

## PRIVACY NOTICE

We value the trust our clients have placed in us and are committed to the responsible management, use, and protection of their personal information. Please take a moment to review this Privacy Notice to learn how we protect your information and use it to service your account(s).

### Information We Collect From You

Oxford Chase Advisors LLC. ("OCA") collects personal information about its clients to help it serve their financial needs, process transactions in their account(s), provide client service, and fulfill legal and regulatory requirements. OCA collects information about its clients from the following sources:

- information provided to us, or our investment advisor representatives by our clients (such as on account applications and related forms, in discussions with our employees and advisor representatives, or through our website).
- information gathered from our internal files regarding the client's transaction history with us (such as products or services purchased, account balances, trading history, and payment history),

### Sharing Information

Pursuant to federal law, we may not disclose a client's nonpublic personal information without his/her prior consent, unless the disclosure is needed to provide the services requested by the client, including:

- clearing, transferring, confirming, or reconciling transactions in the client's account(s),
- providing statements or other records regarding the status or value of the client's account(s),
- enforce any of our rights, including the collection of any fees or other payments due,
- provide any of OCA's affiliates with information regarding our operations, or as reasonably required pursuant to the proposed or actual sale, merger, transfer, or exchange of all, or a portion of, our business,
- comply with the request or requirements of regulatory and/or law enforcement organizations,
- respond to a subpoena or discovery request,
- provide disclosures as otherwise permitted or required by law. We do not sell, share, or disclose your nonpublic personal information to unaffiliated third-party marketing companies.

## OXFORD CHASE ADVISORS LLC

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GA, 30092

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Email: [info@oxfordchaseadvisors.com](mailto:info@oxfordchaseadvisors.com)  
Website: <https://oxfordchaseadvisors.com/>

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### **Safeguarding Information**

OCA recognizes the need to prevent unauthorized access to the information we collect, including information held in electronic format. OCA authorizes access to your nonpublic personal information only to personnel who need that information to serve you. We maintain physical, electronic, and procedural safeguards regarding your nonpublic, personal information to ensure that we comply with our own policy, industry practices, and federal and state regulations. If you decide to close your account(s) or become an inactive client, we will adhere to the privacy policies and practices as described in this notice.

You do not have to contact us to benefit from our privacy protections; they apply automatically to all of our clients and former clients. If you have any questions after reading this privacy policy, we encourage you to contact us at **678 313 6255** or at [\*\*info@oxfordchaseadvisors.com\*\*](mailto:info@oxfordchaseadvisors.com)