



Alternatives Industry Resource Guide

The following is being provided to you by **Strategic Compliance Solutions, LLC**, a regulatory compliance and operations consulting firm based in Connecticut, specializing in helping alternative investment firms achieve their compliance goals and **Alternatives TLC, LLC**, a consulting firm to alternative investment firms, specializing in training, accounting, operations and project management. This list is for informational purposes only. No representations or warranties are given that the information below has or will not change. None of the firms/websites/software programs listed are endorsed or recommended by Strategic Compliance Solutions, LLC or Alternatives TLC, LLC or any of their employees. This list is not to be considered comprehensive. There may be many other options and the names listed should be used only as a starting point when searching for the best possible services/vendors for your firm.

Links are provided to each firm or site and, if available, a description from the site, whether the focus is US, UK or Global and, the cost to access, per the site. Additionally, we have inserted a convenient checkbox to the left of each entry, for you to record which items you would like to further research.

We hope this guide will be a valuable resource for you and your firm. It is updated regularly as we learn of new products, services and vendors, and we invite you to contact us in the future if you would like to receive the most recent version.

Please keep in mind that we have only included a small sampling of what is available. Each firm has unique needs, so other products not mentioned here may be better suited to your firm's needs. If you find products or services not listed, that you feel should be included, please feel free to contact us with that information.

Best regards,

Carol R. Kaufman

Kate Dressel



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General News / Informational Websites of Note

Albourne Village

Research Further...

<http://village.albourne.com/user/welcome.pcg>

Albourne Village is a **free** and unique internet-based knowledge economy for the Alternative Investment community. The site has been designed as an ideal environment for evolving hedge-fund news, intellectual property, content and debates on current issues, as well as a valuable source of commercial contacts. Current "residents": 44,155.

Global
Free membership

FinAlternatives

Research Further...

<http://www.finalternatives.com/about>

FINalternatives is the premier, independent source for news on the alternative investment industry. Each week, FINalternatives is delivered to your inbox in a convenient, easy-to-read PDF newsletter. Additionally, for those of you who can't wait until Fridays, all stories are now posted daily on the Web site and can be sent directly to you via our daily e-mail service. FINalternatives is an information service of Stone Street Media, LLC.

Global
Free membership

Futures Magazine

Research Further...

<http://futuresmag.com/cms/futures/website>

The readers of **Futures** trade and/or manage the trading of futures, options, stock, forex and derivatives. They include high net-worth individuals, professional traders and corporate and institutional money managers and hedgers who are active in the global stock and derivatives markets. Although most readers are U.S. based, Futures is distributed globally with 65,000 readers world wide, and hundreds of thousands of readers of its web site, futuresmag.com

Futures Magazine Group also produces its website, **futuresmag.com**, which provides up to the minute news, information and trading strategies for global traders. In addition, Futures Magazine Group has a weekly newsletter, **Market Pulse**, which provides insights to traders on what the "smart money" is doing on a weekly basis.

US
Free access to non-premium content on website. Futures Magazine is \$ 68.00 per year, within the US, Canada or Mexico (\$121 elsewhere). Your paid subscription includes 12 monthly issues, three special supplements, the monthly digital edition, **PLUS** online access to premium content.

Global Custodian

Research Further...

<http://home.globalcustodian.com/gccom/aboutus.jsp>

GlobalCustodian.com is the website of Global Custodian, the leading magazine covering the international securities services business. Published five times a year by Asset International, headquartered at 125 Greenwich Avenue, Greenwich, CT. 06830, Global Custodian provides analysis and commentary on the latest news and events taking place in the international securities industry.

The magazine, with a circulation of 20,000 investment professionals around the world, is defined by high-quality editorial and a series of annual surveys that have become benchmarks for the industry.

Founded in 1989, the original focus of the magazine was on securities processing operations: articles that explore how shares trade and settle and are held. Its editorial scope has since expanded to include fund administration, securities lending and financing, prime brokerage and the infrastructure of the global securities industry (CSDs, ICSDs, payments systems and other industry bodies and initiatives). The editorial content of the magazine is now also supported by industry-standard surveys that address how the performance of custodian banks, fund administrators, prime brokers and tri-party securities financing providers compare with each other and within individual markets.

Global
Global Custodian is published five times a year. Subscription price is \$200/year within the US, \$250/year elsewhere.



Global Investor Magazine

<http://www.globalinvestormagazine.com/Default.asp>

Euromoney Institutional Investor PLC

Research Further...

Global Investor has a simple aim: to provide the best coverage of the international asset management industry. Our editorial content is informative, thought-provoking, analytical and entertaining. Our mission is to provide an unrivalled source of information, research and analysis for the international asset management industry. Since our launch in 1987, **Global Investor** has been the leading industry magazine for the international asset management community and is read by asset managers, pension plan sponsors, investment services providers and capital markets intermediaries.

Global Investor's news service, features and departments provide readers with broad and consistent coverage of the business. In exclusive company profiles, we go behind the scenes at leading firms to provide the inside story of their successes and failures. Our CEO and CIO interviews shed light on the strategic approach of the industry's best minds, while our equity and fixed income features are respected for their critical approach.

Global Alternatives is a quarterly publication dedicated to the business of alternative asset management. It has a focused readership of hedge fund, property, private equity, and commodity managers. It is also read by institutional investors who want to be up to speed about sophisticated strategies and players in the marketplace. As part of Global Investor Magazine, Global Alternatives provides important access to senior figures across the industry. It looks at manager business strategies, products, and distribution, and publishes key industry surveys, such as the Watson Wyatt/Global Investor "Alternatives 99", a ranking of the world's largest fund of fund players in the market.

UK

Subscription is £388/year for 12 issues; register for free to access headlines on site

Hedgeco.net

<http://www.hedgeco.net/>

Welcome to HedgeCo.net. The Hedge Fund Online Information Portal

Research Further...

Our hedge fund community is free to access for all qualified clients. We offer unbiased hedge fund data provided by each hedge fund manager. In addition, we offer our members daily breaking hedge fund news, hedge fund manager columns, industry updates and events, custom fund searches, and informative 'about hedge funds' section, and much more.

HedgeCo Investments LLC is currently looking for new and existing hedge fund managers who are looking to grow their business for our seeding and incubation programs. Please send your fund performance and contact details to erapp@hedgeco.net for review.

Global

Free membership for investors: Free basic membership for traders. Premium membership \$2995/year

Hedgefund.net

<http://www.hedgefund.net/>

Research Further...

HedgeFund.net, owned by Channel Capital Group Inc., is a leading source for hedge fund news and performance data on the Web. Investors who meet HedgeFund.net's accreditation standards are eligible for access to the site's database of information on more than 7,000 hedge funds, funds-of-funds and commodity products. HedgeFund.net is used by thousands of accredited investors to keep abreast of industry news, monitor existing hedge fund investments, and review fund information in anticipation of making allocations to the asset class.

HedgeFund.net was founded in 1997, and it remains dedicated to providing accredited investors with free access to news and information about hedge funds. Investors who desire unrestricted access to the site's proprietary database of funds may also opt for premium-level access.

HedgeFund.net also provides a premium tool for hedge fund performance analytics. In November 2002, HedgeFund.net and Strategic Financial Solutions launched a joint venture called PerTrac Online - the hedge fund industry's first online tool dedicated to the relative performance analysis of hedge fund data.

US

Hedge News free to registered users; Alternative Universe -\$475/year for registered users of HedgeFund.net and PrivateEquityCentral.net



Hedge Fund Intelligence

Research Further...

<http://www.hedgefundintelligence.com/eh/index.htm>

Iain Jenkins launched HedgeFund Intelligence in 1998, having written regularly about hedge funds for a number of quality national and international business newspapers.

The company's first publication, EuroHedge, was launched from the spare room of Iain's West London home and was rapidly acknowledged as THE publication for the European hedge fund industry.

Almost a year later, and in the wake of the success of EuroHedge, the company's second publication, AsiaHedge, was launched. The combined forces of a strong editorial team and a sizeable gap in the market for news coverage on Asian funds resulted in another tremendous success.

The company's third publication, InvestHedge, was launched in 2001 to provide a unique insight into the hedge fund investor community and supplies market intelligence on the latest developments and trends amongst those investing or planning to invest in hedge funds.

The latest publication is Absolute Return which was launched in April 2003. This magazine is rapidly becoming a must-read for U.S hedge fund industry professionals. Our editorial approach reflects the innovation and creativity that this industry is known for - keeping our subscribers informed AND entertained.

UK

Absolute Return Subscription, as an example, is US\$1490 / £850 / €1210, and includes ten monthly issues and online access to our website. Free membership.

HFM Week (formerly Hedge Fund Manager)

Research Further...

<http://www.hfmweek.com/>

HFM Week and hfmweek.com are produced exclusively for the international hedge fund community. Hedge Fund Manager was launched in September 2002, and is now published weekly. It is now read by over 5,500 alternative fund managers - predominantly CFO's and managing partners - and their key advisors across the globe.

Cutting-edge features and news analysis cover all aspects of operating a successful hedge fund, including searches, prime brokerage, fund administration, risk management, front-to-back-end technology and legal and regulatory issues.

HFM Week and hfmweek.com are wholly owned by Pageant Media Limited, an independent publishing company that was formed in February 1998 and has grown to become a leading information provider within its core markets.

UK

Subscription: Free for the first 2 weeks then £895.00 for each year

Hedge Fund Marketing Alliance

Research Further...

<http://www.hedgefundmarketing.org/>

The Hedge Fund Marketing Alliance was designed for hedge fund professionals, financial advisors, investment consultants, and other professionals who are involved in the placement or distribution of hedge funds. This is a unique business, with products (and legal issues) like no other.

Here, the investment professional can find helpful information, data, and software, as well as interact with his/her peers. You might even land a new job. THIS SITE IS NOT FOR INVESTORS. Professionals are invited to use the **general message board**, where one may ask or reply to a question or comment on a number of issues.

The Hedge Fund Marketing Alliance reserves the right to remove any message board posting deemed inappropriate, and to change the web site content at any time without notification. Accuracy of the information herein is not guaranteed. None of the products or firms reviewed on this web site are guaranteed by the HFMA. HFMA cannot be responsible for the actions or non-actions of any of these firms. Caveat emptor.

US

Free membership

Research Further...



Hedge Fund Regulation

<http://www.hedgefundregulation.com/>

HedgeFundRegulation.com is the leading source of information for Hedge fund regulation and compliance research and networking. Here you will find links to current hedge fund regulation news sources, [consultants](#), research directories to aide your efforts on the job, and a [message board](#) to ask questions and network through.

US

Free membership

Hedge Funds Review

Research Further...

http://db.riskwaters.com/public/showPage.html?page=hedgefundsreview_index

Hedge Funds Review was launched in September 2000 to meet the growing demand for quality hedge fund information. Since its establishment, Hedge Funds Review has become the must-read publication for all players in the European hedge fund industry. This definitive source provides hedge fund managers, investors and service providers with in depth news and analysis of trends and developments that will affect their day-to-day business and investment decisions.

- 12 issues of the publication;
- Full online access, allowing easy accessibility to the full contents of the latest publication;
- Weekly email news bulletins keeping you up to date with the very latest news and developments within the industry.
- The Directory, the definitive annual directory of hedge fund service providers. Featuring over 500 companies split into sections ranging from administration to technology.

US

Subscription is £649/year for 12 issues; free access to daily news on site; weekly email is free; 2 week free trial

Hedgeweek.com

Research Further...

<http://www.hedgemediacom.com/>

Hedgeweek provides industry-specific news distribution and education services within the European hedge fund sector via its website and its suite of four editorial products, all delivered exclusively online, direct-to-desktop to over 18,000 registered readers:

- Hedgeweek- a comprehensive digest of news and features delivered every Friday.
- Hedgewire – our daily bulletin of hedge fund news clippings from around the world
- Hedgeweek Special Reports – each monthly PDF report covers a specific domicile e.g.: Dublin/Cayman or a specialist area such as "Emerging Market Funds".
- Hedgequest – A quarterly journal of academic research

Hedgeweek's objective is to facilitate communication between hedge fund managers, service providers and their target audiences - institutional investors, funds of hedge funds, private banks, family offices, foundations/endowments, private client asset managers, qualified intermediaries and pension plan consultants.

The quality of Hedgeweek's news has been validated and is also distributed daily by websites in the key US and central European markets via Lexis Nexis, a global professional news service; Chicago-based Hedge Fund Research www.hedgefundresearch.com, one of the most respected fund research companies in the world; Frankfurt-based eHedge www.ehedge.de, which provides hedge fund advisory services to some of Europe's largest institutional investors; and, Paris-based JetFin, which provides hedge fund advisory services to institutional investors.

As of November 2006, Hedgemediacom Ltd has become the largest online news publisher in the alternative assets space, with the launch of three daily global news-driven websites covering Property Funds, Private Equity and ETFs respectively. The average time a reader spends on the www.hedgeweek.com web site is 9.3 minutes per visit and 60% of our daily and weekly newsletters are opened. The Hedgeweek website benefits from over 50,000 monthly unique visitors. Hedgeweek and Hedgewire newsletters are subscribed to by over 32,000 registered readers.

Hedgeweek's services are designed solely for the purposes of facilitating news distribution and education between the above named target audiences. These services are expressly not aimed at lay investors.

US

Free membership



Infovest21

Research Further...

<http://www.infovest21.com/welcome.php3>

Infovest21 is an information services company for the hedge fund industry. News, manager interviews, white papers, surveys and industry events provide the qualified investor, the institutional investor, and the alternative investment manager with customized information that will assist each in a time and cost-effective manner. Efficient delivery of information, cutting-edge technology and a niche focus are the themes to the innovative services provided.

Global Coverage

5 day free trial of news service, subscription \$495/year, white papers \$100+

Institutional Investor

Research Further...

<http://www.institutionalinvestor.com/default.asp>

InstitutionalInvestor.com is the gateway to all Institutional Investor publications, offering selected articles from over 40 market leading products. The site provides an overview of Institutional Investor's wide range of coverage and access to the critical market intelligence you need as a financial professional to stay on top of your markets and ahead of your competition.

Founded in 1967, Institutional Investor was acquired by Euromoney Plc in 1997 which is a leading international business-to-business publisher that was founded in 1969 as a subsidiary of Associated Newspapers (now part of Daily Mail & General Trust, which remains the controlling shareholder).

Publications:

Alpha Magazine: With groundbreaking news, rankings and features in every issue – there is nothing else like it. Alpha has many admirers, but no equal. Every issue covers regulatory developments, fund profiles, breaking news in the hedge fund industry, the growing area of family offices, as well as our acclaimed rankings such as:

- Fund of Funds
- Hedge Fund 150
- The Rich List

Compliance Reporter

Operations Management

Alternative Investment News – <http://www.ialternatives.com/>

Global

Free membership for daily hedge fund email news (dailyii). Ijournals provides for a 14-day no-risk trial to the journal of your choice Alternative Investment News, as an example, is \$2195/year

Investment & Pensions Europe

Research Further...

<http://www.ipe.com/>

IPE.com is the leading portal for the European pensions community.

More than 14,750 executives have registered to receive the IPE.com Daily New Alert giving them instant access to market news, views and trends as they happen. IPE.com relaunched on Monday 24 July 2006 to provide our 20,000+ registered visitors with clearer navigation and faster access to the very wide range of content on the site.

We've combined the News and Magazine search facilities and introduced 12 distinct content channels which will make searches much more efficient. We simply want to make the site work harder for visitors by clearly signposting the most popular sections

IPE.com is the web extension of IPE magazine, the leading title for Europe's growing pensions community, which provides the umbrella for a range of successful products including the IPE Awards, IPE-Quest.com and the IPFA directory. Since our first issue was published in February 1997, IPE has built an influential position within the European institutional investor marketplace. We now have a monthly circulation of 10,505 copies, of which 75% is in continental Europe.

UK

Free membership for daily news



Investopedia

<http://www.investopedia.com>

Investopedia is a FREE website that educates more than 2,000,000 investors each month. Canadian based, it offers newsletters, the most extensive online financial dictionary we have seen, free tutorials geared toward beginner, experienced, active traders and retired investors, information on various exams (Series 7, Series 3, CFA level exams) and articles, many of which, including a "word of the day", can be sent to a daily email address.

Global – originated in Canada
Free membership

Research Further...

HedgeWorld.com

<http://www.hedgeworld.com/>

HedgeWorld is the leading news, research and analysis source for the global hedge fund community.

HedgeWorld includes proprietary research products such as HedgeWorld's Inside Edge and The Alternative Advantage and the Lipper TASS database, the leading institutional-quality hedge fund database which covers some 6,900 hedge funds. HedgeWorld.com has one of the hedge fund industry's largest Internet communities with over 55,000 registered members in over 125 countries. It also has the largest and most popular electronic directory of services providers with over 1,800 listings. The company has offices in New York and Chicago.

US
Varying levels of membership; free access, premium access for \$695/year; two week free trial of premium membership available

Research Further...

Opalesque

<http://www.opalesque.com/>

Opalesque's commitment is to publish premium news services that empower subscribers. As you will learn on the following pages, many of the most prominent industry leaders have subscribed to Alternative Market Briefing, making it the most widely read daily publication on hedge funds. Our mission is **to intelligently select and timely provide the most important daily news for professionals and investors dealing with hedge funds.**

Global
Annual subscription \$199/year

Research Further...

Profit & Loss in the Currency and Derivatives Markets **Research Further...**

<http://www.profit-loss.com/>

P&L Services launched its first magazine, **Profit & Loss**: In the Currency & Derivative Markets, in July 1999, and now reaches readers in more than 65 countries each month. Profit & Loss is a full-service publication, covering all aspects of the industry - including e-commerce and market-related news at the major commercial and investment banks, money brokers, corporates and fund managers. **Profit & Loss** also places a strong emphasis on the trading and information system vendors, and plays a leading role in exposing new technology to front office users. The Profit & Loss **Squawkbox** is weekly email news service to qualifying members of the financial markets.

Global
Annual subscription to Profit & Loss £475, Annual subscription to Squawkbox £155

Wall Street and Technology

<http://www.wallstreetandtech.com/pfc/>

Wallstreetandtech.com is the site where *Wall Street & Technology* readers who prefer the convenience of the online medium can find all of WS&T's industry-focused content, plus exclusive breaking news and weekly roundups of the biggest stories in compliance, electronic trading, operations and investment management. Wallstreetandtech.com is also where readers can register for WS&T's upcoming events, both live and via the Web, as well as view past events on-demand. In addition, the site contains a set of robust tools designed to help technology decision-makers in the securities industry to find the right product or service for their enterprises.

Global
Free membership

Research Further...



General Databases of Interest

Barclay Hedge Alternative Investment Databases *Research Further...*

<http://www.BarclayHedge.com>

Alternative Investment Databases is dedicated to educating and informing hedge fund researchers and other financial professionals regarding the data and tools available for hedge fund research. We offer information on a growing set of investment research solutions (hardcopy as well as electronic), all organized in one place. We also offer access to a number of [hedge fund research papers](#). We feature data and analytic products, but we also strive to educate.

The Barclay Group, the oldest hedge fund database, was founded in 1985 to provide institutional investors, brokerage firms and private banks worldwide accurate and up-to-date information on the hedge fund and managed futures industries. With over twenty years experience in data collection and maintenance BAAC analysts and research staff have been working diligently to identify and establish contact with over 2,500 alternative investment companies who manage over 11,000 hedge funds and fund of funds.

Global

The Barclay Institutional Report - 4 Quarterly Issues \$665, Single issue \$410

The Barclay Managed Funds Report - 4 Quarterly Issues \$150

BAAC Directories from \$675 and databases \$2,000 for annual subscription – bundles available

Eurekahedge.com

<http://www.eurekahedge.com/>

Research Further...

Eurekahedge is the world's largest independent hedge fund research company. Dedicated to the collation, development and continuous improvement of alternative investment data, we publish our research in hard copy directories and disseminate it through a variety of data products.

Eurekahedge has evolved into a market leader in less than four years: From our first single manager directory covering 162 funds, we have aggressively grown our research data banks and now maintain files on over **15,000** alternative funds globally. Our key partners throughout this evolution have been the fund managers themselves and all managers enjoy complimentary permissioning for the data products to which they contribute.

Global

Free news articles

\$500-8000/year/database for online access; \$1,000-\$10,000/year/data feed, includes online access

Hedgefund.net

<http://www.hedgefund.net/>

Research Further...

In addition to Basic Access services listed in the General Information Section above, Premium Access includes unlimited ability to search, sort and view funds in the HedgeFund.net proprietary database using the HedgeSearch screening tool. Fund data includes performance history and associated statistics (alpha, beta, R, R squared, sharpe ratio, etc), fees, lock-ups, liquidity details and contact information. Users also have access to a personal portfolio monitoring tool, News archives and past Meet the Manager Profiles.

Premium access to database of 5,000 hedge funds for \$195/year for Accredited Investors

Also PerTrac Online availability as well as Hedgefund.Net Data Export, again for Accredited Investors (at a cost)

Hedge Fund Research

<http://www.hedgefundresearch.com/>

Research Further...

Hedge Fund Research, Inc. (HFR) is a research firm specializing in the aggregation, dissemination and analysis of alternative investment information. The company produces HFR Database, the industry's most widely used commercial database of hedge fund performance, as well as a variety of other research products for the alternative investment industry. HFR also produces and distributes the HFRI Monthly Performance Indices - an industry standard benchmark of hedge fund performance. Comprised of over 6400 funds, HFR Database is the largest data source commercially available.

Global

One time download: \$4,000, 1 year subscription \$7,000, 2 year subscription \$11,200, industry reports \$450+

One time download of "dead fund" database: \$5,000



General Consulting/Professional Service Firms

Alternatives TLC, LLC

Research Further...

<http://www.alternativestlc.com>

ATLC provides strategic planning for firms new to the industry, technology needs assessments, operational consulting and project management for all industry participants as well as in-house, customized hedge fund overviews and training of accounting principles to hedge funds, funds-of-funds, fund administrators and other industry participants.

Global

CMRA – Capital Market Risk Advisors

Research Further...

<http://www.cmra.com/>

Capital Market Risk Advisors (CMRA) is the preeminent financial advisory firm specializing in risk management, hedge funds, due diligence, compliance, portfolio construction and risk attribution. CMRA and its predecessor firms have played an integral role in the evolution of hedge funds, derivatives, structured securities and risk management for more than 13 years. CMRA offer practical solutions honed by the experience of servicing more than two hundred and fifty clients on six continents in the last decade. CMRA was founded in 1994 by Leslie Rahl who has over 30 years experience in the financial markets. Ms. Rahl was previously the Co-head of Citibank's Derivatives Group and served as a Director of ISDA for 5 years.

Global

Family Office Exchange

Research Further...

http://www.foxexchange.com/public/fox/resource_center/websubcat.asp?webcatid=7

Family Office Exchange (FOX) serves as a membership-based advisory firm that provides research, education, and advice to 500+ members in 22 countries. Founded in 1989, we strive to make wealth owners, family office executives and advisory firms more effective at preserving and enhancing their financial, human, and intellectual capital.

US

Industry Organizations

AIMA

Research Further...

<http://www.aima.org/>

The Global, Not-for-Profit Trade Association for Hedge Funds, Managed Futures and Managed Currency Funds

Founded in 1990, the Association represents the global alternative investment community and provides a centre of knowledge for professional investment practitioners.

Spanning 47 countries on five continents, AIMA's corporate members enable the Association to create global tools for the benefit of its members, institutional investors and regulators.

We provide education, information and research and address the key issues affecting the industry around the world - and are proud to be a co-founder of the Chartered Alternative Investment Analyst Association (www.caia.org).

UK

Varying; from £600 for Managers and from £1,500 for Service Providers



CAIA

<http://www.caia.org/>

In 1999, under the guidance of The Center for International Securities and Derivatives Markets (CISDM) and the Alternative Investment Management Association (AIMA), committed industry leaders undertook the task of creating a professional designation exclusively for alternative investment specialists. The result of their efforts is the Chartered Alternative Investment Analyst designation, or CAIA - the industry's first and only specialized educational standard.

The CAIA Association was established with the belief that a strong foundation of knowledge specific to alternative investments is essential for all AI professionals. Other programs do not address all of the unique attributes associated with alternative assets, but focus primarily on traditional investments.

Given the area's explosive inflow of investable capital, it is now more imperative than ever that AI participants set themselves apart from the broader investment industry. Earning the CAIA designation demonstrates an individual's commitment to the profession and comprehension of its unique fundamentals.

US

There is a newsletter and resources for the CAIA examination, a link to the Journal of Alternative Investments, which has a summary of articles for free, but the journal is by subscription. Good links page

Research Further...

CISDM

<http://cisdm.som.umass.edu/resources/database.shtml>

The Center for International Securities and Derivatives Markets (CISDM) is a non-profit academic research center that focuses on performance issues in both traditional and alternative asset markets.

The goals of CISDM are to facilitate research in international investment and derivative markets, to promote interactions between the academic and business communities, and to make available educational material on international financial markets to financial and nonfinancial firms.

UK

There is a newsletter, a variety of research papers, both of which are free and downloadable. There is also a link to the Journal of Alternative Investments, which has a summary of articles for free, but the journal is by subscription.

There is a database, as well, that costs \$6,000 for professional or \$3000 for academicians.

Research Further...

Futures Industry Association (FIA)

<http://www.FuturesIndustry.org>

FIA is the only association representative of all organizations that have an interest in the futures market. FIA has more than 180 corporate members, reaching thousands of industry participants.

Regular Members are futures commission merchants. We estimate that our regular members are responsible for more than 80% of the customer business transacted on U.S. futures exchanges. Through these members we represent the needs of futures industry customers; in fact, our members include the top 20 firms in terms of customer equity.

Associate Members include international exchanges, banks, legal and accounting firms, introducing brokers, commodity trading advisors, commodity pool operators and other market users, and information and equipment providers headquartered in the U.S.A. and abroad.

Global

Membership scaleable by AUM. \$1,500 for Service Providers. Useful Links and Resources page

Research Further...

Managed Funds Association (MFA)

www.managedfunds.org

MFA Mission Statement

The objective of MFA is to enhance the image and understanding of the alternative investment industry through media relations initiatives, to further constructive dialogue with the regulators in pursuit of regulatory reform, and to improve the communication with, and the training of, the Association's members through effective communications programs.

Global

Membership scaleable by AUM. \$2,000 for Service Providers. Useful Links and Resources page

Research Further...



Useful Websites from the AIMA links page

Research Further...

News Services and Data Providers

[Alternative Asset Center](#)
[AsiaHedge](#)
[EurekaHedge](#)
[EuroHedge](#)
[HedgeWeek](#)
[HedgeWorld](#)
[HFR - Hedge Fund Research](#)
[InvestHedge](#)
[MondoHedge](#)

Exchanges

[Australian Stock Exchange Limited](#)
[Chicago Board of Trade](#)
[Eurex](#)
[Eurex Frankfurt AG](#)
[EURONEXT](#) - Sponsoring Member of AIMA
[Montreal Exchange](#)
[Singapore Exchange Ltd](#)

Other Associations

[Chartered Alternative Investment Analyst qualification](#)
[Bundesverband Alternative Investments e.V.](#)
[CFA Institute](#)
[Family Office Exchange](#)
[Futures Industry Association](#)
[Futures & Options Association, UK](#)
[International Association of Financial Engineers](#)
[International Compliance Association](#)
[Japan Commodities Fund Association](#)
[Managed Funds Association](#) mainly USA-related
[National Futures Association](#) mainly USA-related
[The Professional Risk Managers' International Association](#)
[Swiss Futures and Options Association](#)

Regulatory Bodies

[APRA](#) - Australian Prudential Regulation Authority, Australia
[ASIC](#) - Australian Securities & Investments Commission, Australia
[Authority for the Financial Markets](#), Netherlands
[Banca D'Italia](#), Italy
[Bank of Japan](#), Japan
[CFTC](#) - Commodity Futures Trading Commission, USA
[CIMA](#) - Cayman Islands Monetary Authority, Cayman Islands
[COB](#) - Commission des Operations de Bourse, France
[CONSOB](#) - Commissione Nazionale per le Società e la Borsa, Italy
[CNMV](#) - Comision Nacional Del Mercado De Valores, Spain
[Comision Clasificadora De Riesgo](#), Chile
[CSRC](#) - China Securities Regulatory Commission, China
[CSSF](#) - Commission De Surveillance Du Secteur Financier, Luxembourg
[Federal Reserve Bank](#), USA
[Financial Institutions Commission](#), Canada
[Financial Services Board](#), South Africa
[Financial Supervision Authority](#), Finland
[Finansinspektionen](#), Sweden
[FSA](#) - Financial Services Authority, UK
[Government of Monaco](#), Monaco
[Hong Kong Monetary Authority](#), Hong Kong
[IFSRA](#) - Irish Financial Services Regulatory Authority, Ireland
[HM Revenue & Customs](#), UK
[IOSCO](#) - International Organization of Securities Commission



[Isle of Man Government](#), Isle of Man
[Japan Commodities Fund Association](#), Japan
[Jersey Financial Services Commission](#), Jersey
[Kredittilsynet](#), Norway
[Ministere De L'Economie Des Finances et de L'Industrie](#), France
[Ministry of Finance](#), Singapore
[Monetary Authority of Singapore](#), Singapore
[National Futures Association](#) - mainly USA-related
[Ontario Securities Commission](#), Canada
[SEC](#) - Securities and Exchange Commission, USA
[Securities Commission](#), Malaysia
[SFC](#) - Securities and Futures Commission, Hong Kong
[Swiss Federal Banking Commission](#), Switzerland

Industry Conferences of Note

GAIM Conferences

<http://www.icbi-uk.com/>
<http://www.icbi-gaim.com/gaim>

Research Further...

MFA Conferences

<http://www.managedfunds.org/events.asp>

Research Further...

Useful Glossary Links

Investopedia

<http://www.investopedia.com>

Research Further...

Investorwords.com

<http://www.investorwords.com/>

Research Further...



Compliance-Specific Resources

- <http://www.sasa-soundpractices.com> **Research Further...**

Software platform that provides actual procedures and forms/checklists for clients to customize and embrace as their own or to upload their own, pre-existing forms/checklists/document. SASA clients can use the platform as:

 - ✚ An educational tool to introduce new and existing employees to industry sound practices
 - ✚ An assessment tool to evaluate a firm's existing use of sound practices and determine potential areas of risk to that firm
 - ✚ A way to create a plan for remediating risk and establishing sound practices that address the individual needs of your firm
 - ✚ A methodology for implementing a plan for your firm or give your firm the tools you need to implement the plan yourself
 - ✚ A way to set up a monitoring program that will satisfy regulators and increase client confidence levels
 - ✚ A repository for a firm's compliance and operational work flows and guides.

AML / Customer ID

Check names of investing entity/beneficial owners/corporate officers (prior to opening accounts and then quarterly thereafter) for matches on various watch lists via:

- www.complinet.com/kyccheck/kyccheck/ **Research Further...**

Check multiple databases in a fraction of a second. Subscription based.
- www.callmis.com **Research Further...**

McDonald Information Services provides a multitude of services pertaining to reliability of clients, employees, etc. Access "Services" page for full listing.

Enhanced due diligence service providers:

- www.factiva.com **Research Further...**

Factiva specializes in checking for politically exposed / sanctioned persons.
- www.ipsaintl.com **Research Further...**

IPSA International conducts enhanced due diligence and offers various other CIP/AML services.
- www.krollworldwide.com **Research Further...**

Kroll specializes in background check on individuals and entities. They are very effective when investigating foreign nationals, etc.

Verify personal or corporate identifying information such as address/phone numbers/SSN/TINs/DOB using publicly available search engines such as:

- www.searchsystems.net **Research Further...**

(state-by-state and global databases for corporations, businesses, licenses, etc. . .)
- www.searchbug.com **Research Further...**

(search capability for people, addresses, telephone numbers, SSNs, zip codes, etc. . .)



- www.searchenginecolossus.com
(country-specific search engines) **Research Further...**
- www.guidestar.org/search
(America's charitable organizations) **Research Further...**
- <http://findinformation.homestead.com>
(corporate and business information, property records, select U.S. and international newspapers, archives and news searches, reverse address search tool, reverse phone number search tool) **Research Further...**
- <http://visualroute.visualware.com>
(check web domains) **Research Further...**
- <http://www.lexisnexis.com>
Provides legal, news, public records and other information including tax and regulatory publications. Subscription based or pay-as-you-go service. **Research Further...**

Compliance Information Services

- <http://www.complinet.com>
Subscription based news service reporting by geographic location. **Research Further...**
- <http://www.ozmosys.com>
Paid program which consolidates news from multiple sources and emails daily headlines with links to text. Allows customized searches, parameter settings. **Research Further...**

Data Storage

- www.evault.com
EVault InfoStage™ provides encrypted back-up of systems meeting privacy and security requirements. Uses a "Windows-like" platform allowing easy downloading of entire system or a single file. **Research Further...**
- www.ironmountain.com
Iron Mountain offers secure offsite data storage solutions for both physical and electronic data. Over 50 years old, Iron Mountain is one of the largest data storage firms in the world. **Research Further...**

Email Monitoring

- www.evaultpromail.com
EVault ProMail Exchange™ Provides software allowing SEC compliant storage, monitoring and searching capabilities for email. **Research Further...**
- www.smarsh.com
Smash Inc. provides software that will help firms of all sizes comply with email and IM requirements. **Research Further...**

Personal Trading



- www.callmis.com **Research Further...**
 McDonald Information Services has an Employee Surveillance Program which can help firms monitor/verify personal trading information of its employees.
- www.starcompliance.com **Research Further...**
 Service provider for personal trading surveillance. Comprehensive Code of Ethics solution.

Compliance Education

- www.nscp.org **Research Further...**
 National Society of Compliance Professionals offers practical and interpretive guidance on compliance-related matters. Membership based organization.
- <http://www.finra.org/Industry/Education/UniversityPrograms/FINRAInstitute/index.htm> **Research Further...**
 The NASD Institute at Wharton provides instruction on the foundation, theory and practical application of securities laws and regulation.
- www.futuresethicstraining.com/ethics.htm **Research Further...**
 The Walsh Agency offers futures-related ethics training programs in VHS, CD and on-line format.
- www.aitraining.com **Research Further...**
 American Investment Training offers training for the Series 3 and 7 exams, among others.

Compliance Consultants

- <http://www.advisercomplianceassociates.com/> **Research Further...**
 Adviser Compliance Associates provides a wide-range of compliance-related consulting services to investment advisers, mutual funds and hedge funds.
- <http://www.hedgeop.com/> **Research Further...**
 HedgeOp focuses exclusively on helping hedge fund and fund of hedge funds meet their compliance and operational requirements through specialized consulting services and their proprietary ComplianceTrak software.
- www.StrategicComplianceSolutions.com **Research Further...**
 Strategic Compliance Solutions, LLC assists alternative investment firms including hedge funds, registered investment advisers and commodity trading advisers to achieve their compliance and operational goals.

Human Resources

- www.extensispeo.com **Research Further...**
 Extensis is a Professional Employer Organization which serves as an off-site human resources department for small and mid-sized businesses. They offer bundled solutions for payroll, workers' compensation, benefits, retirement plans, hiring, training, etc.



Operations–Specific Resources

Three good resource links for finding industry software, besides using search engines, are:

Bobsguide

<http://www.bobsguide.com>

Research Further...

Bobsguide.com is an online showcase for B2B technologies and services in the banking, finance and insurance industry, and has been established as a service to introduce specialist software vendors to their appropriate technology buyers. It is truly the A to Z of Financial Technology Suppliers.

Our target users are primarily those in the banking, finance and asset management community responsible for purchasing the software and hardware technology. Bobsguide is also used by software distributors and consultants who are looking for new products to represent. As of today, bobsguide.com features **1611** companies and **5056** products

Global
Free membership

Hedgeworld Directory

http://www.hedgeworld.com/sp_directory/

Research Further...

Search over 2,100 comprehensive listings of service providers to the global hedge fund industry. To list your organization in service provider directory

Global
Free membership

Wall Street and Technology

<http://www.wallstreetandtech.com/pfc/>

Research Further...

Wallstreetandtech.com is the site where *Wall Street & Technology* readers who prefer the convenience of the online medium can find all of WS&T's industry-focused content, plus exclusive breaking news and weekly roundups of the biggest stories in compliance, electronic trading, operations and investment management. Wallstreetandtech.com is also where readers can register for WS&T's upcoming events, both live and via the Web, as well as view past events on-demand. In addition, the site contains a set of robust tools designed to help technology decision-makers in the securities industry to find the right product or service for their enterprises.

Global
Free membership

Below is a very small sampling of low to high end solutions for each operational area.

Client Services Fulfillment and CRM Products

- www.fundrunner.com *Research Further...*

FundRunner and FundRunner InvestorSite provide a complete Investor Relationship Management™ (IRM™) and Due Diligence Management™ (DDM™) infrastructure for managing sophisticated investors by consolidating & automating their unique communication needs. All of our products and services streamline client servicing and marketing for the professional fund manager. FundRunner IRM & DDM software fulfills the financial industry's need for solutions that optimize and integrate account management, correspondence tracking, marketing, reporting, fund & investor performance analysis, and compliance.

Research Further...



- <http://www.pertrac.com>
PerTrac CMS is the leading contact and information management system for the alternative investment industry. This Outlook-based system is intuitive, easy to deploy, and puts all the information you need about your current and prospective investments, investors, and third-party service providers at your fingertips.

The CMS product was developed by Whittaker Garnier, which was recently acquired by PerTrac.

Research Further...

- www.protrak.com
ProTrak International is the global leader and a major innovative force in the development and delivery of Client Relationship Management software (CRM) to the investment management community. Designed specifically for both traditional and alternative asset managers, our solution helps you manage your prospect, client and consultant/third party relationships while developing and enhancing your sales process.

Research Further...

- www.netagesolutions.com
InvestorDynamo™ is an intuitive, Outlook-based application that allows hedge funds to organize all investor information in a central repository, support regulatory compliance, and easily create, send, and track personalized client correspondence by running e-mail, fax, or paper mail-merge jobs. The application has a client web-reporting module for any alternative investment firm that wants to provide secure access to relevant investor documents and reports (financials, K-1s, NAV, capital calls and distributions, PPMs, etc.).

FundDynamo™ is a fund manager monitoring and investor relationship management application for funds of funds, fund administrators, family offices, advisors, gatekeepers, and other institutional investors.

Portfolio Management Products

- www.advent.com
With more than 500 hedge fund firms (including 15 of the largest global hedge funds) using Advent Software®, Advent is the undisputed technology partner for the hedge fund industry. In addition, 8 of the top 10 global prime brokers and 8 of the top ten global fund administrators, servicing over half the global hedge fund industry, use Advent products.

Advent® for Hedge Fund Managers' Portfolio Exchange® Platform is the industry's first fully integrated enterprise front- to back-office hedge fund management solution designed to meet the specialized needs of hedge funds and fund of funds of all sizes and strategies. With more than 500 alternative investment clients, Advent is used by more than twice the number of hedge funds and limited partnerships than any of Advent's competitors. The solution includes the following integrated modules:

- [Fund accounting & reporting](#)
- [Partnership accounting](#)
- [Trading](#)
- [Prime broker data integration & reconciliation](#)

Research Further...

- www.archwaytechnology.net
The ATWeb module suite represents a full set of financial capabilities geared specifically for the private equity industries.

For hedge funds, the application cleanly supports portfolio and investment strategies of virtually every shape and size. The Portfolio Module of ATWeb also supports investments in other hedge funds for fund of funds. This includes all of the complex capital scenarios associated with fund of funds, such as returns of capital, variable purchases, year-end K1 receipts, etc.

- http://www.ldsam.com/beauchamp_fundmanager.html
Beauchamp FundManager is a highly configurable and scalable trading and portfolio management system built to meet the needs of those hedge funds requiring fully automated trading support, P&L and portfolio analysis across a wide array of instrument types and position classes.
Recently acquired by LineData

Research Further...



- www.dmaxx.com

Research Further...

DMAXX, LLC has been providing software and services to members of the Managed Futures industry since 1994. Our customers range from some of the largest to some of the smallest members. Our projects have included multiple top-to-bottom custom development of back, middle, and front office systems. We were the first company to implement systems compliant with the MFA/FIA standards for the transmission of electronic order and fills.

Using our experience, we have developed an off-the-shelf package called **TheBooks®** specifically designed for the CTA and CPO communities. By combining an off-the-shelf solution with our years of business consulting and software development experience, we can help you gain the competitive advantage that technology can bring to your operation.

- www.fundcount.com

Research Further...

FC Office is a powerful accounting back office solution for hedge funds and family offices that makes partnership and portfolio accounting & management tasks completion faster, easier and more accurate. Comprehensive reporting, close integration with FC Web, ease of use and affordable price put FC Office ahead of competing products. Integrated Partnership, Portfolio & General Ledger Accounting + IRS 1065 & K-1s tax preparation module.

Fundcount products can be adapted quickly to the requirements you face using built-in customization capabilities or our engineering force. For example there is an option to create your own reports in any third-party package supporting ODBC, like Microsoft® Access or Crystal Reports®. Fundcount's SQL-driven database in combination with the object/relational persistence and query service technology guarantees that you will not have problems when your business will grow or your style of trading will change to very active.

- www.hedgefacts.com

Research Further...

HedgeFacts® is a turn-key technology for managing alternative investment businesses.

It was developed by RINA Systems, in cooperation with a successful hedge fund operation to overcome the limitations of other fund administration and performance reporting technologies available. A key concept in the design of HedgeFacts was the integration of the various technologies needed to run an alternative investment business: fund administration, performance reporting and analysis, and risk management.

Built from the ground up, HedgeFacts is fully web-based using the latest Microsoft .NET technologies. The web-based nature of HedgeFacts creates the ability to run a virtual office from anywhere in the world. Moreover, the internet is an ideal medium to both service clients and provide analytics to prospective clients.

HedgeFacts® is now available as a fully outsourced back and middle office operations solution. Clients have the option to run a fully integrated software platform that enables them to run an alternative investments business or ask RINA Systems to use HedgeFacts for the purpose of servicing data management, back office processing, and front office reporting needs.

- www.gisltd.com

Research Further...

LineData Services is a supplier of specialized investment accounting software. With offices located throughout the world, GIS designs, develops, markets and supports software packages for the fund administration and alternative investment industries.

–**MFACT**, a fund accounting and administration system for organizations that perform portfolio and financial accounting and reporting for mutual funds, pension funds, and hedge funds;

–**WebPortal** offers administrators an exciting web reporting solution designed specifically to aggregate data from back office systems and provide clients with an interactive web experience.

Recently acquired by LineData



Risk Management and Investment Analytics Products

- www.riskmetrics.com **Research Further...**

RiskMetrics Group is the premier risk management software and analytics provider, giving our clients insight into the market, credit and wealth management sectors of their business. We enable our customers to optimize the use of their capital, perform risk and return analysis, verification and valuation of securities, while meeting regulatory requirements. Years of innovation and research have made us the experts in risk management. After all, we created the language of risk – RiskMetrics.

- <http://www.bearmeasurisk.com/> **Research Further...**

Bear Measurisk enables funds of hedge funds, investors and hedge fund managers to dynamically measure and manage risk within their hedge fund portfolios.

Bear Measurisk's multi-level access methodology is designed to address the needs of the hedge funds market. Highly interactive risk aggregation capabilities provide the optimum level of risk transparency to investors while protecting the confidentiality of managers. At the same time, Bear Measurisk provides comprehensive risk details to individual managers. As a result, Bear Measurisk benefits investors and fund managers alike.

Bear Measurisk's core Alternative Investments service includes:

 - **Data Management:** Automated collection, mapping and database storage of client holdings through prime brokers and fund administrators.
 - **Time Series Data Collection & Analysis:** Maintenance of historical market data for numerous time series, including: equities, interest rates, emerging market debt, commodities and foreign currencies.
 - **Risk Modeling of Complex Hedge Fund Strategies:** Bear Measurisk has developed and continues to develop unique approaches to address the assessment of risks in complex strategies such as Convertible Arbitrage, Fixed Income Arbitrage and Merger Arbitrage.
 - **Internet-enabled reporting tools:** Our clients can access a highly sophisticated dynamic risk aggregation platform simply by logging on the Internet Explorer browser. No software or any hidden related charges to our clients.

- www.algorithmics.com **Research Further...**

Founded in 1989, Algorithmics is recognized as the world's leading provider of enterprise risk management solutions and services that enable financial institutions to effectively understand and manage their financial risk. Algorithmics has over 300 clients, including more than 70 of the 100 largest financial institutions in the world. Algorithmics has one of the largest dedicated enterprise risk management teams in the world, with more than 650 risk professionals, located in 20 offices in key international markets. Algorithmics was recognized as the leading enterprise risk solution provider for Basel II, market risk, credit risk, operational risk and collateral management in Risk magazine's 2005 Technology Rankings. Algorithmics is part of the Fitch Group, a subsidiary of Fimalac, S.A., an international business support services group listed and headquartered in Paris, France

- www.investoranalytics.com **Research Further...**

Investor Analytics provides cutting-edge risk and transparency services to leading hedge funds and fund of funds. Whether you're looking for standard risk reporting or complicated portfolio construction tools and scenario analyses, IA has the systems and the experienced professionals to handle your portfolio.

Transparent and single manager portfolios are analyzed from the instrument to the summary level. IA applies our proprietary methodology to analyze the limited transparency portions of your portfolio and combine the analyses to provide you with an aggregate view of your risk. All portfolios can access IA's multiple risk models, stress testing, and report configuration tools. With next day reporting, IA supports your business from portfolio and risk management to marketing and client service.

In order for a service to be useful, it must be available when needed. Investor Analytics provides 24/7 access to clients' online reports and interactive tools. Users have the ability to configure their report views and create an unlimited number of additional views.

Our online tools allow you to run stress and scenarios tests on the portfolio as well as evaluate the impact of changes to the holdings. Additionally, IA provides for one-click downloads to .PDF, .xls and .csv formats for additional off-site analysis or inclusion in marketing materials. The IA service puts the control in your hands.



- www.pertrac.com

Research Further...

Imagine a powerful database application that can aggregate investment data from a variety of sources on all the types of investments that interest you: hedge funds, mutual funds, CTAs, funds of funds, separate account managers. Now imagine the same application doing everything you need to translate that data into superior investment choices. Look at investment information, including monthly, quarterly, weekly or daily returns. Utilize over 900 statistics that are automatically calculated, including a full set of benchmark statistics to give you a sense of how an investment relates to the broader market. Run a powerful search engine to find just those investments that meet your specific criteria. See how different combinations of investments look by building, optimizing and stress testing pro forma portfolios. Get a handle on risk level with sophisticated Monte Carlo simulations. Play with "what-if" scenarios to see how adding new investments might impact your current lineup. See how the investments you manage stack up to the competition with the Peer Group Analysis feature. Use Intellistat™ to see where an investment's track record ranks against its peers on a percentile basis.

Fund of Funds Products

- www.cogency.com

Research Further...

Funds of hedge funds (FoHF) are driving significant growth in the alternative investment industry. Effective management across multiple managers demands rapid, accurate information access. Also, new clients such as institutional and retail investors will require more transparency and reporting. To meet these needs, Cogency has created comprehensive information management tools specifically for funds of hedge funds. Track performance. Conduct due diligence. Keep investors informed and expand your investor base. In an industry where reputations are won and lost on the integrity of information, Cogency helps ensure you've got the answers in hand.

With Fund of Funds Insight, you can:

- Manage portfolios through aggregated performance and NAV data from underlying funds and managers.
- Track details of specific funds, managers, strategies, performance, trends, etc.
- Define and monitor critical financial and performance metrics.
- Compare fund/manager performance to benchmarks.

- www.netik.com

Research Further...

Netik InterView™ is a data warehouse and data hub for the securities industry. Netik InterView is the platform for information-centric data management and reporting solutions that support the needs of institutional asset managers, private bankers, fund administrators, prime brokers, custodians and investment operations outsourcing providers

Investor and Fund Accounting Products

- www.advent.com

Research Further...

See description under Portfolio Accounting Systems

- www.fundcount.com

Research Further...

See description under Portfolio Accounting Systems

- www.fi-tek.com

Research Further...

HedgeTek is a highly functional partnership allocation system developed in cooperation with industry leading administrators, hedge fund managers and accounting firms to provides straight-through partnership book and tax allocations from transactions to Schedule K-1's.

- www.gisltd.com

LineData Services, featuring:

-MPARTNER, a fully automated back office system for on/offshore entities and fund complexes. Performs fee calculations, allocations, U.S. tax accounting and partnership accounting.



–**MSHARE**, a shareholder accounting (also known as a transfer agency) system designed for shareholder record-keeping.

Reporting Tools and Products

- www.correctnet.com **Research Further...**

CorrectNet is an innovator in harnessing enterprise data to power decision making and process automation at many of the world's largest financial institutions. We provide a unique service to:

 - Aggregate data from the toughest accounting, portfolio, risk and other systems
 - Enrich and standardize this data within our high-performance infiPOINT™ platform
 - Deploy major data delivery and reporting solutions to hundreds of thousands of users
 - Enable data to be integrated into other enterprise data warehouses and service architectures

All this provided as a customized, secure and rapidly deployed managed service proven in over a thousand implementations

- www.crystalreports.com/ **Research Further...**

Access your data, format it, and deliver it as information inside and outside your organization - with the latest version of Crystal Reports, the world's leading reporting tool

- www.microsoft.com/ **Research Further...**

We have included Microsoft, since almost every firm we know uses at least one of the Microsoft products and, if used in a Suite, with a documented and coordinated effort, is a viable, low cost add-on or, for the smallest managers, a sole solution.

- <http://www.sasa-soundpractices.com> **Research Further...**

Software platform that provides actual procedures and forms/checklists for clients to customize and embrace as their own or to upload their own, pre-existing forms/checklists/document. SASA clients can use the platform as:

 - An educational tool to introduce new and existing employees to industry sound practices
 - An assessment tool to evaluate a firm's existing use of sound practices and determine potential areas of risk to that firm
 - A way to create a plan for remediating risk and establishing sound practices that address the individual needs of your firm
 - A methodology for implementing a plan for your firm or give your firm the tools you need to implement the plan yourself
 - A way to set up a monitoring program that will satisfy regulators and increase client confidence levels
 - A repository for a firm's compliance and operational work flows and guides.

RFI, RFP Tools and Products

- <http://www.bobsguide.com> **Research Further...**

The Request for Information / Request for Proposal (RFI/RFP) System is available for professionals in the financial industry as a means to distribute their requirements to suppliers in the industry. It has a number of benefits:

 - The RFI/RFP is not available to the general public. Only registered vendors who have a paid entry on Bobsguide can see the details of the request.
 - The submitter can upload a file with formal RFI/RFP documentation or can link to documentation on their web site.
 - EVERY RFI/RFP is reviewed by someone at Bobsguide.com to ensure that all details are correct and that the request is legitimate.
 - RFI/RFPs are given an expiry date by the submitter, the system is not clogged up with expired requests.
 - The submitter can upload a confidentiality agreement which is agreed on-line by the vendor
 - The submitter can specify that the vendor must fax a copy of the confidentiality agreement within 24 hours.
 - The RFI/RFP can be made available to all vendors or just a selected shortlist.

Cost: Free to Distributing Firm



Research Further...

- <http://www.soxfcrp.com/website/paisleymicrosites.nsf/fmRfpTplSox?OpenForm>
Universally recognized as the industry standard tool for evaluating financial controls management software, *The 2007 RFP Template for Sarbanes-Oxley Compliance* provides you with all the information you'll need to select a cost-effective solution that incorporates industry best-practices and is tailored to the unique needs of your business. Upon completion of the short questionnaire below, *The 2007 RFP Template for Sarbanes-Oxley Compliance* will be immediately sent to your email address. Note that all fields are required.

This is a great way to see what types of questions can go into an RFP for a firm that is interested, but has never seen one before.

Third Party Administrators for Outsourcing

- www.admiraladministration.com **Research Further...**

Admiral Administration Ltd. is a leading investment fund administrator based in the Cayman Islands serving over 250 investment funds with assets under administration in excess of \$ 25 billion. We understand that each client's needs are different. To that end our services are customized to provide exactly what each client needs, instead of providing all services to all clients.

Admiral provides a superior level of service backed by the expertise and knowledge of our team of administrators, all of whom are Certified Public Accountants or Chartered Accountants. We combine a sophisticated technical understanding with individual attention to provide a flexible solutions-based approach.

- www.caledonian.com **Research Further...**

Caledonian Fund Services administers assets of approximately US\$10 billion in offshore and US domestic hedge funds and fund of funds from offices in the Cayman Islands, New York, Chicago and the Isle of Man. Caledonian Fund Services provides services to over 500 investment funds ranging from real time P & L reporting, trade order management, analytic modelling, fund accounting, investor services, AML compliance, company management and fund of fund custody.

- www.customhouse.com **Research Further...**

With its primary office in Dublin, Custom House currently (January 2007) administers over 250 funds for more than 100 investment management clients with total assets under administration of over US\$23 billion, which reflects Custom House's commitment to working, not only with institutions, such as those referred to above, but also with emerging, or newly independent money managers, whose customised funds, inevitably, start out quite small. The Custom House philosophy and objective is that Custom House should grow with those managers, thus demonstrating its long-term commitment to its clients.

Custom House will carry out the valuation of the fund, calculating the NAV of the fund and the NAV per share and will also handle subscriptions and redemptions, verify fees and commissions due to the various service providers and authorise all payments to be made by the relevant Custodian, Trustee or Payment Bank. Custom House will arrange for the fund's NAV share and share unit or price to be published in the media, if required. Custom House will also maintain all of the books, records and accounts of the fund and prepare the audit file for the Auditor.

The Custom House commitment to a personal and innovative service extends to Custom House, who will endeavour to respond to all calls or correspondence on the day received, if possible, but failing that, within 24 hours.

- www.bnymellon.com **Research Further...**

Bank of New York Mellon offers a complete suite of services designed to solve all your onshore and offshore administrative needs, save you time, and improve your operational efficiency. From the most basic administrative reports, to complex portfolio valuations, risk analysis and transparency, BNY Mellon has the systems, infrastructure and experience to handle your toughest administrative challenges.



As a fund manager and business builder, you have multiple job functions, and your time is precious. Operational efficiencies are essential to your funds, your investors and the growth of your organization. Outsourcing administrative functions will help you avoid the expense of building and managing an internal back office. It also sends a positive message to your investors as it allows you to avoid conflicts of interest with respect to portfolio valuations and risk analysis. It is well known that independent third party mark-to-market and transparency solutions are in high demand and increasingly vital to your credibility.

BNY Mellon understands your needs. We gather, reconcile and value the position detail of virtually any financial instrument, in any currency, and report back to you with timeliness and accuracy. Our systems were built with traders for traders. We have decades of experience in back office controls and provide customized straight-through processing. Send us your trade data and we will provide you with an indicative NAV by 9 p.m. on trade day and a complete risk analysis by the next morning.

- www.globeop.com **Research Further...**

GlobeOp® Financial Services (GFSSM) offers automated, State-Of-The-Art Middle and Back-Office Support Services and Fund Administration for Hedge Funds, Fund Managers and High Net Worth Family Wealth Managers. Headquartered in New York and London, GlobeOp provides independent services essential to the successful launch and operation of private investment entities with activities ranging across a wide spectrum of traded instruments. Company expertise is drawn from senior levels of international banking and fund management and encompasses a wide range of relevant experience.

Our experienced team and extensive use of technology resources enables GlobeOp to provide an unparalleled level of service to our clients. Combining "off-the-shelf" software with proprietary systems and technology, clients enjoy the benefits of state-of-the-art systems that can be customized for their individual needs. Applying Internet technology, GlobeOp can provide a "virtual office" that enables clients to manage funds from anywhere in the world.

- www.pncqis.com **Research Further...**

Through our offices in Ireland and Luxembourg, along with our presence in the Cayman Islands, PNC Global Investment Servicing offers front and middle-office services as well as back-office services.

Our back-office services include: fund accounting and recordkeeping, trustee and custodial services, alternative investment services, and transfer agency and shareholder services to support the global business needs of our clients.

We complement our services with a strong telecommunications infrastructure and our open technology architecture to meet the needs of traditional and alternative funds around the world.

PFPC's open technology architecture, the Global Enterprise PlatformSM (GEP), provides a global processing capability that enables PFPC to execute multi-currency and multilingual transactions while supporting 24/7 trading and inquiry anywhere in the world.

- www.pyxisgfs.com **Research Further...**

Pyxis is a comprehensive financial services provider specializing in alternative investments and hedge funds. We provide a complete third party, middle and back-office solution to our clients for accounting, reporting, product structuring and other essential investment services. Built from a solid foundation of industry experience, the firm's competencies span a wide range of strategies which include Managed Futures, Fixed Income, Equity, Currency, Distressed Debt and Real Estate in addition to other traditional and alternative investment structures.

- www.viteos.com **Research Further...**

VITEOS is a solutions provider of specialized securities processing and fund services to the Global capital markets Industry.

Viteos' processing services to the global capital markets industry address a variety of mid and back-office requirements for large financial institutions and intermediaries. These are often in the market segments with the most complex processing needs.

VITEOS is an integrated US-India based provider of specialized services to various intermediaries in the Capital Markets-Asset Managers, Broker-Dealers, Investment Banks, and Financial Information Service Providers.

VITEOS is a young, flexible, smart and innovative organization, run by professionals who have deep understanding of the marketplace, technology and cross-border propositions.

At VITEOS everything we do reflects our Capital markets focus right from the talent we recruit to how we approach our engagements all the way through to execution. We are a Global company of capital markets process specialists with a passion to provide clients with services resulting in the creation of powerful, effective capital markets solutions.



Should you have any questions or wish for assistance in **training, accounting, organizational operations and project management**, please feel free to contact:

Carol R. Kaufman
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