

C. E. and S. Foundation, Inc.

2016 Annual Report

Who We Are

The C.E. and S. Foundation, Inc. was formed in 1984 as a private, non-operating family foundation. The history of the Foundation is rooted in the interest in supporting projects and initiatives that improve identifiable people’s lives. Currently, the Foundation identifies, funds, and nurtures projects and organizations in the fields of Education, Global Competency, Disaster/Humanitarian Relief, and the Urban Environment. The Foundation is also dedicated to fostering philanthropic spirit and organization effectiveness through service to the field. Located in Louisville, Kentucky, the Foundation is managed by an Executive Director, an Investment Committee, and a Grants Committee and has assets in the range of \$76 million. In the past ten years, the Foundation has made more than \$63 million in grant awards.

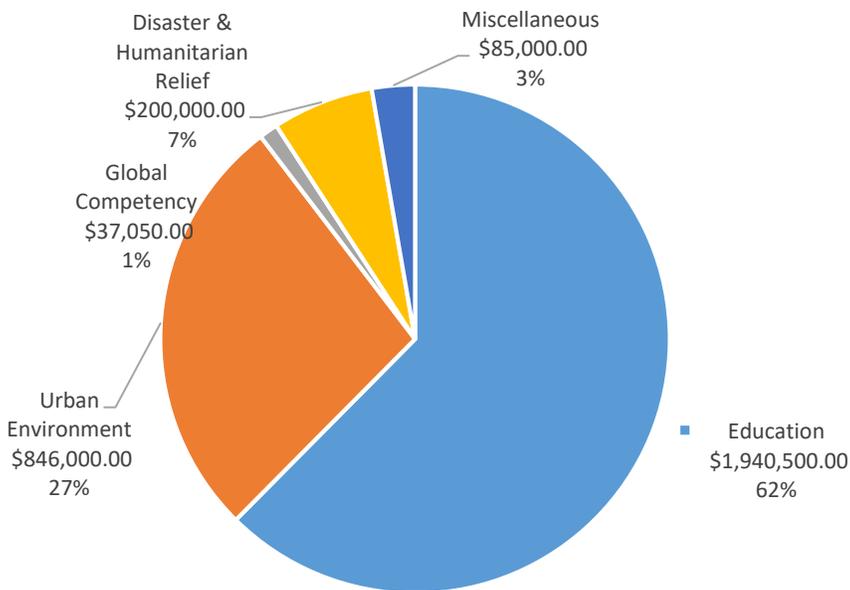
2016 Funding Areas

In 2016 the C.E. and S. Foundation granted more than \$4MM in funding to non-profit organizations, the majority in the greater Louisville region.

These grants deepen the Foundation’s emphasis on funding initiatives geared towards catalyzing measurable improvements in educational outcomes within the cradle-to-career ecosystem in Louisville, Kentucky. Grantmaking in 2016 was once again largely shaped by an education grantmaking strategy which was developed and adopted in 2015. Through this strategy, the C.E. and S. Foundation allocated 62 percent of its funding to education

efforts in both 2015 and 2016. This is a significant increase over the 44 percent allocated to funding education projects in 2014.

Grants Committee Distribution: FY 2016



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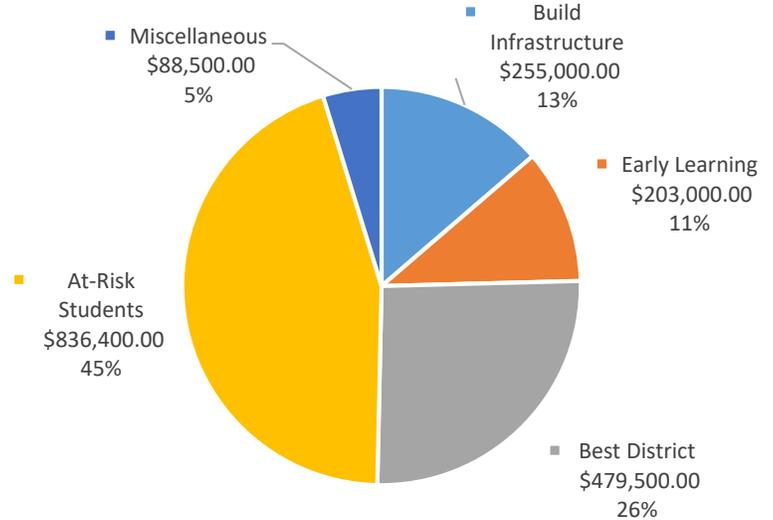
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2016 Education Funding:

The C.E. and S. Foundation's education grantmaking strategy includes four distinct focus areas:

- Build infrastructure to galvanize stakeholders, spur action, and measure progress;
- Prepare students for success from the start;
- Create conditions for schools to be effective and for JCPS to be the best urban district;
- Support at-risk students to college/career readiness and completion.

Grants Committee - Education Distribution:
FY 2016



The Grants Committee funding decisions in 2016 supported all four of the education focus areas. With the education grantmaking strategy gaining momentum through initial 2015 investments and program outcomes, in 2016 staff looked to deepen and broaden grantmaking to create a robust portfolio with new collaboration and scale to test strategies for improving academic outcomes. In 2016, the Foundation approved 28 grants aligned with its education grantmaking strategy, totaling \$1.94 million – of these, 20 were newly originated versus payment on multi-year commitments. In total, over the course of the two-year strategy, the Grants Committee has approved 51 education grants totaling just over \$4 million. These grants are beginning to form a network of carefully cultivated partners believed to be instrumental in our work over the longer term.

Over the course of 2016, staff worked to identify emerging national and local funding opportunities and develop partnerships that hold potential to transform our local education landscape. The Foundation coordinated a formal collaboration between the Archdiocese of Louisville and Jefferson County Public Schools to expand kindergarten readiness camps for more children in more strategically significant locations across the county than the school district could accomplish on its own. More broadly, Foundation partners that once sought funding with only their own mission in mind are now working with a new degree of collaboration, bringing together the strengths of multiple organizations with complementary missions to seek funding in partnership, implementing work with greater synergy.

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Examples of education funding granted in 2016:

With each grant awarded, the Foundation is committed to working with each partner to track progress in a meaningful way to both inform future grantmaking and identify and nurture best practices that will measurably **improve education outcomes**.

Build Infrastructure

55,000 Degrees –
Accelerating Progress -
\$150,000

Jefferson Community and
Technical College (JCTC) –
Transforming Jefferson –
Business Model Analysis
\$35,000

Prichard Committee –
Kentucky Leads the Nation in
Education Excellence with
Equity - \$35,000

Early Learning

Jefferson County Public
Education Foundation
(JCPEF) – Early Grade
Summer Reading Program
for ELL Students - \$50,000

JCPEF – Improving Early
Grade Attendance - \$63,000

National Center for Families
Learning (NCFL) and
Louisville Urban League –
Family Learning Expansion in
West Louisville - \$90,000

Best Urban District

JCPEF – Community Data
Liaison - \$62,500

Teach Kentucky – Teach
Kentucky 2.0 - The Next Step
for Growth and Sustainability
- \$60,000

JCPEF – What’s the Story?
Louisville Youth Community
Wellness Advocates Planning
Grant - \$32,000

At-Risk Students

Kentucky Refugee Ministries,
Inc. – The Family and Youth
Services Program - \$50,000

JCTC – Adjunct Academy:
Supporting Student Success -
\$125,000

JCPEF – JCPS Project REACH
(Realizing Effort and
Commitment towards Hope)
- \$100,000

Global Competency, Disaster/Humanitarian Relief and Urban Environment

The C.E. and S. Foundation continues to invest in three historically meaningful areas of focus: Global Competency, Disaster/Humanitarian Relief, and Urban Environment. Examples of 2016 grantmaking in these areas include:

Urban Environment

Americana Community Center –
Americana Dream Campaign -
\$166,000

Louisville Public Media – Center for
Investigative Reporting - \$200,000

Metro United Way – 2016 Campaign
- \$225,000

Disaster/Humanitarian Relief

Doctors Without Borders – Syrian
Refugee Crisis - \$30,000

WaterStep, CARE, American Red
Cross – Ecuador Earthquake Relief
Efforts - \$90,000

CARE – Hurricane Matthew Relief
Fund - \$40,000

Global Competency

Yale-China Association – Chinese
Teaching Fellowship Pilot Program -
\$37,050

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Looking Ahead

After two full years of focusing on the Foundation's education Grantmaking Strategy, C.E. and S. staff now is beginning to benefit from insights gleaned over multi-year investments and results. It is the intent of the C.E. and S. Foundation to expand and strengthen its education investments in the community, while also continuing to support efforts in the Urban Environment, Global Competency, and Disaster/Humanitarian Relief areas.