The Case of the Unusual Roof Penetration

New waterproofing solutions for old mysteries

by Lawrence Evensen

The combination of drawings and specifications encompasses the requirements for a project. Although far more like a technical paper than a novel, these combined documents can in some ways be seen as the concise narrative detailing the design and construction of a project. The writer's goal is to create comprehensive documents telling the building's 'story.' Unfortunately, some drawings and specifications leave out enough details that they read more like a Sherlock Holmes mystery, but without the 'gotcha' finale to tie up the loose ends. This situation can be particularly true when it comes to roof penetrations.

In this case, the mystery is what component will provide a watertight assembly for each of the various penetrations shown on the roof plan. Without penetration details and complete specifications, the story is incomplete and the roofing installer is left to assume unfair responsibilities or attempt to interpret the design team's intention.

An understanding of roof flashings and counterflashings, and how they perform their waterproofing tasks, is a necessity. This article concentrates on roof penetrations and the methods of installing those aforementioned products and systems to aid in comprehensive roof plans. Following some of the practices suggested in this article should result in a smoother-running construction project.

The specifying pitfall

The building envelope designer must be extremely diligent when writing the specifications for roofing details because the result of poor planning is confusion and a higher risk of litigation. A roof membrane is a watertight, non-permeable cover unless damaged, penetrated, or bypassed. In a perfect world, roofing contractors would not have to deal with complications typically arising during the construction process and there would never be any leaks.

A problem is created for the design professional when other trades (e.g. electric, refrigeration, structural steel, and HVAC) install their products and break the watertight cover. Clearly written designs directed at each of the trades will place waterproofing responsibility in the hands of the individuals with the expertise and knowledge required for creating failsafe, waterproof, penetration solutions. When the chain of responsibility for waterproofing a roof penetration is not properly delineated, conflicts can be created since most...
sub-trade contractors are concerned only with the equipment or structure they have contracted to produce.

It is imperative subcontractors take responsibility for the roof penetrations they create and start considering how their work affects the overall building envelope. Properly written specifications should put the responsibility of appropriate waterproofing design into the contracts of each of the parties. If specifications and details are clearly defined in advance, the roofing contractor is not put into the impossible position of creating details for penetrations that are proprietary to the various sub-trades. Closing this communication gap goes a long way toward preventing water from bypassing the monolithic roof cover, and keeps the lawyers at bay.

Flashing basics
Over the centuries, roof construction has evolved to include two waterproofing techniques—flashings and counterflashings. These materials create a rise in the roof’s membrane high enough to keep the elements from entering the waterproofing membrane, with a cover over the rise. The roof rise feature, or flashing, facilitates water runoff as long as weather conditions are not extreme enough to overflow the rise. The cover of the flashing, or counterflashings, is designed to allow water to shed over or around the flashing opening. There are many classes of roof flashings and counterflashings, including those products specifically designed for:
- bases;
- chimneys;
- copings;
- eaves and fascias;
- valleys; and
- roof penetrations.

For each class of flashing, the law of gravity and the rules of physics for the water’s flow are the same. The roof flashing is constructed to rise higher than the uppermost expected water level from a weather event, and is counterflushed to cap its opening, allowing gravity to direct water away.

Potential problems with penetration
Flashings for roof penetrations, projections, and equipment stands are designed with the flashing/counterflushing methodology. Pipes, conduits, vents, and support legs use a sleeve or ‘jack’ flashing to create the rise in the roof’s level.

As a general rule of thumb, roofing product manufacturers follow the guidelines of the National Roofing Contractors...
penetrations made from square, angle iron, or H-beam steel. The use of non-round steel support structures at these locations makes standard details difficult to write, creating a problem for the roofing contractor that can be especially complex to solve.

Help from above for St. Luke’s

To better understand the need for clarity in waterproofing specifications, it can be useful to see the lessons learned from a previous project. St. Luke’s Outpatient Center is a new medical building located in St. Louis, Missouri. In late 2007, Ryan Freeman, the job superintendent of McCarthy Building Companies, discovered the roof details provided by the roofing material’s manufacturer were inadequate. He was faced with 120 steel support posts (102 or 152 mm [4 or 6 in.] tall) that were part of the equipment screen. If improperly waterproofed, the leaks would have been numerous.

The flashing detail included a site-fabricated flashing to be created by the roofing crew out of the white, single-ply roof product. The plan was to make the flashing, seal the top edge against the steel post, and draw it tight using a stainless steel band clamp. The problem with this technique is no matter how much torque is applied to the clamp, there will be loose gaps on the flat part of the square post. Square pegs do not fit in round holes.

In search of a better solution, Freeman contacted Ron Carter of RNC Enterprises, a local technical advisor for innovative construction products. Carter suggested installing storm collars over the field-fabricated flashings to provide the waterproofing each square post required. (NRCA approves storm collars for this design purpose.) The steel support posts had already been installed so any counterflashing would need to be a retrofit design.

Choosing the appropriate product was the next challenge. Any storm collar used for these posts had to fit certain design criteria. The storm collar needed to be:

- a retrofit design (as the equipment screen posts had already been established);
- installed using the existing roofing crew labor;
- made of a material compatible with the metal posts, without worry of corrosion to the post or structure;
- free of sharp edges;
- able to spring back into place in the event of disturbance by workers or pedestrians walking on the roof; and
- aesthetically in line with the building’s white roof covering.

Additionally, the storm collars had to have a life expectancy of at least 20 years—the intended duration of the roof.

Carter used retrofit storm collars made of ethylene propylene diene monomer (EPDM) rubber as the counterflashing. By ordering these products with square
cut-outs sized exactly to match the metal posts, the storm collars were suitable for the field-fabricated roof detail. There were neither corrosion compatibility issues nor sharp edges; further, if the rubber is impacted by pedestrian traffic, it bends and springs back automatically. Installed using a simple nut driver, the ‘off-the-shelf’ collars can open up to wrap around myriad geometric shapes, while creating the rise in roof level needed.

A/C specifications are not always cool. By using the same roof rise and cover technique, almost all other odd or difficult roof penetrations can have waterproofing specifications written for them. Another example illustrating how a common problem can be solved is the luxury apartments built by Trammel Crowe Residential on the corner of Walnut Street and Colorado Boulevard in Pasadena, California. This project includes 265 luxury dwellings that are cooled using individual split central air-conditioning systems.

A split system is made up of two copper refrigerant tubes connected to an indoor coil and an outdoor condenser heat pump unit. (The smaller of the lines is called a liquid line and the larger, a suction line.) The lines are filled with a chemical refrigerant with a boiling point low enough it evaporates at relatively low temperatures and takes heat and moisture out of the air as it passes through a coil installed inside each apartment dwelling. The refrigerant travels in a closed loop between the coil and the roof top condenser.

Additionally, a low-voltage wire inside a watertight conduit provides an electrical connection from the coil to the outdoor condenser. The two tubes and conduit combination create a tightly grouped roof penetration called a ‘line set.’

The 265 heat pump condenser units on the Trammel Crowe project were mounted on platforms located on the flat areas of the multi-story building’s roofs. Line sets are a prime source of water intrusion because it is extremely difficult to seal between the groups of tubes. As a further complication, the large suction line tube is always insulated with soft foam as an energy conservation measure. Water that gets inside the insulation follows the tube like a highway through the walls of the building, ultimately creating leaks in apartments. The Walnut Street project had first floor apartments five stories below the roof decks, so a leak at ground floor could create hard to identify long-term problems.

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For the air-conditioning systems atop the luxury dwellings of this Trammel Crowe Residential project in California, ethylene propylene diene monomer (EPDM) storm collars were specified to provide the necessary waterproofing.

EPDM storm collars again offered a solution for this roof penetration problem. In this case, the rise in the roof level was created using a standard 37 to 50-mm (1.5 to 2-in.) diameter lead pipe flashing jack and covering the rise with an EPDM molded storm collar. The factory-made storm collars are pre-engineered to accept three closely grouped tubes and include two 20-mm (7/8-in.) and one 10-mm (3/8-in.) nipple holes. By passing the three independent components of the line sets through nipples molded into the rubber collar, each is separated and secured by a stainless steel hose clamp. An added benefit for using rubber is the assembly has no bi-metal contact and zero corrosion potential.

A plan was put in place to have each line set enter the building through lead pipe flashings each with 200-mm (8-in.) high risers installed into the roof system following the manufacturer's standard construction details. The roof was a five-ply, built-up, smooth surface roof installed over a protective layer of rosin sheet. By using the roofing manufacturer's installation details, the flashings were installed in a watertight manner and the result was a specification that allowed issuance of the 20-year manufacturer's warranty.

After the roof jack flashing had been completely installed, the rubber storm collars were placed down onto the copper tubes by way of the pre-molded nipples. The storm collars were located over the already installed lead flashings; the stainless steel hose clamps on each of the three nipples permanently secured the storm collar as covers over each flashing. Line-set storm collars create the perfect counterflashing for any type of pipe jack by yielding 'umbrellas' that keep the water on the roof.

**Conclusion**

The National Roofing Contractors Association (NRCA) recommends metal flashing of roof jack insertion into the membrane for projections between 203 and 356 mm (8 and 14 in.) above the finished roof level. These flashing jacks can be fabricated from many classes of materials including lead, steel, aluminum, and even single-ply materials. The material used is often determined through manufacturer preference, design/construction professional experience, compatibility, aesthetics, and cost. All that is required is a storm collar that attaches to the penetration, or group of penetrations, which acts as the counterflashing atop the flashing jack.

The installation of the retrofit storm collars to solve St. Luke's waterproofing problem is a great example of how an innovative product can improve roof penetration details. By adding these storm collars to the roof plan, each penetration becomes manageable, taking the mystery out of inadequately written details.

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**Additional Information**

**Author**
Lawrence Evensen is the president of All Style Industries, a manufacturer of storm collars and flashings. He holds various U.S. patents in roof sealing and waterproof roof deck post construction. A member of RCI—The Institute of Roofing, Waterproofing & Building Envelope Professionals, Evensen was a roofing contractor in California for almost 20 years. He can be contacted via e-mail at buildmart@earthlink.net.

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**Abstract**
Simply put, square pegs will not fit round holes (and vice versa). This fact can be particularly salient when it comes to figuring out the best flashing and counterflashing materials to specify for waterproofing roofing penetrations. Whether dealing with equipment screens or HVAC, selecting a product that provides the best fit is critical.