



RPL US Legal References

Documentation and information is intended as business support, to enable business to make a more informed business decision. Information herein is complimentary and can be publically researched. PITC cannot be held liable for use or misuse of information provided. PITC strongly suggest you the reader, research information to ensure it is current and accurate.

Country	US Department Mandate	Legal Reference	Note:
United States	US Dept. of Commerce	US 15 CFR Part 732.4.b.1, US 15 CFR 763 -> see below for the General Prohibitions	General Prohibitions
	US Dept. of Commerce	GEP4	General Prohibition Four (Denial Orders)—Engaging in actions prohibited by a denial order.
	US Dept. of Commerce	GEP5	General Prohibition Five—Export or reexport to prohibited end-uses or end-users (End-Use End-User).
	US Dept. of Commerce	GETP6	General Prohibition Six—Export or reexport to embargoed destinations (Embargo).
	US Dept. of Commerce	GEP7	General Prohibition Seven—Support of proliferation activities (U.S. person proliferation activity)—
	US Dept. of Commerce	GEP9	General Prohibition Nine—Violation of any order, terms, and conditions (Orders, Terms, and Conditions)
	US Dept. of Commerce	GEP10	General Prohibition Ten—Proceeding with transactions with knowledge that a violation has occurred or is about to occur (Knowledge Violation to Occur)
	US Dept. of Commerce & US Customs	US 15 CFR Part 762 & 19 CFR Part 163 + other	Record retention to include restricted trade practices
	US Dept. of Commerce	US 15 CFR Part 744	End user restrictions
	US Dept. of State	US 22 CFR 120.1.C.2 & 22 CFR 127.7	US 22 CFR 120.1 (c).2 combined with US 22 CFR 127.7 outlines you cannot do business with any party on the Debarred list.
	US Dept. of Commerce	US 15 CFR Supplement 1 to Part 764	Denial orders
	US Dept. of Treasury	US 31 CFR Section 515.306	OFAC Specially Designated Nationals List (SDN)
	US Customs		http://www.cbp.gov/trade/trade-community/programs-outreach/blocked-denied-debarred
	US Customs	CTPAT	CTPAT certification and retainment of certification: http://www.cbp.gov/sites/default/files/documents/Exporter%20Eligibility%20and%20Minimum%20Security%20Criteria.pdf