



MICHAEL A. SMITH

Partner

US Leader-Intelligent Automation for Internal Audit

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Function and Specialization

Michael is a partner in the Internal Audit Practice specializing in governance, risk and controls. His focus has been on leading internal audits including the evaluation of third party providers and use of automation technologies. Michael's capabilities leverage governance objectives, process and control improvement, objectives and technology tools to best meet clients' risk and control requirements.

Representative Clients

- Atmos Energy
- Barclays
- FelCor Lodging Trust Inc.
- Fidelity Investments
- Forterra Products
- Fortress Investment Group
- Invesco Advisors, Inc
- Invitation Homes
- La Quinta Corporation
- John Hancock Insurance
- National Grid
- Pappas Restaurants
- Regency Gas Services
- State Teachers Retirement System of Ohio
- Santander Consumer, USA
- Texas Pacific Group
- USAA Real Estate
- Z Resorts Management

Professional Associations

- American Institute of Certified Public Accountants
- Institute of Internal Auditors
- The Real Estate Council

Education, Licenses & Certifications

- BBA Accounting, Summa Cum Laude, Texas State University
- Licensed Certified Public Accountant, Texas

Background

Michael is an Internal Audit partner in KPMG's Advisory business, with a concentration in the asset management sector. Michael has more than 20 years in leading internal audit, risk and consulting programs, including: internal audits, contact compliance reviews, process and controls improvement projects, enterprise and functional risk assessments, data analysis enabled internal audit reviews, control transformations, and SOX programs. His approach focuses on identifying process and control improvements, including leverage of intelligent automation, that balance risk management and cost management objectives. Mike serves as the Dallas Financial Services Advisory Leader and US Leader of KPMG's Intelligent Automation for Internal Audit Team.

Representative Professional Experience

- Internal Audit and SOX intelligent automation projects
- Controls implementation and remediation services
- Corporate governance, SOX and internal audit assessments for pre-IPO entities
- Outsourced and co-sourced internal audit at various commercial enterprises, asset managers, and real estate companies
- SOX program implementation and SOX assistance services
- Risk and performance management programs
- Governance and operational process review for joint ventures and investment managers
- Regulatory compliance program management
- Policy and procedure improvement projects
- Experience includes more than 100 external audit, internal audit and controls engagements across a variety of industries

Technical Skills

Risk and Controls, Internal Audit, Intelligent Automation, Contract Compliance, Vendor Management, Business Performance Improvement, Sarbanes Oxley Assistance, Process Analysis, Enterprise Risk Management, Data Analysis, COSO

Publications and Speaking Engagements

- *Intelligent Automation and Internal Audit*
- *404 Institute: Lessons Learned from Compliance Efforts*
- *How internal audit can focus your organization's cybersecurity*
- *IA Insights: Data & analytics, cybersecurity and third party risk*
- *Top 10 Risks: Perspectives on risk management*
- "Controls and Risk Management Trends" (Finance and Accounting Symposium)

Community Activities

Team Captain, Dallas MS150 KPMG Cycling Team
Forerunner Mentoring, Tutor
Board Member, Texas State University Dept. of Accounting Advisory Board
Research and Development Committee, The Real Estate Foundation
Committee Chair emeritus, Dallas KPMG Living Green Team
Finance Chair emeritus, US Green Building Council - North Texas Chapter
Board Member emeritus, Dallas Off-Road Bicycle Association