

1 Original research paper

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3 **Analyzing the role of public transportation**  
4 **in reducing CO<sub>2</sub> emissions: a case study of**  
5 **Beijing**

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16 **Abstract**

17 Public transportation systems are now believed to play more important role in reducing  
18 carbon dioxide emissions (i.e. CO<sub>2</sub> emissions) from the transportation sector in  
19 Mega-cities of China since the vehicle occupancy of such systems is usually higher than  
20 other modes. However, the real role of the public transportation system in mitigating CO<sub>2</sub>  
21 emissions has remained under-investigated at the city level in China, which is one of  
22 greatest barriers to achieving low/zero carbon urban mobility in China. This paper presents  
23 a systematic analysis of effects of different public transportation development scenarios on

24 CO<sub>2</sub> reductions. A total of 180 potential development scenarios of the public transportation  
25 system, including different shifts, different travel demand levels, and different prospective  
26 scenarios for the bus and subway, are identified and tested based on a case study in  
27 Beijing. A method for estimating CO<sub>2</sub> emissions suitable for complicated vehicle classes  
28 and operating patterns in the developing countries is established to assess the effect of the  
29 public transportation system on CO<sub>2</sub> emissions. The results indicate that the public  
30 transportation system has a great potential to reduce the total CO<sub>2</sub> emissions as well as  
31 the average CO<sub>2</sub> emissions per capita in Beijing. The modal share values of 61-64% are  
32 turning points at which CO<sub>2</sub> emissions begin to decline in the year 2020.

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34 **Keywords:**

35 public transportation system; bus; subway; CO<sub>2</sub>; emissions; scenario

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## 46 1 Introduction

47 The urbanization and economic growth in Asia in recent years has been unprecedented. In this context,  
48 controlling CO<sub>2</sub> emissions while meeting the growing demand for mobility is becoming an enormous  
49 challenge for many Asian cities. The continuous increase of CO<sub>2</sub> emissions into the Earth's atmosphere  
50 is now recognized as a predominant cause of the greenhouse effect and global warming. Under most  
51 circumstances when the vehicle occupancy is high, public transportation systems can play an important  
52 role in reducing CO<sub>2</sub> emissions from the transportation sector because they deliver low carbon CO<sub>2</sub> trips  
53 per capita. Public transport operators are striving to provide cities with a low carbon future by combining  
54 their mobility packages to provide a real alternative to the car (International Association of Public  
55 Transport et al., 2012).

56 In China, the intensive land use and dense population in large cities means that a passenger  
57 transportation system that heavily relies on cars is unsustainable. The public transportation system  
58 embracing bus and subway systems are backbones for the urban passenger transportation system in  
59 China. Mega-cities such as Beijing have been facing a great challenge of mitigating the greenhouse gas  
60 (GHG) emissions while the number of trips, the number of private vehicles, and their use are continuing  
61 to rise. Although the transportation sector is one of the main sources of anthropogenic CO<sub>2</sub> emissions, it  
62 is widely accepted that public transportation offers low-carbon and energy-efficient mobility solutions.  
63 However, the role of the public transportation system in mitigating CO<sub>2</sub> emissions remains  
64 under-investigated for cities in China, in which the average occupancy for bus and subway systems is  
65 generally high. A question to be answered is what would be the environmental significance of shifting  
66 from passenger cars to the mass transit system during the critical time when the private car ownership  
67 and usage rise so quickly in China. It is also important to understand whether there is a turning point of  
68 the modal shift at which CO<sub>2</sub> reductions can be achieved? In this context, this research is intended to  
69 conduct a systematic analysis of the role of the public transportation system on CO<sub>2</sub> reductions based  
70 on a case study in Beijing. Three travel demand levels are considered. Potential development scenarios  
71 for the public transportation system are designed in light of uncertain economic, sociological, and  
72 technological developments. A method for estimating CO<sub>2</sub> emissions suitable for complicated vehicle

73 classes and operating patterns is established to assess how the future CO<sub>2</sub> emissions might change  
74 under different development scenarios of the public transportation system. Analysis results are  
75 discussed and recommendations of strategies for increasing the contributions of the public  
76 transportation system to the sustainable growth are provided.

## 77 **2 Existing Studies**

78 Some studies were conducted over the last decade regarding the role of the public transportation  
79 system on reducing CO<sub>2</sub> emissions or GHG emissions. The following summarizes several typical  
80 studies.

81 Paravantis and Georgakellos (2007) developed a regression model to forecast car ownership and bus  
82 fleet models, which is used to compare CO<sub>2</sub> emissions from passenger cars and buses in Greece. They  
83 concluded that passenger automobile will emerge as the dominant CO<sub>2</sub> source in road passenger  
84 transport considering the decline in bus travel.

85 McDonnell et al. (2008) analyzed a Quality Bus Corridor (QBC), implemented in Dublin, Ireland, in  
86 1999 and estimated CO<sub>2</sub> emissions associated with differing levels of bus priority for the period  
87 1998-2003 and for the Kyoto commitment period (2008-2012). They found that, in the absence of a  
88 QBC, peak-time emissions for the sample population would have been 50% higher than in the factual  
89 scenario.

90 The work of Zhai et al. (2008) estimated roadway link average emission rates for diesel-fueled transit  
91 buses based on link mean speeds, using vehicle specific power (VSP) modes from data gathered by a  
92 portable emissions monitoring system. Besides CO, NO<sub>x</sub>, and HC emissions, the relationship between  
93 VSP and CO<sub>2</sub> emissions were investigated, modeled and validated. The study concluded that VSP is a  
94 useful explanatory variable for estimating the variability in CO<sub>2</sub> emissions for diesel buses.

95 Hodges (2010) analyzed the role of public transportation in responding to climate changes. Average  
96 emissions per passenger mile of U.S. transit services were estimated. National averages demonstrate  
97 that public transportation produces significantly lower GHG emissions per passenger mile than private  
98 vehicles. Heavy rail transit systems, such as subways and metros, produce 76% less in GHG emissions  
99 per passenger mile than an average single-occupancy vehicle. Light rail systems produce 62% less and

100 bus transit produces 33% less.

101 Gallivan and Grant (2010) summarized the current practices in GHG emissions reductions from the  
102 transit in the United States. They reviewed the literature on transit's impact on GHG emissions and on  
103 transit strategies to further reduce GHG emissions, and surveyed agencies about their current efforts to  
104 reduce GHG emissions. The research concluded that GHG emissions are still a peripheral concern for  
105 transit agencies and more research is needed on methodologies to estimate changes in emissions from  
106 specific improvements to transit.

107 Bradley and Associates LLC (2014) estimated average energy use and CO<sub>2</sub> emissions by mode.  
108 They estimated that transit buses produce a 136-gram of average emissions per passenger mile.

109 Hill et al. (2014) provided the methodological approach and the key data sources used to define the  
110 emission factors, which are used to estimate CO<sub>2</sub> emissions. CO<sub>2</sub> emissions from the average local bus  
111 are 100.7 grams per passenger mile under an average passenger occupancy of 10.8.

112 Waraich et al. (2016) developed a methodology for simulating transit bus ridership and GHG  
113 emissions (in CO<sub>2</sub> equivalent) across a network of 200 buses in the city of Montreal, Canada. They  
114 observed the effect of a 20% system-wide increase in ridership, a 1.7% increase in total emissions, and  
115 a 28% decrease in per capita emissions.

116 Studies identified in the literature review have offered insights into the quantified assessment of the  
117 role of the public transportation system in reducing CO<sub>2</sub> or GHG emissions and factors contributing to  
118 the CO<sub>2</sub> or GHG reductions. They suggested that the modal shift is a key factor. Emission factors for  
119 individual operators and services vary significantly depending on the local conditions, the specific  
120 vehicles used, and the typical occupancy achieved (Hill et al., 2014). A methodology to estimate  
121 expected CO<sub>2</sub> reductions from the public transportation system is needed. However, little effort has  
122 been made to analyze the potential of the public transportation system to mitigate the CO<sub>2</sub> emissions at  
123 a city level in China, especially in Beijing. The work in this paper is to expand the previous research on  
124 assessing the role of public transportation in reducing CO<sub>2</sub> emissions by using the densely populated  
125 Beijing as a case study bed. The methodology of the modal-share-based estimation of CO<sub>2</sub> emissions  
126 for different modes is implemented. In the modal-share-based estimation of CO<sub>2</sub> emissions, modal  
127 shares for different modes are the key parameter to the estimation of CO<sub>2</sub> emissions, which allows

128 analysis of CO<sub>2</sub> emissions by mode. In a combination with other parameters, such as, residential trips  
129 across all modes, average trip distance, and CO<sub>2</sub> emissions per capita per km for different modes, CO<sub>2</sub>  
130 emissions can be derived. Based on the analysis, recommendations for development strategies for the  
131 public transportation system in Beijing are presented.

### 132 **3 Method and approach**

#### 133 *3.1 Estimation method for CO<sub>2</sub> emissions*

134 The transportation system is highly interconnected. CO<sub>2</sub> emissions from transportation sector are  
135 influenced by a group of factors, such as the driving cycle, fuel category, modal structure, passenger  
136 travel activities, etc. The relative importance of each factor to total changes in emissions varies with  
137 different levels of the estimation (Schipper et al., 2008). At a city level, only the most important and  
138 easy-to-monitor factors should be used, including the modal share, load factor, and CO<sub>2</sub> emission factor  
139 (Hook et al., 2010). The function to estimate CO<sub>2</sub> emissions from different modes at the city level is  
140 formulated in the following Eq. (1):

$$141 \quad E_i = N * F_i * D_i * M_i \quad (1)$$

142 where the indices  $E_i$ ,  $N$ ,  $F_i$ ,  $D_i$ ,  $M_i$  describe the CO<sub>2</sub> emissions for the mode  $i$  (metric tons), residential  
143 trips (trips), modal share for mode  $i$  (%), average trip distance for mode  $i$  (km), and average CO<sub>2</sub>  
144 emissions per capita per km for mode  $i$  (g).

145 Average CO<sub>2</sub> emissions per capita per km for different modes can be derived from the CO<sub>2</sub> emission  
146 factor, load factor, and rated passenger capacity, as shown in the following Eq. (2):

$$147 \quad M_i = \frac{EF_i}{L_i * P_i} \quad (2)$$

148 where  $EF_i$ ,  $L_i$ ,  $P_i$ , represent CO<sub>2</sub> emissions factor for mode  $i$  (g/km), load factor for mode  $i$ , which  
149 determines the average occupancy (%), and the rated passenger capacity for mode  $i$  (persons).

150 Therefore, the total CO<sub>2</sub> emission in a city can be further calculated in Eq. (3):

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$$E = \sum_i N * F_i * D_i * \frac{EF_i}{L_i * P_i} \quad (3)$$

152 *3.2 Approach to determine emission factors*

153 In this research, several modes including the bus, subway, taxi, and car are considered. A carbon  
154 dioxide emission factor can be calculated using the standard Intergovernmental Panel on Climate  
155 Change (IPCC) coefficients or other energy conversion factors to convert fuel (or electricity)  
156 consumption to carbon emissions. In developing country cities, vehicle numbers are growing rapidly,  
157 and their patterns of usage are changing as cities sprawl outwardly. Except for well defined, centrally  
158 operated vehicle fleets, transport sector fuel consumption is physically difficult to be collected due to the  
159 highly decentralized decision making process for transport activities (Schipper et al., 2008). Hence, we  
160 use the electricity-consumption-based-method to estimate the CO<sub>2</sub> emission factor only for the subway,  
161 which uses the electricity as the power. A methodological tool to calculate CO<sub>2</sub> emissions from the fossil  
162 fuel combustion provided by the clean development mechanism is based on the quantity of the fuel  
163 combusted and its properties (United Nations Framework Convention on Climate Change, 2013).  
164 However, we do not choose a fuel-consumption-based approach to estimate the CO<sub>2</sub> emission factor for  
165 the fuel-intensive modes of transportation (i.e., bus, taxi, and car) because such data are not easily  
166 collected and not reliable in Beijing. An emission-model-based approach to determine the emission rate  
167 and the emission factor for fuel-intensive modes of transportation has been used in this research. This  
168 approach can capture the speed, idling, or acceleration characteristics of vehicles, which reflects real  
169 operating patterns in the roadway network.

170 For the subway, the CO<sub>2</sub> emission factor is determined in following steps. The subway operation  
171 needs to consume the electricity, which is measured in the unit of the standard coal. The standard coal  
172 here is the coal equivalent to measuring the amount of electricity used in China. The electricity data  
173 used by the subway operation and the total subway mileage from 2009 to 2013 in Beijing are collected  
174 (Beijing Mass Transit Railway Operation Corporation, 2014) (Beijing Mass Transit Railway Operation  
175 Corporation, 2012). A total of 2.834 tons of CO<sub>2</sub> will be emitted when one ton of the standard coal

176 burned (U.S. Energy Information Administration, 2007) (Beijing Municipal Commission of Development  
 177 and Reform, 2010). Multiplying the 2.834 by the standard coal consumption for each year, we can derive  
 178 the total CO<sub>2</sub> emissions annually for the subway system in Beijing. Further, dividing the annual CO<sub>2</sub>  
 179 emissions by the total subway mileage gives us the annual CO<sub>2</sub> emissions per kilometer. Here, an  
 180 average of these values is used as the emission factor for the subway, which is 1143.6 grams of CO<sub>2</sub> per  
 181 kilometer.

182 For the bus, taxi, and car, a portable emissions measurement system (PEMS: OEM-2100) has been  
 183 used to collect the real time CO<sub>2</sub> emission data and a GPS device to collect driving activity data. More  
 184 than 500 million groups of driving activity data were collected in recent years. A micro-scale VSP  
 185 approach is used to establish the emission estimation model, which determines the CO<sub>2</sub> emission factor  
 186 (Xu et al., 2008). The VSP approach can readily connect the driving modes with emissions, which  
 187 results in accurate emission estimations by giving a close attention to high emissions in high VSP bins  
 188 (Beijing Jiaotong University, 2011; Beijing Jiaotong University and Energy Foundation, 2009, 2010;  
 189 Beijing Jiaotong University and Ministry of Transport of China, 2011; Beijing Jiaotong University and  
 190 Natural Science Foundation of China, 2004; Hao et al., 2010; Liu et al., 2009; Song et al., 2009). CO<sub>2</sub>  
 191 emission factors for different modes can be calculated by using Eq. (4):

$$EF_i = \frac{\sum_m ER_{im} * K_m}{v} * 1000 \quad (4)$$

193 where  $ER_{im}$  represents the average emission rate of mode  $i$  under VSP bin  $m$  (g/s),  $K_m$  represents the  
 194 percentage of the driving time under VSP bin  $m$  (%), and  $v$  is average travel speed (km/s).

195 CO<sub>2</sub> emission factors for different modes are shown in Table 1.

196 **Table 1** CO<sub>2</sub> Emission factors for different modes.

Modes	Bus	Subway	Taxi	Car
CO <sub>2</sub> emission factors (g/km)	682.832	1,143.609	231.953	303.767

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## 199 4 Design of development scenarios for public transportation system

### 200 4.1 Analysis of potential prospects for public transportation's development

201 The bus and subway are two primary modes in the public transportation system in Beijing. The future  
202 economic, sociological, and technological developments always involve some degree of uncertainties.  
203 Factors of the economy, fare policy, demographics, land use, regional planning, vehicle technologies,  
204 and urban transport goal may all influence the future development of public transportation in Beijing.  
205 Currently, the economic growth slows down; a population of greater than 21 million people means a  
206 faster growth than what has been forecasted in the urban planning for 2020 (Beijing Municipal  
207 Commission of Urban Planning, 2011), which motivates the measures to curb the growth of  
208 the population; the land price has increased to a very high level; plans to build a new administrative  
209 center adjacent to the city have been announced in order to better integrate the Chinese capital with its  
210 surrounding areas; and non-capital functions including manufacturing, logistics, wholesale markets, and  
211 partial functions of the public service will be gradually moved out of the city (Beijing Youth Daily, 2015).  
212 Meanwhile, the reality of the limited roadway space and rapidly deteriorating traffic congestion has  
213 pressured the government to reconsider transit priority strategies and more strict license plates  
214 controlling policy. Fuel-efficient technologies and clean fuel technologies will be implemented gradually  
215 with the 2020 as the target year (State Council, 2012). Since a wide range of factors influence the public  
216 transportation demand, no single study could comprehend all the demand determinant factors of the  
217 public transportation system in a single context (Polat, 2012). In view of the complicated influencing  
218 factors of the public transportation demand and modal share in an urban passenger transportation  
219 system, a wider range of modal share of public transportation system should be considered. Further,  
220 due to the fact that the total modal share of the public transportation system of 46% in 2013, a range of  
221 modal share from 46% to 65% is set in this study. Moreover, three travel demand levels are considered.  
222 For each travel demand level, three possible development prospects for the bus and subway in the  
223 public transportation system are identified for up to 2020 in Beijing.

224 According to the forecasting results (Beijing Transportation Research Center, 2015), three travel

225 demand levels are considered. With high, medium, and low population levels, the residential trips that  
226 do not include walking are forecasted as 17,842, 19,002, and 20,162 billion trips respectively.

227 For each travel demand level in 2020, three possible development prospects for the bus and subway  
228 in the public transportation system, which reflect potential modal share for the bus and subway, are  
229 identified as follows.

230 (1) Prospect A: modal share of the bus is equal to that of the subway

231 In the public transportation system, the bus and subway are dominant modes for passenger trips.  
232 Both companies that operate the bus and subway systems make efforts to enhance the service quality,  
233 thus a better intermodal connectivity is achieved. The passenger market of public transportation is  
234 divided equally by the mode of the bus and the subway. So, a modal share ratio of the bus to subway is  
235 assumed as 1:1 for all three travel demand levels.

236 (2) Prospect B: modal share of the subway slightly exceeds that of the bus

237 Although Beijing Public Transportation Company adopts more measures to improve operating  
238 conditions and the service quality that can have a positive influence on the bus usage, it is likely that the  
239 subway attracts slightly more passengers than the bus due to its higher operating speed, reliability, and  
240 better network reach. In this context, the modal share ratios of the bus to the subway are assumed to be  
241 1:1.28, 1:1.44, and 1:1.32 for three travel demand levels, according to the forecasting results of the  
242 transportation planning from 2015 to 2020 in Beijing (Beijing Transportation Research Center, 2015).

243 (3) Prospect C: modal share of the subway moderately exceeds that of the bus

244 The environment for public transportation is dynamic and even interactive. Due to the traffic  
245 congestion, Beijing government will possibly invest more in the construction of the subway system and  
246 provide more subsidies for subway operations in comparison with Prospect B. In the future, the subway  
247 may attract even more passengers than the bus. Therefore, the modal share ratios of the bus to subway  
248 are assumed as 1:1.57, 1:1.87, and 1:1.62 for three travel demand levels, which represent the  
249 possibilities that the modal share of the subway moderately exceeds that of the bus.

250 4.2 Scenario design under different prospects for public transportation development

251 Due to the uncertainty of the future development of the public transportation system, a scenario analysis  
252 is used. This paper relies upon the ridership, modal shift, and other parameters in Eq. (3) to design  
253 scenarios. These parameters will be estimated under different prospects of public transportation  
254 development in the year of 2020. Actual operational and performance data are collected to estimate  
255 these parameters. Based on the estimated parameters,  $20 \times 3 \times 3$  (i.e., 180) development scenarios will be  
256 designed and their resulting CO<sub>2</sub> emissions will be analyzed when the modal share is changed at a step  
257 of 1%. Turning points on CO<sub>2</sub> emission reductions will be identified.

258 In Eq. (3), the parameters including residential trips  $N$ , average trip distance  $D_i$ , CO<sub>2</sub> emission factor  
259  $EF_i$ , and rated passenger capacity  $P_i$ , are assumed to be constant values for the 180 scenarios in 2020.  
260 All these parameters need to be estimated for 2020. The load factors  $L_i$  under different scenarios are  
261 determined depending on the increase of the modal share of the bus and the subway.

262 As mentioned in the section of 4.1, the residential trips  $N$  for 2020 are 17.842, 19.002, and 20.162  
263 billion trips under three different travel demand levels. With the increase of the residential travel demand,  
264 the average trip distance will increase due to the extension of the urban rail transit network. According to  
265 the planning of the urban rail transit network in Beijing from 2010 to 2020 (Beijing Municipal Commission  
266 of Urban Planning and Beijing Infrastructure Investment Co., Ltd, 2011), there will be 30 lines and 450  
267 stops of the urban rail transit to be developed by 2020. In 2020, the total track length will extend to 1050  
268 kilometers from 465 kilometers in 2014. Suburban areas will be connected with downtown by new radial  
269 lines, according to the plan. We analyze the average trip distance in 2000, 2005, and 2010. From 2000  
270 to 2010, the average trip distance for the bus and taxi did not change significantly with only a slight  
271 fluctuation and the average trip distance for the car and subway increased by 12.75% and 15.40%  
272 respectively. These data have been used for estimating the average trip distance from 2010 to 2020. So  
273 the average trip distance for the bus and taxi will not change and the average trip distance for the car  
274 and subway will increase according to the increasing rates of 12.75% and 15.40%. Therefore, we can  
275 obtain the average trip distance  $D_i$  for all modes for 2020.

276 Beijing has begun to introduce Phase 5 emission standard since September, 2013. In order to

277 strengthen the energy conservation and emission reductions, a plan on accelerating the development of  
278 the energy-saving and new electric automobile industry has been formulated for the period of  
279 2012-2020. The CO<sub>2</sub> emission factor  $EF_i$  under all scenarios will be lower in consideration of the  
280 enhancement of the fuel efficiency, the improvement of engine technologies, and the implementation of  
281 stringent emission control standards. The fuel economy will be improved significantly in 2020, according  
282 to the plan for the development of the energy-saving and new energy automobile industry (State  
283 Council, 2012). The fuel consumption per hundred-kilometers of new produced passenger vehicles in  
284 2020 will be reduced to 5 liters per 100 kilometers, which is a significant reduction compared with the  
285 current one. However, due to the fact that the full implementation of the fuel economy improvement in  
286 this plan and the vehicle replacement still takes a long time, the CO<sub>2</sub> emission factor  $EF_i$  will be reduced  
287 by only 7% in different scenarios in contrast with the one in 2010. Considering the energy saving target  
288 for the cost control of Beijing Subway Company and the decrease level of CO<sub>2</sub> emission factor in recent  
289 years, a decrease of 7% of the CO<sub>2</sub> emission factor for subway in 2020 is assumed.

290 The rated passenger capacity  $P_i$  is related to the vehicle design. We assume that this parameter will  
291 not change in the near future since no significant changes on vehicle seats or the space size are  
292 predicted.

293 The load factor  $L_i$  in 2020 was also assumed. In the future, Beijing will invest more in the public  
294 transportation system considering the severe traffic congestion and air quality issues. A multimodal  
295 public transportation network with a better coverage and punctuality will be provided. More travel  
296 demand management measures to control the use of cars, such as the congestion toll collection and the  
297 low emission zone, will be implemented in the future. The measures on the congestion toll collection and  
298 the low emission zone are included in the clean air action plan from 2013 to 2017 in Beijing and its  
299 implementation scheme is being studied (Beijing Municipal Government, 2013) (Beijing Municipal  
300 Commission of Transport, 2014). All of these measures will likely attract more passenger trips to use the  
301 public transportation system. Hence, with the increase of the modal share of the bus and the subway,  
302 the load factors  $L_i$  under different scenarios are increased by 0% to 22%.

303 The modal shares  $F_i$  in Eq. (3) are different under 180 scenarios. As mentioned in the section of 4.1,  
304 different modal share values for public transportation (46% to 65%) are tested in this study and three

305 modal share ratios of the bus to the subway for each travel demand level are assumed for 2020.

306 Table 2 shows these parameters used for scenario analysis in 2020.

307 **Table 2** Parameters for different scenarios in 2020.

<b>Modes</b>	<b>Bus</b>	<b>Subway</b>	<b>Taxi</b>	<b>Car</b>
<b>N'(trips)</b>	17,841,565,000 (population=26 million)			
	19,001,535,000 (population=28 million)			
	20,161,505,000 (population=30 million)			
<b>D(km)</b>	10.8	20.77	9.3	12.97
<b>P(persons)</b>	112	310	5	4
<b>EF(g/km)</b>	635.03	1,063.56	215.72	282.50
<b>L<sub>i</sub></b>	44%, 48%, 50%	35%, 38%, 40%, 42%, 45%	30.20%	33.50%

308 Note: The total modal share of the public transportation system (including bus and subway) is changed from 46% to 65% with a  
 309 step of 1%. When the population is 26 million, the modal share ratios of the bus to subway are assumed as 1:1, 1:1.28, and 1:1.57.  
 310 When the population is 28 million, the modal share ratios of the bus to subway are assumed as 1:1, 1:1.44, and 1:1.87. When the  
 311 population is 30 million, the modal share ratios of the bus to subway are assumed as 1:1, 1:1.32, and 1:1.62.

## 312 5 Result and analysis

### 313 5.1 CO<sub>2</sub> emissions in 2010

314 The year of 2010 has been chosen as the base year considering the data availability. The 2010 Beijing  
 315 Household Travel Survey shows that Beijing residents made 16.538 billion annual trips in 2010 (Beijing  
 316 Transportation Research Center, 2011). Other parameters are listed in Table 3 and results on CO<sub>2</sub>  
 317 emissions are shown in Table 4.

318 As presented in Table 4, the total CO<sub>2</sub> emissions for the transportation sector in Beijing have achieved  
 319 12,117.629 metric kilotons, an average CO<sub>2</sub> emission per capita of 1,052.741g/person. Cars emit  
 320 84.69% of CO<sub>2</sub> emissions, which are substantially larger than those from the bus and the subway. The  
 321 CO<sub>2</sub> emissions from the subway are the smallest among all modes. It can be observed that the public  
 322 transportation system has a great potential for reducing CO<sub>2</sub> emissions.

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325 **Table 3** Parameters for estimating 2010 Beijing CO<sub>2</sub> emission in Beijing.

Modes	Bus	Subway	Taxi	Car
$F_i$	28.20%	11.5%	6.8%	34.2%
$D_i(\text{km})$	10.8	18	9.3	11.5
$EF_i(\text{g/km})$	682.832	1,143.609	231.953	303.767
$L_i$	44%	35%	30.2%	33.5%
$P_i(\text{persons})$	112	310	5	4

Note: Modal share  $F_i$  and average trip distance  $D_i$  are from 2010 Beijing Household Travel Survey. Load factor  $L_i$  are from the survey by public transport agency in Beijing.

**Table 4** CO<sub>2</sub> emission results for different modes in 2010.

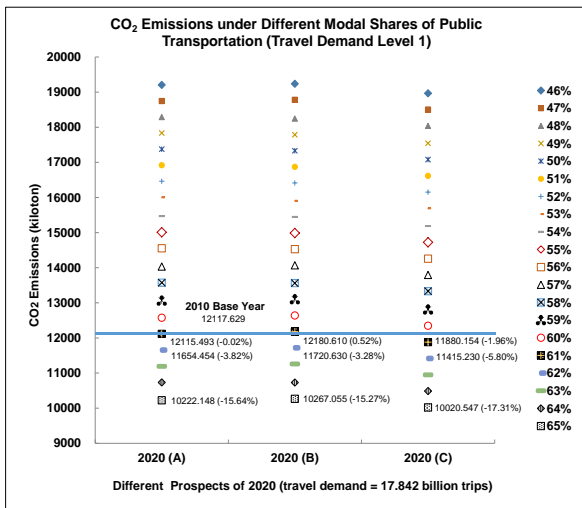
Modes	Bus	Subway	Taxi	Car	Total
CO <sub>2</sub> emission (metric kiloton)	485.749	251.139	1,118.178	10,262.563	12,117.629
Percentage	4.01%	2.07%	9.23%	84.69%	100.00%
CO <sub>2</sub> emission per capita (g/person)	42.200	21.818	97.144	891.579	1,052.741
Percentage	4.01%	2.07%	9.23%	84.69%	100.00%

### 5.1 CO<sub>2</sub> emissions under different scenarios for the public transportation system

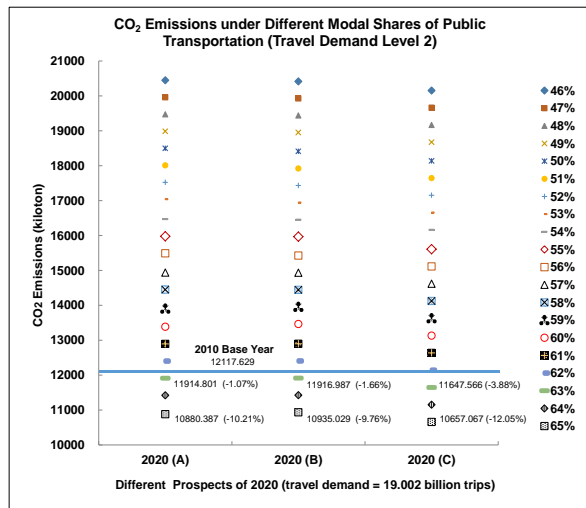
CO<sub>2</sub> emissions under different shifts, different travel demand levels, and different prospective scenarios are calculated using Eq. (3). Fig. 1(a) to 1(f) and 2(a) to 2(f) illustrate the results of different scenarios for 2020.

Fig. 1(a) to 1(c) illustrate the change of CO<sub>2</sub> emissions and Fig. 1(d) to 1(f) show the changing percentages of CO<sub>2</sub> emission under different scenarios. As shown in Fig.1(a) to 1(c), under travel demand level 3 in which the travel demand is larger than that of travel demand levels 1 and 2, the higher CO<sub>2</sub> emissions are produced. For each travel demand level, there are no significant differences of CO<sub>2</sub> emissions or changing percentages among Prospects A, B, and C. For Prospect C under which the modal share of the subway moderately exceeds that of the bus, slightly less CO<sub>2</sub> emissions are released to the atmosphere. In Fig. 1(d) to 1(f), when the modal share of public transportation increases, CO<sub>2</sub> emissions are in a declining trend for all three travel demand levels. A modal share value of 61% or 62% is a turning point that results in the reduction of CO<sub>2</sub> emissions in 2020 for travel demand level 1. For Prospects A and C, CO<sub>2</sub> emission reductions are 0.02% and 1.96% with a modal share value of 61%. For Prospect B, the CO<sub>2</sub> emission is reduced by 3.28% with a modal share of 62%. When the modal

344 share of public transportation increases to 65%, the CO<sub>2</sub> reduction could range between 15.27% and  
 345 17.31%. Under travel demand levels 2 and 3, the turning points for the CO<sub>2</sub> emissions reduction in 2020  
 346 are 63% and 64% respectively. For Prospects A, B, and C, CO<sub>2</sub> emissions in 2020 could decrease by  
 347 1.07%, 1.66%, and 3.88% with a modal share of 63% under travel demand level 2. Further, the CO<sub>2</sub>  
 348 reduction could fall within a range of 10.21%-12.05% corresponding to a 65% modal share. Under travel  
 349 demand level 3, the CO<sub>2</sub> reduction can be 1.33%, 1.35%, and 1.64% for Prospects A, B, and C when the  
 350 modal share is 64%. When the modal share increases to 65%, CO<sub>2</sub> emissions in 2020 can decrease by  
 351 6.08%-7.98%. It can be observed from the results that a sufficient modal shift to public transportation  
 352 from the higher-emitting car mode can result in the positive environmental benefit for the society despite  
 353 the massive overall increase in the number of trips made in urban areas.



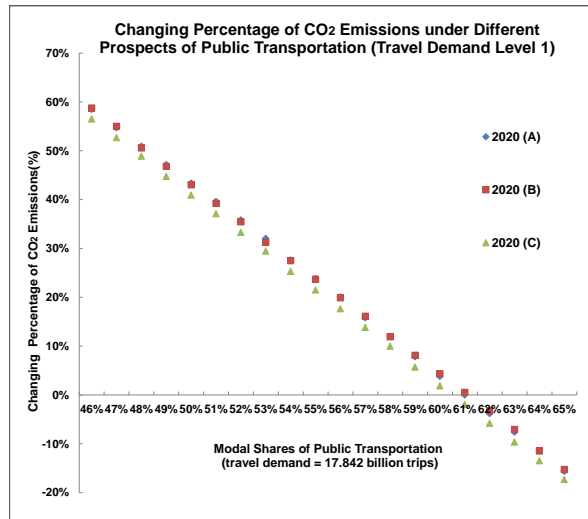
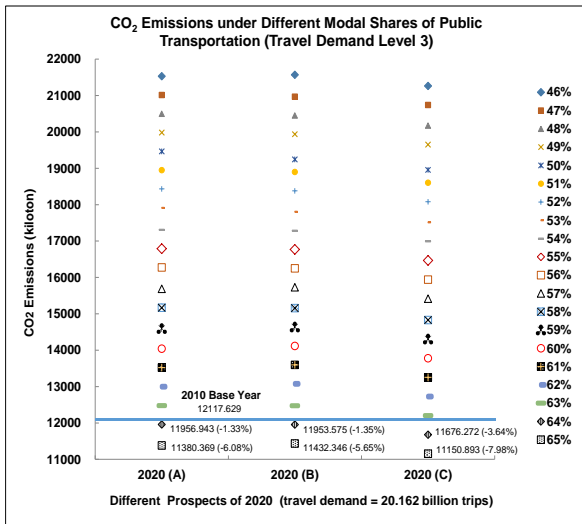
(a)



(b)

354

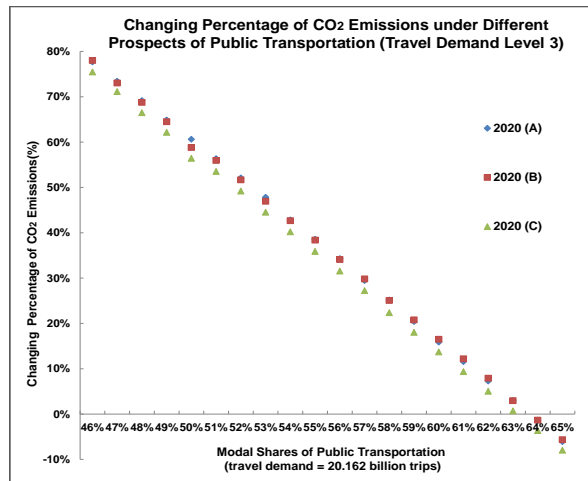
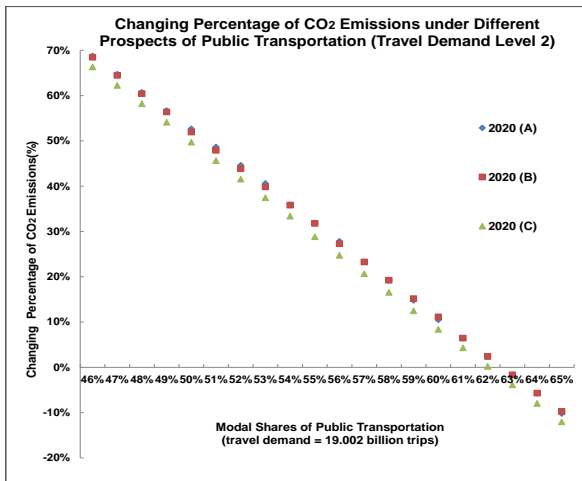
355



356  
357

(c)

(d)



358  
359

(e)

(f)

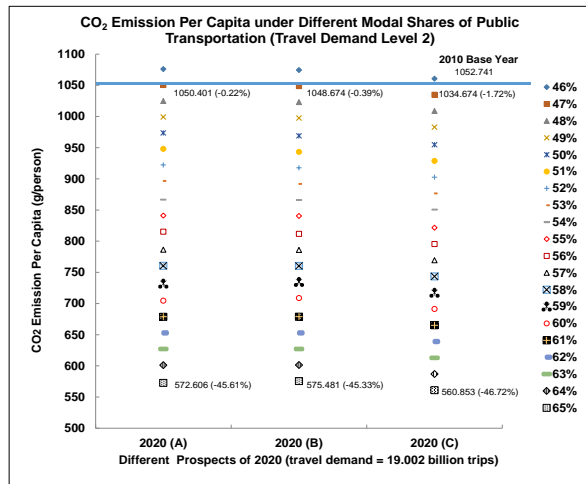
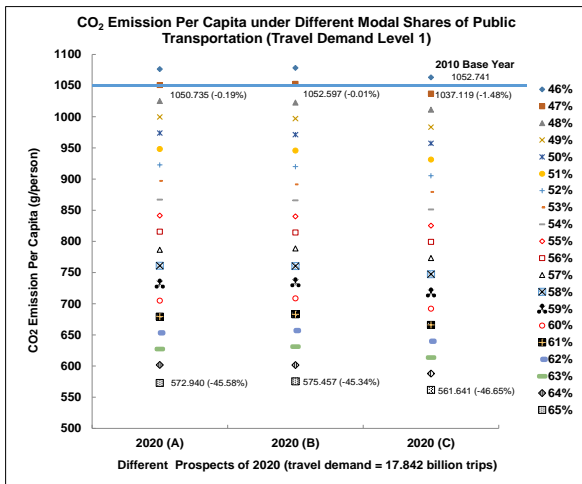
**Fig.1** Comparison of CO<sub>2</sub> emissions or changing percentage between 2010 and scenarios in 2020 for three travel demand levels. (a) CO<sub>2</sub> emissions (travel demand level 1). (b) CO<sub>2</sub> emissions (travel demand level 2). (c) CO<sub>2</sub> emissions (travel demand level 3). (d) Changing percentages of CO<sub>2</sub> emission (travel demand level 1). (e) Changing percentages of CO<sub>2</sub> emission (travel demand level 2). (f) Changing percentages of CO<sub>2</sub> emission (travel demand level 3).

364

CO<sub>2</sub> emissions per capita and their changing percentage under different scenarios are presented in Fig. 2(a) to 2(f). The turning point for CO<sub>2</sub> emissions per capita to reduce is the modal share of 47%. Under travel demand level 1, the minimum value of the reduction percentage is 0.01% for Prospect B when the modal share of public transportation is 47%, while the maximum value is 46.65% for Prospect C when the modal share of public transportation is 65%. Under travel demand levels 2 and 3, the

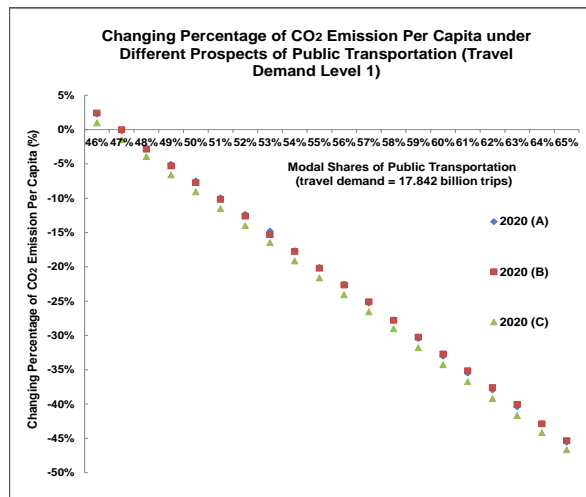
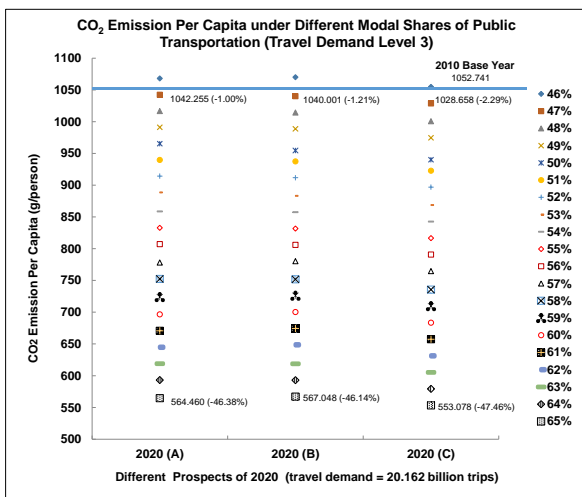


370 minimum value of the reduction percentage is 0.22% and 1.00% for Prospect A respectively with a  
 371 modal share of 47%, while the maximum value is 46.72% and 47.46% for Prospect C with a modal  
 372 share of 65%. It is suggested that the public transport can reduce CO<sub>2</sub> emissions per capita and has the  
 373 capacity to contribute even greater CO<sub>2</sub> emission reductions per capita with the increased modal share  
 374 of public transportation.



(a)

(b)



(c)

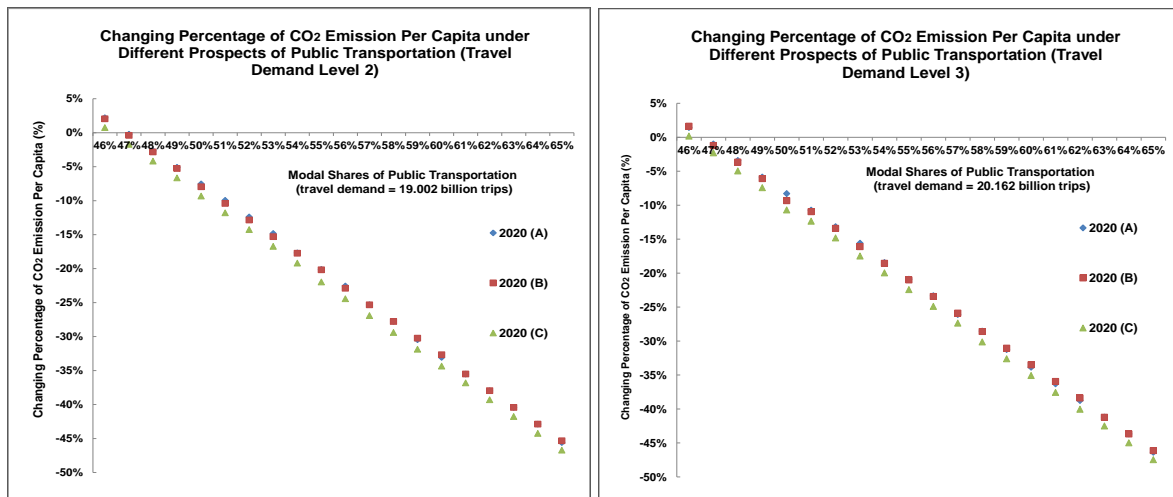
(d)

375

376

377

378



379

380

(e)

(f)

381 **Fig.2** Comparison of CO<sub>2</sub> emission per capita or changing percentage between 2010 and scenarios in 2020 for three travel  
 382 demand levels. (a) CO<sub>2</sub> emissions per capita (travel demand level 1). (b) CO<sub>2</sub> emissions per capita (travel demand level 2). (c)  
 383 CO<sub>2</sub> emissions per capita (travel demand level 3). (d) Changing percentages of CO<sub>2</sub> emission per capita (travel demand level 1).  
 384 (e) Changing percentages of CO<sub>2</sub> emission per capita (travel demand level 2). (f) Changing percentages of CO<sub>2</sub> emission per  
 385 capita (travel demand level 3).

386

## 387 6 Conclusions

388 The low carbon mobility with the low energy consumption is essential for a sustainable and competitive  
 389 future for Asian cities. Different urban public transportation development policies and strategies  
 390 implemented by the governments will yield different results in emissions of carbon dioxide from the  
 391 urban passenger transport sector. In this paper, we employed a scenario analysis approach to assess  
 392 the role of the public transportation system on CO<sub>2</sub> reductions using a case study of Beijing. A CO<sub>2</sub>  
 393 emission estimation method suitable for Beijing has been established to assess the role of the public  
 394 transportation system in reducing CO<sub>2</sub> emissions. Potential prospects for the development of the public  
 395 transportation system in Beijing are analyzed. Accordingly, 180 scenarios for the target year of 2020 are  
 396 designed and results are analyzed.

397 The increase of the urban travel demand in Beijing will bring a great challenge on mitigating CO<sub>2</sub>  
 398 emissions. Three travel demand levels are considered in this research. Some of the most noteworthy

399 findings from the analysis in this paper are as follows: 1) With the increase of modal shares of the public  
400 transportation system, the increase of CO<sub>2</sub> emissions is marginal. When the modal share of the public  
401 transportation system reaches 61-64%, the turning points will appear at which CO<sub>2</sub> emissions begin to  
402 decline. 2) CO<sub>2</sub> emission reductions per capita can be resulted by an increase of the modal share of the  
403 public transportation system. When the modal share of the public transportation system is greater than  
404 47%, CO<sub>2</sub> emission reductions per capita can be achieved. We can conclude that the public  
405 transportation system has a great potential to reduce CO<sub>2</sub> emissions and average CO<sub>2</sub> emissions per  
406 capita in Beijing. As a result, it is recommended that policy strategies and measures to encourage  
407 shifting trips away from private modes be adopted in Beijing. Both bus and subway systems need  
408 infrastructure investments, financial subsidy, service level enhancement, and intermodal connectivity  
409 improvements that prioritize the transit over other modes since a 15-18% increase of the modal share of  
410 public transportation is needed if CO<sub>2</sub> emission reductions are to be achieved.

411 The methodology in this research is limited to estimating CO<sub>2</sub> emissions in cities of developing  
412 countries where detailed data on vehicle types and fuel consumption are difficult to be collected,  
413 partially due to the rapid replacement rate of vehicles. It should be noted that significant heterogeneity  
414 exists across cities. In the future, the CO<sub>2</sub> emission analysis for other cities that have different  
415 development patterns should also be explored. Moreover, the impact of the newly established  
416 “Xiong-An New District” to the south of Beijing on the population and transportation mode shares is not  
417 considered in this paper, in regard to the fact that the process of change will take a long time. So, a  
418 further investigation and analysis taking into account “Xiong-An New District” will be considered in the  
419 near future. In the meantime, it should be noted that the policy recommendation in this paper is mainly  
420 based on the CO<sub>2</sub> emission analysis. In the future studies, other potential impacts, such as the air  
421 quality, road safety, congestion, and energy consumption should be added to the analysis.

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