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# Coronavirus Impact on FINRA-Administered Exams & Continuing Education

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## Remote Exam Updates

### May 10, 2020

As previously announced, FINRA and NASAA have been working together to deliver an online testing service for candidates seeking to take qualification exams. Validation of this service for the administration of securities industry exams began in late April. FINRA has decided to extend the validation period. As a result, additional time is necessary before we can make online appointment scheduling available to all test candidates and firms. We will continue to refine the implementation of this online service and will communicate an anticipated launch date in the near future.

We recognize the ongoing challenges our test candidates face during this COVID-19 pandemic and will extend all enrollment windows that are currently expired, or will expire, between March 16 and June 2020. FINRA, NASAA and MSRB exam enrollment end dates will be extended through the same end date of June 30, 2020. Affected enrollment windows will be systematically updated and reflected in CRD on May 11. Additionally, test center administration of FINRA, NASAA and other securities industry qualifications exams has resumed in some test centers.

### May 1, 2020

FINRA and NASAA are pleased to report continued progress toward the launch of an online test delivery service, planned for May 24, 2020. In the weeks ahead, we will finalize requirements for this service, which will initially include online delivery of the Securities Industry Essentials (SIE), Series 6, Series 7, Series 63, Series 65, and Series 66 exams. Starting

May 11, candidates and firms will be able to begin scheduling their online test appointments for May 24 and beyond. Additional details regarding online test delivery requirements and appointment scheduling will be made available on the [Qualifications Schedule an Exam](#) page by May 11. Please note: This announcement references launch dates that are currently under evaluation as noted in the above May 10 announcement.

#### **April 24, 2020**

FINRA and NASAA are working together with input from other regulators and the industry to accelerate the delivery of an online testing service that will be administered and remotely proctored by our test delivery provider, Prometric.

The online test delivery service will allow candidates to use their personal or firm-provided, camera-equipped computer to take qualifications examinations. Prometric staff will proctor the examination through the camera and other online tools.

We are currently conducting a trial of the online testing service to work out any technical, operational or candidate experience issues.

We expect to launch the service on a limited basis in the near future, starting with the SIE, Series 6, Series 7, Series 63, and Series 66 with increasing capacity and exam offerings over the next four to six weeks. You can access additional details about this service on FINRA's COVID-19 page, starting Friday May 1st.

Prometric also announced its intention to open select test centers in limited geographic locations as early as May 1. Select in-center testing will only be made available to essential service programs, including financial services programs, and capacity will be limited due to social distancing requirements. These requirements may impact already scheduled exam appointments. For the most up-to-date information about test center availability and COVID-19 impacts, please visit the [Prometric COVID-19 website](#).

## **Test Centers & Exam Enrollments**

#### **Updated May 10, 2020**

FINRA and Prometric take the health and well-being of our community as a top priority. Prometric announced that select test centers in limited geographic locations are open on and after May 1. Select in-center testing will only be made available to essential service programs, including financial services programs, and capacity will be limited due to social distancing requirements. These requirements may impact already scheduled exam appointments. For the most up-to-date information about test center availability and COVID-19 impacts, please visit the [Prometric website](#).

Additionally, FINRA is aware of the challenges that COVID-19 is presenting for our candidates who want to take exams. FINRA will extend all enrollment windows that are currently expired, or will expire, between March 16 and June 2020. FINRA, NASAA and MSRB exam

enrollment end dates will be extended through the same end date of June 30, 2020. Affected enrollment windows will be systematically updated and reflected in CRD on May 11.

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## Continuing Education (CE)

FINRA is aware of the challenges that COVID-19 is presenting for our registrants who want to satisfy their Continuing Education (CE) Regulatory Element requirements. FINRA will extend all Regulatory Element requirements that are currently expired, or will expire, between March 16, 2020 and May 2020. Each Regulatory Element end date will be extended through the same end date of May 31, 2020.

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### ARBITRATION & MEDIATION

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