

# Patient Information

TODAY'S DATE: \_\_\_\_\_

## Patient Information

NAME: \_\_\_\_\_

AGE: \_\_\_\_\_ DATE OF BIRTH: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

SEX: \_\_\_\_\_ MARITAL STATUS: \_\_\_\_\_

CITY: \_\_\_\_\_ STATE \_\_\_\_\_ ZIP \_\_\_\_\_

email: \_\_\_\_\_

DID YOU SEE OUR WEBSITE  Yes  No

SOCIAL SEC #: \_\_\_\_\_

ARE WE IN YOUR PLAN DIRECTORY?  Yes  No

DRIVERS LICENSE # \_\_\_\_\_ State \_\_\_\_\_

PERMANENT ADDRESS: \_\_\_\_\_

EMPLOYER: \_\_\_\_\_

CITY/ST/ZIP: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

HOME PHONE #: \_\_\_\_\_

CITY/ST/ZIP: \_\_\_\_\_

WORK PHONE #: \_\_\_\_\_

PHONE #: \_\_\_\_\_

MOBILE PHONE#: \_\_\_\_\_

## Responsible Party Information

NAME: \_\_\_\_\_

RELATION TO PATIENT: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

EMPLOYER: \_\_\_\_\_

CITY/ST/ZIP: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

HOME PHONE: \_\_\_\_\_

CITY/ST/ZIP: \_\_\_\_\_

SOCIAL SECURITY #: \_\_\_\_\_

WORK PHONE: \_\_\_\_\_

## Primary Insurance (Please give your insurance card(s) to the receptionist)

INSURANCE CO.: \_\_\_\_\_

POLICYHOLDER'S NAME: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

POLICYHOLDER SSN: \_\_\_\_\_

CITY/ST/ZIP: \_\_\_\_\_

POLICYHOLDER D.O.B: \_\_\_\_\_

PHONE # \_\_\_\_\_

POLICY #: \_\_\_\_\_

Effective dates: \_\_\_\_\_ through \_\_\_\_\_

GROUP #: \_\_\_\_\_

PLAN NAME \_\_\_\_\_ COPAY \$ \_\_\_\_\_

RELATION TO PATIENT: \_\_\_\_\_

POLICYHOLDER'S EMPLOYER: \_\_\_\_\_

## Additional / Secondary Insurance

INSURANCE CO.: \_\_\_\_\_

POLICYHOLDER'S NAME: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

POLICYHOLDER SSN: \_\_\_\_\_

CITY/ST/ZIP: \_\_\_\_\_

POLICYHOLDER D.O.B: \_\_\_\_\_

PHONE # \_\_\_\_\_

POLICY #: \_\_\_\_\_

Effective dates: \_\_\_\_\_ through \_\_\_\_\_

GROUP #: \_\_\_\_\_

PLAN NAME \_\_\_\_\_ COPAY \$ \_\_\_\_\_

RELATION TO PATIENT: \_\_\_\_\_

POLICYHOLDER'S EMPLOYER: \_\_\_\_\_

## Miscellaneous

In case of emergency, notify \_\_\_\_\_

Relation to patient \_\_\_\_\_

Home phone \_\_\_\_\_

Work phone \_\_\_\_\_

## Signature

The undersigned verifies that the above information is true and correct.

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

(If patient is a minor - signature of parent/guardian)

# Patient Communication Authorization

Date: \_\_\_\_\_

Patient's Date of Birth: \_\_\_\_\_

Patient's Name: \_\_\_\_\_

We must call on occasion to discuss confidential protected health information. Below is a list of potential ways for us to communicate this information. Please indicate how you would like us to get this information to you:

Mobile Phone Number \_\_\_\_\_

- Can we text your mobile phone about appointments, e.g. appointment reminders, changes made to your appointment time, etc.? Yes  No
- Can we call this number and leave a message concerning your health? Yes  No

Email Address \_\_\_\_\_

- Can we email you about appointments, e.g. appointment reminders, changes made to your appointment time, etc.? Yes  No
- Can we email you with information concerning your health? Yes  No

Home Phone Number \_\_\_\_\_

- Can we call this number and leave a message concerning your health? Yes  No

Work Phone Number \_\_\_\_\_

- Can we call this number and leave a message concerning your health? Yes  No

- I give permission to the individual(s) listed below, to receive protected health information:
- You may also call these individuals on my behalf, at the phone number(s) listed below:

\_\_\_\_\_  
\_\_\_\_\_

- This authorization can be revoked or modified by notifying us IN WRITING at any time.

\_\_\_\_\_  
Patient's Signature

\_\_\_\_\_  
Date

## PATIENT QUESTIONNAIRE

Patient's Name \_\_\_\_\_ Birth Date \_\_\_\_\_ Sex \_\_\_\_\_ S. M. LTP. W. D.  
 Address \_\_\_\_\_ Tel. No. \_\_\_\_\_  
 Insurance Co. \_\_\_\_\_  HMO Copay \$ \_\_\_\_\_  PPO Copay \$ \_\_\_\_\_ Referred By \_\_\_\_\_ Occupation \_\_\_\_\_  
 Mail Claim To \_\_\_\_\_ Policy No. \_\_\_\_\_

**Instructions:** Put  In Those Boxes Applicable To You And In The "Yes" Or "No" Space. If Lines Are Provided Write In Your Answer.

	Family History																
	Father	Mother	Brother				Sister				Spouse/ Partner	Children					
			1	2	3	4	1	2	3	4		1	2	3	4	5	6
Age (if Living)																	
Health (G) Good (B) Bad																	
Cancer																	
Tuberculosis																	
Diabetes																	
Heart Trouble																	
High Blood Pressure																	
Stroke																	
Epilepsy																	
Nervous Breakdown																	
Asthma, Hives, Hay Fever																	
Blood Disease																	
Age (At Death)																	
Cause Of Death																	

Personal History											
Have You Ever Had . . .	No	Yes	Have You Ever Had . . .	No	Yes	Have You Ever Had . . .	No	Yes	Have You Ever Had . . .	No	Yes
<input type="checkbox"/> Scarlet Fever			Jaundice			<input type="checkbox"/> Broken Bones <input type="checkbox"/> Cracked Bones					
Diphtheria			Epilepsy			Recurrent Dislocations					
Smallpox			Migraine Headaches			<input type="checkbox"/> Concussion <input type="checkbox"/> Head Injury					
Pneumonia			Tuberculosis			Ever Been Knocked Unconscious					
Pleurisy			Diabetes			<input type="checkbox"/> Food <input type="checkbox"/> Chemical <input type="checkbox"/> Drug Poisoning					
<input type="checkbox"/> Rheumatic Fever <input type="checkbox"/> Heart Disease			Cancer			Explain					
<input type="checkbox"/> Arthritis <input type="checkbox"/> Rheumatism			Colonoscopy / Sigmoidoscopy			Latex Sensitivity					
<input type="checkbox"/> Bone Disease <input type="checkbox"/> Joint Disease			<input type="checkbox"/> High <input type="checkbox"/> Low Blood Pressure			Chronic Fatigue Syndrome					
<input type="checkbox"/> Neuritis <input type="checkbox"/> Neuralgia			Nervous Breakdown			Any Other Disease					
<input type="checkbox"/> Bursitis <input type="checkbox"/> Sciatica <input type="checkbox"/> Lumbago			<input type="checkbox"/> Hay Fever <input type="checkbox"/> Asthma			Explain					
<input type="checkbox"/> Polio <input type="checkbox"/> Meningitis			<input type="checkbox"/> Hives <input type="checkbox"/> Eczema								
<input type="checkbox"/> Gonorrhea <input type="checkbox"/> Syphilis <input type="checkbox"/> HIV			Frequent <input type="checkbox"/> Colds <input type="checkbox"/> Sore Throat			Weight: Now One Yr. Ago					
Anemia			Frequent <input type="checkbox"/> Infections <input type="checkbox"/> Boils			Maximum When					

Allergies											
Are You Allergic To . . .	No	Yes	Are You Allergic To . . .	No	Yes	Are You Allergic To . . .	No	Yes	Are You Allergic To . . .	No	Yes
<input type="checkbox"/> Penicillin <input type="checkbox"/> Sulfa Drugs			Any Other Drugs			Any Foods					
<input type="checkbox"/> Aspirin <input type="checkbox"/> Codeine <input type="checkbox"/> Morphine			Explain			Explain					
<input type="checkbox"/> Mycins <input type="checkbox"/> Other Antibiotics			Iodine Or Radiologic Dye								
<input type="checkbox"/> Tetanus <input type="checkbox"/> Antitoxin <input type="checkbox"/> Serums			Adhesive Tape			<input type="checkbox"/> Nail Polish <input type="checkbox"/> Other Cosmetics					

Surgery											
Have You Had Removed . . .	No	Yes	Have You Had Removed . . .	No	Yes	Have You . . .	No	Yes	Have You . . .	No	Yes
Tonsils			<input type="checkbox"/> Ovary <input type="checkbox"/> Ovaries			Had Hernia Repaired					
Appendix			Hemorrhoids			Had Any Other Operations					
Gall Bladder			Ever Have A Transfusion			Been Hospitalized For Any Illness					
Uterus			<input type="checkbox"/> Blood <input type="checkbox"/> Plasma			Explain					

X-Rays											
Ever Have X-rays Of . . .	No	Yes	Date	Disease Present							
Chest											
<input type="checkbox"/> Stomach <input type="checkbox"/> Colon											
Gall Bladder											
Extremities											
Back											
Mammogram											
Sigmoidoscopy / Barium Enema											
Other											

Review Of Systems									
Do You Now Have Or Have You Ever Had . . .		No	Yes	Do You Now Have Or Have You Ever Had . . .		No	Yes		
<input type="checkbox"/> Eye Disease <input type="checkbox"/> Eye Injury <input type="checkbox"/> Impaired Sight				Kidney <input type="checkbox"/> Disease <input type="checkbox"/> Stones					
<input type="checkbox"/> Ear Disease <input type="checkbox"/> Ear Injury <input type="checkbox"/> Impaired Hearing				Bladder Disease					
Any Trouble With <input type="checkbox"/> Nose <input type="checkbox"/> Sinuses <input type="checkbox"/> Mouth <input type="checkbox"/> Throat				Blood In Urine					
Fainting Spells				<input type="checkbox"/> Protein <input type="checkbox"/> Sugar <input type="checkbox"/> Pus <input type="checkbox"/> Other In Urine					
Convulsions				Difficulty In Urination					
Paralysis				Narrowed Urinary Stream					
Dizziness				Abnormal Thirst					
Headaches: <input type="checkbox"/> Frequent <input type="checkbox"/> Severe				Prostate Trouble					
Enlarged Glands				<input type="checkbox"/> Stomach Trouble <input type="checkbox"/> Ulcer					
Thyroid: <input type="checkbox"/> Overactive <input type="checkbox"/> Underactive <input type="checkbox"/> Enlarged				Indigestion					
Enlarged Goiter				<input type="checkbox"/> Gas <input type="checkbox"/> Belching					
Skin Disease				Appendicitis					
Cough: <input type="checkbox"/> Frequent <input type="checkbox"/> Chronic				<input type="checkbox"/> Liver Disease <input type="checkbox"/> Gall Bladder Disease					
<input type="checkbox"/> Chest Pain <input type="checkbox"/> Angina Pectoris				<input type="checkbox"/> Colitis <input type="checkbox"/> Other Bowel Disease					
Spitting Up Blood				<input type="checkbox"/> Hemorrhoids <input type="checkbox"/> Rectal Bleeding					
Night Sweats				Black Tarry Stools					
Shortness Of Breath <input type="checkbox"/> Exertion <input type="checkbox"/> At Night				<input type="checkbox"/> Constipation <input type="checkbox"/> Diarrhea					
<input type="checkbox"/> Palpitation <input type="checkbox"/> Fluttering Heart				<input type="checkbox"/> Parasites <input type="checkbox"/> Worms					
Swelling Of <input type="checkbox"/> Hands <input type="checkbox"/> Feet <input type="checkbox"/> Ankles				<input type="checkbox"/> Any Change In Appetite <input type="checkbox"/> Eating Habits					
Varicose Veins				<input type="checkbox"/> Any Change In Bowel Action <input type="checkbox"/> Stools					
Extreme <input type="checkbox"/> Tiredness <input type="checkbox"/> Weakness				Explain					
Immunization - EKG									
Have You Had . . .		No	Yes	Have You Had . . .		No	Yes		
Smallpox Vaccination (Within Last 7 Years)				Polio Shots (Within Last 2 Years)					
Tetanus Shot (Not Antitoxin)				An Electrocardiogram		When			
Hepatitis Vaccination									
Social History									
Do You . . .		No	Yes	Do You Use . . .		Never	Occ.	Freq.	Daily
Exercise Adequately				Laxatives					
How?				Vitamins					
Awaken Rested				Sedatives					
Sleep Well				Tranquilizers					
Average 8 Hours Sleep (Per Night)				Sleeping Pills					
Have Regular Bowel Movements				Aspirins					
Sex - Entirely Satisfactory				Cortisone					
Like Your Work (    Hours Per Day) <input type="checkbox"/> Indoors <input type="checkbox"/> Outdoors				Alcoholic Beverages					
Watch Television (    Hours Per Day)				Tobacco: Cigarettes (    Pks Per Day)					
Read (    Hours Per Day)				<input type="checkbox"/> Cigars <input type="checkbox"/> Pipe <input type="checkbox"/> Chewing Tobacco					
Have A Vacation (    Weeks Per Year)				<input type="checkbox"/> Snuff					
Have You Ever Been Treated For Alcoholism				<input type="checkbox"/> Other Drugs					
Have You Ever Been Treated For Drug Abuse				Appetite Depressants					
Recreation: Do You Participate In Sports Or Have Hobbies Which Give You Relaxation At Least 3 Hours A Week?				Thyroid Medication: <input type="checkbox"/> No <input type="checkbox"/> Yes, In Past <input type="checkbox"/> None Now    Now On    Gr. Daily					
				Have You Ever Taken:					
				<input type="checkbox"/> Insulin <input type="checkbox"/> Tablets For Diabetes <input type="checkbox"/> Hormone Shots <input type="checkbox"/> Tablets <input type="checkbox"/> No					
Women Only									
Menstrual History . . .		No	Yes			No	Yes		
Age At Onset				Are You Regular: <input type="checkbox"/> Heavy <input type="checkbox"/> Medium <input type="checkbox"/> Light					
Usual Duration Of Period        Days				Do You Have <input type="checkbox"/> Tension <input type="checkbox"/> Depression Before Period					
Cycle (Start To Start)        Days				Do You Have <input type="checkbox"/> Cramps <input type="checkbox"/> Pain With Period					
Date Of Last Period				Do You Have Hot Flashes					
Pregnancies . . .		No	Yes	Cervical & Vaginal Cancer Risk Assessment:		No	Yes		
Children Born Alive (How Many    )				Still Born (How Many    )					
Cesarean Sections (How Many    )				Miscarriages (How Many    )					
Prematures (How Many    )				Any Complications					
Emotions									
Are You Often . . .		No	Yes	Are You Often . . .		No	Yes		
Depressed				Jumpy					
Anxious				Jittery					
Irritable				Is Concentration Difficult?					

# Clinic Policies

## Acknowledgement & Consent

Patient name: \_\_\_\_\_ Date of birth: \_\_\_\_\_

I acknowledge that all of the information supplied on the patient registration form is true and correct and that it has been furnished to this office with full knowledge that the patient is liable for all said services rendered and that he/she is contractually bound to pay for said services, including all costs of collection and a reasonable attorney's fee should collection become necessary. Patient hereby waives his/her confidentiality rights should collection action become necessary. I hereby authorize and request that payments under my insurance plans be made directly to the medical clinic for any services furnished to me.

I hereby consent to the administration and performance of all diagnostic procedures and/or treatments which in the judgment of my doctor may be considered necessary and advisable. I am entitled to a full explanation prior to any testing, procedure, or referral and that I have the option to decline such treatment or seek further information.

I understand that all inactive medical records are destroyed after six years; and that if I want them I need to claim them before six years. I also authorize the release of any information required to process insurance claims including any information relating to drug or alcohol abuse, and AIDS/HIV.

### Financial Arrangements

For your convenience, our clinic participates with most insurance plans. Our list of plans may change periodically. You are responsible for making sure that we are currently participating with your carrier. You are responsible to notify us which diagnostic testing laboratory your insurance is contracted with, otherwise you may be liable for non-contracted laboratory services.

We offer the following methods of payment: Cash, Personal Check, Visa, and MasterCard. If you do not have insurance, we require full payment at the time of service. If you have any questions concerning financial arrangements or need special arrangements, please ask for assistance **prior** to your appointment.

Forms are completed free of charge on or before the day of surgery or during your 30-day post-operative check up. At any other time, I agree to pay for any letter; note; forms required for a return to work, disability, insurance, DMV, or for legal purposes; that I request to be completed and signed at \$50/page and \$25 each additional page. I agree to pay a \$6.00 rebilling fee for each month that I carry a balance beyond 60 days. I agree to pay \$60 for a missed appointment or \$600 for a missed surgery if cancelled with less than 16 hours notice.

### Acknowledgment of Receipt of Privacy Notice

I have been presented with a copy of the clinics "*Notice of Privacy Policies*", detailing how my information may be used and disclosed as permitted under federal and state law. I understand the contents of the Notice, and I place no additional restriction(s) concerning my personal medical information: \_\_\_\_\_.

This authorization may be revoked in writing by me at any time.

Signed: \_\_\_\_\_ Date: \_\_\_\_\_

(If patient is a minor - signature of parent/guardian)

## **INFORMED CONSENT FOR SURGICAL PROCEDURES**

The doctor requests that you to sign this consent form before he meets with you today. This form will explain the risks that a patient assumes when they seek the services of a surgeon or a proctologist. You will have an opportunity to ask your doctor questions regarding any surgery or procedure, and you will have a right to decline any procedures before it is to begin.

Your doctor has asked you to consider the risks of having a surgical procedure on the gastrointestinal tract, genitals, and or procedures on your skin. Depending upon your circumstances, it is possible that your doctor may recommend several surgical operations or treatments spanning several weeks. Your doctor can make no guarantee that your problem will be either corrected or cured by these procedures.

Complications from surgery or colonoscopy/endoscopy can occur. If they do occur, most are corrected easily. Risks and alternatives to having rectal surgery are as follows:

- **Bleeding** – It is possible for some bleeding of the rectum to occur with this procedure. If bleeding should occur, it usually stops by itself. Only in rare cases will a blood transfusion ever be necessary.
- **Allergy** - Taking the pharmaceutical, nutritional, and or botanical nutraceuticals prescribed by your physician has been shown to minimize the negative effects of medications and anesthesia. However, it is still possible to have a life-threatening reaction to one or more of the medications, including to the anesthesia that you will receive during your treatment.
- **Perforation** – A very rare, but significant complication is a perforation. This is when a hole is made in the lining of the wall of the intestines, genitals, esophagus or stomach.
- **Urinary Retention** – If this occurs it is usually associated with anal muscle spasm after surgery, and or an enlarged prostate. This problem improves quickly during recovery. However, in extreme cases of urinary retention, catheterization by emergency room personnel may be necessary.
- **AnoRectal Stenosis** - A rare complication that can occur from rectal surgery is a tightening of the anal canal with the formation of excess scar tissue. This condition if it should occur, is usually corrected easily using a simple procedure to cut away and remove scar tissue. However, it's possible for this to become a chronic reoccurring condition after treatment.
- **Infection** - Proper adherence to a prescribed diet, adequate hydration, exercise, rest, and a proper mental attitude helps your immune system function at its highest level. However, it is still possible for the postoperative site not to heal completely. Sometimes, the body cannot resist infection in the surgical wound site. This infection can form a chronic sore, localized abscess draining pus, crack or fissure; and in some instances, cause the whole body to become very sick. Rarely, this condition can be life threatening.
- **Unforeseen complications** - In addition, it is possible to have unforeseen complications that are not listed here. Some of the complications from this procedure may require major surgery; some of the complications may require blood replacement therapy; some of the complications can cause poor healing wounds; permanent disability; loss of an organ or organ function, permanent deformity; and scarring. Very, very rarely, some complications can be fatal.
- **Fecal incontinence** – This is the failure of voluntary control of the anal sphincter muscles, with involuntary passage of stool or gas. This condition is rare after rectal surgery, but it can happen.
- **Sensation or function of the operated area** may be altered or completely lost, and asymmetry may occur.

• Alternatives - There may be alternatives to this procedure available to you, such as the use of other diagnostic tests, virtual colonoscopy, the barium enema, the barium swallow evaluation, including various forms of treatments as repeated local injections to the problem area, or the use of rectal suppositories and other medicines. However, these alternative methods carry their own risk of complications and a varying degree of success. Therefore, in those patients in whom surgery, colonoscopy or endoscopy is indicated, the recommended procedure will always be one that your physician believes provides the best chance of successful treatment combined with the lowest risk of complications.

Additionally: You have the right to ask questions and to refuse any treatment. However, once a procedure or anesthesia has begun, you are authorizing your physician to do whatever he/she deems necessary. Without your prior knowledge, if any unforeseen condition arises during a procedure, your physician may call for additional diagnostic tests, procedures, operations, or medication (including anesthesia and a blood transfusion), for which there is a specific indication or need. Additionally, if medical personnel should inadvertently get stuck with a sharp instrument and or contaminated with your blood, your blood may be tested for infectious diseases, including HIV.

In the event the you elect to have sedation or anesthesia before an exploratory or diagnostic procedure, then your consent for surgery is implied or given automatically for any condition that can be fixed or treated during the time that you are under the effects of analgesia.

Your doctor may require a surgical assistant to help with your operation. Other physicians, medical students, or medical equipment personnel may also be present. If so, then you may not be notified in advance of your doctor's decision to have such persons present during the operation.

Your doctor may be one who travels frequently to and from places far away, and may be unavailable to you in the event of a complication or an emergency. Should this occur, you may need to follow up with care for your surgical procedure with another physician who is on call, or you may have to go to the nearest hospital emergency room for care and treatment by physicians unknown. Or, you can arrange in advance to have another doctor perform proctology procedures, one who does not travel.

**I certify that I have read or had read to me the contents of this form. I understand that there are risks and alternatives to most surgical and diagnostic procedures. I understand that I am encouraged to ask questions at the time of scheduling, and before the start of any surgery or procedure; and that if I feel uncomfortable for any reason, I have the right to refuse treatment.**

SIGNED: \_\_\_\_\_ DATE: \_\_\_\_\_

WITNESS: \_\_\_\_\_ DATE: \_\_\_\_\_

## Anesthesia Services

As a Courtesy to Our Patients

Please DO NOT PAY your invoice or bill from the anesthesia company for any procedures done in the office. The anesthesiologist(s) agrees to accept assignment from your insurance company.

For any amount not paid by your insurance company, the anesthesia provider will send you a bill or a “Notice To Pay”. This bill is a formality required by the insurance industry. You do not have to pay this bill if you don’t want to; and you will not be billed more than twice for the same date of service, and you will never be sent to collections.

Please call me if you have any questions about your bill.

Sincerely,

*Rachel Strandquist*

Billing Liaison, Dr. Shacket’s Office

602.492.9919



# Notice of Privacy Practices

**THIS NOTICE DESCRIBES HOW MEDICAL INFORMATION ABOUT YOU MAYBE USED AND DISCLOSED AND HOW YOU CAN GET ACCESS TO THIS INFORMATION. PLEASE REVIEW IT CAREFULLY.**

Effective Date September 23, 2013

## A. PURPOSE OF THIS NOTICE.

This medical office is committed to preserving the privacy of your health information. In fact, we are required by law to do so for any health information created or received by us. We are required to provide this Notice of Privacy Practices ("Notice") to you. The Notice tells you how we can and cannot use and disclose the health information that you have given to us or that we have learned about you when you were a patient in our system. It also tells you about your rights and our legal duties concerning your health information.

We are required to abide by this Notice and any future changes to this Notice or law at all of our locations, including medical schools, medical residency programs, hospitals, skilled nursing facilities; numerous primary care and specialty clinics; multiple research institutes and centers; and several community service and outreach programs. This Notice applies to the practices of:

- All of our employees, volunteers, students, residents and service providers, including clinicians, who have access to health information.
- Any health care professional authorized to enter information into your health record.
- Any clinicians who might otherwise have access to your health information created or kept by us, as a result of, for example, their on-call coverage for our clinicians.

For the rest of this Notice, "we" and "us" will refer to all services, service areas, and workers on our staff. When we use the words "your health information," we mean any information that you have given us about you and your health, as well as information that we have received while we have taken care of you (including health information provided to us by those outside of our facilities).

We will have a copy of the current Notice with an effective date in clinical locations and on our website.

## B. USES AND DISCLOSURES OF HEALTH INFORMATION FOR TREATMENT, PAYMENT, AND FOR OUR HEALTH CARE OPERATIONS.

### 1. Treatment, Payment and Health Care Operations.

The following section describes different ways that we use and disclose health information for treatment, payment and health care operations. For each of those categories, we explain what we mean and give one or more examples. Not every use or disclosure will be noted and there may be incidental disclosures that are a byproduct of the listed uses and disclosures. The ways we use and disclose health information will fall within one of the categories.

- a. For Treatment. We may use your health information to provide you with medical or dental treatment or services. We may disclose your health information to staff physicians, staff dentists, post-graduate fellows, midwives or nurse practitioners, and other personnel involved in your health care. We may also disclose your health information to students and resident physicians who, as a part of their educational programs (and while supervised by physicians or dentists), are involved in your care. Treatment includes (a) activities performed by nurses, office staff, hospital staff, technicians and other types of health care professionals providing care to you or coordinating or managing your care with third parties, (b) consultations with and between our providers and other health care providers, and (c) activities of other physicians or other medical providers covering our practice by telephone or serving as the on-call provider.

For example, a physician or dentist treating you for an infection may need to know if you have other health problems that could complicate your treatment. That provider may use your medical history to decide what treatment is best for you. They may also tell another provider about your condition so that he or she can decide the best treatment for you.

- b. For Payment. We may use and disclose your health information so that we may bill and collect payment from you, an insurance company, or someone else for health care services you receive from us. We may also tell your health plan about a treatment you are going to receive to obtain prior approval, or to determine whether your plan will pay for the treatment.

For example, we may need to give your health plan information about surgery you received at any facility so that your health plan will pay us or reimburse you for the surgery.

- c. For Health Care Operations. We may use and disclose your health information in order to run the necessary administrative, educational, quality assurance and business functions at our facility. For example, we may use your health information to evaluate the performance of our staff in caring for you. We may also use health information about patients to help us decide what additional services we should offer, how we can improve efficiency, or whether certain treatments are effective. Or we may give health information to doctors, nurses, technicians, or health profession students for review, analysis and other teaching and learning purposes.
2. Fundraising Activities. As a part of our healthcare operations, we may use and disclose a limited amount of your health information internally, or to other charitable foundations to allow them to contact you to raise money. The health information released for these fundraising purposes can include your name, address, other contact information, gender, age, date of birth, dates on which you received service, health insurance status, the outcome of your treatment at our facility and your treating physician's name and department at our facility. Any fundraising communications you receive from us or our Foundations will include information on how you can elect not to receive any further fundraising communications from us or them.

### 3. Uses and Disclosures You Can Limit

- a. Hospital Directory. Unless you notify us that you object, we may include certain information about you in the hospital directory in order to respond to inquiries from friends, family, clergy and others who inquire about you when you are a patient in the hospital. Specifically, your name, location in the hospital and your general condition (e.g., good, fair, serious, critical) may be released to people who ask for you by name. In addition, your religious affiliation may be given to a member of the clergy, such as a priest or rabbi, even if they don't ask for you by name.
- b. Family and Friends. Unless you notify us that you object, we may provide your health information to individuals, such as family and friends, who are involved in your care or who help pay for your care. We may do this if you tell us we can do so, or if you know we are sharing your health information with these people and you don't stop us from doing so. There may also be circumstances when we can assume, based on our professional judgment, that you would not object. For example, we may assume you agree to our disclosure of your information to your spouse if your spouse comes with you into the exam room during treatment.

Also, if you are not able to approve or object to disclosures, we may make disclosures to a particular individual (such as a family member or friend), that we feel are in your best interest and that relate to that person's involvement in your care. For example, we may tell someone who comes with you to the emergency room that you suffered a heart attack and provide updates on your condition. We may also make similar professional judgments about your best interests that allow another person to pick up such things as filled prescriptions, medical supplies and X-rays.

## C. OTHER PERMITTED USES AND DISCLOSURES OF HEALTH CARE INFORMATION.

We may use or disclose your health information without your permission in the following circumstances, subject to all applicable legal requirements and limitations:

1. **Required By Law:** As required by federal, state, or local law.
2. **Public Health Activities:** For public health reasons in order to prevent or control disease, injury or disability; or to report births, deaths, suspected abuse or neglect, non-accidental physical injuries, reactions to medications, school immunizations under certain circumstances or problems with products.
3. **Victims of Abuse, Neglect or Domestic Violence:** To a government authority authorized by law to receive reports of abuse, neglect or domestic violence when we reasonably believe you are the victim of abuse, neglect or domestic violence and other criteria are met.
4. **Health Oversight Activities:** To a health oversight agency for audits, investigations, inspections, licensing purposes, or as necessary for certain government agencies to monitor the health care system, government programs, and compliance with civil rights laws.
5. **Lawsuits and Disputes:** In response to a subpoena, discovery request or a court or administrative order, if certain criteria are met.
6. **Law Enforcement:** To a law enforcement official for law enforcement purposes as required by law; in response to a court order, subpoena, warrant, summons or similar process; for identification and location purposes if requested; to respond to a request for information on an actual or suspected crime victim; to report a crime in an emergency; to report a crime on our premises; or to report a death if the death is suspected to be the result of criminal conduct.
7. **Coroners, Medical Examiners and Funeral Directors:** To a coroner or medical examiner, (as necessary, for example, to identify a deceased person or determine the cause of death) or to a funeral director, as necessary to allow him/her to carry out his/her activities.
8. **Organ and Tissue Donation:** To organizations that handle organ procurement or organ, eye or tissue transplantation, or to an organ donation bank, as necessary to facilitate a donation and transplantation.
9. **Research:** For research purposes under certain limited circumstances. Research projects are subject to a special approval process. Therefore, we will not use or disclose your health information for research purposes until the particular research project, for which your health information may be used or disclosed, has been approved through this special approval process.
10. **Serious Threat to Health or Safety; Disaster Relief:** To appropriate individual(s)/organization(s) when necessary (i) to prevent a serious threat to your health and safety or that of the public or another person, or (ii) to notify your family members or persons responsible for you in a disaster relief effort.
11. **Military:** To appropriate domestic or foreign military authority to assure proper execution of a military mission, if required criteria are met.
12. **National Security; Intelligence Activities; Protective Service:** To federal officials for intelligence, counterintelligence, and other national security activities authorized by law, including activities related to the protection of the President, other authorized persons or foreign heads of state, or related to the conduct of special investigations.
13. **Inmates:** To a correctional institution (if you are an inmate) or a law enforcement official (if you are in that person's custody) as necessary (a) to provide you with health care; (b) to protect your or others' health and safety; or (c) for the safety and security of the correctional institution.
14. **Workers' Compensation:** As necessary to comply with laws relating to workers' compensation or similar work-related injury program.

#### **D. WHEN WRITTEN AUTHORIZATION IS REQUIRED.**

Other than for those purposes identified above in Sections B and C, we will not use or disclose your health information for any purpose unless you give us your specific written authorization to do so. Special circumstances that require an authorization include most uses and disclosures of your psychotherapy notes, certain disclosures of your test results for the human immunodeficiency virus or HIV, uses and disclosures of your health information for marketing purposes that encourage you to purchase a product or service, and for sale of your health information with some exceptions. If you give us authorization, you can withdraw this written authorization at any time. To withdraw your authorization, deliver a written revocation to us. If you revoke your authorization, we will no longer use or disclose your health information as allowed by your written authorization, except to the extent that we have already relied on your authorization.

#### **E. YOUR RIGHTS REGARDING YOUR HEALTH INFORMATION.**

You have certain rights regarding your health information which we list below. In each of these cases, if you want to exercise your rights, you must do so in writing. You can get information about how to exercise your rights and about any costs that we may charge for materials by contacting us directly.

1. **Right to Inspect and Copy.** With some exceptions, you have the right to inspect and get a copy of the health information that we use to make decisions about your care. For the portion of your health record maintained in our electronic health record, you may request we provide that information to or for you in an electronic format. If you make such a request, we are required to provide that information for you electronically (unless we deny your request for other reasons). We may deny your request to inspect and/or copy in certain limited circumstances, and if we do this, you may ask that the denial be reviewed.
2. **Right to Amend.** You have the right to amend your health information maintained by us, or used by us to make decisions about you. We will require that you provide a reason for the request, and we may deny your request for an amendment if the request is not properly submitted, or if it asks us to amend information that (a) we did not create (unless the source of the information is no longer available to make the amendment); (b) is not part of the health information that we keep; (c) is of a type that you would not be permitted to inspect and copy; or (d) is already accurate and complete.
3. **Right to an Accounting of Disclosures.** You have the right to request a list and description of certain disclosures by us of your health information.
4. **Right to Request Restrictions.** You have the right to request a restriction or limitation on the health information we use or disclose about you (a) for treatment, payment, or health care operations, (b) to someone who is involved in your care or the payment for it, such as a family member or friend, or (c) to a health plan for payment or health care operations purposes when the item or service for which we have been paid out of pocket in full by you or someone on your behalf (other than the health plan). For example, you could ask that we not use or disclose information about a surgery you had, a laboratory test ordered or a medical device prescribed for your care. Except for the request noted in 4(c) above, we are not required to agree to your request. Any time we agree to such a restriction, it must be in writing and signed by our Privacy Officer or his or her designee.
5. **Right to Request Confidential Communications.** You have the right to request that we communicate with you about health matters in a certain way or at a certain place. We will accommodate reasonable requests. For example, you can ask that we only contact you at work or by mail.
6. **Right to a Paper Copy of This Notice.** You have the right to a paper copy of this Notice, whether or not you may have previously agreed to receive the Notice electronically.
7. **Right to be Notified of a Breach.** You have the right to be notified if there is a breach – a compromise to the security or privacy of your health information – due to your health information being unsecured. We are required to notify you within 60 days of discovery of a breach.

#### **F. REVISIONS TO THIS NOTICE**

We have the right to change this Notice and to make the revised or changed Notice effective for health information we already have about you, as well as any information we receive in the future. Except when required by law, a material change to any term of the Notice may not be implemented prior to the effective date of the Notice in which the material change is reflected. We will post the revised Notice at our clinical locations and on its website and provide you a copy of the revised notice upon your request.

#### **G. QUESTIONS OR COMPLAINTS**

If you have any questions about this Notice, please contact us directly. If you believe your privacy rights have been violated, you may file a complaint with our office or with the Secretary of the Department of Health and Human Services. To file a complaint with us, begin the process by contacting our practice manager by calling the office. You will not be penalized for filing a complaint.

This Notice tells you how we may use and share health information about you. If you would like a copy of this Notice, please ask your health care provider.