isolation. (IB)297
- **4.** Dedicate the use of noncritical patient-care equipment to a single patient (or cohort of patients infected or colonized with the pathogen requiring precautions) to avoid sharing between patients. If use of common equipment or items is unavoidable, then adequately clean and disinfect them before use for another patient. (IB)99,150-155,296

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# Appendix C

# The Institute for Healthcare Improvement's 100,000 Lives Campaign

The Institute for Healthcare Improvement aimed to enlist thousands of hospitals across the country in its 100,000 Lives Campaign. The goal was to save 100,000 lives a year by reducing nosocomial infections and medical errors. Three of the six elements of that campaign called on hospitals to implement procedures proven effective in reducing surgical site infections, central line infections, and ventilator-associated pneumonia. The three elements are summarized here. For the complete "How-To-Guide" to preventing these infections, consult the Institute's Web site at: http://www.ihi.org/IHI/Programs/Campaign.

# I. The Four Key Components of Preventing Ventilator-Associated Pneumonia:

- 1. Elevation of the head of the bed to between 30 and 45 degrees
- 2. Daily "sedation vacation" and daily assessment of the readiness to extubate
- 3. Peptic ulcer disease prophylaxis
- 4. Deep venous thrombosis prophylaxis (unless contraindicated)

#### II. The Four Key Components for Preventing Surgical Site Infections:

- 1. Appropriate use of antibiotics, including administering antibiotics within one hour before surgical incision, selecting an antibiotic consistent with national guidelines, and discontinuing prophylactic antibiotics within 24 hours after surgery
- 2. No shaving. Appropriate hair removal, if necessary, with clippers or a depilatory, but not with a razor
- 3. Monitor and maintain patient's glucose levels after surgery, particularly for cardiovascular surgery patients.
- 4. Keep patients' body temperatures at normal levels during and after surgery, especially colorectal surgery, with warmed IV fluids, warming blankets, hats and booties, and other means.

#### Appendix C

# III. The Five Key Components of Preventing Catheter-Related Bloodstream Infections:

- Appropriate hand hygiene, including cleaning hands before and after palpating catheter insertion sites, before and after inserting, replacing, accessing, repairing or dressing an intravascular catheter, whenever hands are soiled or contaminated, before and after removing gloves, etc.
- 2. Maximal barrier protection—meaning wearing a cap, mask, sterile gown, and gloves—when placing or assisting in the placement of a central line, and ensuring that the patient is covered head to toe in a sterile drape with one small opening for the site of insertion
- 3. Chlorhexidine skin antisepsis before insertion
- 4. Optimal catheter site selection, with the subclavian vein as the preferred site instead of the jugular or femoral sites for non-tunneled catheters in adult patients
- 5. Daily review of central line necessity to prevent unnecessary, prolonged use

# 5,000,000 Lives Campaign

(Announced December 2006)

As this new edition of *Unnecessary Deaths* went to print, the Institute for Healthcare Improvement announced its new 5 Million Lives Campaign. One aspect of the campaign targets MRSA infections. Fortunately, IHI is now adding its important voice to the call for MRSA screening.

The five components of the IHI's new initiative to reduce MRSA infections are: rigorous hand hygiene; meticulous decontamination of equipment and environmental surfaces and the use of dedicated equipment to treat MRSA-positive patients; active surveillance to identify incoming patients carrying MRSA; contact precautions for infected and colonized patients; and "bundles" or groupings of best practices known to reduce MRSA infections associated with ventilators and central lines.

# About the Author

Betsy McCaughey, Ph.D., is a health policy expert who has won many prizes for her writings, lectures widely, and appears frequently on television and radio. Two years ago she launched a nationwide crusade to stop hospital infection deaths. She is founder and Chairman of the Committee to Reduce Infection Deaths (http://www.hospitalinfection.org).

Dr. McCaughey's research on how to prevent infection deaths has been featured on *Good Morning America*, the *CBS Morning Show*, *ABC's 20/20*, *Dateline* NBC, and many other national programs. She has also appeared on Fox News Network's *Hannity & Colmes, The O'Reilly Factor*, CNN's *Talk Back Live*, and numerous radio programs.

Dr. McCaughey is the author of over one hundred scholarly or popular articles on health policy, which have appeared in *The New York Times, The Wall Street Journal, The New York Sun, New Republic, Policy Review, Forbes Magazine, New York Law Journal, Los Angeles Times, U.S. News & World Report,* and many other national publications.

She has produced prize-winning studies while at two think tanks, the Manhattan Institute (1993-94) and later the Hudson Institute (1999-2001). Dr. McCaughey's 1994 article on the dangers of the Clinton health plan received the National Magazine Award for the best article in the nation on public policy, the H. L. Mencken Award and other prizes. As Lt. Governor of New York State (1994-98), she proposed health legislation that became models for legislation in other states and in Congress. She has also been honored by the American Society of Anesthesiologists for her writings in that field.

Prior to entering the health policy field, Dr. McCaughey taught and wrote about U.S. constitutional history. She is the author of two books, *From Loyalist to Founding Father* (Columbia University Press), winner of the Bancroft Dissertation Award, and *Government by Choice* (Basic Books). She also chaired a national commission on reforming the electoral college in 1992, wrote its report, *Electing the President*, and testified before Congress on the subject. She has taught at Vassar College (1977-78) and Columbia University (1979-83), and in 1989, she served as Guest Curator for the Bicentennial Exhibit and related events at the New York Historical Society.

She is also the proud mother of three daughters: Amanda (Yale class of 2001), Caroline (Brown class of 2003) and Diana (Manhattanville class of 2007).

# Endnotes

- Robert Weinstein, "Nosocomial Infection Update," *Emerging Infectious Diseases* 4.3 (1998): 416-20. The infection rate, between 5 and 6 infections per 100 admissions, has been steady for more than 28 years, according to Weinstein's research.
- The Federal Centers for Disease Control and Prevention previously estimated that 90,000 people die annually from infections they contract in U.S. hospitals, but the CDC press confirms that it is "working on a new number." Interview with Nicole Coffin, spokesperson for the CDC, Infectious Diseases Division, October 10, 2002 (404-639-2888). The Chicago Tribune puts the death rate even higher, at 103,000. The Chicago Tribune examined hospital records, court records, and federal and state agency data pertaining to 5810 hospitals to reach its estimate. The CDC based their extrapolations on data voluntarily submitted by 315 U.S. hospitals: "How the Chicago Tribune Analyzed Infection Cases," Chicago Tribune (July 21, 2002).
- <sup>3</sup> Institute of Medicine, "Insuring America's Health: Principles and Recommendations," Academic Emergency Medicine 11.4 (2004): 418-22.
- <sup>4</sup> See the "Preventing Infections Makes Hospital More Profitable" section of this booklet.
- Institute of Medicine, "Insuring America's Health: Principles and Recommendations," Academic Emergency Medicine 11.4 (2004): 418-22.
- Infectious Disease Society of America, Bad Bugs, No Drugs (July, 2004): 3. Data are from the Federal Centers for Disease Control and Prevention National Nosocomial Infections Surveillance (NNIS) system report, data summary from January 1992 to June 2003, issued August 2003.
- OA Muto, JA Jernigan, et al., "SHEA Guideline for Preventing Nosocomial Transmission of Multidrug-Resistant Strains of Staphylococcus aureus and Enterococcus," *Infection Control* and Hospital Epidemiology 24.5 (2003): 362-86.
- Infectious Disease Society of America, *Bad Bugs, No Drugs* (July, 2004): Table 3. Data are from the Federal Centers for Disease Control and Prevention National Nosocomial Infections Surveillance (NNIS) system report, data summary from January 1992 to June 2003, issued August 2003.
- <sup>9</sup> CA Muto, JA Jernigan, et al., "SHEA Guideline for Preventing Nosocomial Transmission of Multidrug-Resistant Strains of Staphylococcus aureus and Enterococcus," *Infection Control* and Hospital Epidemiology 24.5 (2003): 362-86.
- OD Salgado, BM Farr, "MRSA and VRE: Preventing Patient-to-Patient Spread," *Infections in Medicine* 20 (2003): 192-200; RL Thompson et al., "Epidemiology of nosocomial infections caused by *methicillin-resistant Staphylococcus aureus*," *Annals of Internal Medicine* 97.3 (1982): 309-317.
- Pittsburgh Regional Healthcare Initiative, PRHI in Progress: 2004 in Review (January 2005): 5.
- <sup>12</sup> Telephone interview with Dr. Carlene Muto, University of Pittsburgh Medical Center-Presbyterian Hospital, July 15, 2005 (412-629-2566).
- <sup>13</sup> JM Boyce, "Do Infection Control Measures Work for Methicillin-Resistant Staphylococcus Aureus?" *Infection Control and Hospital Epidemiology* 25.5 (2004): 395-401.
- <sup>14</sup> SS Huang, et al., "Impact of routine intensive care unit surveillance cultures and resultant barrier precautions on hospital-wide methicillin-resistant Staphylococcus aureus bacteremia," *Clinical Infectious Diseases* 43.8 (2006): 971-8.
- BE Ostrowsky et al., "Control of vancomycin-resistant Enterococcus in health care facilities in a region," New England Journal of Medicine 344.19 (2001): 1427-33; see also CD Salgado, BM Farr, "MRSA and VRE: Preventing Patient-to-Patient Spread," Infections in Medicine 20 (2003): 192-200
- Hand Hygiene in Healthcare Settings Core, slide 3 of a slide presentation dated June 6, 2003, and currently available on the CDC Web site at http://cdc.gov/handhygiene/download/hand\_hygiene\_core.ppt; See also: DM Pittet, "Improving Adherence to Hand Hygiene Practice: a Multidisciplinary Approach," *Emerging Infectious Diseases* 7.2 (2001): 234-40; LC Biant et al., "Eradication of *Methicillin Resistant Staphylococcus Aureus* by 'ring

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- 17 SS Huang, et al., "Impact of routine intensive care unit surveillance cultures and resultant barrier precautions on hospital-wide methicillin-resistant Staphylococcus aureus bacteremia," Clinical Infectious Diseases 43.8 (2006): 971-8.
- <sup>18</sup> MRSA contaminates patient care rooms 69 percent to 73 percent of the time. See: JM Boyce et al., "Environmental contamination due to methicilliin-resistant Staphylococcus aureus: possible infection control implications," *Infection Control and Hospital Epidemiology* 18.9 (1997): 622-7.
- <sup>19</sup> JM Boyce et al., "Environmental contamination due to methicillin-resistant Staphylococcus aureus: possible infection control implications," Infection Control and Hospital Epidemiology 18.9 (1997): 622-627.
- <sup>20</sup> CD Salgado, BM Farr, "MRSA and VRE: Preventing Patient-to-Patient Spread," Infections in Medicine 20 (2003): 192-200.
- 21 Ibid.; See also: JM Boyce et al., "Environmental contamination due to methicilliin-resistant Staphylococcus aureus: possible infection control implications," Infection Control and Hospital Epidemiology 18.9 (1997): 622-7; "Isolation gowns prevent health care workers (HCWs) from contaminating their clothing, and possibly their hands with methicillin-resistant Staphylococcus aureus (MRSA) and resistant enterococci, Presented to the Eighth Annual Meeting of the Society for Healthcare Epidemiology of America , April 5-7, 1998, Orlando, Florida, Abstract No. S74:52. Cited in: CA Muto, JA Jernigan, et al., "SHEA Guideline for Preventing Nosocomial Transmission of Multidrug-Resistant Strains of Staphylococcus aureus and Enterococcus," Infection Control and Hospital Epidemiology 24.5 (2003): 362-86.
- The American Medical Association recommends it. CD Salgado, BM Farr, "MRSA and VRE: Preventing Patient-to-Patient Spread," *Infections in Medicine* 20 (2003): 192-200
- <sup>23</sup> KJ Hardy et al., "A Study of the Relationship between environmental contamination with methicillin-resistant Staphylococcus aureus (MRSA) and patients' acquisition of MRSA," *Infection Control and Hospital Epidemiology* 27.2 (2006): 127-132.
- <sup>24</sup> JA Jernigan et al., "Effectiveness of Contact Isolation during a Hospital Outbreak of Methicillin-Resistant Staphylococcus aureus," *American Journal of Epidemiology* 143.5 (1996): 496-504.
- <sup>25</sup> JD Siegelet al., "Management of Multidrug-Resistant Organisms in Healthcare Settings, 2006," Healthcare Infection Control Practices Advisory Committee (http://www.cdc.gov/ncidod/dhqp).
- <sup>26</sup> C de Gialluly et al., "Blood Pressure Cuff as a Potential Vector of Pathogenic Microorganisms: a prospective study in a teaching hospital," *Infection Control and Hospital Epidemiology* 27.9 (2006): 940-3.
- <sup>27</sup> S Harbarth, D Pittet, "Control of Nosocomial Methicillin-Resistant Staphylococcus aureus: Where Shall We Send Our Hospital Director Next Time?" *Infection Control and Hospital Epidemiology* 24.5 (2003): 314-6.
- <sup>28</sup> BM Farr, "Doing the Right Thing (and Figuring Out What That Is)," *Infection Control and Hospital Epidemiiology* 27.10 (2006): 999-1003, citing data from the CDC National Nosocomial Infection Survey (NNIS).
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- <sup>31</sup> JM Boyce, "Do Infection Control Measures Work for Methicillin-Resistant Staphylococcus Aureus?" *Infection Control and Hospital Epidemiology* 25.5 (2004): 395-401.
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- 35 Telephone interview with Dr. Carlene Muto, University of Pittsburgh Medical Center-Presbyterian Hospital, July 15, 2005 (412-629-2566).
- 34 "Skin Scourge: a Treatment-Resistant Form of Bacteria that Spreads Through Direct

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- 36 Ibid.
- 37 Ibid.
- <sup>38</sup> RR Roberts et al., "The Use of Economic Modeling to Determine the Hospital Costs Associated with Nosocomial Infections," Clinical Infectious Diseases 36.11 (2003) 1424-1432. This study puts the average cost of hospital infection at \$15,275; PW Stone et al., "A Systematic Audit of Economic Evidence Linking Nosocomial Infections and Infection Control Interventions, 1990-2000," American Journal of Infection Control 30.3 (2002): 145-52. This study estimates the average cost of infection to be \$13,973. Both studies look at incremental hospital costs only. The national cost was reached by multiplying 2 million infections by \$15,000 per infection.
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- <sup>42</sup> Nancy M. Kane, Richard B. Siegrist, Jr., "Understanding Rising Hospital Inpatient Costs: Key Components of Cost and the Impact of Poor Quality," (August 12, 2002), unpublished manuscript. The findings are based on Medicare Cost Reports (1999-2000) and Uniform Hospital Discharge Data Set (2000) for all acute general hospitals in ten states: Massachusetts, New York, Virginia, Florida, Texas, Illinois, Iowa, California, Washington, and Colorado. Kane and Siegrist are adjunct faculty at the Harvard School of Public Health.
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- <sup>44</sup> Institute for Healthcare Improvement, 100,000 Lives Campaign, How-to-Guide: Prevent Ventilator-Associated Pneumonia at www.Ihi/org/IHI/Programs/Campaign.
- <sup>45</sup> GA Noskin et al., "The Burden of *Staphylococcus aureus* Infections on Hospitals in the United States: an analysis of the 2000 to 2001 Nationwide Inpatient Sample Database," Archives of Internal Medicine 165.15 (2005): 1756-1761.
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- <sup>50</sup> Telephone interview with Dr. Carlene Muto, University of Pittsburgh Medical Center-Presbyterian Hospital, July 15, 2005 (412-629-2566).
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- The Institute for Healthcare Improvement guidelines for improving infection prevention state that: "Administration of prophylactic antibiotics beginning 0 to 1 hour prior to surgical incision decreases the risk of surgical infection: http://www.ini.org/IHI/Topics/PatientSafety/SurgicalSiteInfections/ImprovementStories.
- 54 Ibid., the Institute for Healthcare Improvement states that "clipping instead of shaving results in decreased infection rates," and recommends that patients be told "not to shave the surgical site for 72 hours prior to surgery."
- 55 Kambat v. St. Francis 89 N.Y. 2d. 489, 678 N.E. 2d. 456, 655 N.Y.S. 2d 244, 1997 N.Y.
- <sup>56</sup> Telephone interview with Dr. Frank Lowey, Professor of Microbiology, Columbia College of Physicians and Surgeons, July 15, 2005.
- 57 The CDC and the American Association of Medical Colleges are working on a Web-based curriculum that focuses largely on this issue.
- <sup>58</sup> Telephone interview with Dr. Carlene Muto, University of Pittsburgh Medical Center-Presbyterian Hospital, July 15, 2005 (412-629-2566).
- <sup>59</sup> Dr. Richard Shannon, PowerPoint presentations, December 7, 2004 and March 11, 2005, Harvard Club of New York City; "PRHI in Progress: 2004 in Review," published by the Pittsburgh Regional Healthcare Initiative.
- <sup>60</sup> Dr. Richard Shannon, PowerPoint presentations, December 7, 2004 and March 11, 2005.
- <sup>61</sup> Dr. Richard Shannon, PowerPoint presentations, December 7, 2004 and March 11, 2005.
- 62 "Reducing hospital-acquired infections," Ivanhoe Broadcast News, (9-25-2004) at www.news8austin.com; "Simple Intervention Nearly Eliminates Catheter-related Bloodstream Infections," (12-09-2004) at www.sciencedaily.com
- <sup>63</sup> Joe Fahy, "Hospitals Able to Cut Some Kinds of Infections," Pittsburgh Post-Gazette (July 15, 2005) A1.
- <sup>64</sup> Based on an interview with Dr. Barry Farr by telephone, July 21, 2005.
- 65 Trick WE et al., "Impact of Ring Wearing on Hand Contamination and Comparison of Hand Hygiene Agents in a Hospital," *Clinical Infectious Diseases* 36.11 (2003): 1383-1390. Biant LC et al., "Eradication of *methicillin-resistant Staphylococcus aureus* by 'ring fencing' of elective orthopaedic beds," *British Medical Journal* 329.7458 (2004): 149-51. Visitors who sit on a chair or lean on a cabinet, then sit on the bed are transferring bacteria to the patient's bedclothes. CD Salgado, BM Farr, "MRSA and VRE: Preventing Patient-to-Patient Spread," *Infections in Medicine* 20 (2003):194-200.
- 66 Studies show that, nearly three quarters of patients' rooms are contaminated with MRSA and 69% with VRE. In one study, 42% of gloves worn by hospital personnel who had no direct patient contact but who touched contaminated surfaces became contaminated. JM Boyce et al., "Environmental contamination due to methicillin-resistant Staphylococcus aureus: possible infection control implications," Infection Control and Hospital Epidemiology 18.9 (1997): 622-627. A Concensus Statement by a multidisciplinary group of experts asked by the American Medical Association to provide guidelines for infection control cautions that: "In some cases caregivers actually go from patient to patient without changing their gloves, apparently confusing self-protection" with patient protection. DA Goldmann et al., "Strategies to Prevent and Control the Emergence and Spread of Antimicrobial- Resistant Microorganism in Hospitals," IAMA 275.3 (1996): 234-240.
- 67 Routine disinfection of stethoscopes between patients is recommended by the American Medical Association. CD Salgado, BM Farr, "MRSA and VRE: Preventing Patient-to-Patient Spread," *Infections in Medicine* 20 (2003):194-200; MA Marinella et al., "The stethoscope: a potential source of nosocomial infection?" *Archives of Internal Medicine*,157.7 (1997): 786-90; KC Zachary et al., "Contamination of gowns, gloves, and stethoscopes with vancomycin-resistant Enterococci," *Infection Control and Hospital Epidemiology* 22.9 (2001): 560-564; GA Noskin et al., "Recovery of vancomycin-resistant Enterococci on fingertips and environmental surfaces," Infection Control and Hospital Epidemiology 17.12 (1996): 770-772
- <sup>68</sup> The Agency for Healthcare Research and Quality recommends use of antibiotic catheters

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- The following four studies support this suggestion: (1) MO Vernon et al., "Chlorhexidine gluconate to cleanse patients in a medical intensive care unit," *Archives of Internal Medicine* 166 (2006): 306-312. (2) LJ Hayek et al., "Preoperative whole body disinfection—a controlled clinical study," *Journal of Hospital Infection* 11, Suppl. B (1988): 15-19 This study showed that two chlorhexidine showers reduced total infection rate by 30% and Staph aureus infections by 50%. (3) DJ Byrne et al., "Rationalizing whole body disinfection," Journal of Hospital Infection 15.2 (1990): 183-187. This study shows that a single shower does not maximize skin disinfection. The authors conclude that three showers should be recommended. (4) DS Paulson, "Efficacy Evaluation of a 4% Chlorhexidine Gluconate as a Full-Body Shower Wash," published by the Association for Practitioners in Infection Control (1993). This study found that showering for five days with chlorhexidine yielded maximum results for reducing bacteria on the skin, and keeping it low for 24 hours or more. "A 1 or 2 day presurgical application period is simply too short to establish the necessary levels of residual antimicrobial properties to be of value in reducing post-surgical infection rates."
- <sup>70</sup> S Worcester, "Hospital system takes on MRSA," *Internal Medicine News* 38.19 (2005): 1-2.
- A Kurz et al., "Perioperative Normothermia to Reduce the Incidence of Surgical-Wound Infection and Shorten Hospitalization," New England Journal of Medicine 334.19 (1996): 1209-1215.
- The Institute for Healthcare Improvement guidelines for improving infection prevention state that: "Administration of prophylactic antibiotics beginning 0 to 1 hour prior to surgical incision decreases the risk of surgical infection. http://www.ini.org/IHI/Topics/PatientSafety/SurgicalSiteInfections/ImprovementStories (accessed 10-14-02). See also: JP Burke, "Maximizing appropriate antibiotic prophylaxis for surgical patients: an update from LDS Hospital, Salt Lake City," *Clinical Infectious Diseases* 33, Suppl. 2 (2001): S78-83.
- <sup>73</sup> Ibid., the Institute for Healthcare Improvement Guidelines for improving infection state that "surgical patients with core temperatures greater than 36 degrees C./ 98.6 degrees F are less likely to get an infection."
- <sup>74</sup> Ibid., the Institute for Healthcare Improvement states that "clipping instead of shaving results in decreased infection rates," and recommends that patients be told "not to shave the surgical site for 72 hours prior to surgery."
- <sup>75</sup> M A Ritter, "Operating Room Environment" Clinical Orthopaedics and Related Research 369 (1999): 103-109.
- <sup>76</sup> Pittsburgh Regional Healthcare Initiative, "PHRI Executive Summary," (June, 2005).
- <sup>77</sup> Urinary tract infections are the most common hospital-acquired infections. Limiting the use and duration of urinary tract catheters reduces risk of infection. See: J Puri et al., "Catheter Associated Urinary Tract Infections in Neurology and Neurosurgical Units," Journal of Infection 44.3 (2002): 171-175; F Stephan et al., "Reduction of Urinary tract infection and antibiotic use after surgery: a controlled, prospective, before-after intervention study," Clinical Infectious Diseases 24 (2006): 1544-1551.
- <sup>78</sup> CA Killian et al., "Risk Factors for Surgical-Site Infections Following Cesarean Section," Infection Control and Hospital Epidemiology 22.10 (2001): 613-7.
- Twenty-one states require hospitals to report "adverse events" including these serious infections. The best source for these state requirements is the National Academy for State Health Policy, Portland, Maine, at www.nashp.org
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- 86 "Data Show Scourge of Hospital Infections," Washington Post, (July 13, 2005); "Hospitals Battling Infections," Washington Post, (July 14, 2005).
- 87 These are the types of infections that the Centers for Disease Control and Prevention recommend in a public reporting system. However, lawmakers could consider adding ventilator-related pneumonia, but these are more difficult to detect accurately, or urinary tract infections, which are common but less costly and deadly than other infections.



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