

# NICHOLS WEALTH ADVISORS

NICHOLS WEALTH ADVISORS, LLC  
195 NORTH HARBOR DRIVE  
UNIT 3501  
CHICAGO, IL 60601-7534  
(630) 917-3859  
[www.nicholswealthadvisors.com](http://www.nicholswealthadvisors.com)

## **Form ADV Part 2B Brochure Supplement** May 1, 2022

This brochure supplement provides information about Alfred Nichols, CFP, ChFC, CLU that supplements the Nichols Wealth Advisors, LLC brochure. You should have received a copy of that brochure. Please contact Nichols Wealth Advisors, LLC if you did not receive Nichols Wealth Advisors' brochure or if you have any questions about the contents of this supplement.

Additional information about Alfred Nichols, CFP, ChFC, CLU is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

# Educational Background and Business Experience

## ALFRED NICHOLS

### EDUCATION

**University of Chicago**, Booth School of Business, Masters Business Administration

**Purdue University**, Krannert School of Management, Bachelor of Science Industrial Management

### BUSINESS BACKGROUND

Managing Principal, Nichols Wealth Advisors, LLC	Present
Vice President and Branch Manager, Fidelity Investments	2001 – 2016
Vice President and Regional Area Manager, Fidelity Investments	1996 – 2001
Vice President and Branch Manager, Fidelity Investments	1995 – 1996
Assistant Branch Manager, Fidelity Investments	1994
Financial Consultant, Fidelity Investments	1991 - 1993
Regional Manager, MetLife Insurance Company	1987 - 1991
Engineering Manager, R.R. Donnelley and Sons	1975 – 1987

### DESIGNATIONS

#### **Certified Financial Planner – CFP®**

The CFP® certification process, administered by CFP Board, identifies to the public that those individuals who have been authorized to use the CFP® certification marks in the U.S. have met rigorous professional standards and have agreed to adhere to the principles of integrity, objectivity, competence, fairness, confidentiality, professionalism and diligence when dealing with clients.

#### **Chartered Financial Consultant – ChFC**

**ChFC. A financial planning designation for the insurance industry awarded by the American College of Bryn Mawr. ChFCs must meet experience requirements and pass exams covering finance and investing. They must have at least three years of experience in the financial industry, and have studied and passed an examination on**

**the fundamentals of financial planning, including income tax, insurance, investment and estate planning.**

### **Chartered Life Underwriter - CLU**

**A chartered life underwriter (CLU) is a professional designation for individuals who wish to specialize in life insurance and estate planning. Individuals must complete five core courses and three elective courses, and successfully pass all eight two-hour, 100-question examinations in order to receive the designation.**



## **DISCIPLINARY INFORMATION**

Alfred Nichols has not been subject to any legal or disciplinary events.

## OTHER BUSINESS ACTIVITIES

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

## ADDITIONAL COMPENSATION

None.

## SUPERVISION

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("Act"). The Registrant's Chief Compliance Officer, Alfred Nichols, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing activities of the Registrant's supervised persons. Should an employee or investment adviser representative of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Nichols at (630) 917-3859.



## REQUIREMENTS FOR STATE-REGISTERED ADVISORS

The Managing Principal for Nichols Wealth Advisors, LLC is Alfred Nichols and refer to Form ADV Part 2B for formal education and business background.

Alfred Nichols serves on the Board of Trustees and the Finance Committee of his church providing financial guidance on financial matters affecting the church which comprises approximately 5 hours quarterly.