

Neal L. Wolkoff

Neal Wolkoff has many years of executive experience in the commodities and securities markets. He served as the Chairman and Chief Executive Officer of the American Stock Exchange (Amex) until negotiating and closing its merger with the NYSE. Prior to the Amex, for over 20 years, Mr. Wolkoff held senior level officer positions at the New York Mercantile Exchange (NYMEX), the leading marketplace for energy and precious metals trading. He served as NYMEX's Executive Vice President and Chief Operating Officer, and before that as Senior Vice President for Regulation and Clearing. He has also been the Chief Executive Officer of ELX Futures, L.P., an all-electronic futures exchange founded by major dealer banks and trading firms to compete in the area of interest rate futures. Mr. Wolkoff started his career as an Honors Program Trial Attorney in the Division of Enforcement of the Commodity Futures Trading Commission.

Mr. Wolkoff currently sits on two boards of directors of for-profit companies. He is the non-executive Chairman of the Board of OTC Markets Group, which operates the OTC equities markets. He is also an independent director on the board, and member of the Audit Committee, of World Gold Trust Services, the sponsor of the exchange traded fund "GLD." For many years, Mr. Wolkoff was a member of the board of the Golda Och Academy, a Solomon Schechter day school. Mr. Wolkoff is the founder and CEO of Wolkoff Consulting Services LLC, a financial services consultancy.

Mr. Wolkoff has testified before House and Senate Committees and Subcommittees, and State agencies, on market regulation, and the workings of the commodities and securities markets. He is often quoted on market matters in the press. Mr. Wolkoff has written opinion pieces for the Financial Times, New York Times, and The Wall Street Journal, and has been featured on the cover of Forbes Magazine for his efforts to change the regulatory culture at the Amex. In 2011 he co-authored a peer-reviewed scholarly article published in the Boston University Review of Banking and Financial Law on the "History of Regulation of Clearing in the Futures and Securities Markets and Its Impact on Competition."

Mr. Wolkoff received a B.A. from Columbia University and a J.D. from Boston University School of Law, and is a member of the Bar of the State of New York.