

# Form ADV Part 2B Brochure Supplement

Rochelle A. Tocchini

LexAurum Advisors, LLC

d/b/a “Gemini Financial Advisors”

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This Brochure Supplement provides information about Rochelle A. Tocchini that supplements the LexAurum Advisors, LLC (LexAurum) Brochure which you should have received. Please contact us at (913) 261-9316 if you did not receive the LexAurum Brochure or if you have any questions about it or the contents of this supplement.

Additional information about Ms. Tocchini is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2- Educational Background and Business Experience**

Rochelle A. Tocchini, born in 1969, joined LexAurum in 2018 as an investment advisor representative. She was previously a registered representative of Summit Brokerage Services and an investment advisor representative of Summit Financial Group from 2016 to 2018. Prior to that, Ms. Tocchini was simultaneously affiliated as a representative of VSR Advisory Services, an SEC registered investment adviser, and VSR Financial Services, Inc., a FINRA member broker dealer, from 2014 through 2016. She was a client services manager for Lighthouse Financial from 2011 to 2014 and was a registered assistant with CFD Investments, Inc. from 2011 to 2014. Prior to that she was a re-designer at Refresh Designs during 2011 and was unemployed from 2008 to 2011. Ms. Tocchini was an operations manager for Omega Metals from 2005 to 2008. She was unemployed from 2004 to 2005 and was a senior field representative for CA Assembly from 2003 to 2004.

Ms. Tocchini earned a bachelor's degree in Political Philosophy from Saint Mary's College of California in 1991.

## **Item 3- Disciplinary Information**

Ms. Tocchini is currently not subject to, nor has ever been subject to, any legal or disciplinary events of a material nature.

## **Item 4- Other Business Activities**

Ms. Tocchini is licensed as an independent insurance agent. She earns commission-based compensation for selling insurance products to clients. Insurance commissions earned by Ms. Tocchini are separate and in addition to advisory fees she earns. Accepting commission-based compensation poses a conflict of interest as it creates an incentive to effect insurance transactions for the purpose of generating compensation however, the client is under no obligation, contractually or otherwise, to purchase insurance products through Ms. Tocchini. Further, based on current fiduciary and suitability standards, Ms. Tocchini is required to make recommendations appropriate to each client's individual needs and objectives.

Ms. Tocchini is a licensed notary public.

Ms. Tocchini uses the business name "Gemini Financial Advisors" to represent her advisory business conducted through LexAurum and her insurance business conducted through her separate tax entity, Gemini Financial Advisors, LLC.

### **Item 5- Additional Compensation**

Ms. Tocchini does not receive any economic benefits, sales awards, or other compensation in connection with providing advisory services to clients.

### **Item 6 - Supervision**

Lex-Aurum maintains a supervisory structure designed to reasonably detect and prevent violations of securities laws, rules and regulations. This system includes written supervisory procedures, trained and qualified home office personnel, guidance issued to advisors in a compliance manual, and periodic office compliance inspections. Ms. Tocchini reports to Peter W. Hughes, the CEO of the firm. Mr. Hughes can be reached at (913) 261-9316.