

4600 Avocet Drive  
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GA 30092

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<https://www.oxfordchaseadvisors.com/>

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## Item 1 Cover Page

A.

### **Manoj Madhavan**

CRD # 6246799

Oxford Chase Advisors, LLC

Brochure Supplement

Dated 12/10/2020

Contact: Manoj Madhavan, Chief Compliance Officer

4600 Avocet Drive, Peachtree Corners, GA30092

<https://www.oxfordchaseadvisors.com>

B.

**This brochure supplement provides information about Manoj Madhavan that supplements the Oxford Chase Advisors, LLC brochure; you should have received a copy of that brochure. Please contact Manoj Madhavan, Chief Compliance Officer if you did *not* receive Oxford Chase Advisors' brochure or if you have any questions about the contents of this supplement.**

**Additional information about Manoj Madhavan is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)**

## Item 2 Education Background and Business Experience

Manoj Madhavan was born in 1967. Mr. Madhavan graduated from The Indian Institute of Technology, Varanasi, India in 1989, with a Bachelor of Technology degree with a major in Civil Engineering and from the Louisiana State University, Baton Rouge, with a Master of Science degree in Engineering Science. Mr. Madhavan has been an investment adviser representative of Oxford Chase Advisors, LLC since August of 2013.

Between August 1998 and August 2013, Mr. Madhavan was the CEO of Hybrid Solutions Inc., an IT solutions provider.

Mr. Madhavan has held the designation of Chartered Financial Analyst (CFA®) since January of 2013. CFA® is an investment analysis and research designation conferred by The CA Institute. Candidates must meet education, experience, examination, and continuing ethical requirements. Candidates must have at least four years of experience in the financial industry. Candidates are required to three exams CFA Level I, CFA Level II and CFA Level III followed by an exam. The courses and exams cover topics in finance, investing, investment analysis and financial planning.

### **Item 3 Disciplinary Information**

Manoj Madhavan has not been involved in any criminal or civil action in a domestic, foreign or military court of competent jurisdiction.

Manoj Madhavan has not been subject to any administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority. Manoj Madhavan has not been involved in any self-regulatory organization (SRO) proceeding.

### **Item 4 Other Business Activities**

A. The supervised person is not actively engaged in any other investment-related businesses or occupations.

B. Manoj Madhavan is the owner and CEO of Hybrid Solutions Inc. Hybrid Solutions Inc has not had any clients or revenues from customers since 2015

### **Item 5 Additional Compensation**

None.

### **Item 6 Supervision**

The Registrant provides investment advisory and supervisory services in accordance with current state regulatory requirements. The Registrant's Chief Compliance Officer, Mr. Manoj Madhavan, is primarily responsible for overseeing the activities of the Registrant's supervised persons. Mr. Madhavan also monitors accounts and conducts account reviews on at least an annual basis. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Madhavan at (678) 313-6265.

### **Item 7 State-Registered Investment Advisors**

A. Mr. Madhavan has never been involved in an arbitration proceeding or a civil, self-regulatory, or administrative proceeding.

B. Mr. Madhavan has never been the subject of a bankruptcy petition.

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A.

**Viswanathan Ramakrishnan**

CRD # 6246798  
Oxford Chase Advisors, LLC  
Brochure Supplement  
Dated 12/10/2020

Contact: Manoj Madhavan, Chief Compliance Officer  
4600 Avocet Drive, Peachtree Corners, GA30092  
<https://www.oxfordchaseadvisors.com>

B.

**This brochure supplement provides information about Viswanathan Ramakrishnan that supplements the Oxford Chase Advisors, LLC brochure; you should have received a copy of that brochure. Please contact Manoj Madhavan, Chief Compliance Officer if you did *not* receive Oxford Chase Advisors' brochure or if you have any questions about the contents of this supplement.**

**Additional information about Viswanathan Ramakrishnan is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)**

**Item 2 Education Background and Business Experience**

Viswanathan Ramakrishnan was born in 1971. Mr. Ramakrishnan graduated from Thiagarajar College of Engineering, India in 1992, with a Bachelor of Technology degree with a major in Electrical and Electronics Engineering and from Indian Institute of Technology, Chennai with a Masters in Maintenance Engineering and Management in 1994.

Mr. Ramakrishnan has been an investment adviser representative of Oxford Chase Advisors, LLC since September of 2013.

Mr. Ramakrishnan has held the designation of Chartered Financial Analyst (CFA®) since January of 2013. CFA® is an investment analysis and research designation conferred by The CA Institute. Candidates must meet education, experience, examination, and continuing ethical requirements. Candidates must have at least four years of experience in the financial

industry. Candidates are required to three exams CFA Level I, CFA Level II and CFA Level III followed by an exam. The courses and exams cover topics in finance, investing, investment analysis and financial planning.

### **Item 3 Disciplinary Information**

Viswanathan Ramakrishnan has not been involved in any criminal or civil action in a domestic, foreign or military court of competent jurisdiction.

Viswanathan Ramakrishnan has not been subject to any administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority

Viswanathan Ramakrishnan has not been involved in any self-regulatory organization (SRO) proceeding.

### **Item 4 Other Business Activities**

A. The supervised person is not actively engaged in any other investment-related businesses or occupations.

### **Item 5 Additional Compensation**

None.

### **Item 6 Supervision**

The Registrant provides investment advisory and supervisory services in accordance with current state regulatory requirements. The Registrant's Chief Compliance Officer, Mr. Manoj Madhavan, is primarily responsible for overseeing the activities of the Registrant's supervised persons. Mr. Ramakrishnan also monitors accounts and conducts account reviews on at least an annual basis. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Madhavan at (678) 313-6265.

### **Item 7 State-Registered Investment Advisors**

A. Mr. Ramakrishnan has never been involved in an arbitration proceeding or a civil, self-regulatory or administrative proceeding.

B. Mr. Ramakrishnan has never been the subject of a bankruptcy petition.

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A.

**Manish Kapoor**

CRD # 6246801  
Oxford Chase Advisors, LLC  
Brochure Supplement  
Dated 12/10/2020

Contact: Manoj Madhavan, Chief Compliance Officer  
4600 Avocet Drive, Peachtree Corners, GA30092  
<https://www.oxfordchaseadvisors.com>

B.

**This brochure supplement provides information about Manish Kapoor that supplements the Oxford Chase Advisors, LLC brochure; you should have received a copy of that brochure. Please contact Manoj Madhavan, Chief Compliance Officer if you did *not* receive Oxford Chase Advisors' brochure or if you have any questions about the contents of this supplement.**

**Additional information about Manish Kapoor is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)**

**Item 2 Education Background and Business Experience**

Manish Kapoor was born in 1968. Mr. Kapoor graduated from Thapar College of Engineering, India in 1989, with a Bachelor of Technology degree with a major in Electronics.

Mr. Kapoor has been a partner of Oxford Chase Advisors, LLC since September of 2013.

Mr. Kapoor has held the designation of Chartered Financial Analyst (CFA®) since October of 2019. CFA® is an investment analysis and research designation conferred by The CA Institute. Candidates must meet education, experience, examination, and continuing ethical requirements. Candidates must have at least four years of experience in the financial industry. Candidates are required to three exams CFA Level I, CFA Level II and CFA Level III followed by an exam. The courses and exams cover topics in finance, investing, investment analysis and financial planning.

**Item 3 Disciplinary Information**

Manish Kapoor has not been involved in any criminal or civil action in a domestic, foreign or military court of competent jurisdiction.

Manish Kapoor has not been subject to any administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority

Manish Kapoor has not been involved in any self-regulatory organization (SRO) proceeding.

**Item 4 Other Business Activities**

A. The supervised person is not actively engaged in any other investment-related businesses or occupations.

**Item 5 Additional Compensation**

None.

**Item 6 Supervision**

The Registrant provides investment advisory and supervisory services in accordance with current state regulatory requirements. The Registrant's Chief Compliance Officer, Mr. Manoj Madhavan, is primarily responsible for overseeing the activities of the Registrant's supervised persons. Mr. Kapoor is not an investment advisor and does not monitor account or conduct account reviews. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Madhavan at (678) 313-6265.

**Item 7 State-Registered Investment Advisors**

A. Mr. Kapoor has never been involved in an arbitration proceeding or a civil, self-regulatory, or administrative proceeding.

B. Mr. Kapoor has never been the subject of a bankruptcy petition.