Ph: (1)678-313-6265 Email: <u>info@oxfordchaseadvisors.com</u> https://www.oxfordchaseadvisors.com/

Item 1 Cover Page

Α.

Manoj Madhavan

Dated 04/17/2025.

CRD # 6246799 Oxford Chase Advisors, LLC Brochure Supplement

Contact: Manoj Madhavan, Chief Compliance Officer 4600 Avocet Drive, Peachtree Corners, GA30092

https://www.oxfordchaseadvisors.com

В.

This brochure supplement provides information about Manoj Madhavan that supplements the Oxford Chase Advisors, LLC brochure; you should have received a copy of that brochure. Please contact Manoj Madhavan, Chief Compliance Officer if you did *not* receive Oxford Chase Advisors' brochure or if you have any questions about the contents of this supplement.

Additional information about Manoj Madhavan is available on the SEC's website at www.adviserinfo.sec.gov

Item 2 Education Background and Business Experience

Manoj Madhavan was born in 1967. Mr. Madhavan graduated from The Indian Institute of Technology, Varanasi, India in1989, with a Bachelor of Technology degree with a major in Civil Engineering and from the Louisiana State University, Baton Rouge, with a Master of Science degree in Engineering Science. Mr. Madhavan has been an investment adviser representative of Oxford Chase Advisors, LLC since August of 2013.

Mr. Madhavan has held the designation of Chartered Financial Analyst (CFA®) since January of 2013. CFA® is an investment analysis and research designation conferred by The CA Institute. Candidates must meet education, experience, examination, and continuing ethical requirements. Candidates must have at least four years of experience in the financial industry. Candidates are required to take three exams CFA Level I, CFA Level II and CFA Level III followed by an exam. The courses and exams cover topics in finance, investing, investment analysis and financial planning. Candidates are required to complete 15 hours of Professional Learning to maintain the CFA charter.

Mr. Madhavan has held the designation of Certified Financial Planner (CFP) since Dec. 15, 2015. Mr. Madhavan is certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, Mr. Madhavan may refer to himself as a CERTIFIED FINANCIAL PLANNER® professional or a CFP® professional,

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and he may use these and the other certification marks (the "CFP Board Certification Marks") that Certified Financial Planner Board of Standards Center for Financial Planning, Inc. has licensed to CFP Board in the United States. The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

Candidates are required to complete 30 hours of Continuing Education credits, including 2 hours of Ethics, to maintain the CFP Charter.

Item 3 Disciplinary Information

Manoj Madhavan has not been involved in any criminal or civil action in a domestic, foreign or military court of competent jurisdiction.

Manoj Madhavan has not been subject to any administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority.

Manoj Madhavan has not been involved in any self-regulatory organization (SRO) proceeding.

Item 4 Other Business Activities

The supervised person is not actively engaged in any other investment-related businesses or occupations.

Item 5 Additional Compensation

None.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with current state regulatory requirements. The Registrant's Chief Compliance Officer, Mr. Manoj Madhavan, is primarily responsible for overseeing the activities of the Registrant's supervised persons. Mr. Madhavan also monitors accounts and conducts account reviews on at least an annual basis. Mr. Madhavan will adhere to and abide by all industry rules and regulations, as well as the firm's written supervisory procedures and code of ethics. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Madhavan at (678) 313-6265.

Item 7 State-Registered Investment Advisors

A. Mr. Madhavan has never been involved in an arbitration proceeding or a civil, self-regulatory, or administrative proceeding.

B. Mr. Madhavan has never been the subject of a bankruptcy petition.

OXFORD CHASE ADVISORS LLC

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A.

Viswanathan Ramakrishnan

CRD # 6246798 Oxford Chase Advisors, LLC Brochure Supplement Dated 04/17/2025.

Contact: Manoj Madhavan, Chief Compliance Officer 4600 Avocet Drive, Peachtree Corners, GA30092 https://www.oxfordchaseadvisors.com

В.

This brochure supplement provides information about Viswanathan Ramakrishnan that supplements the Oxford Chase Advisors, LLC brochure; you should have received a copy of that brochure. Please contact Manoj Madhavan, Chief Compliance Officer if you did *not* receive Oxford Chase Advisors' brochure or if you have any questions about the contents of this supplement.

Additional information about Viswanathan Ramakrishnan is available on the SEC's website at

www.adviserinfo.sec.gov

Item 2 Education Background and Business Experience

Viswanathan Ramakrishnan was born in 1971. Mr. Ramakrishnan graduated from Thiagarajar College of Engineering, India in 1992, with a Bachelor of Technology degree with a major in Electrical and Electronics Engineering and from Indian Institute of Technology, Chennai with a Masters in Maintenance Engineering and Management in 1994.

Mr. Ramakrishnan has been an investment adviser representative of Oxford Chase Advisors, LLC since September of 2013.

Mr. Ramakrishnan has held the designation of Chartered Financial Analyst (CFA®) since January of 2013. CFA® is an investment analysis and research designation conferred by The CA Institute. Candidates must meet education, experience, examination, and continuing ethical requirements. Candidates must have at least four years of experience in the financial industry. Candidates are required to take three exams CFA Level I, CFA Level II and CFA Level III followed by an exam. The courses and exams cover topics in finance, investing, investment analysis and financial planning. Candidates are required to complete 15 hours of Professional Learning to maintain the CFA charter.

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Item 3 Disciplinary Information

Viswanathan Ramakrishnan has not been involved in any criminal or civil action in a domestic, foreign or military court of competent jurisdiction.

Viswanathan Ramakrishnan has not been subject to any administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority.

Viswanathan Ramakrishnan has not been involved in any self-regulatory organization (SRO) proceeding.

Item 4 Other Business Activities

A. The supervised person is not actively engaged in any other investment-related businesses or investment-related occupations.

B. The supervised person used to work at AT&T providing IT-related services as an employee till July 2024.

Item 5 Additional Compensation

None.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with current state regulatory requirements. The Registrant's Chief Compliance Officer, Mr. Manoj Madhavan, is primarily responsible for overseeing the activities of the Registrant's supervised persons. Mr. Ramakrishnan also monitors accounts and conducts account reviews on at least an annual basis. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Madhavan at (678) 313-6265.

Item 7 State-Registered Investment Advisors

A. Mr. Ramakrishnan has never been involved in an arbitration proceeding or a civil, self-regulatory or administrative proceeding.

B. Mr. Ramakrishnan has never been the subject of a bankruptcy petition.

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A.

Manish Kapoor

CRD # 6246801

Oxford Chase Advisors, LLC

Brochure Supplement

Dated 04/17/2025.

Contact: Manoj Madhavan, Chief Compliance Officer 4600 Avocet Drive, Peachtree Corners, GA30092 https://www.oxfordchaseadvisors.com

В.

This brochure supplement provides information about Manish Kapoor that supplements the Oxford Chase Advisors, LLC brochure; you should have received a copy of that brochure. Please contact Manoj Madhavan, Chief Compliance Officer if you did *not* receive Oxford Chase Advisors' brochure or if you have any questions about the contents of this supplement.

Additional information about Manish Kapoor is available on the SEC's website at www.adviserinfo.sec.gov

Item 2 Education Background and Business Experience

Manish Kapoor was born in 1968. Mr. Kapoor graduated from Thapar College of Engineering, India in 1989, with a Bachelor of Technology degree with a major in Electronics.

Mr. Kapoor has been a partner of Oxford Chase Advisors, LLC since September of 2013.

Mr. Kapoor has held the designation of Chartered Financial Analyst (CFA®) since October of 2019. CFA® is an investment analysis and research designation conferred by The CA Institute. Candidates must meet education, experience, examination, and continuing ethical requirements. Candidates must have at least four years of experience in the financial industry. Candidates are required to take three exams CFA Level I, CFA Level II and CFA Level III followed by an exam. The courses and exams cover topics in finance, investing, investment analysis and financial planning. Candidates are required to complete 15 hours of Professional Learning to maintain the CFA charter.

Item 3 Disciplinary Information

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Manish Kapoor has not been involved in any criminal or civil action in a domestic, foreign or military court of competent jurisdiction.

Manish Kapoor has not been subject to any administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority.

Manish Kapoor has not been involved in any self-regulatory organization (SRO) proceeding.

Item 4 Other Business Activities

A. The supervised person is not actively engaged in any other investment-related businesses or investment-related occupations.

B. The supervised person provides telecom-related services as an employee of Nokia. This job takes up 50% of the supervised person's time.

Item 5 Additional Compensation

None.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with current state regulatory requirements. The Registrant's Chief Compliance Officer, Mr. Manoj Madhavan, is primarily responsible for overseeing the activities of the Registrant's supervised persons. Mr. Kapoor also monitors accounts and conducts account reviews on at least an annual basis. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Madhavan at (678) 313-6265.

Item 7 State-Registered Investment Advisors

A. Mr. Kapoor has never been involved in an arbitration proceeding or a civil, self-regulatory, or administrative proceeding.

B. Mr. Kapoor has never been the subject of a bankruptcy petition.